



# SAML credentials-collector use-cases and requirements

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Abstract:

This working draft defines use-cases and requirements for a protocol by which system entities can authenticate to a credentials-collector with the help of an authentication authority.

Status:

This version of the specification is a working draft of the committee. As such, it is expected to change prior to adoption as an OASIS standard.

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27	<b>Table of contents</b>	
28	1. Introduction	3
29	2. Use-cases	3
30	2.1. Use-case 1: Authn authority as credentials-collector	3
31	2.2. Use-case 1: Credentials-collector as intermediary	4
32	10. Requirements	7
33	11. References	7
34	Appendix A. Notices	8
35		
36		

## 1. Introduction

This document describes use-cases and requirements for a protocol by which a system entity can authenticate bi-laterally with a credentials-collector, using authentication services provided by an authentication authority.

The protocol is intended to support a broad range of authentication mechanisms, including those that result in a secret shared between the system entity and the credentials collector for protecting a subsequent session.

## 2. Use-cases

### 2.1. Use-case 1: Authn authority as credentials-collector

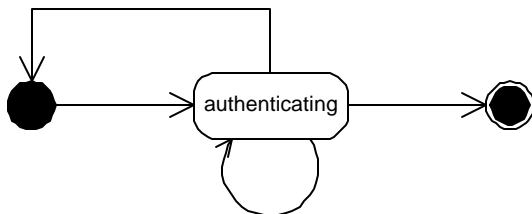
Use-case 1 is shown in Figure 1.



**Figure 1 - Use-case 1**

In this use-case the functions of the credentials-collector are performed by the authentication authority.

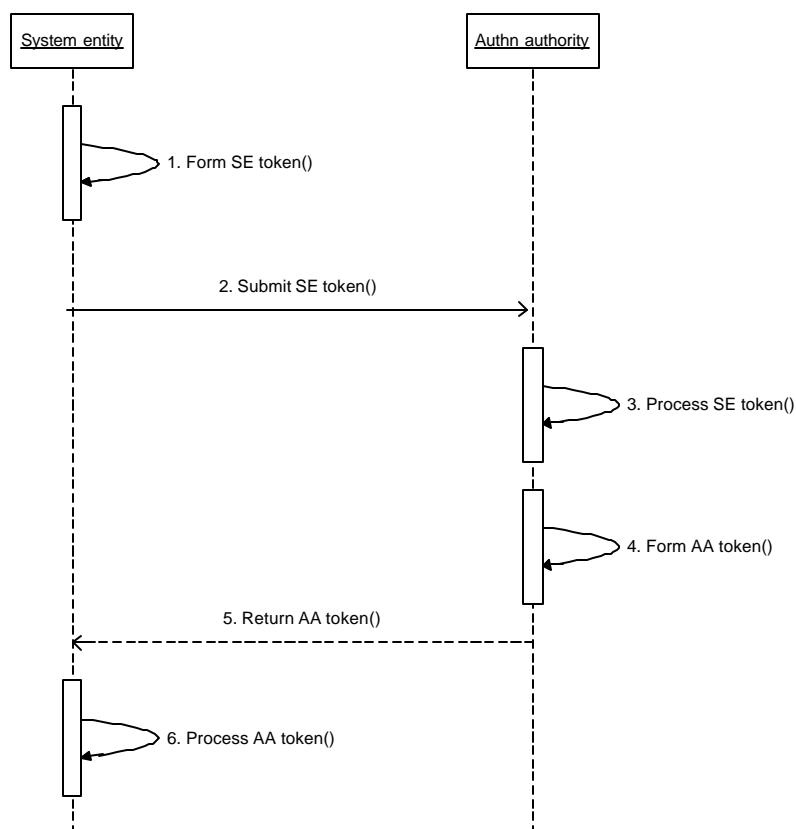
The state transition diagram is shown in Figure 2.



**Figure 2 - State transition diagram**

In this diagram, the initial state corresponds to the unauthenticated state and the final state corresponds to the authenticated state.

56 The sequence of activities in the “authenticating” state is shown in Figure 3.



57

58 **Figure 3 - Use-case 1 “authenticating” sequence**

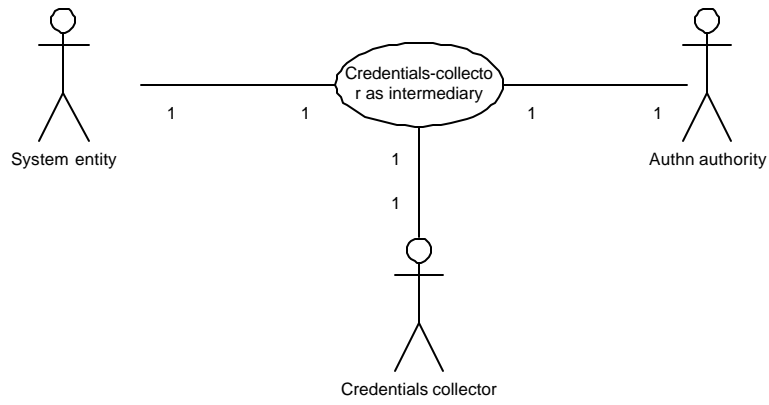
- 59 1. System entity forms an authentication token (this step is optional on the first occurrence).
- 60 2. System entity sends the token to authentication authority.
- 61 3. Authentication authority processes the token and decides whether system entity is
- 62 authenticated or not.
- 63 4. If system entity is not authenticated, then authentication authority forms a token. Optionally, if
- 64 system entity is authenticated, then the token is an authentication assertion.
- 65 5. Authentication authority returns the token to systeme entity.
- 66 6. System entity processes the token.

67 **[SASLib]** specifies a solution for this use-case, based on the Simple Authentication and Security

68 Layer.

## 69 **2.2. Use-case 1: Credentials-collector as intermediary**

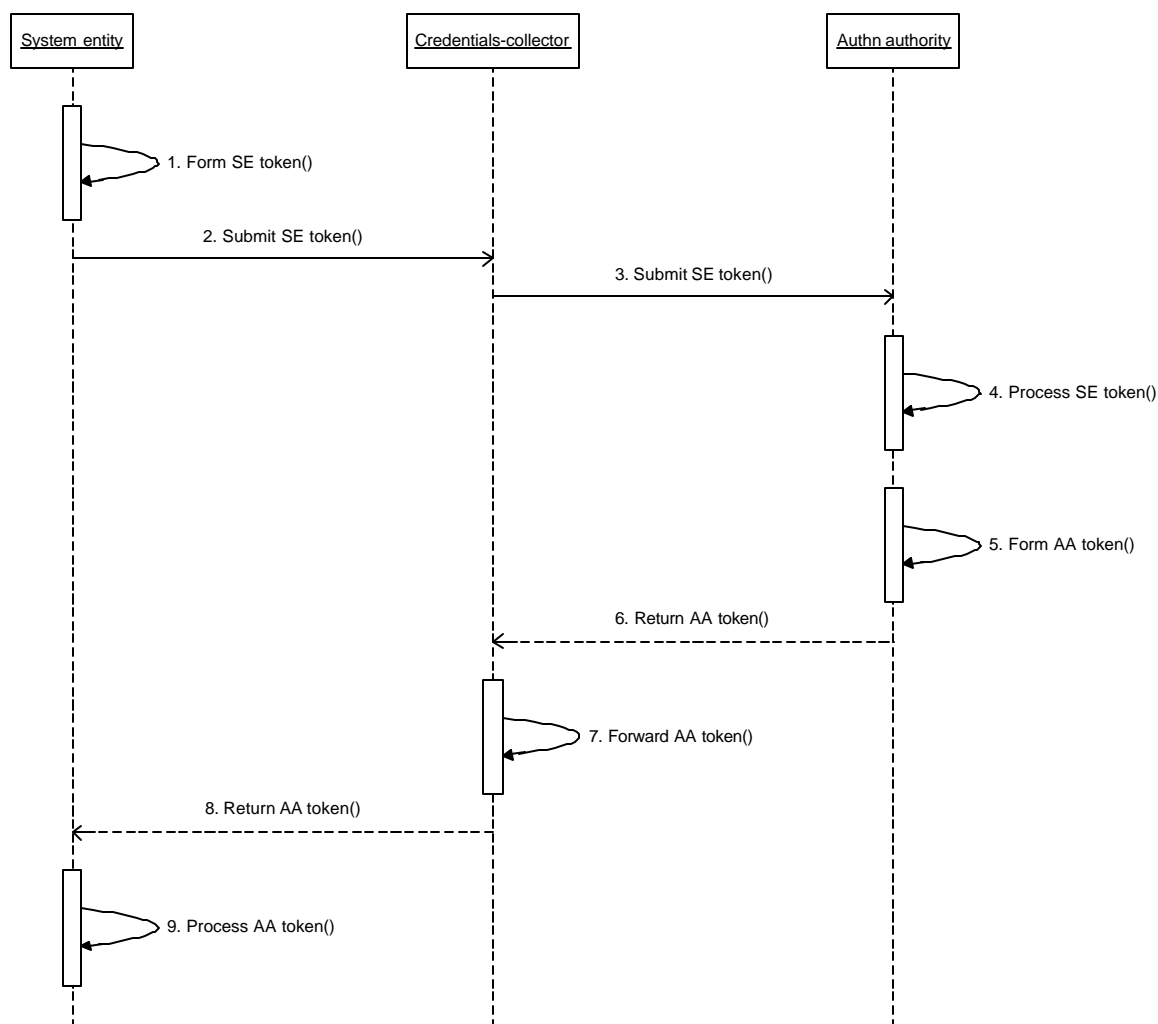
70 Use-case 2 is shown in Figure 4.



71

72 **Figure 4 - Use-case 2**

- 73 The state transition diagram is identical to the one shown in Figure 2.
- 74 The sequence of activities in the “authenticating” state is shown in Figure 5.



- 75
- 76 **Figure 5 - Use-case 2 sequence**
- 77 1. System entity forms an authentication token (this step is optional on the first occurrence).
- 78 2. System entity sends the token to credentials-collector.
- 79 3. Credentials-collector forwards the token to authentication authority.
- 80 4. Authentication authority processes the token and decides whether system entity is
- 81 authenticated or not.
- 82 5. If system entity is not authenticated, then authentication authority forms a token. If system
- 83 entity is authenticated, then the token is an authentication assertion.
- 84 6. Authentication authority returns the token.

- 85 7. If the authentication is complete, then credentials-collector extracts the authentication  
86 assertion.
- 87 8. If authentication is not complete, then credentials-collector forwards the token to system entity.
- 88 9. System entity processes the token.
- 89 In the case where the authentication mechanism results in a secret shared between the  
90 authentication authority and the system entity, the resulting secret is passed to the credentials-  
91 collector in the subject-confirmation element of the authentication assertion.
- 92 **[SASLib]** does not currently address this use case. However, with straightforward modification, it  
93 could be made to address it.

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## 94 10. Requirements

- 95 In the case where the shared secret is a secret-key, its confidentiality must be protected in step 6 of  
96 Section 2.2. This may be accomplished by means of a secure session between the authentication  
97 authority and the credentials-collector or by encrypting the shared-secret for the credentials-  
98 collector. The former case may not be suitable if the credentials-collector forwards the assertion.  
99 In this case, the credentials-collector could remove the shared secret from the assertion. But, this  
100 may disrupt any integrity protection applied to the assertion by the authentication authority.
- 101 For these reasons, encrypting the shared-secret for the credentials-collector may be the most  
102 appropriate solution.

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## 103 11. References

- 104 **[SASLib]** Liberty SASL-based SOAP Authentication Specification, Version: 1.0-03, Jeff Hodges,  
105 located at: <http://www.projectliberty.org/specs/draft-lib-arch-soap-authn-v1.0-03.pdf>

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