



1 Web Services Make Connection 2 (WS-MakeConnection)

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15 See the Acknowledgments (Appendix D).

16 Abstract:

17 This specification (WS-MakeConnection) describes a protocol that allows messages to be transferred
18 between nodes implementing this protocol by using a transport-specific back-channel. The protocol is
19 described in this specification in a transport-independent manner allowing it to be implemented using
20 different network technologies. To support interoperable Web services, a SOAP binding is defined within
21 this specification.

22 The protocol defined in this specification depends upon other Web services specifications for the
23 identification of service endpoint addresses and policies. How these are identified and retrieved are
24 detailed within those specifications and are out of scope for this document.

25 By using the XML [XML], SOAP [SOAP 1.1], [SOAP 1.2] and WSDL [WSDL 1.1] extensibility model,
26 SOAP-based and WSDL-based specifications are designed to be composed with each other to define a
27 rich Web services environment. As such, WS-MakeConnection by itself does not define all the features
28 required for a complete messaging solution. WS-MakeConnection is a building block that is used in
29 conjunction with other specifications and application-specific protocols to accommodate a wide variety of
30 requirements and scenarios related to the operation of distributed Web services.

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37 Committee's web page at <http://www.oasis-open.org/committees/ws-rx>. For information on whether any
38 patents have been disclosed that may be essential to implementing this specification, and any offers of
39 patent licensing terms, please refer to the Intellectual Property Rights section of the Technical
40 Committee web page (<http://www.oasis-open.org/committees/ws-rx/ipr.php>). The non-normative errata
41 page for this specification is located at <http://www.oasis-open.org/committees/ws-rx>.

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70 1 Introduction

71 The primary goal of this specification is to create a mechanism for the transfer of messages between two
72 endpoints when the sending endpoint is unable to initiate a new connection to the receiving endpoint. It
73 defines a mechanism to uniquely identify non-addressable endpoints, and a mechanism by which
74 messages destined for those endpoints can be delivered. It also defines a SOAP binding that is required
75 for interoperability. Additional bindings can be defined.

76 This mechanism is extensible allowing additional functionality, such as security, to be tightly integrated.
77 This specification integrates with and complements the WS-ReliableMessaging[WS-RM], WS-Security
78 [WS-Security], WS-Policy [WS-Policy], and other Web services specifications. Combined, these allow for
79 a broad range of reliable, secure messaging options.

80 1.1 Notational Conventions

81 The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD
82 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described
83 in RFC 2119 [KEYWORDS].

84 This specification uses the following syntax to define normative outlines for messages:

- 85 • The syntax appears as an XML instance, but values in italics indicate data types instead of values.
- 86 • Characters are appended to elements and attributes to indicate cardinality:
 - 87 ○ "?" (0 or 1)
 - 88 ○ "*" (0 or more)
 - 89 ○ "+" (1 or more)
- 90 • The character "|" is used to indicate a choice between alternatives.
- 91 • The characters "[" and "]" are used to indicate that contained items are to be treated as a group
92 with respect to cardinality or choice.
- 93 • An ellipsis (i.e. "...") indicates a point of extensibility that allows other child or attribute content
94 specified in this document. Additional children elements and/or attributes MAY be added at the
95 indicated extension points but they MUST NOT contradict the semantics of the parent and/or
96 owner, respectively. If an extension is not recognized it SHOULD be ignored.
- 97 • XML namespace prefixes (See Section 1.2) are used to indicate the namespace of the element
98 being defined.

99 Elements and Attributes defined by this specification are referred to in the text of this document using
100 XPath 1.0 [XPath 1.0] expressions. Extensibility points are referred to using an extended version of this
101 syntax:

- 102 • An element extensibility point is referred to using {any} in place of the element name. This
103 indicates that any element name can be used, from any namespace other than the `wsmc:`
104 namespace.
- 105 • An attribute extensibility point is referred to using @{any} in place of the attribute name. This
106 indicates that any attribute name can be used, from any namespace other than the `wsmc:`
107 namespace.

108 **1.2 Namespace**

109 The XML namespace [XML-ns] URI that MUST be used by implementations of this specification is:

110 <http://docs.oasis-open.org/ws-rx/wsmc/200608>

111 Dereferencing the above URI will produce the Resource Directory Description Language [RDDL 2.0]
112 document that describes this namespace.

113 Table 1 lists the XML namespaces that are used in this specification. The choice of any namespace prefix
114 is arbitrary and not semantically significant.

115 Table 1

Prefix	Namespace
S	(Either SOAP 1.1 or 1.2)
S11	http://schemas.xmlsoap.org/soap/envelope/
S12	http://www.w3.org/2003/05/soap-envelope
wsmc	http://docs.oasis-open.org/ws-rx/wsmc/200608
wstrm	http://docs.oasis-open.org/ws-rx/wstrm/200608
wsa	http://www.w3.org/2005/08/addressing
wsaw	http://www.w3.org/2006/05/addressing/wsdl
wsse	http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd
xs	http://www.w3.org/2001/XMLSchema

116 The normative schema for WS-MakeConnection can be found linked from the namespace document that
117 is located at the namespace URI specified above.

118 All sections explicitly noted as examples are informational and are not to be considered normative.

119 **1.3 Conformance**

120 An implementation is not conformant with this specification if it fails to satisfy one or more of the MUST or
121 REQUIRED level requirements defined herein. A SOAP Node MUST NOT use the XML namespace
122 identifier for this specification (listed in Section 1.2) within SOAP Envelopes unless it is conformant with
123 this specification.

124 Normative text within this specification takes precedence over normative outlines, which in turn take
125 precedence over the XML Schema [XML Schema Part 1, Part 2] descriptions.

126 2 MakeConnection Model

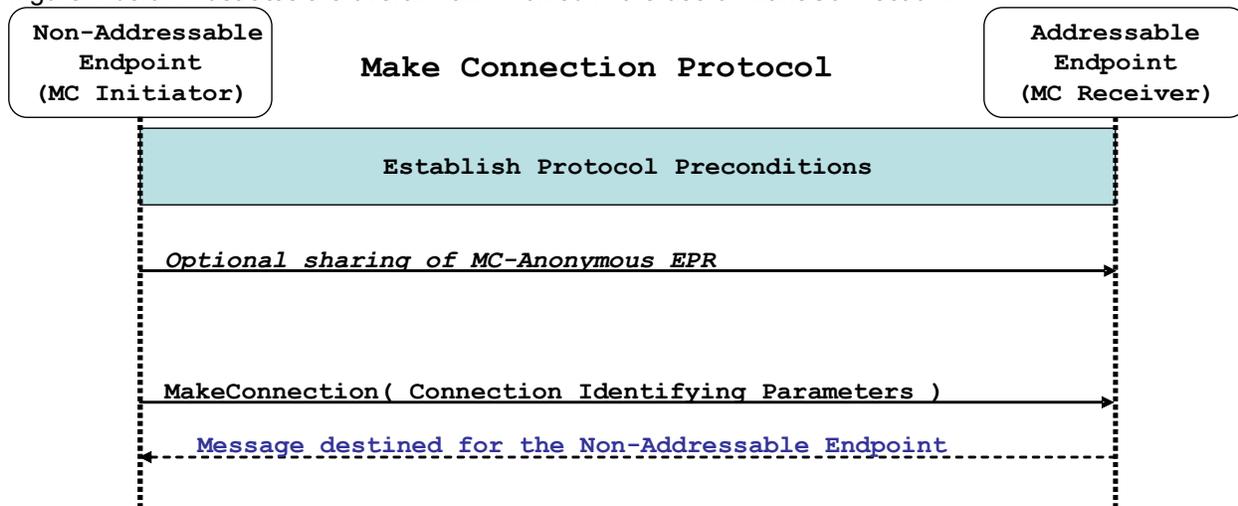
127 The WS-Addressing [WS-Addressing] specification defines the anonymous URI to identify non-
128 addressable endpoints and to indicate a protocol-specific back-channel is to be used for any messages
129 destined for that endpoint. For example, when used in the WS-Addressing ReplyTo EPR, the use of this
130 anonymous URI is meant to indicate that any response message is to be transmitted on the transport-
131 specific back-channel. In the HTTP case this would mean that any response message is sent back on the
132 HTTP response flow.

133 In cases where the connection is still available the WS-Addressing URI is sufficient. However, in cases
134 where the original connection is no longer available, additional mechanisms are needed. Take the
135 situation where the original connection that carried a request message is broken and therefore is no
136 longer available to carry a response back to the original sender. Traditionally, non-anonymous
137 (addressable) EPRs would be used in these cases to allow for the sender of the response message to
138 initiate new connections as needed. However, if the sender of the request message is unable (or
139 unwilling) to accept new connections then the only option available is for it to establish a new connection
140 for the purposes of allowing the response message to be sent. This specification defines a mechanism by
141 which a new connection can be established.

142 The MakeConnection model consists of a two key aspects:

- 143 ● An optional anonymous-like URI template is defined that has similar semantics to WS-
144 Addressing's anonymous, but also allows for each non-addressable endpoint to be uniquely
145 identified
- 146 ● A new message is defined that establishes a connection that can then be used to transmit
147 messages to these non-addressable endpoints

148 Figure 1 below illustrates the overall flow involved in the use of MakeConnection:



149 Figure 1 – Make Connection Model

150 The MakeConnection message is used to establish a new connection between the two endpoints. Within
151 the message is identifying information that is used to uniquely identify a message that is eligible for
152 transmission.

153 2.1 Glossary

154 The following definitions are used throughout this specification:

155 **Back-channel:** When the underlying transport provides a mechanism to return a transport-protocol
156 specific response, capable of carrying a SOAP message, without initiating a new connection, this
157 specification refers to this mechanism as a back-channel.

158 **Endpoint:** As defined in the WS-Addressing specification; a Web service Endpoint is a (referenceable)
159 entity, processor, or resource to which Web service messages can be addressed. Endpoint references
160 (EPRs) convey the information needed to address a Web service Endpoint.

161 **MC Initiator** The endpoint that transmits the MakeConnection message – the destination endpoint for the
162 messages being sent on the transport-specific back-channel.

163 **MC Receiver:** The endpoint that receives the MakeConnection message – the source endpoint for the
164 messages being sent on the transport-specific back-channel.

165 **Receive:** The act of reading a message from a network connection.

166 **Transmit:** The act of writing a message to a network connection.

167 2.2 Protocol Preconditions

168 The correct operation of the protocol requires that a number of preconditions **MUST** be established prior
169 to the processing of the initial sequenced message:

- 170 ● The MC Receiver **MUST** be capable of accepting new incoming connections.
- 171 ● The MC Initiator **MUST** be capable of creating new outgoing connections to the MC Receiver, and
172 those connections **MUST** have a back-channel.
- 173 ● If a secure exchange of messages is **REQUIRED**, then the MC Initiator and MC Receiver **MUST**
174 have a security context.

175 2.3 Example Message Exchange

176 Figure 2 illustrates a message exchange in which the response message is delivered using
177 MakeConnection.



178 Figure 2: Example WS-MakeConnection Message Exchange

- 179 1. The protocol preconditions are established. These include policy exchange, endpoint resolution,
180 and establishing trust.
- 181 2. The client (MC Initiator) sends a GetQuote request message to the service (MC Receiver). The
182 WS-Addressing `wsa:ReplyTo` EPR uses the MakeConnection Anonymous URI Template –
183 indicating that if the GetQuoteResponse message is not sent back on this connection's back-
184 channel, then the client will use MakeConnection to retrieve it.
- 185 3. The service receives the request message and decides to close the connection by sending back an
186 empty response (in the HTTP case an HTTP 202 Accept is sent).
- 187 4. The client sends a MakeConnection message to the service. Within the MakeConnection element is
188 the `wsmc:Address` element containing the same MakeConnection Anonymous URI used in step 2.
- 189 5. The service has not completed executing the GetQuote operation and decides to close the
190 connection by sending back an empty response (in the HTTP case an HTTP 202 Accept) indicating
191 that no messages destined for this MC Initiator are available at this time.
- 192 6. The client sends a second MakeConnection message to the service. Within the MakeConnection
193 element is the `wsmc:Address` element containing the same MakeConnection Anonymous URI
194 used in step 2.
- 195 7. The service uses this new connection to transmit the GetQuoteResponse message.

196 The service can assume that because the MakeConnection Anonymous URI Template was used in the
197 `wsa:ReplyTo` EPR the client will act as an MC Initiator for the purposes of retrieving messages destined
198 to that EPR (i.e. responses to the GetQuote). This allows the service the option of immediately releasing
199 resources used by the original connection – knowing that the client will, at some later point in time,
200 establish a new connection on which the GetQuoteResponse can be transmitted. Likewise, when the first
201 MakeConnection is received by the service, it again has the option of leaving the connection open until the
202 GetQuoteResponse is ready to be transmitted, or it can close the connection immediately knowing that the
203 MC Initiator will retransmit the MakeConnection message at some later point in time. Since the nature and
204 dynamic characteristics of the underlying transport and potential intermediaries are unknown in the
205 general case, the timing of re-transmissions cannot be specified. Additionally, over-aggressive re-
206 transmissions have been demonstrated to cause transport or intermediary flooding which are
207 counterproductive. Consequently, implementers are encouraged to utilize adaptive mechanisms that
208 dynamically adjust re-transmission time and the back-off intervals that are appropriate to the nature of the
209 transports and intermediaries envisioned. For the case of TCP/IP transports, a mechanism similar to that
210 described as RTTM in RFC 1323 [RTTM] SHOULD be considered.

211 Now that the basic model has been outlined, the details of this protocol are now provided in Section 3.

212 3 MakeConnection

213 The following sub-sections define the various MakeConnection features, and prescribe their usage by a
214 conformant implementations.

215 3.1 MakeConnection Anonymous URI

216 When an Endpoint is not directly addressable (e.g. behind a firewall or not able to allow incoming
217 connections), an anonymous URI in the EPR address property can indicate such an Endpoint. The WS-
218 Addressing anonymous URI is one such anonymous URI. This specification defines a URI template (the
219 WS-MC anonymous URI) which may be used to uniquely identify anonymous Endpoints.

```
220 http://docs.oasis-open.org/ws-rx/wsmc/200608/anonymous?id={uuid}
```

221 This URI template in an EPR indicates a protocol-specific back-channel will be established through a
222 mechanism such as `MakeConnection`, defined below. When using this URI template, “{uuid}” MUST be
223 replaced by a UUID value as defined by RFC4122[UUID]. This UUID value uniquely distinguishes the
224 Endpoint. A sending Endpoint SHOULD Transmit messages at Endpoints identified with the URI template
225 using a protocol-specific back-channel, including but not limited to those established with a
226 `MakeConnection` message. Note, this URI is semantically similar to the WS-Addressing anonymous
227 URI if a protocol-specific back-channel is available.

228 3.2 MakeConnection Message

229 The `MakeConnection` element is sent in the body of a one-way message that establishes a
230 contextualized back-channel for the transmission of messages according to matching criteria (defined
231 below). In the non-faulting case, if no matching message is available then no SOAP envelope will be
232 returned on the back-channel. A common usage will be a client sending `MakeConnection` to a server for
233 the purpose of receiving asynchronous response messages.

234 The following exemplar defines the `MakeConnection` syntax:

```
235 <wsmc:MakeConnection ...>  
236   <wsmc:Address ...> xs:anyURI </wsmc:Address> ?  
237   <wsrm:Identifier ...> xs:anyURI </wsrm:Identifier> ?  
238   ...  
239 </wsmc:MakeConnection>
```

240 The following describes the content model of the `MakeConnection` element.

241 `/wsmc:MakeConnection`

242 This element allows the sender to create a transport-specific back-channel that can be used to return a
243 message that matches the selection criteria. Endpoints MUST NOT send this element as a header block.
244 At least one selection criteria sub-element MUST be specified – if not a `MissingSelection` fault MUST
245 be generated.

246 `/wsmc:MakeConnection/wsmc:Address`

247 This element specifies the URI (`wsa:Address`) of the initiating Endpoint. Endpoints MUST NOT return
248 messages on the transport-specific back-channel unless they have been addressed to this URI. This
249 `Address` property and a message’s WS-Addressing destination property are considered identical when
250 they are exactly the same character-for-character. Note that URIs which are not identical in this sense
251 may in fact be functionally equivalent. Examples include URI references which differ only in case, or
252 which are in external entities which have different effective base URIs.

253 /wsmc:MakeConnection/wsmc:Address/@{any}

254 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the
255 element.

256 /wsmc:MakeConnection/wsrn:Identifier

257 This element specifies the WS-RM Sequence Identifier that establishes the context for the transport-
258 specific back-channel. The Sequence Identifier should be compared with the Sequence Identifiers
259 associated with the messages held by the sending Endpoint, and if there is a matching message it will be
260 returned.

261 /wsmc:MakeConnection/wsrn:Identifier/@{any}

262 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the
263 element.

264 /wsmc:MakeConnection/{any}

265 This is an extensibility mechanism to allow different (extensible) types of information, based on a schema,
266 to be passed. This allows fine-tuning of the messages to be returned, additional selection criteria included
267 here are logically ANDed with the `Address` and/or `wsrn:Identifier`. If an extension is not supported
268 by the Endpoint then it should generate an `UnsupportedSelection` fault.

269 /wsmc:MakeConnection/@{any}

270 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the
271 element.

272 If more than one selection criteria element is present, then the MC Receiver processing the
273 `MakeConnection` message MUST insure that any SOAP Envelope flowing on the back-channel satisfies
274 all of those selection criteria.

275 The management of messages that are awaiting the establishment of a back-channel to their receiving
276 Endpoint is an implementation detail that is outside the scope of this specification. Note, however, that
277 these messages form a class of asynchronous messages that is not dissimilar from "ordinary"
278 asynchronous messages that are waiting for the establishment of a connection to their destination
279 Endpoints.

280 This specification places no constraint on the types of messages that can be returned on the transport-
281 specific back-channel. As in an asynchronous environment, it is up to the recipient of the
282 `MakeConnection` message to decide which messages are appropriate for transmission to any particular
283 Endpoint. However, the Endpoint processing the `MakeConnection` message MUST insure that the
284 messages match the selection criteria as specified by the child elements of the `MakeConnection`
285 element.

286 Since the message exchange pattern use by `MakeConnection` is untraditional, the following points need
287 to be reiterated for clarification:

- 288 ● The `MakeConnection` message is logically part of a one-way operation; there is no reply
289 message to the `MakeConnection` itself, and any response flowing on the transport back-channel
290 is a pending message.
- 291 ● Since there is no reply message to `MakeConnection`, the WS-Addressing specific rules in
292 section 3.4 "Formulating a Reply Message" are not used. Therefore, the value of any
293 `wsa:ReplyTo` element in the `MakeConnection` message has no effective impact since the WS-
294 Addressing [`reply endpoint`] property that is set by the presence of `wsa:ReplyTo` is not
295 used.

- 296 ● In the absence of any pending message, there will be no message transmitted on the transport
297 back-channel. E.g. In the HTTP case just an HTTP 202 Accepted will be returned without any
298 SOAP envelope in the HTTP response message.
- 299 ● When there is a message pending, it is sent on the transport back-channel, using the connection
300 that has been initiated by the MakeConnection request.

301 3.3 MessagePending

302 When MakeConnection is used, and a message is returned on the transport-specific back-channel, the
303 MessagePending header SHOULD be included on the returned message as an indicator whether there
304 are additional messages waiting to be retrieved using the same selection criteria that was specified in the
305 MakeConnection element.

306 The following exemplar defines the MessagePending syntax:

```
307 <wsmc:MessagePending pending="xs:boolean" ...>  
308   ...  
309 </wsmc:MessagePending>
```

310 The following describes the content model of the MessagePending header block.

311 /wsmc:MessagePending

312 This element indicates whether additional messages are waiting to be retrieved.

313 /wsmc:MessagePending@pending

314 This attribute, when set to "true", indicates that there is at least one message waiting to be retrieved.

315 When this attribute is set to "false" it indicates there are currently no messages waiting to be retrieved.

316 /wsmc:MessagePending/{any}

317 This is an extensibility mechanism to allow different (extensible) types of information, based on a schema,
318 to be passed.

319 /wsmc:MessagePending/@{any}

320 This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the
321 element.

322 The absence of the MessagePending header has no implication as to whether there are additional
323 messages waiting to be retrieved.

324 3.4 MakeConnection Policy Assertion

325 << Placeholder for the expected MC Policy Assertion – but probably something like:

326 <wsrmp:MCSupported/>

327 Indicates that the endpoint supports MakeConnection and the use of the MakeConnection URI Template
328 in EPRs that end up being used as [destination] EPRs for outgoing messages.

329 >>

330 4 Faults

331 Entities that generate WS-MakeConnection faults MUST include as the [action] property the default fault
332 action IRI defined below. The value from the W3C Recommendation is below for informational purposes:

333 `http://docs.oasis-open.org/ws-rx/wsmc/200608/fault`

334 The faults defined in this section are generated if the condition stated in the preamble is met. Fault
335 handling rules are defined in section 6 of WS-Addressing SOAP Binding.

336 The definitions of faults use the following properties:

337 [Code] The fault code.

338 [Subcode] The fault subcode.

339 [Reason] The English language reason element.

340 [Detail] The detail element(s). If absent, no detail element is defined for the fault. If more than one detail
341 element is defined for a fault, implementations MUST include the elements in the order that they are
342 specified.

343 Entities that generate WS-MakeConnection faults MUST set the [Code] property to either "Sender" or
344 "Receiver". These properties are serialized into text XML as follows:

SOAP Version	Sender	Receiver
SOAP 1.1	S11:Client	S11:Server
SOAP 1.2	S:Sender	S:Receiver

345 The properties above bind to a SOAP 1.2 fault as follows:

```
346 <S:Envelope>
347   <S:Header>
348     <wsa:Action>
349       http://docs.oasis-open.org/ws-rx/wsmc/200608/fault
350     </wsa:Action>
351     <!-- Headers elided for brevity. -->
352   </S:Header>
353   <S:Body>
354     <S:Fault>
355       <S:Code>
356         <S:Value> [Code] </S:Value>
357         <S:Subcode>
358           <S:Value> [Subcode] </S:Value>
359         </S:Subcode>
360       </S:Code>
361       <S:Reason>
362         <S:Text xml:lang="en"> [Reason] </S:Text>
363       </S:Reason>
364       <S:Detail>
365         [Detail]
366         ...
367       </S:Detail>
368     </S:Fault>
369   </S:Body>
370 </S:Envelope>
```

371 The properties bind to a SOAP 1.1 fault as follows when the fault is generated as a result of processing a
372 MakeConnection message:

```

373 <S11:Envelope>
374   <S11:Body>
375     <S11:Fault>
376       <faultcode> [Subcode] </faultcode>
377       <faultstring> [Reason] </faultstring>
378     </S11:Fault>
379   </S11:Body>
380 </S11:Envelope>

```

381 4.1 Unsupported Selection

382 The QName of the unsupported element(s) are included in the detail.

383 Properties:

384 [Code] Receiver

385 [Subcode] wsmc:UnsupportedSelection

386 [Reason] The extension element used in the message selection is not supported by the MakeConnection receiver

388 [Detail]

```

389 <wsmc:UnsupportedElement> xs:QName </wsmc:UnsupportedElement>+

```

Generated by	Condition	Action Upon Generation	Action Upon Receipt
MakeConnection receiver	In response to a MakeConnection message containing a selection criteria in the extensibility section of the message that is not supported	Unspecified.	Unspecified.

390 4.2 Missing Selection

391 The MakeConnection element did not contain any selection criteria.

392 Properties:

393 [Code] Receiver

394 [Subcode] wsmc:MissingSelection

395 [Reason] The MakeConnection element did not contain any selection criteria.

396 [Detail]

Generated by	Condition	Action Upon Generation	Action Upon Receipt
MakeConnection receiver	In response to a MakeConnection message that does not contain any selection criteria	Unspecified.	Unspecified.

397 5 Security Considerations

398 It is strongly RECOMMENDED that the communication between Web services be secured using the
399 mechanisms described in WS-Security. In order to properly secure messages, the body and all relevant
400 headers need to be included in the signature. Specifically, any standard messaging headers, such as
401 those from WS-Addressing, need to be signed with the body in order to "bind" the two together.

402 Different security mechanisms may be desired depending on the frequency of messages. For example,
403 for infrequent messages, public key technologies may be adequate for integrity and confidentiality.
404 However, for high-frequency events, it may be more performant to establish a security context for the
405 events using the mechanisms described in WS-Trust [[Trust](#)] and WS-SecureConversation
406 [[SecureConversation](#)]. It should be noted that if a shared secret is used it is RECOMMENDED that
407 derived keys be used to strengthen the secret as described in WS-SecureConversation.

408 Requests for messages which are not available to anonymous parties are strongly RECOMMENDED to
409 require usage of WS-Security so that the requestor can be authenticated and authorized to access the
410 indicated messages. Similarly, integrity and confidentiality SHOULD be used whenever messages have
411 restricted access.

412 Recipients of messages are RECOMMENDED to validate the signature to authenticate and verify the
413 integrity of the data. Specifically, recipients SHOULD verify that the sender has the right to "speak" for the
414 message.

415 The following list summarizes common classes of attacks that apply to this protocol and identifies the
416 mechanism to prevent/mitigate the attacks:

- 417 ● Message alteration - Alteration is prevented by including signatures of the message information
418 using WS-Security.
- 419 ● Message disclosure - Confidentiality is preserved by encrypting sensitive data using WS-Security.
- 420 ● Key integrity - Key integrity is maintained by using the strongest algorithms possible (by
421 comparing secured policies - see WS-Policy and WS-SecurityPolicy [[SecurityPolicy](#)]).
- 422 ● Authentication - Authentication is established using the mechanisms described in WS-Security
423 and WS-Trust. Each message is authenticated using the mechanisms described in WS-Security.
- 424 ● Accountability - Accountability is a function of the type of and strength of the key and algorithms
425 being used. In many cases, a strong symmetric key provides sufficient accountability. However, in
426 some environments, strong PKI signatures are required.
- 427 ● Availability - All reliable messaging services are subject to a variety of availability attacks. Replay
428 detection is a common attack and it is RECOMMENDED that this be addressed by the
429 mechanisms described in WS-Security. Other attacks, such as network-level denial of service
430 attacks are harder to avoid and are outside the scope of this specification. That said, care should
431 be taken to ensure that minimal state is saved prior to any authenticating sequences.
- 432 ● Replay - Messages may be replayed for a variety of reasons. To detect and eliminate this attack,
433 mechanisms should be used to identify replayed messages such as the timestamp/nonce outlined
434 in WS-Security. Alternatively, and optionally, other technologies, such as sequencing, can also be
435 used to prevent replay of application messages.

436 Service endpoints SHOULD scope its searching of messages to those that were processed under the
437 same security context as the requesting MakeConnection message.

438 **6 References**

439 **6.1 Normative**

440 **[KEYWORDS]**

441 S. Bradner, "[Key words for use in RFCs to Indicate Requirement Levels](#)," RFC 2119, Harvard University,
442 March 1997

443 <http://www.ietf.org/rfc/rfc2119.txt>

444 **[WS-RM]**

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531 Appendix A. Schema

532 The normative schema that is defined for WS-MakeConnection using [XML-Schema Part1] and [XML-
533 Schema Part2] is located at:

534 <http://docs.oasis-open.org/ws-rx/wsmc/200608/wsmc-1.0-schema-200608.xsd>

535 The following copy is provided for reference.

```
536 <?xml version="1.0" encoding="UTF-8"?>
537 <!--
538 OASIS takes no position regarding the validity or scope of any intellectual
539 property or other rights that might be claimed to pertain to the
540 implementation or use of the technology described in this document or the
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568 NOT LIMITED TO ANY WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT
569 INFRINGE ANY RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS
570 FOR A PARTICULAR PURPOSE.
571 -->
572 <xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
573 xmlns:wsa="http://www.w3.org/2005/08/addressing"
574 xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200608"
575 xmlns:wsrc="http://docs.oasis-open.org/ws-rx/wsrc/200608"
576 targetNamespace="http://docs.oasis-open.org/ws-rx/wsmc/200608"
577 elementFormDefault="qualified" attributeFormDefault="unqualified">
578   <xs:import namespace="http://www.w3.org/2005/08/addressing"
579   schemaLocation="http://www.w3.org/2006/03/addressing/ws-addr.xsd"/>
580   <xs:import namespace="http://docs.oasis-open.org/ws-rx/wsrc/200608"
581   schemaLocation="http://docs.oasis-open.org/ws-rx/wsrc/200608/wsrc-1.1-schema-
582   200608.xsd">
583     <!-- Protocol Elements -->
584     <xs:complexType name="MessagePendingType">
585       <xs:sequence>
586         <xs:any namespace="##other" processContents="lax" minOccurs="0"
```

```

587 maxOccurs="unbounded" />
588     </xs:sequence>
589     <xs:attribute name="pending" type="xs:boolean" />
590     <xs:anyAttribute namespace="##other" processContents="lax" />
591 </xs:complexType>
592 <xs:element name="MessagePending" type="wsmc:MessagePendingType" />
593 <xs:element name="Address">
594     <xs:complexType>
595         <xs:simpleContent>
596             <xs:extension base="xs:anyURI">
597                 <xs:anyAttribute namespace="##other" processContents="lax" />
598             </xs:extension>
599         </xs:simpleContent>
600     </xs:complexType>
601 </xs:element>
602 <xs:complexType name="MakeConnectionType">
603     <xs:sequence>
604         <xs:element ref="wsmc:Address" minOccurs="0" maxOccurs="1" />
605         <xs:element ref="wsrm:Identifier" minOccurs="0" maxOccurs="1" />
606         <xs:any namespace="##other" processContents="lax" minOccurs="0"
607 maxOccurs="unbounded" />
608     </xs:sequence>
609     <xs:anyAttribute namespace="##other" processContents="lax" />
610 </xs:complexType>
611 <xs:element name="MakeConnection" type="wsmc:MakeConnectionType" />
612 <xs:element name="UnsupportedElement">
613     <xs:simpleType>
614         <xs:restriction base="xs:QName" />
615     </xs:simpleType>
616 </xs:element>
617 </xs:schema>

```

618 Appendix B. WSDL

619 This WSDL describes the WS-MC protocol from the point of view of the endpoint that receives the
620 MakeConnection message.

621 Also note that this WSDL is intended to describe the internal structure of the WS-MC protocol, and will not
622 generally appear in a description of a WS-MC-capable Web service. See section 3.4 Policy for a higher-
623 level mechanism to indicate that WS-MC is supported.

624 The normative WSDL 1.1 definition for WS-MakeConnection is located at:

625 <http://docs.oasis-open.org/ws-rx/wsmc/200608/wSDL/wsmc-1.1-wsdl-200608.wsdl>

626 The following non-normative copy is provided for reference.

```
627 <?xml version="1.0" encoding="utf-8"?>
628 <!--
629 OASIS takes no position regarding the validity or scope of any intellectual
630 property or other rights that might be claimed to pertain to the
631 implementation or use of the technology described in this document or the
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658 basis and OASIS DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT
659 NOT LIMITED TO ANY WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT
660 INFRINGE ANY RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS
661 FOR A PARTICULAR PURPOSE.
662 -->
663 <wSDL:definitions xmlns:wSDL="http://schemas.xmlsoap.org/wSDL/"
664 xmlns:xs="http://www.w3.org/2001/XMLSchema"
665 xmlns:wsa="http://www.w3.org/2005/08/addressing"
666 xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200608"
667 xmlns:rm="http://docs.oasis-open.org/ws-rx/wsr/200608"
668 xmlns:tns="http://docs.oasis-open.org/ws-rx/wsmc/200608/wSDL"
669 targetNamespace="http://docs.oasis-open.org/ws-rx/wsmc/200608/wSDL">
670 <wSDL:types>
```

```
671     <xs:schema>
672         <xs:import namespace="http://docs.oasis-open.org/ws-rx/wsmc/200608"
673 schemaLocation="http://docs.oasis-open.org/ws-rx/wsmc/200608/wsmc-1.1-schema-
674 200608.xsd"/>
675     </xs:schema>
676 </wsdl:types>

677 <wsdl:message name="MakeConnection">
678     <wsdl:part name="makeConnection" element="rm:MakeConnection"/>
679 </wsdl:message>

680 <wsdl:portType name="MCAbstractPortType">
681     <wsdl:operation name="MakeConnection">
682         <wsdl:input message="tns:MakeConnection" wsaw:Action="http://docs.oasis-
683 open.org/ws-rx/wsmc/200608/MakeConnection"/>
684         <!-- As described in the WS-MakeConnection specification, the
685             MakeConnection operation establishes a connection. If a matching
686             message is available then the back-channel of the connection will
687             be used to carry the message. In SOAP terms the returned message
688             is not a response, so there is no WSDL output message. -->
689     </wsdl:operation>
690 </wsdl:portType>

691 </wsdl:definitions>
```

692 Appendix C. Message Examples

693 Appendix C.1 Example use of MakeConnection

694 To illustrate how a `MakeConnection` message exchange can be used to deliver messages to an
695 Endpoint that is not addressable, consider the case of a pub/sub scenario in which the Endpoint to which
696 notifications are to be delivered (the "event consumer") is not addressable by the notification sending
697 Endpoint (the "event producer"). In this scenario the event consumer must initiate the connections in order
698 for the notifications to be delivered. One possible set of message exchanges (using HTTP) that
699 demonstrate how this can be achieved using `MakeConnection` is shown below.

700 **Step 1** – During a "subscribe" operation, the event consumer's EPR specifies the MC anonymous URI
701 and the WS-RM Policy Assertion to indicate whether or not RM is required:

```
702 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"  
703 xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200608"  
704 xmlns:wsrmp="http://docs.oasis-open.org/ws-rx/wsrmp/200608"  
705 xmlns:wsa="http://www.w3.org/2005/08/addressing">  
706   <S:Header>  
707     <wsa:To> http://example.org/subscriptionService </wsa:To>  
708     <wsa:MessageID> http://client456.org/id-a6d8-a7c2eb546813</wsa:MessageID>  
709     <wsa:ReplyTo>  
710       <wsa:To> http://client456.org/response </wsa:To>  
711     </wsa:ReplyTo>  
712   </S:Header>  
713   <S:Body>  
714     <sub:Subscribe xmlns:sub="http://example.org/subscriptionService">  
715       <!-- subscription service specific data -->  
716       <targetEPR>  
717         <wsa:Address>http://docs.oasis-open.org/ws-  
718 rx/wsrmp/200608/anonymous?id=550e8400-e29b-11d4-a716-446655440000</wsa:Address>  
719         <wsa:Metadata>  
720           <wsp:Policy wsu:Id="MyPolicy">  
721             <wsrmp:RMAssertion/>  
722           </wsp:Policy>  
723         </wsa:Metadata>  
724       </targetEPR>  
725     </sub:Subscribe>  
726   </S:Body>  
727 </S:Envelope>
```

728 In this example the `subscribe` and `targetEPR` elements are simply examples of what a subscription
729 request message might contain. Note: the `wsa:Address` element contains the MC anonymous URI
730 indicating that the notification producer needs to queue the messages until they are requested using the
731 `MakeConnection` message exchange. The EPR also contains the WS-RM Policy Assertion indicating
732 the RM must be used when notifications related to this subscription are sent.

733 **Step 2** – Once the subscription is established, the event consumer checks for a pending message:

```
734 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"  
735 xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200608"  
736 xmlns:wsa="http://www.w3.org/2005/08/addressing">  
737   <S:Header>  
738     <wsa:Action>http://docs.oasis-open.org/ws-  
739 rx/wsmc/200608/MakeConnection</wsa:Action>
```

```

740     <wsa:To> http://example.org/subscriptionService </wsa:To>
741   </S:Header>
742   <S:Body>
743     <wsmc:MakeConnection>
744       <wsmc:Address>http://docs.oasis-open.org/ws-
745 rx/wsmc/200608/anonymous?id=550e8400-e29b-11d4-a716-
746 446655440000</wsmc:Address>
747     </wsmc:MakeConnection>
748   </S:Body>
749 </S:Envelope>

```

750 **Step 3** – If there are messages waiting to be delivered then a message will be returned back to the event
751 consumer. However, because WS-RM is being used to deliver the messages, the first message returned
752 is a `CreateSequence`:

```

753 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
754 xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200608"
755 xmlns:wsmr="http://docs.oasis-open.org/ws-rx/wsmr/200608"
756 xmlns:wsa="http://www.w3.org/2005/08/addressing">
757   <S:Header>
758     <wsa:Action>http://docs.oasis-open.org/ws-
759 rx/wsmr/200608/CreateSequence</wsa:Action>
760     <wsa:To>http://docs.oasis-open.org/ws-
761 rx/wsmr/200608/anonymous?id=550e8400-e29b-11d4-a716-446655440000</wsa:To>
762     <wsa:ReplyTo> http://example.org/subscriptionService </wsa:ReplyTo>
763     <wsa:MessageID> http://example.org/id-123-456 </wsa:MessageID>
764     <wsmc:MessagePending pending="true"/>
765   </S:Header>
766   <S:Body>
767     <wsmr:CreateSequence>
768       <wsmr:AcksTo>
769         <wsa:Address> http://example.org/subscriptionService </wsa:Address>
770       </wsmr:AcksTo>
771     </wsmr:CreateSequence>
772   </S:Body>
773 </S:Envelope>

```

774 Notice from the perspective of how the RM Source on the event producer interacts with the RM
775 Destination of those messages, nothing new is introduced by the use of the `MakeConnection`, the use
776 of RM protocol is the same as the case where the event consumer is addressable. Note the message
777 contains a `wsmc:MessagePending` header indicating that additional message are waiting to be
778 delivered.

779 **Step 4** – The event consumer will respond with a `CreateSequenceResponse` message per normal WS-
780 Addressing rules:

```

781 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
782 xmlns:wsmr="http://docs.oasis-open.org/ws-rx/wsmr/200608"
783 xmlns:wsa="http://www.w3.org/2005/08/addressing">
784   <S:Header>
785     <wsa:Action>http://docs.oasis-open.org/ws-
786 rx/wsmr/200608/CreateSequenceResponse</wsa:Action>
787     <wsa:To> http://example.org/subscriptionService </wsa:To>
788     <wsa:RelatesTo> http://example.org/id-123-456 </wsa:RelatesTo>
789   </S:Header>
790   <S:Body>
791     <wsmr:CreateSequenceResponse>
792       <wsmr:Identifier> http://example.org/rmid-456 </wsmr:Identifier>

```

```
793     </wsrm:CreateSequenceResponse>
794   </S:Body>
795 </S:Envelope>
```

796 Note, this message is carried on an HTTP request directed to the `wsa:ReplyTo` EPR, and the HTTP
797 response will be an HTTP 202.

798 **Step 5** – The event consumer checks for another message pending:

```
799 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
800 xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200608"
801 xmlns:wsa="http://www.w3.org/2005/08/addressing">
802   <S:Header>
803     <wsa:Action>http://docs.oasis-open.org/ws-
804 rx/wsmc/200608/MakeConnection</wsa:Action>
805     <wsa:To> http://example.org/subscriptionService </wsa:To>
806   </S:Header>
807   <S:Body>
808     <wsmc:MakeConnection>
809       <wsmc:Address>http://docs.oasis-open.org/ws-
810 rx/wsmc/200608/anonymous?id=550e8400-e29b-11d4-a716-
811 446655440000</wsmc:Address>
812     </wsmc:MakeConnection>
813   </S:Body>
814 </S:Envelope>
```

815 Notice this is the same message as the one sent in step 2.

816 **Step 6** – Since there is a message pending for this destination then it is returned on the HTTP response:

```
817 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
818 xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200608"
819 xmlns:wsmr="http://docs.oasis-open.org/ws-rx/wsmr/200608"
820 xmlns:wsa="http://www.w3.org/2005/08/addressing">
821   <S:Header>
822     <wsa:Action> http://example.org/eventType1 </wsa:Action>
823     <wsa:To>http://docs.oasis-open.org/ws-
824 rx/wsmr/200608/anonymous?id=550e8400-e29b-11d4-a716-446655440000</wsa:To>
825     <wsmr:Sequence>
826       <wsmr:Identifier> http://example.org/rmid-456 </wsmr:Identifier>
827     </wsmr:Sequence>
828     <wsmc:MessagePending pending="true"/>
829   </S:Header>
830   <S:Body>
831     <!-- event specific data -->
832   </S:Body>
833 </S:Envelope>
```

834 As noted in step 3, the use of the RM protocol does not change when using `MakeConnection`. The
835 format of the messages, the order of the messages sent and the timing of when to send it remains the
836 same.

837 **Step 7** – At some later interval, or immediately due to the `MessagePending` header's "pending"
838 attribute being set to "true", the event consumer will poll again:

```
839 <S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
840 xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200608"
841 xmlns:wsa="http://www.w3.org/2005/08/addressing">
```

```
842 <S:Header>
843 <wsa:Action>http://docs.oasis-open.org/ws-
844 rx/wsmc/200608/MakeConnection</wsa:Action>
845 <wsa:To> http://example.org/subscriptionService </wsa:To>
846 </S:Header>
847 <S:Body>
848 <wsmc:MakeConnection>
849 <wsmc:Address>http://docs.oasis-open.org/ws-
850 rx/wsmc/200608/anonymous?id=550e8400-e29b-11d4-a716-
851 44665440000</wsmc:Address>
852 </wsmc:MakeConnection>
853 </S:Body>
854 </S:Envelope>
```

855 Notice this is the same message as the one sent in steps 2 and 5. As in steps 3 and 6, the response to
856 the `MakeConnection` can be any message destined to the specified Endpoint. This allows the event
857 producer to send not only application messages (events) but RM protocol messages (e.g.
858 `CloseSequence`, `TerminateSequence` or even additional `CreateSequences`) as needed.

859 **Step 8** – If at any point in time there are no messages pending, in response to a `MakeConnection` the
860 event producer returns an HTTP 202 back to the event consumer. The process then repeats (back to step
861 7) until the subscription ends.

862 **Appendix D. Acknowledgments**

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889 **Appendix E. Revision History**

Rev	Date	By Whom	What
wd-01	2006-12-31	Doug Davis	Initial version created based on MakeConnection support in the WS-RM spec

890 **Appendix F. Notices**

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