

1



ACCELERATING ELECTRONIC BUSINESS

2

# Assertions and Protocol for the OASIS Security Assertion Markup Language (SAML)

3     **Document identifier:** draft-sstc-core-22

4     **Location:** <http://www.oasis-open.org/committees/security/docs>

5     **Publication date:** 31st December 2001

6     **Status:** Interim draft. Send comments to the editors.

7     **Editors:**

8         Phillip Hallam-Baker, VeriSign, ([pbaker@verisign.com](mailto:pbaker@verisign.com))

9         Eve Maler, Sun Microsystems, ([eve.maler@sun.com](mailto:eve.maler@sun.com))

10     **Contributors:**

11         Carlisle Adams, Entrust

12         Marc Chanliau, Netegrity

13         Nigel Edwards, Hewlett-Packard

14         Marlena Erdos, Tivoli

15         Simon Godik, Crosslogic

16         Jeff Hodges, Oblix

17         Charles Knouse, Oblix

18         Chris McLaren, Netegrity

19         Prateek Mishra, Netegrity

20         RL "Bob" Morgan, University of Washington

21         Tim Moses, Entrust

22         David Orchard, BEA

23         Joe Pato, Hewlett Packard

24         Darren Platt, RSA

25         Irving Reid, Baltimore

26

27

28

28		
29	<b>ASSERTIONS AND PROTOCOL FOR THE OASIS SECURITY ASSERTION MARKUP</b>	
30	<b>LANGUAGE (SAML)</b>	<b>1</b>
31	<b>1. INTRODUCTION</b>	<b>6</b>
32	<b>1.1. NOTATION</b>	6
33	<b>1.2. SCHEMA ORGANIZATION AND NAMESPACES</b>	6
34	<b>1.3. SAML CONCEPTS (NON-NORMATIVE)</b>	7
35	<b>2. SAML ASSERTIONS</b>	<b>8</b>
36	<b>2.1. SCHEMA HEADER AND NAMESPACE DECLARATIONS</b>	8
37	<b>2.2. SIMPLE TYPES</b>	8
38	<b>2.2.1. Simple Type IDType</b>	8
39	<b>2.2.2. Simple Type DecisionType</b>	9
40	<b>2.3. ASSERTIONS</b>	9
41	<b>2.3.1. Element &lt;AssertionSpecifier&gt;</b>	9
42	<b>2.3.2. Element &lt;AssertionID&gt;</b>	10
43	<b>2.3.3. Element &lt;Assertion&gt;</b>	10
44	2.3.3.1. Element <Conditions>	11
45	2.3.3.1.1 Attributes NotBefore and NotOnOrAfter	12
46	2.3.3.1.2 Element <Condition>	12
47	2.3.3.1.3 Elements <AudienceRestrictionCondition> and <Audience>	12
48	2.3.3.1.4 Condition Type TargetRestrictionType	13
49	2.3.3.2. Elements <Advice> and <AdviceElement>	13
50	<b>2.4. STATEMENTS</b>	14
51	<b>2.4.1. Element &lt;Statement&gt;</b>	14
52	<b>2.4.2. Element &lt;SubjectStatement&gt;</b>	14
53	2.4.2.1. Element <Subject>	14
54	2.4.2.2. Element <NameIdentifier>	15
55	2.4.2.3. Elements <SubjectConfirmation>, <ConfirmationMethod>, and <SubjectConfirmationData>	15
56	<b>2.4.3. Element &lt;AuthenticationStatement&gt;</b>	16

57	2.4.3.1. Element <AuthenticationLocality>	16
58	<b>2.4.4. Element &lt;AuthorizationDecisionStatement&gt;</b>	17
59	2.4.4.1. Elements <Actions> and <Action>	17
60	2.4.4.2. Element <Evidence>	18
61	<b>2.4.5. Element &lt;AttributeStatement&gt;</b>	18
62	2.4.5.1. Elements <AttributeDesignator> and <Attribute>	18
63	2.4.5.1.1. Element <AttributeValue>	19
64	<b>3. SAML PROTOCOL</b>	<b>20</b>
65	<b>3.1. SCHEMA HEADER AND NAMESPACE DECLARATIONS</b>	20
66	<b>3.2. SIMPLE TYPES</b>	20
67	<b>3.2.1. Simple Type StatusCodeType</b>	20
68	<b>3.3. REQUESTS</b>	21
69	<b>3.3.1. Complex Type RequestAbstractType</b>	21
70	3.3.1.1. Element <RespondWith>	21
71	<b>3.3.2. Element &lt;Request&gt;</b>	22
72	<b>3.4. QUERIES</b>	23
73	<b>3.4.1. Element &lt;Query&gt;</b>	23
74	<b>3.4.2. Element &lt;SubjectQuery&gt;</b>	23
75	<b>3.4.3. Element &lt;AuthenticationQuery&gt;</b>	23
76	<b>3.4.4. Element &lt;AttributeQuery&gt;</b>	24
77	<b>3.4.5. Element &lt;AuthorizationDecisionQuery&gt;</b>	24
78	<b>3.5. RESPONSES</b>	25
79	<b>3.5.1. Complex Type ResponseAbstractType</b>	25
80	<b>3.5.2. Element &lt;Response&gt;</b>	25
81	3.5.2.1. Element <StatusReason>	26
82	<b>4. SAML VERSIONING</b>	<b>27</b>
83	<b>4.1. ASSERTION VERSION</b>	27
84	<b>4.2. REQUEST VERSION</b>	27

85	<b>4.3. RESPONSE VERSION</b>	28
86	<b>5. SAML EXTENSIONS</b>	<b>29</b>
87	<b>5.1. ASSERTION SCHEMA EXTENSION</b>	29
88	<b>5.2. PROTOCOL SCHEMA EXTENSION</b>	29
89	<b>5.3. USE OF TYPE DERIVATION AND SUBSTITUTION GROUPS</b>	30
90	<b>6. SAML-DEFINED IDENTIFIERS</b>	<b>31</b>
91	<b>6.1. CONFIRMATION METHOD IDENTIFIERS</b>	31
92	<b>6.1.1. SAML Artifact:</b>	31
93	<b>6.1.2. SAML Artifact (SHA-1):</b>	31
94	<b>6.1.3. Holder of Key:</b>	31
95	<b>6.1.4. Sender Vouches:</b>	31
96	<b>6.1.5. Password (Pass-Through):</b>	31
97	<b>6.1.6. Password (One-Way-Function SHA-1):</b>	32
98	<b>6.1.7. Kerberos [Kerberos]</b>	32
99	<b>6.1.8. SSL/TLS Certificate Based Client Authentication:</b>	32
100	<b>6.1.9. Object Authenticator (SHA-1):</b>	32
101	<b>6.1.10. PKCS#7</b>	32
102	<b>6.1.11. Cryptographic Message Syntax</b>	33
103	<b>6.1.12. XML Digital Signature</b>	33
104	<b>6.2. ACTION NAMESPACE IDENTIFIERS</b>	33
105	<b>6.2.1. Read/Write/Execute/Delete/Control:</b>	33
106	<b>6.2.2. Read/Write/Execute/Delete/Control with Negation:</b>	33
107	<b>6.2.3. Get/Head/Put/Post:</b>	34
108	<b>6.2.4. UNIX File Permissions:</b>	34
109	<b>7. SAML SCHEMA LISTINGS</b>	<b>35</b>

110	<b>7.1. ASSERTION SCHEMA</b>	35
111	<b>7.2. PROTOCOL SCHEMA</b>	38
112	<b>8. REFERENCES</b>	<b>41</b>
113	<b>APPENDIX A. NOTICES</b>	<b>43</b>
114		

# 1. Introduction

This specification defines the syntax and semantics for XML-encoded SAML assertions, protocol requests, and protocol responses. These constructs are typically embedded in other structures for transport, such as HTTP form POSTs and XML-encoded SOAP messages. The SAML specification for bindings and profiles [SAMLBind] provides frameworks for this embedding and transport. Files containing just the SAML assertion schema [SAML-XSD] and protocol schema [SAMLP-XSD] are available.

The following sections describe how to understand the rest of this specification.

## 1.1. Notation

This specification uses schema documents conforming to W3C XML Schema [Schema1] and normative text to describe the syntax and semantics of XML-encoded SAML assertions and protocol messages.

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be interpreted as described in IETF RFC 2119 [RFC2119]:

*"they MUST only be used where it is actually required for interoperation or to limit behavior which has potential for causing harm (e.g., limiting retransmissions)"*

These keywords are thus capitalized when used to unambiguously specify requirements over protocol and application features and behavior that affect the interoperability and security of implementations. When these words are not capitalized, they are meant in their natural-language sense.

Listings of SAML schemas appear like this.

Example code listings appear like this.

Conventional XML namespace prefixes are used throughout the listings in this specification to stand for their respective namespaces (see Section 1.2) as follows, whether or not a namespace declaration is present in the example:

?? The prefix saml: stands for the SAML assertion namespace.

?? The prefix samlp: stands for the SAML request-response protocol namespace.

?? The prefix ds: stands for the W3C XML Signature namespace.

?? The prefix xsd: stands for the W3C XML Schema namespace in example listings. In schema listings, this is the default namespace and no prefix is shown.

This specification uses the following typographical conventions in text: <SMLElement>, <ns:ForeignElement>, Attribute, Datatype, OtherCode.

## 1.2. Schema Organization and Namespaces

The SAML assertion structures are defined in a schema [SAML-XSD] associated with the following XML namespace:

<http://www.oasis-open.org/committees/security/docs/draft-sstc-schema-assertion-22.xsd>

The SAML request-response protocol structures are defined in a schema [SAMLP-XSD] associated with the following XML namespace:

<http://www.oasis-open.org/committees/security/docs/draft-sstc-schema-protocol-22.xsd>

156           **Note:** The SAML namespace names are temporary and will change when  
157           SAML 1.0 is finalized.

158       The assertion schema is imported into the protocol schema. Also imported into both schemas is  
159       the schema for XML Signature **[XMLSig-XSD]**, which is associated with the following XML  
160       namespace:

161       <http://www.w3.org/2000/09/xmldsig#>

162       The XML Signature element <ds:KeyInfo>, defined in **[XMLSig]** §4.4, is of particular interest in  
163       SAML.

## 164       **1.3. SAML Concepts (Non-Normative)**

165       This section is informative only and is superseded by any contradicting information in the  
166       normative text in Sections 1.2 and following. A glossary of SAML terms and concepts  
167       **[SAMLGloss]** is available.

168       [TBD]Need conceptual material here. Explain concepts/terms such as the domain model, SAML-  
169       defined namespaces, URIs for identifiers, what is out of band/scope, extension points, etc.

## 170 2. SAML Assertions

171 An assertion is a package of information that supplies one or more statements made by an  
172 issuer. SAML allows issuers to make three different kinds of assertion statement:  
173 ?? **Authentication:** The specified subject was authenticated by a particular means at a  
174 particular time.  
175 ?? **Authorization Decision:** A request to allow the specified subject to access the specified  
176 object has been granted or denied.  
177 ?? **Attribute:** The specified subject is associated with the supplied attributes.  
178 Assertions have a nested structure. A series of inner elements representing authentication  
179 statements, authorization decision statements, and attribute statements contains the specifics,  
180 while an outer generic assertion element provides information that is common to all the  
181 statements.

### 182 2.1. Schema Header and Namespace Declarations

183 The following schema fragment defines the XML namespaces and other header information for  
184 the assertion schema:

```
185 <schema
186   targetNamespace="http://www.oasis-open.org/committees/security/docs/draft-
187   sstc-schema-assertion-22.xsd"
188   xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
189   xmlns:saml="http://www.oasis-open.org/committees/security/docs/draft-sstc-
190   schema-assertion-22.xsd"
191   xmlns="http://www.w3.org/2001/XMLSchema"
192   elementFormDefault="unqualified">
193   <import namespace="http://www.w3.org/2000/09/xmldsig#"
194     schemaLocation="xmldsig-core-schema.xsd"/>
195   <annotation>
196     <documentation>draft-sstc-schema-assertion-22.xsd</documentation>
197   </annotation>
198 ...
199 </schema>
```

### 200 2.2. Simple Types

201 The following sections define the SAML assertion-related simple types.

#### 202 2.2.1. Simple Type IDType

203 The **IDType** simple type is used to declare and reference identifiers to assertions, requests, and  
204 responses.

205 Values of attributes declared to be of type **IDType** MUST satisfy the following properties:

206 ?? Any party that assigns an identifier MUST ensure that there is negligible probability that  
207 that party or any other party will assign the same identifier to a different data object.  
208 ?? Where a data object declares that it has a particular identifier, there MUST be exactly  
209 one such declaration.  
210 The mechanism by which the application ensures that the identifier is unique is left to the  
211 implementation. In the case that a pseudorandom technique is employed, the probability of two  
212 randomly chosen identifiers being identical MUST be less than  $2^{-128}$  and SHOULD be less than  
213  $2^{-160}$ .

214 It is OPTIONAL for an identifier based on **IDType** to be resolvable in principle to some resource.  
215 In the case that the identifier is resolvable in principle (for example, the identifier is in the form of  
216 a URI reference), it is OPTIONAL for the identifier to be dereferenceable.

217 The following schema fragment defines the **IDType** simple type:

```
218 <simpleType name="IDType">  
219   <restriction base="string" />  
220 </simpleType>
```

## 221 2.2.2. Simple Type **DecisionType**

222 The **DecisionType** simple type defines the possible values to be reported as the status of an  
223 authorization decision statement.

224 Permit  
225 The specified action is permitted.

226 Deny  
227 The specified action is denied.

228 Indeterminate  
229 No assessment is made as to whether the specified action is permitted or denied.

230 The following schema fragment defines the **DecisionType** simple type:

```
231 <simpleType name="DecisionType">  
232   <restriction base="string" />  
233     <enumeration value="Permit" />  
234     <enumeration value="Deny" />  
235     <enumeration value="Indeterminate" />  
236   </restriction>  
237 </simpleType>
```

## 238 2.3. Assertions

239 The following sections define the SAML constructs that contain assertion information.

### 240 2.3.1. Element **<AssertionSpecifier>**

241 The **<AssertionSpecifier>** element specifies an assertion either by reference or by value. It  
242 contains one of the following elements:

243 <AssertionID>  
244 Specifies an assertion by reference to the value of the assertion's AssertionID  
245 attribute.  
246 <Assertion>  
247 Specifies an assertion by value.

248 The following schema fragment defines the **<AssertionSpecifier>** element and its  
249 **AssertionSpecifierType** complex type:

```
250 <element name="AssertionSpecifier" type="saml:AssertionSpecifierType" />  
251 <complexType name="AssertionSpecifierType">  
252   <choice>  
253     <element ref="saml:AssertionID" />  
254     <element ref="saml:Assertion" />  
255   </choice>  
256 </complexType>
```

257 **2.3.2. Element <AssertionID>**

258 The `<AssertionID>` element makes a reference to a SAML assertion by means of the value  
259 the assertion's AssertionID attribute.

260 The following schema fragment defines the `<AssertionID>` element:

```
261 <element name="AssertionID" type="saml:IDType" />
```

262 **2.3.3. Element <Assertion>**

263 The `<Assertion>` element is of **AssertionType** complex type. This type specifies the basic  
264 information that is common to all assertions, including the following elements (in order) and  
265 attributes:

266 MajorVersion [Required]

267 The major version of this assertion. The identifier for the version of SAML defined in this  
268 specification is 1. Processing of this attribute is specified in Section 3.5.2.

269 MinorVersion [Required]

270 The minor version of this assertion. The identifier for the version of SAML defined in this  
271 specification is 0. Processing of this attribute is specified in Section 3.5.2.

272 AssertionID [Required]

273 The identifier for this assertion. It is of type **IDType**, and MUST follow the requirements  
274 specified by that type for identifier uniqueness.

275 Issuer [Required]

276 The issuer of the assertion. The name of the issuer is provided as a string. The issuer  
277 name SHOULD be unambiguous to the intended relying parties. SAML applications may  
278 use an identifier such as a URL that is designed to be unambiguous regardless of context.

279 IssueInstant [Required]

280 The time instant of issue. It has the type **dateTime**, which is built in to the W3C XML  
281 Schema Datatypes specification [**Schema2**].

282 <Conditions> [Optional]

283 Conditions that MUST be taken into account in assessing the validity of the assertion.

284 <Advice> [Optional]

285 Additional information related to the assertion that assists processing in certain situations  
286 but which MAY be ignored by applications that do not support its use.

287 One or more of the following statement elements:

288 <Statement>

289 A statement defined in an extension schema.

290 <SubjectStatement>

291 A subject statement defined in an extension schema.

292 <AuthenticationStatement>

293 An authentication statement.

294 <AuthorizationDecisionStatement>

295 An authorization decision statement.

296 <AttributeStatement>

297 An attribute statement.

298 The following schema fragment defines the `<Assertion>` element and its **AssertionType**  
299 complex type:

```
300 <complexType name="AssertionType">
```

```

301     <sequence>
302         <element ref="saml:Conditions" minOccurs="0" />
303         <element ref="saml:Advice" minOccurs="0" />
304         <choice minOccurs="0" maxOccurs="unbounded">
305             <element ref="saml:Statement" />
306             <element ref="saml:SubjectStatement" />
307             <element ref="saml:AuthenticationStatement" />
308             <element ref="saml:AuthorizationDecisionStatement" />
309             <element ref="saml:AttributeStatement" />
310         </choice>
311     </sequence>
312     <attribute name="MajorVersion" type="integer" use="required"/>
313     <attribute name="MinorVersion" type="integer" use="required"/>
314     <attribute name="AssertionID" type="saml:IDType" use="required"/>
315     <attribute name="Issuer" type="string" use="required"/>
316     <attribute name="IssueInstant" type="dateTime" use="required"/>
317 </complexType>

```

### 318 2.3.3.1. Element <Conditions>

319 If an assertion contains a <Conditions> element, the validity of the assertion is dependent on  
 320 the conditions provided. Each condition evaluates to a status of `Valid`, `Invalid`, or  
 321 `Indeterminate`. The validity status of an assertion is the conjunction of the validity of each of  
 322 the conditions it contains, as follows:

- 323 ?? If any condition evaluates to `Invalid`, the assertion status is `Invalid`.
- 324 ?? If no condition evaluates to `Invalid` and one or more conditions evaluate to  
 325 `Indeterminate`, the assertion status is `Indeterminate`.
- 326 ?? If no conditions are supplied or all the specified conditions evaluate to `Valid`, the  
 327 assertion status is `Valid`.

328 The <Conditions> element MAY be extended to contain additional conditions. If an element  
 329 contained within a <Conditions> element is encountered that is not understood, the status of  
 330 the condition MUST be evaluated to `Indeterminate`.

331 The <Conditions> element contains the following element and attributes:

- 332 **NotBefore** [Optional]  
 333     Specifies the earliest time instant at which the assertion is valid.
- 334 **NotOnOrAfter** [Optional]  
 335     Specifies the time instant at which the assertion has expired.
- 336 **<Condition>** [Zero or more]  
 337     Provides an extension point allowing extension schemas to define new conditions.
- 338 **<AudienceRestrictionCondition>** [Any Number]  
 339     Specifies that the assertion is addressed to a particular audience.
- 340 **<TargetRestrictionCondition>** [Any Number]  
 341     The <TargetRestriction> condition is used to limit the use of the assertion to a particular  
 342     relying party.

343 The following schema fragment defines the <Conditions> element and its **ConditionsType**  
 344 complex type:

```

345     <element name="Conditions" type="saml:ConditionsType" />
346     <complexType name="ConditionsType">
347         <choice minOccurs="0" maxOccurs="unbounded">
348             <element ref="saml:Condition" />
349             <element ref="saml:AudienceRestrictionCondition" />

```

```

350         <element ref = "saml:TargetRestrictionCondition" />
351     </choice>
352     <attribute name="NotBefore" type="dateTime" use="optional"/>
353     <attribute name="NotOnOrAfter" type="dateTime" use="optional" />
354 </complexType>
```

355 **2.3.3.1.1 Attributes NotBefore and NotOnOrAfter**

356 The `NotBefore` and `NotOnOrAfter` attributes specify time limits on the validity of the assertion.

357 The `NotBefore` attribute specifies the time instant at which the validity interval begins. The  
358 `NotOnOrAfter` attribute specifies the time instant at which the validity interval has ended.

359 If the value for either `NotBefore` or `NotOnOrAfter` is omitted or is equal to the start of the  
360 epoch, it is considered unspecified. If the `NotBefore` attribute is unspecified (and if any other  
361 conditions that are supplied evaluate to `Valid`), the assertion is valid at any time before the time  
362 instant specified by the `NotOnOrAfter` attribute. If the `NotOnOrAfter` attribute is unspecified  
363 (and if any other conditions that are supplied evaluate to `Valid`), the assertion is valid from the  
364 time instant specified by the `NotBefore` attribute with no expiry. If neither attribute is specified  
365 (and if any other conditions that are supplied evaluate to `Valid`), the assertion is valid at any  
366 time.

367 The `NotBefore` and `NotOnOrAfter` attributes are defined to have the `dateTime` simple type  
368 that is built in to the W3C XML Schema Datatypes specification [Schema2]. All time instants are  
369 interpreted to be in Universal Coordinated Time (UTC) unless they explicitly indicate a time zone.  
370 Implementations MUST NOT generate time instants that specify leap seconds.

371 **2.3.3.1.2 Element <Condition>**

372 The `<Condition>` element serves as an extension point for new conditions. Its  
373 **ConditionAbstractType** complex type is abstract; extension elements MUST use the `xsi:type`  
374 attribute to indicate the derived type.

375 The following schema fragment defines the `<Condition>` element and its  
376 **ConditionAbstractType** complex type:

```

377 <element name="Condition" type="saml:ConditionAbstractType" />
378 <complexType name="ConditionAbstractType" abstract="true" />
```

379 **2.3.3.1.3 Elements <AudienceRestrictionCondition> and <Audience>**

380 The `<AudienceRestrictionCondition>` element specifies that the assertion is addressed to  
381 one or more specific audiences. Although a party that is outside the audiences specified is  
382 capable of drawing conclusions from an assertion, the issuer explicitly makes no representation  
383 as to accuracy or trustworthiness to such a party.

384 An audience is identified by a URI. The URI MAY identify a document that describes the terms  
385 and conditions of audience membership.

386 The condition evaluates to `Valid` if and only if the relying party is a member of one or more of  
387 the audiences specified.

388 The issuer of an assertion cannot prevent a party to whom it is disclosed from making a decision  
389 on the basis of the information provided. However, the `<AudienceRestrictionCondition>`  
390 element allows the issuer to state explicitly that no warranty is provided to such a party in a  
391 machine- and human-readable form. While there can be no guarantee that a court would  
392 upholding such a warranty exclusion in every circumstance, the probability of upholding the  
393 warranty exclusion is considerably improved.

394 The following schema fragment defines the `<AudienceRestrictionCondition>` element and  
395 its **AudienceRestrictionConditionType** complex type:

```

396 <element name="AudienceRestrictionCondition"
397   type="saml:AudienceRestrictionConditionType" />
398 <complexType name="AudienceRestrictionConditionType">
399   <complexContent>
400     <extension base="saml:ConditionAbstractType">
401       <sequence>
402         <element ref="saml:Audience"
403           minOccurs="1" maxOccurs="unbounded" />
404       </sequence>
405     </extension>
406   </complexContent>
407 </complexType>
408 <element name="Audience" type="anyURI" />
```

#### 409 2.3.3.1.4 Condition Type TargetRestrictionType

410 The <TargetRestriction> element is used to limit the use of the assertion to a particular relying  
411 party. This is useful to prevent malicious forwarding of assertions to unintended recipients.

412 The target is identified by a URI. The condition evaluates to true if one or more URLs identify the  
413 recipient or a resource managed by the recipient.

414 The following schema fragment defines the <TargetRestrictionCondition> element and  
415 its **TargetRestrictionConditionType** complex type:

```

416 <element name="TargetRestrictionCondition"
417   type="saml:TargetRestrictionConditionType" />
418 <complexType name="TargetRestrictionConditionType">
419   <complexContent>
420     <extension base="saml:ConditionAbstractType">
421       <sequence>
422         <element ref="saml:Target"
423           minOccurs="1" maxOccurs="unbounded" />
424       </sequence>
425     </extension>
426   </complexContent>
427 </complexType>
428 <element name="Target" type="anyURI" />
```

#### 429 2.3.3.2. Elements <Advice> and <AdviceElement>

430 The <Advice> element contains any additional information that the issuer wishes to provide.  
431 This information MAY be ignored by applications without affecting either the semantics or the  
432 validity of the assertion.

433 The <Advice> element contains a mixture of zero or more <AssertionSpecifier> elements,  
434 <AdviceElement> elements, and elements in other namespaces, with lax schema validation in  
435 effect for these other elements.

436 Following are some potential uses of the <Advice> element:

- 437 ?? Include evidence supporting the assertion claims to be cited, either directly (through  
438 incorporating the claims) or indirectly (by reference to the supporting assertions).
- 439 ?? State a proof of the assertion claims.
- 440 ?? Specify the timing and distribution points for updates to the assertion.

441 The following schema fragment defines the <Advice> element and its **AdviceType** complex  
442 type, along with the <AdviceElement> element and its **AdviceAbstractType** complex type:

```

443 <element name="Advice" type="saml:AdviceType" />
444 <complexType name="AdviceType">
445   <sequence>
```

```

446      <choice minOccurs="0" maxOccurs="unbounded">
447          <element ref="saml:AssertionSpecifier"/>
448          <element ref="saml:AdviceElement"/>
449          <any namespace="#other" processContents="lax" />
450      </choice>
451  </sequence>
452 </complexType>
453 <element name="AdviceElement" type="saml:AdviceAbstractType" />
454 <complexType name="AdviceAbstractType"/>

```

## 2.4. Statements

455 The following sections define the SAML constructs that contain statement information.

### 457 2.4.1. Element <Statement>

458 The <Statement> element is an extension point that allows other assertion-based applications  
 459 to reuse the SAML assertion framework. Its **StatementAbstractType** complex type is abstract;  
 460 extension elements MUST use the `xsi:type` attribute to indicate the derived type.

461 The following schema fragment defines the <Statement> element and its  
 462 **StatementAbstractType** complex type:

```

463 <element name="Statement" type="saml:StatementAbstractType" />
464 <complexType name="StatementAbstractType" abstract="true" />

```

### 465 2.4.2. Element <SubjectStatement>

466 The <SubjectStatement> element is an extension point that allows other assertion-based  
 467 applications to reuse the SAML assertion framework. It contains a <Subject> element that  
 468 allows an issuer to describe a subject. Its **SubjectStatementAbstractType** complex type, which  
 469 extends **StatementAbstractType**, is abstract; extension elements MUST use the `xsi:type`  
 470 attribute to indicate the derived type.

471 The following schema fragment defines the <SubjectStatement> element and its  
 472 **SubjectStatementAbstractType** abstract type:

```

473 <element name="SubjectStatement" type="saml:SubjectStatementAbstractType" />
474 <complexType name="SubjectStatementAbstractType" abstract="true" >
475     <complexContent>
476         <extension base="saml:StatementAbstractType" >
477             <sequence>
478                 <element ref="saml:Subject" />
479             </sequence>
480         </extension>
481     </complexContent>
482 </complexType>

```

#### 483 2.4.2.1. Element <Subject>

484 The <Subject> element specifies one or more subjects. It contains either or both of the  
 485 following elements:

486 <NameIdentifier>  
 487 An identification of a subject by its name and security domain.

488 <SubjectConfirmation>  
 489 Information that allows the subject to be authenticated.

490 If a <Subject> element contains more than one subject specification, the issuer is asserting that  
 491 the surrounding statement is true for all of the subjects specified. For example, if both a  
 492 <NameIdentifier> and a <SubjectConfirmation> element are present, the issuer is

493 asserting that the statement is true of both subjects being identified. A <Subject> element  
494 SHOULD NOT identify more than one principal.

495 The following schema fragment defines the <Subject> element and its **SubjectType** complex  
496 type:

```
497 <element name="Subject" type="saml:SubjectType" />
498 <complexType name="SubjectType">
499   <choice maxOccurs="unbounded">
500     <sequence>
501       <element ref="saml:NameIdentifier" />
502       <element ref="saml:SubjectConfirmation" minOccurs="0" />
503     </sequence>
504     <element ref="saml:SubjectConfirmation" />
505   </choice>
506 </complexType>
```

#### 507 2.4.2.2. Element <NameIdentifier>

508 The <NameIdentifier> element specifies a subject by a combination of a name and a security  
509 domain. It has the following attributes:

510 SecurityDomain  
511 The security domain governing the name of the subject.

512 Name  
513 The name of the subject.

514 The interpretation of the security domain and the name are left to individual implementations,  
515 including issues of anonymity, pseudonymity, and the persistence of the identifier with respect to  
516 the asserting and relying parties.

517 The following schema fragment defines the <NameIdentifier> element and its  
518 **NameIdentifierType** complex type:

```
519 <element name="NameIdentifier" type="saml:NameIdentifierType" />
520 <complexType name="NameIdentifierType">
521   <attribute name="SecurityDomain" type="string" />
522   <attribute name="Name" type="string" />
523 </complexType>
```

#### 524 2.4.2.3. Elements <SubjectConfirmation>, <ConfirmationMethod>, and 525 <SubjectConfirmationData>

526 The <SubjectConfirmation> element specifies a subject by supplying data that allows the  
527 subject to be authenticated. It contains the following elements in order:

528 <ConfirmationMethod> [One or more]  
529 A URI that identifies a protocol to be used to authenticate the subject. URIs identifying  
530 common authentication protocols are listed in Section 6.

531 <SubjectConfirmationData> [Zero or more]  
532 Additional authentication information to be used by a specific authentication protocol.

533 <ds:KeyInfo> [Optional]  
534 An XML Signature **[XMLSig]** element that specifies a cryptographic key held by the  
535 subject.

536 The following schema fragment defines the <SubjectConfirmation> element and its  
537 **SubjectConfirmationType** complex type, along with the <SubjectConfirmationData>  
538 element and the <ConfirmationMethod> element:

```
539 <element name="SubjectConfirmation" type="saml:SubjectConfirmationType" />
540 <complexType name="SubjectConfirmationType">
```

```

541     <sequence>
542         <element ref = "saml:ConfirmationMethod" maxOccurs="unbounded" />
543         <element ref = "saml:SubjectConfirmationData" minOccurs="0" />
544         <element ref = "ds:KeyInfo" minOccurs="0" />
545     </sequence>
546 </complexType>
547 <element name="SubjectConfirmationData" type="string" minOccurs="0" />
548 <element name="ConfirmationMethod" type="anyURI" />
```

### 549 2.4.3. Element <AuthenticationStatement>

550 The <AuthenticationStatement> element supplies a statement by the issuer that its subject  
 551 was authenticated by a particular means at a particular time. It is of type  
**552 AuthenticationStatementType**, which extends **SubjectStatementAbstractType** with the  
 553 addition of the following element and attributes:

554 **AuthenticationMethod** [Required]  
 555       A URI that specifies the type of authentication that took place. URIs identifying common  
 556       authentication protocols are listed in Section 6.

557 **AuthenticationInstant** [Required]  
 558       Specifies the time at which the authentication took place.

559 <**AuthenticationLocality**> [Optional]  
 560       Specifies the DNS domain name and IP address for the system entity that performed the  
 561       authentication.

562 The following schema fragment defines the <AuthenticationStatement> element and its  
 563 **AuthenticationStatementType** complex type:

```

564 <element name="AuthenticationStatement"
565   type="saml:AuthenticationStatementType" />
566 <complexType name="AuthenticationStatementType">
567   <complexContent>
568     <extension base="saml:SubjectStatementAbstractType">
569       <sequence>
570         <element ref="saml:AuthenticationLocality" minOccurs="0" />
571       </sequence>
572       <attribute name="AuthenticationMethod" type="anyURI" />
573       <attribute name="AuthenticationInstant" type="dateTime" />
574     </extension>
575   </complexContent>
576 </complexType>
```

#### 577 2.4.3.1. Element <AuthenticationLocality>

578 The <AuthenticationLocality> element specifies the DNS domain name and IP address  
 579 for the system entity that was authenticated. It has the following attributes:

580 **IPAddress** [Optional]  
 581       The IP address of the system entity that was authenticated.

582 **DNSAddress** [Required]  
 583       The DNS address of the system entity that was authenticated.

584 This element is entirely advisory, since both these fields are quite easily “spoofed” but current  
 585 practice appears to require its inclusion.

586 The following schema fragment defines the <AuthenticationLocality> element and its  
 587 **AuthenticationLocalityType** complex type:

```

588 <element name="AuthenticationLocality"
589   type="saml:AuthenticationLocalityType" />
590 <complexType name="AuthenticationLocalityType">
```

```
591     <attribute name="IPAddress" type="string" use="optional" />
592     <attribute name="DNSAddress" type="string" use="optional" />
593 </complexType>
```

## 594 2.4.4. Element <AuthorizationDecisionStatement>

595 The <AuthorizationDecisionStatement> element supplies a statement by the issuer that  
596 the request for access by the specified subject to the specified resource has resulted in the  
597 specified decision on the basis of some optionally specified evidence. It is of type  
598 **AuthorizationDecisionStatementType**, which extends **SubjectStatementAbstractType** with  
599 the addition of the following elements (in order) and attributes:

600 Resource [Optional]

601 A URI identifying the resource to which access authorization is sought.

602 Decision [Optional]

603 The decision rendered by the issuer with respect to the specified resource. The value is  
604 of the **DecisionType** simple type.

605 <Actions> [Required]

606 The set of actions authorized to be performed on the specified resource.

607 <Evidence> [Zero or more]

608 A set of assertions that the issuer relied on in making the decision.

609 The following schema fragment defines the <AuthorizationDecisionStatement> element  
610 and its **AuthorizationDecisionStatementType** complex type:

```
611 <element name="AuthorizationDecisionStatement"
612   type="saml:AuthorizationDecisionStatementType" />
613 <complexType name="AuthorizationDecisionStatementType">
614   <complexContent>
615     <extension base="saml:SubjectStatementAbstractType">
616       <sequence>
617         <element ref="saml:Actions" />
618         <element ref="saml:Evidence" minOccurs="0"
619           maxOccurs="unbounded" />
620       </sequence>
621       <attribute name="Resource" type="anyURI" use="optional" />
622       <attribute name="Decision" type="saml:DecisionType"
623         use="optional" />
624     </extension>
625   </complexContent>
626 </complexType>
```

### 627 2.4.4.1. Elements <Actions> and <Action>

628 The <Actions> element specifies the set of actions on the specified resource for which  
629 permission is sought. It has the following element and attribute:

630 Namespace [Optional]

631 A URI representing the namespace in which the names of specified actions are to be  
632 interpreted. If this element is absent, the namespace [http://www.oasis-](http://www.oasis-open.org/committees/security/docs/draft-sstc-core-22/rwdec-negation)  
633 open.org/committees/security/docs/draft-sstc-core-22/rwdec-negation specified in section  
634 6.2.2 is in effect by default.

635 <Action> [One or more]

636 An action sought to be performed on the specified resource.

637 The following schema fragment defines the <Actions> element, its **ActionsType** complex type,  
638 and the <Action> element:

```
639 <element name="Actions" type="saml:ActionsType" />
```

```

640 <complexType name="ActionsType">
641     <sequence>
642         <element ref="saml:Action" maxOccurs="unbounded" />
643     </sequence>
644     <attribute name="Namespace" type="anyURI" use="optional" />
645 </complexType>
646     <element name="Action" type="string" />

```

#### 647 2.4.4.2. Element <Evidence>

648 The <Evidence> element contains an assertion that the issuer relied on in issuing the  
 649 authorization decision. It has the **AssertionSpecifierType** complex type.

650 The provision of an assertion as evidence MAY affect the reliance agreement between the client  
 651 and the service. For example, in the case that the client presented an assertion to the service in a  
 652 request, the service MAY use that assertion as evidence in making its response without  
 653 endorsing the assertion as valid either to the client or any third party.

654 The following schema fragment defines the <Evidence> element:

```

655     <element name="Evidence" type="saml:AssertionSpecifierType" />

```

#### 656 2.4.5. Element <AttributeStatement>

657 The <AttributeStatement> element supplies a statement by the issuer that the specified  
 658 subject is associated with the specified attributes. It is of type **AttributeStatementType**, which  
 659 extends **SubjectStatementAbstractType** with the addition of the following element:

660 <Attribute> [One or More]  
 661 The <Attribute> element specifies an attribute of the subject.

662 The following schema fragment defines the <AttributeStatement> element and its  
 663 **AttributeStatementType** complex type:

```

664     <element name="AttributeStatement" type="saml:AttributeStatementType" />
665     <complexType name="AttributeStatementType">
666         <complexContent>
667             <extension base="saml:SubjectStatementAbstractType">
668                 <sequence>
669                     <element ref="saml:Attribute" maxOccurs="unbounded" />
670                 </sequence>
671             </extension>
672         </complexContent>
673     </complexType>

```

#### 674 2.4.5.1. Elements <AttributeDesignator> and <Attribute>

675 The <AttributeDesignator> element identifies an attribute name within an attribute  
 676 namespace. It has the **AttributeDesignatorType** complex type. It is used in an attribute  
 677 assertion query to request that attribute values within a specific namespace be returned (see  
 678 3.4.4 for more information). The <AttributeDesignator> element contains the following XML  
 679 attributes:

680 AttributeNamespace [Required]  
 681 The namespace in which the **AttributeName** elements are interpreted.

682 AttributeName [Required]  
 683 The name of the attribute.

684 The following schema fragment defines the <AttributeDesignator> element and its  
 685 **AttributeDesignatorType** complex type:

```

686     <element name="AttributeDesignator" type="saml:AttributeDesignatorType" />

```

```
687 <complexType name="AttributeDesignatorType">
688   <attribute name="AttributeName" type="string"/>
689   <attribute name="AttributeNamespace" type="anyURI"/>
690 </complexType>
```

691 The `<Attribute>` element supplies the value for an attribute of an assertion subject. It has the  
692 **AttributeType** complex type, which extends **AttributeDesignatorType** with the addition of the  
693 following element:

```
694 <AttributeValue> [Required]
695   The value of the attribute.
```

696 The following schema fragment defines the `<Attribute>` element and its **AttributeType**  
697 complex type:

```
698 <element name="Attribute" type="saml:AttributeType" />
699 <complexType name="AttributeType">
700   <complexContent>
701     <extension base="saml:AttributeDesignatorType">
702       <sequence>
703         <element ref="saml:AttributeValue" />
704       </sequence>
705     </extension>
706   </complexContent>
707 </complexType>
```

#### 708 2.4.5.1.1 Element `<AttributeValue>`

709 The `<AttributeValue>` element supplies the value of the specified attribute. It is of the  
710 **AttributeValue** complex type, which allows the inclusion of any element in any namespace  
711 and specifies that lax schema validation is in effect.

712 The following schema fragment defines the `<AttributeValue>` element and its  
713 **AttributeValue** complex type:

```
714 <element name="AttributeValue" type="saml:AttributeValueType" />
715 <complexType name="AttributeValue">
716   <sequence>
717     <any namespace="##any" processContents="lax"
718       minOccurs="0" maxOccurs="unbounded" />
719   </sequence>
720 </complexType>
```

## 3. SAML Protocol

SAML assertions MAY be generated and exchanged using a variety of protocols. The bindings and profiles specification for SAML [SAMLBind] describes specific means of transporting assertions using existing widely deployed protocols.

SAML-aware clients MAY in addition use the SAML request-response protocol defined by the <Request> and <Response> elements. The client sends a <Request> element to a SAML service, and the service generates a <Response> element, as shown in Figure 1.



Figure 1: SAML Request-Response Protocol

### 3.1. Schema Header and Namespace Declarations

The following schema fragment defines the XML namespaces and other header information for the protocol schema:

```
<schema
  targetNamespace="http://www.oasis-open.org/committees/security/docs/draft-
sstc-schema-protocol-22.xsd"
  xmlns="http://www.w3.org/2001/XMLSchema"
  xmlns:samlp="http://www.oasis-open.org/committees/security/docs/draft-sstc-
schema-protocol-22.xsd"
  xmlns:saml="http://www.oasis-open.org/committees/security/docs/draft-sstc-
schema-assertion-22.xsd"
  xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
  elementFormDefault="unqualified">
  <import namespace="http://www.oasis-open.org/committees/security/docs/draft-
sstc-schema-assertion-22.xsd"
    schemaLocation="draft-sstc-schema-assertion-22.xsd" />
  <import namespace="http://www.w3.org/2000/09/xmldsig#"
    schemaLocation="xmldsig-core-schema.xsd" />
  <annotation>
    <documentation>draft-sstc-schema-protocol-22.xsd</documentation>
  </annotation>
  ...
</schema>
```

### 3.2. Simple Types

The following sections define the SAML protocol-related simple types.

#### 3.2.1. Simple Type StatusCodeType

The **StatusCodeType** simple type is used in a response to specify the status of the request that caused the response to be generated. The type enumerates the following possible values:

Success  
The request succeeded.

Failure  
The request could not be performed by the service.

Error  
An error in the request prevented the service from processing it.

764 Unknown  
765     The request failed for unknown reasons.

766 The following schema fragment defines the **StatusCodeType** simple type:

```
767 <simpleType name="StatusCodeType">  
768   <restriction base="string">  
769     <enumeration value="Success"/>  
770     <enumeration value="Failure"/>  
771     <enumeration value="Error"/>  
772     <enumeration value="Unknown"/>  
773   </restriction>  
774 </simpleType>
```

## 775 3.3. Requests

776 The following sections define the SAML constructs that contain request information.

### 777 3.3.1. Complex Type RequestAbstractType

778 All SAML requests are of types that are derived from the abstract **RequestAbstractType**  
779 complex type. This type defines common attributes that are associated with all SAML requests:

780 RequestID [Required]  
781     An identifier for the request. It is of type **IDType**, and MUST follow the requirements  
782     specified by that type for identifier uniqueness. The values of the RequestID attribute in  
783     a request and the InResponseTo attribute in the corresponding response MUST match.

784 MajorVersion [Required]  
785     The major version of this request. The identifier for the version of SAML defined in this  
786     specification is 1. Processing of this attribute is specified in Section 3.5.2.

787 MinorVersion [Required]  
788     The minor version of this request. The identifier for the version of SAML defined in this  
789     specification is 0. Processing of this attribute is specified in Section 3.5.2.

790 <RespondWith> [Any Number]  
791     Each <RespondWith> element specifies a type of response that is acceptable to the  
792     requestor.

793 The following schema fragment defines the **RequestAbstractType** complex type:

```
794 <complexType name="RequestAbstractType" abstract="true">  
795   <sequence>  
796     <element ref="samlp:RespondWith"  
797       minOccurs="0" maxOccurs="unbounded" />  
798   </sequence>  
799   <attribute name="RequestID" type="saml:IDType" use="required" />  
800   <attribute name="MajorVersion" type="integer" use="required" />  
801   <attribute name="MinorVersion" type="integer" use="required" />  
802 </complexType>
```

#### 803 3.3.1.1. Element <RespondWith>

804 The <RespondWith> element specifies a type of response that is acceptable to the requestor. If  
805 no <RespondWith> element is specified the default is **SingleStatement**. Acceptable values  
806 for the <RespondWith> element are:

807 SingleStatement  
808     An assertion carrying exactly one statement element.

```

809  MultipleStatement
810      An assertion carrying at least one statement element.

811  AuthenticationStatement
812      An assertion carrying an Authentication statement.

813  AuthorizationDecisionStatement
814      An assertion carrying an Authorization Decision statement.

815  AttributeStatement
816      An assertion carrying an Attribute statement.

817  Schema URI
818      An assertion containing additional elements from the specified schema.

819  The following schema fragment defines the <RespondWith> element:
820      <element name="RespondWith" type="anyURI" />

```

### 821 3.3.2. Element <Request>

822 The <Request> element specifies a SAML request. It provides either a query or a request for a  
 823 specific assertion identified by <AssertionID> or <AssertionArtifact>. It has the complex  
 824 type **RequestType**, which extends **RequestAbstractType** by adding a choice of one of the  
 825 following elements:

```

826  <Query>
827      An extension point that allows extension schemas to define new types of query.

828  <SubjectQuery>
829      An extension point that allows extension schemas to define new types of query that
830      specify a single SAML subject.

831  <AuthenticationQuery>
832      Makes a query for authentication information.

833  <AttributeQuery>
834      Makes a query for attribute information.

835  <AuthorizationDecisionQuery>
836      Makes a query for an authorization decision.

837  <AssertionID> [One or more]
838      Requests an assertion by reference to its assertion identifier.

839  <AssertionArtifact> [One or more]
840      Requests an assertion by supplying an assertion artifact that represents it.

```

841 The following schema fragment defines the <Request> element and its **RequestType** complex  
 842 type:

```

843      <element name="Request" type="samlp:RequestType"/>
844      <complexType name="RequestType">
845          <complexContent>
846              <extension base="samlp:RequestAbstractType">
847                  <choice>
848                      <element ref="samlp:Query"/>
849                      <element ref="samlp:SubjectQuery"/>
850                      <element ref="samlp:AuthenticationQuery"/>
851                      <element ref="samlp:AttributeQuery"/>
852                      <element ref="samlp:AuthorizationDecisionQuery"/>
853                      <element ref="saml:AssertionID" maxOccurs="unbounded"/>
854                      <element ref="samlp:AssertionArtifact" maxOccurs="unbounded" />
855                  </choice>
856              </extension>

```

```
857     </complexContent>
858   </complexType>
859   <element name="AssertionArtifact" type="string" />
```

## 860 3.4. Queries

861 The following sections define the SAML constructs that contain query information.

### 862 3.4.1. Element <Query>

863 The <Query> element is an extension point that allows new SAML queries to be defined. Its  
864 **QueryAbstractType** is abstract; extension elements MUST use the `xsi:type` attribute to  
865 indicate the derived type. **QueryAbstractType** is the base type from which all SAML query  
866 elements are derived.

867 The following schema fragment defines the <Query> element and its **QueryAbstractType**  
868 complex type:

```
869   <element name="Query" type="samlp:QueryAbstractType" />
870   <complexType name="QueryAbstractType" abstract="true" />
```

### 871 3.4.2. Element <SubjectQuery>

872 The <SubjectQuery> element is an extension point that allows new SAML queries that specify  
873 a single SAML subject. Its **SubjectQueryAbstractType** complex type is abstract; extension  
874 elements MUST use the `xsi:type` attribute to indicate the derived type.  
875 **SubjectQueryAbstractType** adds the <Subject> element.

876 The following schema fragment defines the <SubjectQuery> element and its  
877 **SubjectQueryAbstractType** complex type:

```
878   <element name="SubjectQuery" type="samlp:SubjectQueryAbstractType" />
879   <complexType name="SubjectQueryAbstractType" abstract="true" >
880     <complexContent>
881       <extension base="samlp:QueryAbstractType" >
882         <sequence>
883           <element ref="saml:Subject" />
884         </sequence>
885       </extension>
886     </complexContent>
887   </complexType>
```

### 888 3.4.3. Element <AuthenticationQuery>

889 The <AuthenticationQuery> element is used to make the query “What authentication  
890 assertions are available for this subject?” A successful response will be in the form of an  
891 assertion containing an authentication statement. This element is of type  
892 **AuthenticationQueryType**, which extends **SubjectQueryAbstractType** with the addition of the  
893 following element:

894 <ConfirmationMethod> [Optional]  
895     A filter for possible responses. If it is present, the query made is “What authentication  
896     assertions do you have for this subject with the supplied confirmation method?”

897 In response to an authentication query, a responder returns assertions with authentication  
898 statements as follows: The <Subject> element in the returned assertions MUST be identical to  
899 the <Subject> element of the query. If the <ConfirmationMethod> element is present in the  
900 query, at least one <ConfirmationMethod> element in the response MUST match. It is  
901 OPTIONAL for the complete set of all such matching assertions to be returned in the response.

902 The following schema fragment defines the `<AuthenticationQuery>` type and its  
903 **AuthenticationQueryType** complex type:

```
904 <element name="AuthenticationQuery" type="samlp:AuthenticationQueryType" />
905 <complexType name="AuthenticationQueryType">
906   <complexContent>
907     <extension base="samlp:SubjectQueryAbstractType">
908       <sequence>
909         <element ref="saml:ConfirmationMethod" minOccurs="0" />
910       </sequence>
911     </extension>
912   </complexContent>
913 </complexType>
```

#### 914 **3.4.4. Element `<AttributeQuery>`**

915 The `<AttributeQuery>` element is used to make the query “Return the requested attributes for  
916 this subject.” The response will be in the form of an assertion containing an attribute statement.  
917 This element is of type **AttributeQueryType**, which extends **SubjectQueryAbstractType** with  
918 the addition of the following element and attribute:

```
919 <AttributeDesignator> [Zero or more] (see Section 2.4.5.1)
920   Each <AttributeDesignator> element specifies an attribute whose value is to be
921   returned. If no attributes are specified, the list of desired attributes is implicit and
922   application-specific.
```

923 The following schema fragment defines the `<AttributeQuery>` element and its  
924 **AttributeQueryType** complex type:

```
925 <element name="AttributeQuery" type="samlp:AttributeQueryType" />
926 <complexType name="AttributeQueryType">
927   <complexContent>
928     <extension base="samlp:SubjectQueryAbstractType">
929       <sequence>
930         <element ref="saml:AttributeDesignator"
931           minOccurs="0" maxOccurs="unbounded" />
932       </sequence>
933       <attribute name="CompletenessSpecifier"
934           type="samlp:CompletenessSpecifierType" use="required" />
935     </extension>
936   </complexContent>
937 </complexType>
```

#### 938 **3.4.5. Element `<AuthorizationDecisionQuery>`**

939 The `<AuthorizationDecisionQuery>` element is used to make the query “Should these  
940 actions on this resource be allowed for this subject, given this evidence?” The response will be in  
941 the form of an assertion containing an authorization decision statement. This element is of type  
942 **AuthorizationDecisionQueryType**, which extends **SubjectQueryAbstractType** with the  
943 addition of the following elements and attribute:

944 `Resource` [Required]  
945 A URI indicating the resource for which authorization is requested.

946 `<Actions>` [Required]  
947 The actions for which authorization is requested.

948 `<Evidence>` [Zero or more]  
949 An assertion that the responder MAY rely on in making its response.

950 The following schema fragment defines the `<AuthorizationDecisionQuery>` element and  
951 its **AuthorizationDecisionQueryType** complex type:

```

952     <element name="AuthorizationDecisionQuery"
953       type="samlp:AuthorizationDecisionQueryType"/>
954     <complexType name="AuthorizationDecisionQueryType">
955       <complexContent>
956         <extension base="samlp:SubjectQueryAbstractType">
957           <sequence>
958             <element ref="saml:Actions"/>
959             <element ref="saml:Evidence"
960               minOccurs="0" maxOccurs="unbounded" />
961           </sequence>
962           <attribute name="Resource" type="anyURI" />
963         </extension>
964       </complexContent>
965     </complexType>

```

## 966 3.5. Responses

967 The following sections define the SAML constructs that contain response information.

### 968 3.5.1. Complex Type ResponseAbstractType

969 All SAML responses are of types that are derived from the abstract **ResponseAbstractType**  
 970 complex type. This type defines common attributes that are associated with all SAML responses:

971 **ResponseID** [Required]

972 An identifier for the response. It is of type **IDType**, and MUST follow the requirements  
 973 specified by that type for identifier uniqueness.

974 **InResponseTo** [Required]

975 A reference to the identifier of the request to which the response corresponds. The value  
 976 of this attribute MUST match the value of the corresponding **RequestID** attribute.

977 **MajorVersion** [Required]

978 The major version of this response. The identifier for the version of SAML defined in this  
 979 specification is 1. Processing of this attribute is specified in Section 3.5.2.

980 **MinorVersion** [Required]

981 The minor version of this response. The identifier for the version of SAML defined in this  
 982 specification is 0. Processing of this attribute is specified in Section 3.5.2.

983 The following schema fragment defines the **ResponseAbstractType** complex type:

```

984   <complexType name="ResponseAbstractType" abstract="true">
985     <attribute name="ResponseID" type="saml:IDType" use="required"/>
986     <attribute name="InResponseTo" type="saml:IDType" use="required"/>
987     <attribute name="MajorVersion" type="integer" use="required"/>
988     <attribute name="MinorVersion" type="integer" use="required"/>
989   </complexType>

```

### 990 3.5.2. Element <Response>

991 The <Response> element specifies the status of the corresponding SAML request and a list of  
 992 zero or more assertions that answer the request. It has the complex type **ResponseType**, which  
 993 extends **ResponseAbstractType** by adding the following elements (in an unbounded mixture)  
 994 and attribute:

995 **StatusCode** [Required] (see Section 3.2.1)

996 A code representing the status of the corresponding request.

997 <Assertion> (see Section 2.3.3)

998 Specifies an assertion by value.

```
999 <SingleAssertion>
1000     Specifies an assertion containing a single statement by value.
1001 <MultipleAssertion>
1002     Specifies an assertion containing multiple statements by value.
1003 The following schema fragment defines the <Response> element and its ResponseType
1004 complex type:
```

```
1005 <element name="Response" type="samlp:ResponseType" />
1006 <complexType name="ResponseType">
1007     <complexContent>
1008         <extension base="samlp:ResponseAbstractType">
1009             <sequence>
1010                 <element ref="samlp:StatusReason"
1011                         minOccurs="0" maxOccurs="unbounded" />
1012                 <element ref="saml:Assertion"
1013                         minOccurs="0" maxOccurs="unbounded" />
1014             </sequence>
1015             <attribute name="StatusCode"
1016                         type="samlp:StatusCodeType" use="required" />
1017         </extension>
1018     </complexContent>
1019 </complexType>
```

### 1020 3.5.2.1. Element <StatusReason>

1021 The <StatusReason> element provides additional information that indicates the reason for the  
1022 return of an Error or Failure status code. The following values are defined. Implementations  
1023 MAY define additional codes:

1024 RequestVersionTooHigh

The protocol version specified in the request is a major upgrade from the highest protocol  
1025 version supported by the responder.

1027 RequestVersionTooLow

The responder cannot respond to the particular request using the SAML version specified  
1028 in the request because it is too low.

1030 RequestVersionDeprecated

The responder does not respond to any requests with the protocol version specified in  
1031 the request.

1033 TooManyResponses

The response would contain more elements than the responder will return.

1035 The following schema fragment defines the <StatusReason> element:

```
1036 <element name="StatusReason" type="string" />
```

## 4. SAML Versioning

1038 SAML version information appears in the following elements:

1039 ?? <Assertion>  
1040 ?? <Request>  
1041 ?? <Response>

1042 The version numbering of the SAML assertion is independent of the version number of the SAML  
1043 request-response protocol. The version information for each consists of a major version number  
1044 and a minor version number, both of which are integers. In accordance with industry practice a  
1045 version number SHOULD be presented to the user in the form *Major.Minor*. This document  
1046 defines SAML Assertions 1.0 and SAML Protocol 1.0.

1047 The version number  $\text{Major}_B.\text{Minor}_B$  is higher than the version number  $\text{Major}_A.\text{Minor}_A$  if and only if:

1048  $\text{Major}_B > \text{Major}_A \text{ ? } ((\text{Major}_B = \text{Major}_A) \text{ ? } \text{Minor}_B = \text{Minor}_A)$

1049 Each revision of SAML SHALL assign version numbers to assertions, requests, and responses  
1050 that are the same as or higher than the corresponding version number in the SAML version that  
1051 immediately preceded it.

1052 New versions of SAML SHALL assign new version numbers as follows:

1053 ?? **Documentation change:** ( $\text{Major}_B = \text{Major}_A$ ) ? ( $\text{Minor}_B = \text{Minor}_A$ )  
1054 If the major and minor version numbers are unchanged, the new version *B* only  
1055 introduces changes to the documentation that raise no compatibility issues with an  
1056 implementation of version *A*.

1057 ?? **Minor upgrade:** ( $\text{Major}_B = \text{Major}_A$ ) ? ( $\text{Minor}_B > \text{Minor}_A$ )  
1058 If the major version number of versions *A* and *B* are the same and the minor version  
1059 number of *B* is higher than that of *A*, the new SAML version MAY introduce changes to  
1060 the SAML schema and semantics but any changes that are introduced in *B* SHALL be  
1061 compatible with version *A*.

1062 ?? **Major upgrade:**  $\text{Major}_B > \text{Major}_A$   
1063 If the major version of *B* number is higher than the major version of *A*, Version *B* MAY  
1064 introduce changes to the SAML schema and semantics that are incompatible with *A*.

### 4.1. Assertion Version

1066 A SAML application MUST NOT issue any assertion whose version number is not supported.

1067 A SAML application MUST reject any assertion whose major version number is not supported.

1068 A SAML application MAY reject any assertion whose version number is higher than the highest  
1069 supported version.

### 4.2. Request Version

1071 A SAML application SHOULD issue requests that specify the highest SAML version supported by  
1072 both the sender and recipient.

1073 If the SAML application does not know the capabilities of the recipient it should assume that it  
1074 supports the highest SAML version supported by the sender.

## 1075    **4.3. Response Version**

- 1076    A SAML application MUST NOT issue responses that specify a higher SAML version number  
1077    than the corresponding request.
- 1078    A SAML application MUST NOT issue a response that has a major version number that is lower  
1079    than the major version number of the corresponding request except to report the error  
1080    RequestVersionTooHigh.
- 1081    Incompatible protocol versions MAY cause the following errors to be reported:
- 1082    RequestVersionTooHigh  
1083         The protocol version specified in the request is a major upgrade from the highest protocol  
1084         version supported by the responder.
- 1085    RequestVersionTooLow  
1086         The responder cannot respond to the particular request using the SAML version specified  
1087         in the request because it is too low.
- 1088    RequestVersionDeprecated  
1089         The responder does not respond to any requests with the protocol version specified in  
1090         the request.

## 1091 5. SAML Extensions

1092 The SAML schemas support extensibility. An example of an application that extends SAML  
1093 assertions is the XTAML system for management of embedded trust roots [XTAML]. The  
1094 following sections explain how to use the extensibility features in SAML to create extension  
1095 schemas.  
1096 Note that elements in the SAML schemas are not blocked from substitution, so that all SAML  
1097 elements MAY serve as the head element of a substitution group. Also, types are not defined as  
1098 final, so that all SAML types MAY be extended and restricted. The following sections discuss  
1099 only elements that have been specifically designed to support extensibility.

### 1100 5.1. Assertion Schema Extension

1101 The SAML assertion schema is designed to permit separate processing of the assertion package  
1102 and the statements it contains, if the extension mechanism is used for either part.

1103 The following elements are intended specifically for use as extension points in an extension  
1104 schema; their types are set to `abstract`, so that the use of an `xsi:type` attribute with these  
1105 elements is REQUIRED:

```
1106     ?? <Assertion>
1107     ?? <Condition>
1108     ?? <Statement>
1109     ?? <SubjectStatement>
1110     ?? <AdviceElement>
```

1111 In addition, the following elements that are directly usable as part of SAML MAY be extended:

```
1112     ?? <SingleAssertion>
1113     ?? <MultipleAssertion>
1114     ?? <AuthenticationStatement>
1115     ?? <AuthorizationDecisionStatement>
1116     ?? <AttributeStatement>
1117     ?? <AudienceRestrictionCondition>
```

1118 Finally, the following elements are defined to allow elements from arbitrary namespaces within  
1119 them, which serves as a built-in extension point without requiring an extension schema:

```
1120     ?? <AttributeValue>
1121     ?? <Advice>
```

### 1123 5.2. Protocol Schema Extension

1124 The following elements are intended specifically for use as extension points in an extension  
1125 schema; their types are set to `abstract`, so that the use of an `xsi:type` attribute with these  
1126 elements is REQUIRED:

```
1127     ?? <Query>
```

```
1128      ?? <SubjectQuery>
1129 In addition, the following elements that are directly usable as part of SAML MAY be extended:
1130      ?? <Request>
1131      ?? <AuthenticationQuery>
1132      ?? <AuthorizationDecisionQuery>
1133      ?? <AttributeQuery>
1134      ?? <Response>
```

### 5.3. Use of Type Derivation and Substitution Groups

1136 W3C XML Schema [[Schema1](#)] provides two principal mechanisms for specifying an element of  
1137 an extended type: type derivation and substitution groups.  
1138 For example, a <Statement> element can be assigned the type **NewStatementType** by means  
1139 of the `xsi:type` attribute. For such an element to be schema-valid, **NewStatementType** needs  
1140 to be derived from **StatementType**. The following example of a SAML assertion assumes that the  
1141 extension schema (represented by the `new:` prefix) has defined this new type:

```
1142 <saml:Assertion ...>
1143   <saml:Statement xsi:type="new:NewStatementType">
1144     ...
1145   </saml:Statement>
1146 </saml:Assertion>
```

1147 Alternatively, the extension schema can define a <NewStatement> element that is a member of  
1148 a substitution group that has <Statement> as a head element. For the substituted element to be  
1149 schema-valid, it needs to have a type that matches or is derived from the head element's type.  
1150 The following is an example of an extension schema fragment that defines this new element:

```
1151 <xsd:element "NewStatement" type="new:NewStatementType"
1152   substitutionGroup="saml:Statement" />
```

1153 The substitution group declaration allows the <NewStatement> element to be used anywhere  
1154 the SAML <Statement> element can be used. The following is an example of a SAML assertion  
1155 that uses the extension element:

```
1156 <saml:Assertion ...>
1157   <new:NewStatement>
1158     ...
1159   </new:NewStatement>
1160 </saml:Assertion>
```

1161 The choice of extension method has no effect on the semantics of the XML document but does  
1162 have implications for interoperability.

1163 The advantages of type derivation are as follows:

1164 ?? A document can be more fully interpreted by a parser that does not have access to the  
1165 extension schema because a “native” SAML element is available.

1166 ?? At the time of writing, some W3C XML Schema validators do not support substitution  
1167 groups, whereas the `xsi:type` attribute is widely supported.

1168 The advantage of substitution groups is that a document can be explained without the need to  
1169 explain the functioning of the `xsi:type` attribute.

## 6. SAML-Defined Identifiers

The following sections define URI-based identifiers for common authentication protocols and actions.

Where possible an existing URN is used to specify a protocol. In the case of IETF protocols the URN of the most current RFC that specifies the protocol is used. URLs created specifically for SAML have the initial stem:

<http://www.oasis-open.org/committees/security/docs/draft-sstc-core-22/>

### 6.1. Confirmation Method Identifiers

The following identifiers MAY be used in the `<ConfirmationMethod>` element (see Section 2.4.2.3) to refer to common authentication protocols.

#### 6.1.1. SAML Artifact:

**URI:** <http://www.oasis-open.org/committees/security/docs/draft-sstc-core-22/artifact>

`<SubjectConfirmationData>`: *Base64 ( Artifact )*

The subject of the assertion is the party that can present the SAML Artifact value specified in `<SubjectConfirmationData>`.

#### 6.1.2. SAML Artifact (SHA-1):

**URI:** <http://www.oasis-open.org/committees/security/docs/draft-sstc-core-22/artifact>

`<SubjectConfirmationData>`: *Base64 ( SHA1( Artifact ) )*

The subject of the assertion is the party that can present a SAML Artifact such that the SHA1 digest of the specified artifact matches the value specified in `<SubjectConfirmationData>`.

#### 6.1.3. Holder of Key:

**URI:** <http://www.oasis-open.org/committees/security/docs/draft-sstc-core-22/Holder-Of-Key>

`<ds:KeyInfo>`: Any cryptographic key

The subject of the assertion is the party that can demonstrate that it is the holder of the private component of the key specified in `<ds:KeyInfo>`.

#### 6.1.4. Sender Vouches:

**URI:** <http://www.oasis-open.org/committees/security/docs/draft-sstc-core-22/sender-vouches>

Indicates that no other information is available about the context of use of the assertion. The Relying party SHOULD utilize other means to determine if it should process the assertion further.

#### 6.1.5. Password (Pass-Through):

**URI:** <http://www.oasis-open.org/committees/security/docs/draft-sstc-core-22/password>

`<SubjectConfirmationData>`: *Base64 ( Password )*

1202 The subject of the assertion is the party that can present the password value specified in  
1203 <SubjectConfirmationData>.

1204 The username of the subject is specified by means of the <NameIdentifier> element.

1205 **6.1.6. Password (One-Way-Function SHA-1):**

1206 **URI:** <http://www.oasis-open.org/committees/security/docs/draft-sstc-core-22/password-sha1>  
1207 <SubjectConfirmationData>: Base64 ( SHA1 ( Password ) )

1208 The subject of the assertion is the party that can present the password such that the SHA1 digest  
1209 of the specified password matches the value specified in <SubjectConfirmationData>.

1210 The username of the subject is specified by means of the <NameIdentifier> element.

1211 **6.1.7. Kerberos [Kerberos]**

1212 **URI:** urn:ietf:rfc:1510  
1213 <SubjectConfirmationData>: A Kerberos Ticket

1214 **6.1.8. SSL/TLS Certificate Based Client Authentication:**

1215 **URI:** urn:ietf:rfc:2246  
1216 <ds:KeyInfo>: Any cryptographic key

1217 **6.1.9. Object Authenticator (SHA-1):**

1218 **URI:** <http://www.oasis-open.org/committees/security/docs/draft-sstc-core-22/object-sha1>  
1219 <SubjectConfirmationData>: Base64 ( SHA1 ( Object ) )

1220 This authenticator element is the result of computing a digest, using the SHA -1 hash algorithm. It  
1221 is used when the subject can be represented as a binary string, for example when it is an XML  
1222 document or the disk image of executable code. Any preprocessing of the subject prior to  
1223 computation of the digest is out of scope. The name of the subject should be conveyed in an  
1224 accompanying NamelIdentifier element.

1225 **6.1.10. PKCS#7**

1226 **URI:** urn:ietf:rfc:2315  
1227 <SubjectConfirmationData>: Base64 ( PKCS#7 ( Object ) )

1228 This authenticator element is signed data in PKCS#7 format [PKCS#7]. The posited identity of  
1229 the signer must be conveyed in an accompanying NamelIdentifier element. This subject type may  
1230 be included in the subject field of an authentication query, in which case the corresponding  
1231 response indicates whether the posited signer is, indeed, the signer. It may be included in an  
1232 attribute query, in which case, the requested attribute values for the subject authenticated by the  
1233 signed data are returned. It may be included in an authorization query, in which case, the access  
1234 request represented by the signed data shall be identified by the accompanying object element,  
1235 and the corresponding authorization decision assertion indicates whether the signer is authorized  
1236 for the access request represented by the object element.

1237 **6.1.11. Cryptographic Message Syntax**

1238 **URI:** urn:ietf:rfc:2630

1239 <SubjectConfirmationData>: Base64 ( CMS ( Object ) )

1240 This authenticator element is signed data in CMS format [CMS]. See also 6.1.10

1241 **6.1.12. XML Digital Signature**

1242 **URI:** urn:ietf:rfc:2630

1243 <SubjectConfirmationData>: Base64 ( XML-SIG ( Object ) )

1244 <ds:KeyInfo>: A cryptographic signing key

1245 This authenticator element is signed data in XML Signature format. See also 6.1.10

1246 **6.2. Action Namespace Identifiers**

1247 The following identifiers MAY be used in the ActionNamespace attribute (see Section 2.4.4.1)  
1248 to refer to common sets of actions to perform on resources.

1249 **6.2.1. Read/Write/Execute/Delete/Control:**

1250 **URI:** http://www.oasis-open.org/committees/security/docs/draft-sstc-core-22/rwedc

1251 Defined actions:

1252     Read Write Execute Delete Control

1253 These actions are interpreted in the normal manner, i.e.

1254     Read

1255         The subject may read the resource

1256     Write

1257         The subject may modify the resource

1258     Execute

1259         The subject may execute the resource

1260     Delete

1261         The subject may delete the resource

1262     Control

1263         The subject may specify the access control policy for the resource

1264 **6.2.2. Read/Write/Execute/Delete/Control with Negation:**

1265 **URI:** http://www.oasis-open.org/committees/security/docs/draft-sstc-core-22/rwedc-negation

1266 Defined actions:

1267     Read Write Execute Delete Control ~Read ~Write ~Execute ~Delete ~Control

1268 The actions specified in section 6.2.1 are interpreted in the same manner described there. Actions  
1269 prefixed with a tilde ~ are negated permissions and are used to affirmatively specify that the  
1270 stated permission is denied. Thus a subject described as being authorized to perform the action  
1271 ~Read is affirmatively denied read permission.

1272 An application MUST NOT authorize both an action and its negated form.

1273 **6.2.3. Get/Head/Put/Post:**

1274 **URI:** <http://www.oasis-open.org/committees/security/docs/draft-sstc-core-22/ghpp>

1275 Defined actions:

1276 GET HEAD PUT POST

1277 These actions bind to the corresponding HTTP operations. For example a subject authorized to  
1278 perform the GET action on a resource is authorized to retrieve it.

1279 The GET and HEAD actions loosely correspond to the conventional read permission and the PUT  
1280 and POST actions to the write permission. The correspondence is not exact however since a  
1281 HTTP GET operation may cause data to be modified and a POST operation may cause  
1282 modification to a resource other than the one specified in the request. For this reason a separate  
1283 Action URI specifier is provided.

1284 **6.2.4. UNIX File Permissions:**

1285 **URI:** <http://www.oasis-open.org/committees/security/docs/draft-sstc-core-22/unix>

1286 The defined actions are the set of UNIX file access permissions expressed in the numeric (octal)  
1287 notation.

1288 The action string is a four digit numeric code:

1289     *extended user group world*

1290 Where the *extended* access permission has the value

1291       +2 if sgid is set

1292       +4 if suid is set

1293 The *user group* and *world* access permissions have the value

1294       +1 if execute permission is granted

1295       +2 if write permission is granted

1296       +4 if read permission is granted

1297 For example 0754 denotes the UNIX file access permission: user read, write and execute, group  
1298 read and execute and world read.

## 1299 7. SAML Schema Listings

1300 The following sections contain complete listings of the assertion and protocol schemas for SAML.

### 1301 7.1. Assertion Schema

1302 Following is a complete listing of the SAML assertion schema [SAML-XSD].

```
<?xml version="1.0" encoding="UTF-8"?>
<!-- edited with XML Spy v3.5 NT (http://www.xmlspy.com) by Phill Hallam-Baker
(VeriSign Inc.) -->
<schema
    targetNamespace="http://www.oasis-open.org/committees/security/docs/draft-
sstc-schema-assertion-22.xsd"
    xmlns="http://www.w3.org/2001/XMLSchema" xmlns:saml="http://www.oasis-
open.org/committees/security/docs/draft-sstc-schema-assertion-22.xsd"
    xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
    elementFormDefault="unqualified">
    <import namespace="http://www.w3.org/2000/09/xmldsig#"
        schemaLocation="xmldsig-core-schema.xsd"/>
    <annotation>
        <documentation>draft-sstc-schema-assertion-22.xsd</documentation>
    </annotation>
    <simpleType name="IDType">
        <restriction base="string" />
    </simpleType>
    <simpleType name="DecisionType">
        <restriction base="string" />
        <enumeration value="Permit" />
        <enumeration value="Deny" />
        <enumeration value="Indeterminate" />
    </restriction>
    </simpleType>
    <element name="AssertionSpecifier" type="saml:AssertionSpecifierType" />
    <complexType name="AssertionSpecifierType">
        <choice>
            <element ref="saml:AssertionID" />
            <element ref="saml:Assertion" />
        </choice>
    </complexType>
    <element name="AssertionID" type="saml:IDType" />
    <element name="Assertion" type="saml:AssertionType" />
    <complexType name="AssertionType">
        <sequence>
            <element ref="saml:Conditions" minOccurs="0" />
            <element ref="saml:Advice" minOccurs="0" />
            <choice minOccurs="0" maxOccurs="unbounded">
                <element ref="saml:Statement" />
                <element ref="saml:SubjectStatement" />
                <element ref="saml:AuthenticationStatement" />
                <element ref="saml:AuthorizationDecisionStatement" />
                <element ref="saml:AttributeStatement" />
            </choice>
        </sequence>
        <attribute name="MajorVersion" type="integer" use="required" />
        <attribute name="MinorVersion" type="integer" use="required" />
        <attribute name="AssertionID" type="saml:IDType" use="required" />
        <attribute name="Issuer" type="string" use="required" />
        <attribute name="IssueInstant" type="dateTime" use="required" />
    </complexType>
    <element name="Conditions" type="saml:ConditionsType" />
```

```

1356 <complexType name="ConditionsType">
1357   <choice minOccurs="0" maxOccurs="unbounded">
1358     <element ref="saml:Condition"/>
1359     <element ref="saml:AudienceRestrictionCondition"/>
1360   </choice>
1361   <attribute name="NotBefore" type="dateTime" use="optional"/>
1362   <attribute name="NotOnOrAfter" type="dateTime" use="optional"/>
1363 </complexType>
1364 <element name="Condition" type="saml:ConditionAbstractType" />
1365 <complexType name="ConditionAbstractType" abstract="true" />
1366 <element name="AudienceRestrictionCondition"
1367   type="saml:AudienceRestrictionConditionType" />
1368 <complexType name="AudienceRestrictionConditionType" >
1369   <complexContent>
1370     <extension base="saml:ConditionAbstractType" >
1371       <sequence>
1372         <element ref="saml:Audience" maxOccurs="unbounded" />
1373       </sequence>
1374     </extension>
1375   </complexContent>
1376 </complexType>
1377 <element name="Audience" type="anyURI" />
1378 <element name="TargetRestrictionCondition"
1379   type="saml:TargetRestrictionConditionType" />
1380 <complexType name="TargetRestrictionConditionType" >
1381   <complexContent>
1382     <extension base="saml:ConditionAbstractType" >
1383       <sequence>
1384         <element ref="saml:Target"
1385           minOccurs="1" maxOccurs="unbounded" />
1386       </sequence>
1387     </extension>
1388   </complexContent>
1389 </complexType>
1390 <element name="Target" type="anyURI" />
1391 <element name="Advice" type="saml:AdviceType" />
1392 <complexType name="AdviceType" >
1393   <sequence>
1394     <choice minOccurs="0" maxOccurs="unbounded" >
1395       <element ref="saml:AssertionSpecifier" />
1396       <element ref="saml:AdviceElement" />
1397       <any namespace="##other" processContents="lax" />
1398     </choice>
1399   </sequence>
1400 </complexType>
1401 <element name="AdviceElement" type="saml:AdviceAbstractType" />
1402 <complexType name="AdviceAbstractType" />
1403 <element name="Statement" type="saml:StatementAbstractType" />
1404 <complexType name="StatementAbstractType" abstract="true" />
1405 <element name="SubjectStatement" type="saml:SubjectStatementAbstractType" />
1406 <complexType name="SubjectStatementAbstractType" abstract="true" >
1407   <complexContent>
1408     <extension base="saml:StatementAbstractType" >
1409       <sequence>
1410         <element ref="saml:Subject" />
1411       </sequence>
1412     </extension>
1413   </complexContent>
1414 </complexType>
1415 <element name="Subject" type="saml:SubjectType" />
1416 <complexType name="SubjectType" >
1417   <choice maxOccurs="unbounded" >
1418     <sequence>

```

```

1419         <element ref="saml:NameIdentifier" />
1420         <element ref="saml:SubjectConfirmation" minOccurs="0" />
1421     </sequence>
1422     <element ref="saml:SubjectConfirmation" />
1423 </choice>
1424 </complexType>
1425 <element name="NameIdentifier" type="saml:NameIdentifierType" />
1426 <complexType name="NameIdentifierType">
1427     <attribute name="SecurityDomain" type="string" />
1428     <attribute name="Name" type="string" />
1429 </complexType>
1430 <element name="SubjectConfirmation" type="saml:SubjectConfirmationType" />
1431 <complexType name="SubjectConfirmationType">
1432     <sequence>
1433         <element ref="saml:ConfirmationMethod" maxOccurs="unbounded" />
1434         <element ref="saml:SubjectConfirmationData" minOccurs="0" />
1435         <element ref="ds:KeyInfo" minOccurs="0" />
1436     </sequence>
1437 </complexType>
1438 <element name="SubjectConfirmationData" type="string" minOccurs="0" />
1439 <element name="ConfirmationMethod" type="anyURI" />
1440 <element name="AuthenticationStatement"
1441     type="saml:AuthenticationStatementType" />
1442 <complexType name="AuthenticationStatementType">
1443     <complexContent>
1444         <extension base="saml:SubjectStatementAbstractType" >
1445             <sequence>
1446                 <element ref="saml:AuthenticationLocality" minOccurs="0" />
1447             </sequence>
1448             <attribute name="AuthenticationMethod" type="anyURI" />
1449             <attribute name="AuthenticationInstant" type="dateTime" />
1450         </extension>
1451     </complexContent>
1452 </complexType>
1453 <element name="AuthenticationLocality"
1454     type="saml:AuthenticationLocalityType" />
1455 <complexType name="AuthenticationLocalityType" >
1456     <attribute name="IPAddress" type="string" use="optional" />
1457     <attribute name="DNSAddress" type="string" use="optional" />
1458 </complexType>
1459 <element name="AuthorizationDecisionStatement"
1460     type="saml:AuthorizationDecisionStatementType" />
1461 <complexType name="AuthorizationDecisionStatementType">
1462     <complexContent>
1463         <extension base="saml:SubjectStatementAbstractType" >
1464             <sequence>
1465                 <element ref="saml:Actions" />
1466                 <element ref="saml:Evidence"
1467                     minOccurs="0" maxOccurs="unbounded" />
1468             </sequence>
1469             <attribute name="Resource" type="anyURI" use="optional" />
1470             <attribute name="Decision"
1471                 type="saml:DecisionType" use="optional" />
1472         </extension>
1473     </complexContent>
1474 </complexType>
1475 <element name="Actions" type="saml:ActionsType" />
1476 <complexType name="ActionsType" >
1477     <sequence>
1478         <element ref="saml:Action" maxOccurs="unbounded" />
1479     </sequence>
1480     <attribute name="Namespace" type="anyURI" use="optional" />
1481 </complexType>

```

```

1482 <element name="Action" type="string"/>
1483 <element name="Evidence" type="saml:AssertionSpecifierType"/>
1484 <element name="AttributeStatement" type="saml:AttributeStatementType" />
1485 <complexType name="AttributeStatementType">
1486   <complexContent>
1487     <extension base="saml:SubjectStatementAbstractType">
1488       <sequence>
1489         <element ref="saml:Attribute" maxOccurs="unbounded" />
1490       </sequence>
1491     </extension>
1492   </complexContent>
1493 </complexType>
1494 <element name="AttributeDesignator" type="saml:AttributeDesignatorType" />
1495 <complexType name="AttributeDesignatorType">
1496   <attribute name="AttributeName" type="string" />
1497   <attribute name="AttributeNamespace" type="anyURI" />
1498 </complexType>
1499 <element name="Attribute" type="saml:AttributeType" />
1500 <complexType name="AttributeType">
1501   <complexContent>
1502     <extension base="saml:AttributeDesignatorType">
1503       <sequence>
1504         <element ref="saml:AttributeValue" />
1505       </sequence>
1506     </extension>
1507   </complexContent>
1508 </complexType>
1509 <element name="AttributeValue" type="saml:AttributeValueType" />
1510 <complexType name="AttributeValueType">
1511   <sequence>
1512     <any namespace="##any" processContents="lax"
1513       minOccurs="0" maxOccurs="unbounded" />
1514   </sequence>
1515 </complexType>
1516 </schema>
1517

```

## 7.2. Protocol Schema

Following is a complete listing of the SAML protocol schema [**SAML-P-XSD**].

```

<?xml version="1.0" encoding="UTF-8"?>
<!-- edited with XML Spy v3.5 NT (http://www.xmlspy.com) by Phill Hallam-Baker
(VeriSign Inc.) -->
<schema
  targetNamespace="http://www.oasis-open.org/committees/security/docs/draft-
sstc-schema-protocol-22.xsd"
  xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
  xmlns:saml="http://www.oasis-open.org/committees/security/docs/draft-sstc-
schema-assertion-22.xsd"
  xmlns:samlp="http://www.oasis-open.org/committees/security/docs/draft-sstc-
schema-protocol-22.xsd"
  xmlns="http://www.w3.org/2001/XMLSchema" elementFormDefault="unqualified">
  <import
    namespace="http://www.oasis-open.org/committees/security/docs/draft-
sstc-schema-assertion-22.xsd"
    schemaLocation="draft-sstc-schema-assertion-22.xsd" />
  <import
    namespace="http://www.w3.org/2000/09/xmldsig#"
    schemaLocation="xmldsig-core-schema.xsd" />
  <annotation>
    <documentation>draft-sstc-schema-protocol-22.xsd</documentation>
  </annotation>
  <simpleType name="StatusCodeType" >

```

```

1542     <restriction base="string">
1543         <enumeration value="Success"/>
1544         <enumeration value="Failure"/>
1545         <enumeration value="Error" />
1546         <enumeration value="Unknown" />
1547     </restriction>
1548 </simpleType>
1549 <complexType name="RequestAbstractType" abstract="true">
1550     <sequence>
1551         <element ref="samlp:RespondWith"
1552             minOccurs="0" maxOccurs="unbounded" />
1553     </sequence>
1554     <attribute name="RequestID" type="saml:IDType" use="required" />
1555     <attribute name="MajorVersion" type="integer" use="required" />
1556     <attribute name="MinorVersion" type="integer" use="required" />
1557 </complexType>
1558 <element name="RespondWith" type="anyURI" />
1559 <element name="Request" type="samlp:RequestType" />
1560 <complexType name="RequestType">
1561     <complexContent>
1562         <extension base="samlp:RequestAbstractType">
1563             <choice>
1564                 <element ref="samlp:Query" />
1565                 <element ref="samlp:SubjectQuery" />
1566                 <element ref="samlp:AuthenticationQuery" />
1567                 <element ref="samlp:AttributeQuery" />
1568                 <element ref="samlp:AuthorizationDecisionQuery" />
1569                 <element ref="saml:AssertionID" maxOccurs="unbounded" />
1570                 <element ref="samlp:AssertionArtifact" maxOccurs="unbounded" />
1571             </choice>
1572         </extension>
1573     </complexContent>
1574 </complexType>
1575 <element name="AssertionArtifact" type="string" />
1576 <element name="Query" type="samlp:QueryAbstractType" />
1577 <complexType name="QueryAbstractType" abstract="true" />
1578 <element name="SubjectQuery" type="samlp:SubjectQueryAbstractType" />
1579 <complexType name="SubjectQueryAbstractType" abstract="true" >
1580     <complexContent>
1581         <extension base="samlp:QueryAbstractType">
1582             <sequence>
1583                 <element ref="saml:Subject" />
1584             </sequence>
1585         </extension>
1586     </complexContent>
1587 </complexType>
1588 <element name="AuthenticationQuery" type="samlp:AuthenticationQueryType" />
1589 <complexType name="AuthenticationQueryType">
1590     <complexContent>
1591         <extension base="samlp:SubjectQueryAbstractType">
1592             <sequence>
1593                 <element ref="saml:ConfirmationMethod" minOccurs="0" />
1594             </sequence>
1595         </extension>
1596     </complexContent>
1597 </complexType>
1598 <element name="AttributeQuery" type="samlp:AttributeQueryType" />
1599 <complexType name="AttributeQueryType">
1600     <complexContent>
1601         <extension base="samlp:SubjectQueryAbstractType">
1602             <sequence>
1603                 <element ref="saml:AttributeDesignator"
1604                     minOccurs="0" maxOccurs="unbounded" />

```

```

1605          </sequence>
1606      </extension>
1607  </complexContent>
1608 </complexType>
1609 <element name="AuthorizationDecisionQuery"
1610   type="samlp:AuthorizationDecisionQueryType" />
1611 <complexType name="AuthorizationDecisionQueryType">
1612   <complexContent>
1613     <extension base="samlp:SubjectQueryAbstractType">
1614       <sequence>
1615         <element ref="saml:Actions" />
1616         <element ref="saml:Evidence"
1617           minOccurs="0" maxOccurs="unbounded" />
1618       </sequence>
1619       <attribute name="Resource" type="anyURI" />
1620     </extension>
1621   </complexContent>
1622 </complexType>
1623 <complexType name="ResponseAbstractType" abstract="true" >
1624   <attribute name="ResponseID" type="saml:IDType" use="required" />
1625   <attribute name="InResponseTo" type="saml:IDType" use="required" />
1626   <attribute name="MajorVersion" type="integer" use="required" />
1627   <attribute name="MinorVersion" type="integer" use="required" />
1628 </complexType>
1629 <element name="Response" type="samlp:ResponseType" />
1630 <element name="Response" type="samlp:ResponseType" />
1631 <complexType name="ResponseType">
1632   <complexContent>
1633     <extension base="samlp:ResponseAbstractType" >
1634       <sequence>
1635         <element ref="samlp:StatusReason"
1636           minOccurs="0" maxOccurs="unbounded" />
1637         <element ref="saml:Assertion"
1638           minOccurs="0" maxOccurs="unbounded" />
1639       </sequence>
1640       <attribute name="StatusCode"
1641         type="samlp:StatusCodeType" use="required" />
1642     </extension>
1643   </complexContent>
1644 </complexType>
1645 <element name="StatusReason" type="string" />
1646 </schema>
1647

```

## 1648 8. References

- 1649        [Kerberos]            R. Needham et al., *Using Encryption for Authentication in Large Networks of Computers*, Communications of the ACM, Vol. 21 (12), pp. 993-999, December 1978.
- 1650        [Kern-84]            B. Kernighan, Rob Pike *The UNIX Programming Environment*, (March 1984) Prentice Hall Computer Books;
- 1651        [PKCS1]            B. Kaliski, *PKCS #1: RSA Encryption Version 2.0*, RSA Laboratories, also IETF RFC 2437, October 1998. <http://www.ietf.org/rfc/rfc2437.txt>
- 1652        [PKCS7]            B. Kaliski., "PKCS #7: Cryptographic Message Syntax, Version 1.5.", RFC 2315, March 1998.
- 1653        [RFC 1510]            J. Kohl, C. Neuman. *The Kerberos Network Authentication Service (V5)*. September 1993. <http://www.ietf.org/rfc/rfc1510.txt>
- 1654        [RFC 2246]            T. Dierks, C. Allen. *The TLS Protocol Version 1.0*. January 1999. <http://www.ietf.org/rfc/rfc2246.txt>
- 1655        [RFC 2630]            R. Housley. Cryptographic Message Syntax. June 1999. <http://www.ietf.org/rfc/rfc630.txt>
- 1656        [RFC 2648]            R. Moats. A *URN Namespace for IETF Documents*. August 1999. <http://www.ietf.org/rfc/rfc2648.txt>
- 1657        [RFC 3075]            D. Eastlake, J. Reagle, D. Solo. *XML-Signature Syntax and Processing*. March 2001. <http://www.ietf.org/rfc/rfc3075.txt>
- 1658        [RFC2104]            H. Krawczyk et al., *HMAC: Keyed Hashing for Message Authentication*, <http://www.ietf.org/rfc/rfc2104.txt>, IETF RFC 2104, February 1997.
- 1659        [RFC2119]            S. Bradner, *Key words for use in RFCs to Indicate Requirement Levels*, <http://www.ietf.org/rfc/rfc2119.txt>, IETF RFC 2119, March 1997
- 1660        [SAMLBind]            P. Mishra et al., *Bindings and Profiles for the OASIS Security Assertion Markup Language (SAML)*, <http://www.oasis-open.org/committees/security/docs/draft-sstc-bindings-model-07.pdf>, OASIS, December 2001.
- 1661        [SAMLGloss]            J. Hodges et al., *Glossary for the OASIS Security Assertion Markup Language (SAML)*, <http://www.oasis-open.org/committees/security/docs/draft-sstc-glossary-02.pdf>, OASIS, December 2001.
- 1662        [SAMPL-XSD]            P. Hallam-Baker et al., *SAML protocol schema*, <http://www.oasis-open.org/committees/security/docs/draft-sstc-schema-protocol-21.xsd>, OASIS, December 2001.
- 1663        [SAML-XSD]            P. Hallam-Baker et al., *SAML assertion schema*, <http://www.oasis-open.org/committees/security/docs/draft-sstc-schema-assertion-21.xsd>, OASIS, December 2001.
- 1664        [Schema1]            H. S. Thompson et al., *XML Schema Part 1: Structures*, <http://www.w3.org/TR/xmlschema-1/>, World Wide Web Consortium Recommendation, May 2001.
- 1665        [Schema2]            P. V. Biron et al., *XML Schema Part 2: Datatypes*, <http://www.w3.org/TR/xmlschema-2/>, World Wide Web Consortium Recommendation, May 2001.
- 1666        [XMLEnc]            *XML Encryption Specification*, In development.
- 1667        [XMLSig]            D. Eastlake et al., *XML-Signature Syntax and Processing*, <http://www.w3.org/TR/xmldsig-core/>, World Wide Web Consortium.

- 1695      **[XMLSig-XSD]**      XML Signature Schema available from <http://www.w3.org/TR/2000/CR-xmldsig-core-20001031/xmldsig-core-schema.xsd>  
1696
- 1697      **[XTAML]**      P. Hallam-Baker, *XML Trust Axiom Markup Language 1.0*,  
1698                           <http://www.xmltrustcenter.org/>, VeriSign Inc. September 2001.

1699

## Appendix A. Notices

1700 OASIS takes no position regarding the validity or scope of any intellectual property or other rights  
1701 that might be claimed to pertain to the implementation or use of the technology described in this  
1702 document or the extent to which any license under such rights might or might not be available;  
1703 neither does it represent that it has made any effort to identify any such rights. Information on  
1704 OASIS's procedures with respect to rights in OASIS specifications can be found at the OASIS  
1705 website. Copies of claims of rights made available for publication and any assurances of licenses  
1706 to be made available, or the result of an attempt made to obtain a general license or permission  
1707 for the use of such proprietary rights by implementors or users of this specification, can be  
1708 obtained from the OASIS Executive Director.

1709 OASIS invites any interested party to bring to its attention any copyrights, patents or patent  
1710 applications, or other proprietary rights which may cover technology that may be required to  
1711 implement this specification. Please address the information to the OASIS Executive Director.

1712 Copyright © The Organization for the Advancement of Structured Information Standards [OASIS]  
1713 2001. All Rights Reserved.

1714 This document and translations of it may be copied and furnished to others, and derivative works  
1715 that comment on or otherwise explain it or assist in its implementation may be prepared, copied,  
1716 published and distributed, in whole or in part, without restriction of any kind, provided that the  
1717 above copyright notice and this paragraph are included on all such copies and derivative works.  
1718 However, this document itself may not be modified in any way, such as by removing the copyright  
1719 notice or references to OASIS, except as needed for the purpose of developing OASIS  
1720 specifications, in which case the procedures for copyrights defined in the OASIS Intellectual  
1721 Property Rights document must be followed, or as required to translate it into languages other  
1722 than English.

1723 The limited permissions granted above are perpetual and will not be revoked by OASIS or its  
1724 successors or assigns.

1725 This document and the information contained herein is provided on an "AS IS" basis and OASIS  
1726 DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO  
1727 ANY WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT INFRINGE  
1728 ANY RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A  
1729 PARTICULAR PURPOSE.