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2 Asynchronous Service Access 3 Protocol (ASAP) Version 1.0

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19 Abstract:

20 A standard protocol is needed to integrate asynchronous services across the Internet and
21 provide for their interaction. The integration and interactions consist of control and
22 monitoring of the services. *Control* means creating the service, setting up the service,
23 starting the service, stopping the service, being informed of exceptions, being informed of
24 the completion of the service and getting the results of the service. *Monitoring* means
25 checking on the current status of the service and getting an execution history of the
26 service. The protocol should be lightweight and easy to implement, so that a variety of
27 devices and situations can be covered.

28 The Asynchronous Service Access Protocol (ASAP) is a proposed way to solve this
29 problem through use of Simple Object Access Protocol (SOAP), and by transferring
30 structured information encoded in XML. A new set of SOAP methods are defined, as well
31 as the information to be supplied and the information returned in XML that accomplish the
32 control and monitoring of generic asynchronous services.

33 This document will: provide an executive overview; specify the goals of ASAP; explain
34 how the resource (object) model works; explain how uniform resource names (URI) are
35 used to invoke methods of those resources; explain how to encode data to be sent or
36 received; and specify preliminary details of the interface methods and parameters.

37 Status:

38 This document is updated periodically on no particular schedule. Send comments to the
39 editor. Committee members should send comments on this specification to the
40 asap@lists.oasis-open.org list. Others should subscribe to and send comments to the
41 asap-comment@lists.oasis-open.org list. To subscribe, send an email message to asap-comment-request@lists.oasis-open.org with the word "subscribe" as the body of the
42 message.

43 For information on whether any patents have been disclosed that may be essential to
44 implementing this specification, and any offers of patent licensing terms, please refer to
45

46 the Intellectual Property Rights section of the ASAP TC web page (<http://www.oasis-open.org/committees/asap/>).
47

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1 Introduction

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1.1 Summary

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This protocol offers a way to start an instance of an asynchronous web service, monitor it, control it, and be notified when it is complete. This service instance can perform just about anything for any purpose. The key aspect is that the service instance is something that one would like to start remotely, and it will take a long time to run to completion. Short-lived services would be invoked synchronously with Simple Object Access Protocol (SOAP) [SOAP] and one would simply wait for completion. Because certain service instances last anywhere from a few minutes to a few months, they must be invoked asynchronously.

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How does it work? You must start with the URI of a service definition called a *factory*. A SOAP request to this URL will cause this service definition to generate a service instance, and return the URL of this new service instance that is used for all the rest of the requests. The service instance can be provided with data (any XML data structure) by another SOAP request. The current state of the service instance can be retrieved with another SOAP request. The service instance can be paused or resumed with another SOAP request. There is also a pair of requests that may be used to give input data to the service instance, and to ask for the current value of the output data.

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What happens when it is done? The service instance runs asynchronously and takes whatever time it needs to complete. The originating program can, if it chooses, keep polling the state of the service instance in order to find out when it is complete. This will consume resources unnecessarily both on the originating side as well as the performing side. Instead, the originator may provide the service instance with an EPR for an observer. When the service instance is completed it will send a SOAP request to the EPR for each observer. This allows the originator to be put to sleep, freeing up operating system as well as network resources while waiting for the service instance to complete.

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1.2 Not-so-technical executive summary

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What does this mean in English? Most existing Internet protocols like HTTP are based on an unwritten assumption of instant gratification. If a client asks for any resource that takes longer than about a minute to generate, then the request times out, that is, it fails. We call anything on the Internet like HTML pages and GIF images a *resource*. Most resources such as web pages are static or require a very simple database query to create, so they easily meet the instant gratification requirement.

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As we have applied Internet technology to more and more scenarios, this assumption of instant gratification has become more strained. A good example is wireless Internet. With wireless, the resource may take more than a minute to generate simply because of a poor connection.

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A more telling example is electronic commerce. In commerce, it may not be a simple database query that generates a document but rather an entire corporate business process with a human approval involved. Very few corporate business processes especially those requiring management approval, take less than a minute to complete.

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What needed in real world scenarios is ability to ask for a resource and for that resource to be able to respond, "The information isn't ready yet. Where would you like me to send it when I'm done?" That is what ASAP considers as *start an instance of a generic asynchronous service and be notified when it is complete*. Someone asking for the resource should be able to pester, just like in the real world, with questions like, "Are you done yet? Where is that document I asked for?" That is what ASAP considers as *monitor*. Finally the requestor asking resource change mind in mid process, just like in the real world with statements like, "Change that to five widgets, not six." That is what ASAP considers as *control*.

148 With such a protocol, business should be able to integrate not just applications but business
149 processes, which is what electronic commerce is really all about. With such a protocol, business
150 should also be able to integrate within and between enterprises much faster because of the ability
151 to have manual processes look and act to everything else on the Internet as if it were actually
152 automated.

153 Here is an example. An ASAP message is sent to a server requesting inventory levels of a certain
154 part number. The server responds to the requestor "The information isn't ready yet. Where would
155 you like me to send it when I'm done?" The server then sends a message to Steve's two-way
156 pager in the warehouse asking him to type in the inventory level of the certain part number. After
157 a coffee break, Steve duly types in the number. The server creates the proper message and
158 responds to the requestor. To the outside world, an electronic message was sent and an
159 electronic message was received. The result is automated inventory level tracking. Nobody need
160 to know that Steve walked down the aisle and counted by hand.

161 **1.3 Problem statement**

162 Not all services are instantaneous. A standard protocol is needed to integrate asynchronous
163 services (processes or work providers) across the Internet and provide for their interaction. The
164 integration and interactions consist of control and monitoring of the service. *Control* means
165 creating the service, setting up the service, starting the service, stopping the service, being
166 informed of exceptions, being informed of the completion of the service and getting the results of
167 the service. *Monitoring* means checking on the current status and getting execution history of the
168 service.

169 The protocol should be lightweight and easy to implement, so that a variety of devices and
170 situations can be covered.

171 **1.4 Things to achieve**

172 In order to have a realizable agreement on useful capabilities in a short amount of time, it is
173 important to be very clear about the goals of this effort.

- 174 • The protocol should not reinvent anything unnecessarily. If a suitable standard exists, it
175 should be used rather than re-implement in a different way.
- 176 • The protocol should be consistent with XML Protocol and SOAP.
- 177 • This protocol should be easy to incorporate into other SOAP-based protocols that require
178 asynchronous communication
- 179 • The protocol should be the minimal necessary to support a generic asynchronous service.
180 This means being able to start, monitor, exchange data with, and control a generic
181 asynchronous service on a different system.
- 182 • The protocol must be extensible. The first version will define a very minimal set of
183 functionality. Yet a system must be able to extend the capability to fit the needs of a
184 particular requirement, such that high level functionality can be communicated which
185 gracefully degrades to interoperate with systems that do not handle those extensions.
- 186 • Like other Internet protocols, ASAP should not require or make any assumptions about the
187 platform or the technology used to implement the generic asynchronous service.
- 188 • Terseness of expression is not a goal of this protocol. Ease of generating, understanding
189 and parsing should be favored over compactness.

190 Regarding human readability, the messages should be self-describing for the programmer, but
191 they are not intended for direct display for the novice end user. This specification attempts to
192 adhere to Eric S. Raymond's ninth principle: "Smart data structures and dumb code works a lot
193 better than the other way around," or, paraphrased from Frederick P. Brooks, "Show me your
194 [code] and conceal your [data structures], and I shall continue to be mystified. Show me your
195 [data structures], and I won't usually need your [code]; it'll be obvious." **[RAYMOND]**

196 1.5 Things not part of the goals

197 It is also good practice to clearly demark those things that are not to be covered by the first
198 generation of this effort:

- 199 • The goals of ASAP do not include a way to set up or to program the generic services in any
200 way. Especially for the case where the service is a workflow service, ASAP does not provide
201 a way to retrieve or submit process definitions. The service can be considered to be a "black
202 box" which has been pre-configured to do a particular process. ASAP does not provide a
203 way to discover what it is that the service is really doing, only that it does it (given some data
204 to start with) and some time later completes (providing some result data back).
- 205 • ASAP will not include the ability to perform maintenance of the asynchronous web service
206 such as installation or configuration.
- 207 • ASAP will not support statistics or diagnostics of collections of asynchronous web service.
208 ASAP is designed for the control and monitoring of individual asynchronous web services.
- 209 • ASAP does not specify security. Rather, it relies on transport or session layer security. ASAP
210 can adopt SOAP –specific security protocols once they are finalized.
- 211 • ASAP does not address service quality issues of transport such as guaranteed delivery,
212 redundant delivery and non-repudiation. Rather, ASAP relies on the session layer, the
213 transport layer, or other SOAP protocols to address these issues.

214 These may be added in a later revision, but there is no requirement to support these from the first
215 version, and so any discussion on these issues should not be part of ASAP working group
216 meetings.

217 1.6 Terminology

218 The key words *must*, *must not*, *required*, *shall*, *shall not*, *should*, *should not*, *recommended*, *may*,
219 and *optional* in this document are to be interpreted as described in [[RFC2119](#)].

220 Other specific terms are as follows.

221 Web Service: W3C Web Service Architecture Group [[W3C Arch](#)] defined Web Service as "A
222 software system designed to support interoperable machine-to-machine interaction over a
223 network. It has an interface described in a machine-processable format (specifically WSDL).
224 Other systems interact with the Web service in a manner prescribed by its description using
225 SOAP messages, typically conveyed using HTTP with an XML serialization in conjunction with
226 other Web-related standards"

227 Service: synonymous with web service.

228 Asynchronous Web Service: A web service or set of web services designed around a mode of
229 operation where a request is made to start an operation, and a later separate request is made to
230 communicate the results of the operation. A number of requests may be made in between in
231 order to control and monitor the asynchronous operation. The results of the operation may be
232 delivered either by polling requests from the originator, or else by a notification request originated
233 by the performer.

234 Method: An individual interoperable function is termed a "method". Each method may be passed
235 a set of request parameters and return a set of response parameters.

236 Resource types: Methods are divided into different groups to better identify their context. The
237 primary groups of methods required for interoperability are named Instance, Factory, and
238 Observer.

239 Instance: This is the resource implemented by the web service that is actually performing the
240 requested work. These resources allow for the actual monitoring and controlling of the work.

- 241 Factory: This is the resource implemented by the service instance factory. Methods are provided
 242 to start new service instances, to list or search for existing instances, and to provide definitional
 243 information about the instances.
- 244 Observer: This is a resource that a web service must implement in order to receive notification
 245 events from the service instance.
- 246 Context data: The XML data sent to initiate the service.
- 247 Results data: The XML data created by the successful completion of the service.

248 1.7 Notation conventions

249 The following namespace prefixes are used throughout this document:

Prefix	Namespace URI	Definition
as	http://www.oasis-open.org/asap/1.0/asap.xsd	ASAP namespace
env	http://schemas.xmlsoap.org/soap/envelope/	Envelope namespace from SOAP 1.1
enc	http://schemas.xmlsoap.org/soap/encoding/	Encoding namespace from SOAP 1.1
xsd	http://www.w3.org/2001/XMLSchema	XML Schema namespace
wsa	http://schemas.xmlsoap.org/ws/2004/08/addressing	W3C WS Addressing namespace

250 *Table 1 Namespaces*

251 This specification uses an informal syntax we call *pseudo-XML* to describe the XML grammar of
 252 an ASAP document. This syntax is similar to that employed by the WSDL 1.1 specification

Convention	Example
The syntax appears as an XML instance, but the values indicate the data types instead of values.	<p:tag name="nmtoken" />
Paragraphs within tags are the description of the tag and should be thought of as commented out with <!-- -->	<p:tag> longer description of the purpose of the tag. </p:tag>
Characters are appended to elements and attributes as follows: "?" (0 or 1), "*" (0 or more), "+" (1 or more).	<p:tag>*
Elements names ending in "..." indicate that elements/attributes irrelevant to the context are being omitted or they are exactly as defined previously.	<p:tag.../>
Grammar in bold has not been introduced earlier in the document, or is of particular interest in an example.	<p:tag />
"Extensible element" is a placeholder for elements from some "other" namespace (like ##other in XSD).	<-- extensible element -->
The XML namespace prefixes (defined above) are used to indicate the namespace of the element being defined	
Examples starting with <?pseudo-xml?> contain enough information to conform to this specification; others examples are fragments and require additional information to be specified in order to conform.	<?pseudo-xml?>

253 *Table 2 Pseudo-XML documentation conventions*

254 Formal syntax is available in supplementary XML Schema and WSDL specifications in the
 255 document.

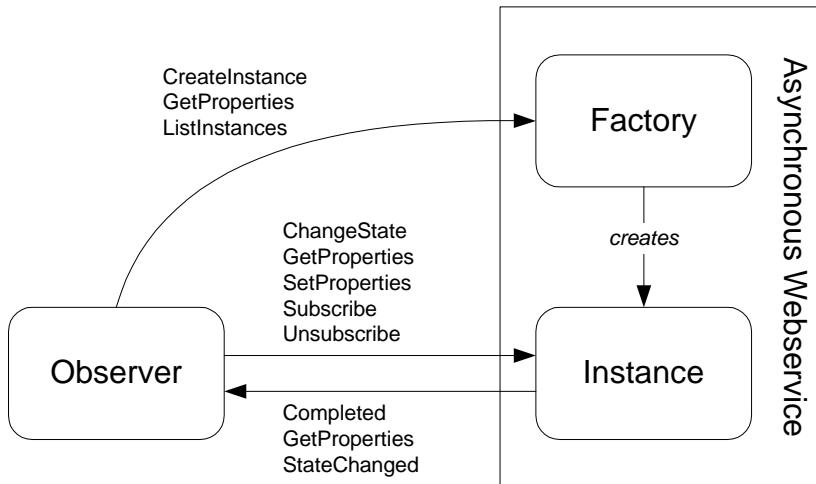
256 1.8 Related documents

257 An understanding of SOAP and how it works is assumed in order to understand this document.

258 **2 Resource model**

259 **2.1 Overview**

260 For the support of an asynchronous web service, three types of web services are defined to
261 match the three roles of the interaction: Instance, Factory, and Observer. A web service type is
262 distinguished by the group of operations it supports, and so there are three groups of operations.

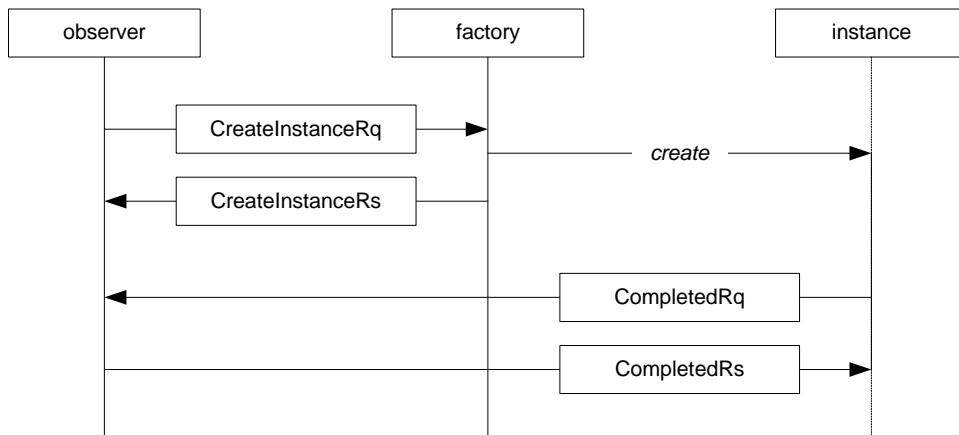


263 *Figure 1 Resource types of an asynchronous web service and the methods they use*

264 Typical use of this protocol would be as follows:

- 265 1. A Factory service receives a `CreateInstanceRq` message that contains `ContextData` and an EPR of an Observer
- 266 2. The Factory service creates an Instance service and subscribes the Observer to the Instance
- 267 3. The Factory responds to `CreateInstanceRq` message with a `CreateInstanceRs` message that contains an EPR for the Instance
- 268 4. The Instance service eventually completes its task and sends a `CompletedRq` message that
- 269 5. The Instance service sends a `CompletedRs` message to the Observer

270



271 *Figure 2 Typical use of ASAP*

276 **2.2 Instance**

277 The Instance resource is the actual "performance of work". It embodies the context information
278 that distinguishes one performance of one asynchronous service from another. Every time the
279 asynchronous service is to be invoked, a new instance is created and given its own resource
280 identifier. A service instance can be used only once: it is created, then it can be started, it can be
281 paused, resumed, terminated. If things go normally, it will eventually complete.

282 When a service is to be enacted, a requestor will reference a service factory's resource identifier
283 and create an instance of that service. Since a new instance will be created for each enactment,
284 the service factory may be invoked (or instantiated) any number of times simultaneously.
285 However, each service instance will be unique and exist only once. Once created, a service
286 instance may be started and will eventually be completed or terminated.

287 **2.3 Factory**

288 The Factory resource represents a "way of doing some work". It is the most fundamental
289 resource required for the interaction of generic services. It represents the description of a
290 service's most basic functions, and is the resource from which instances of a service will be
291 created. Since every service to be enacted must be uniquely identifiable by an interoperating
292 service or service requestor, the factory will provide a resource identifier. When a service is to be
293 enacted, this resource identifier will be used to reference the desired asynchronous service to be
294 executed. A service might be a set of tasks carried out by a group of individuals, or it might be
295 a set of machine instructions that make up an executable program, or it might be any combination
296 of these. The important point to remember about a service factory is that while it embodies the
297 knowledge of how work is performed, it does not actually do the work. The service instance does
298 the work.

299 **2.4 Observer**

300 The Observer resource provides a means by which a service instance may communicate
301 information about events occurring during its execution, such as its completion or termination.
302 Third-party resources may have an interest in the status of a given service instance for various
303 organization and business reasons. Observers subscribe to a service instance by providing an
304 EPR. A service instance notifies all observers by sending SOAP messages to the observer
305 EPRs.

306 **2.5 URI**

307 Each resource has an URI address, called the key. A given implementation has complete control
308 over how it wishes to create the URI that identifies the resource. It should stick to a single
309 method of producing these URI Keys, so that the names can serve as a unique identifier for the
310 resource involved. The receiving program should treat it as an opaque value and not assume
311 anything about the format of the URI. All instance keys must be unique.

312 URIs for resources are exchanged in WS-Addressing endpoint references, so that any additional
313 information required for addressing the URI can be provided dynamically to protocol participants.

314 **2.6 ContextData and ResultData**

315 The heart of an asynchronous service is the ContextData and the ResultData. The
316 ContextData and the ResultData are the unique part of a particular service; everything else
317 is boilerplate. The ContextData is the query or the initial request to the service. The
318 ContextData dictates, determines or implies what the service instance should create. The
319 ResultData is what the service eventually creates for the observers.

320

3 Protocol

321

3.1 SOAP

322

Simple Object Access Protocol (SOAP) [8] is a protocol that defines a simple way for two programs to exchange information. The protocol consists of a client program that initiates a request to a server program. Any given program may be capable of being both a client and a server. Our use of these terms refers only to the role being performed by the program for a particular connection, rather than to the program's capabilities in general. The request involves the sending of a request message from the client to the server. The response involves the sending of a response message from the server back to the client. Both the request and response messages conform to the SOAP message format.

330

The root tag of an ASAP message is a SOAP envelope as defined by the SOAP standard.

331

The message must contain a SOAP header as per the SOAP standard for addressing and routing the message and must employ WS-Addressing. An ASAP message from a client must contain the Request element and a message from a server must contain a Response element.

334

3.2 Request header

335

A request header uses WS-Addressing message information header blocks.

336

/wsa:MessageID Allows for message correlation with wsa:RelatesTo in responses.

337

/wsa:ReplyTo If a response is required, provide a wsa:ReplyTo element.

338

/wsa:From The request MAY specify the endpoint reference for the resource that originated the request. This may be redundant with similar specifier in the transport layer.

340

/wsa:FaultTo If an endpoint should be sent faults, provide a wsa:FaultTo element.

341

/wsa:To The request MUST specify the key of the resource that the request is being made to. This may be redundant with similar specifier in the transport layer.

343

/wsa:ReplyTo If a response is required, provide a wsa:ReplyTo element. In most cases in the ASAP protocol, a response is required. If a client does not know, or does not have, an endpoint reference, then there is a special WS-Addressing defined "anonymous" address which can be used in some transports where the response can be delivered without knowing the endpoint address.

348

/wsa:MessageID Allows for message correlation with wsa:RelatesTo in responses.

349

/wsa:Action The request MUST specify a URI defining the semantics of the message. For ASAP exchange this must contain the IRI of the namespace combined with the QName of the tag inside the body tag. The WSDL files produced for ASAP exchanges must specify this value as the action value of that particular operation.

353

/wsa:From The use of this optional tag is discouraged in ASAP interchanges. The meaning is not clear, and it is not in any case useful since there is no guarantee that you can send a message to this endpoint, nor is there any definition of what endpoint can be used for.

356

/wsa:FaultTo For ASAP interchanges this MUST not be used. ASAP protocol is defined such that every request results in a response: either the result or a fault.

358

```
<?pseudo-xml?>
<env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
  <env:Header>
    <wsa:To>The URI of the receiver</wsa:To>
```

```

362 |   <wsa:ReplyTo>?EPR for replies</wsa:ReplyTo>
363 |   <wsa:MessageID>?xsd:anyURI</wsa:MessageID>
364 |   <wsa:ReplyTo>?Optional EPR for replies</wsa:ReplyTo>
365 |   <wsa:From>? The EPR of the sender</wsa:From>
366 |   <wsa:FaultTo>?Optional EPR for faults</wsa:FaultTo>
367 |   <wsa:To>The URI of the receiver</wsa:To>
368 |   <wsa:Action>URI identifying the semantics of the message</wsa:Action>
369 |   </env:Header>
370 |   <env:Body>
371 |   ...
372 |   </env:Body>
373 |   </env:Envelope>

```

374 Example 1 Request header

375

3.3 Response header

377 WS-Addressing message information header blocks are used in responses.

378 /wsa:From The response MUST specify the endpoint reference of the resource that originated
 379 the response. This may be redundant with similar specifier in the transport layer. **(Question:**
 380 **should this be ReplyTo instead?)**

381 /wsa:To The response **MAYMUST** specify the key of the resource that the response is being
 382 made to. This may be redundant with similar specifier in the transport layer. **The original request**
 383 **may have come from an anonymous source, and the appropriate anonymous value should be**
 384 **used.**

385 Note that the ~~wsa:To is mandatory in a request and the wsa:From is mandatory in a response.~~
 386 ~~The purpose is to enforce keys upon ASAP resources without placing an unnecessary burden on~~
 387 ~~resources that are merely employing ASAP resources. For instance, a Java program that~~
 388 ~~instantiates an asynchronous service instance may not know its own URL.~~

389 /wsa:Action The response MUST specify a URI defining the semantics of the message.

390 /wsa:RelatesTo If the original request had a MessageID, then the response must carry one with
 391 that value in it. The requester can use this ID to correlate the response with the original request.

392

```

393 <?pseudo-xml?>
394 <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope"
395   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing"
396 >
397   <env:Header>
398     <wsa:MessageID>?xsd:anyURI</wsa:MessageID>
399     <wsa:From>Endpoint reference of the sender</wsa:From>
400     <wsa:To>? The URI of the receiver </wsa:To>
401     <wsa:Action>Reply action</wsa:Action>
402     <wsa:RelatesTo>?Message Id of the request for correlation</wsa:RelatesTo>
403   </env:Header>
404   <env:Body>
405   ...
406   </env:Body>
407 </env:Envelope>

```

408 Example 2 Response header

3.4 Compatibility with Earlier Implementations

410 Some implementations of ASAP were completed and made publicly available before the WS-
 411 Addressing specification was formulated. An implementation of ASAP MAY implement backward
 412 compatibility mechanism in order to interoperate in a consistent way with early implementations.

413 WS-Addressing introduced 4 new tags placed in the header of SOAP message which replaced
414 earlier ASAP message header tags. These earlier tags will be referred to as "deprecated" tags
415 and should not be used except in the context of backward compatibility. Because they are
416 deprecated they are not included in the official schema structures, and will not be mentioned in
417 other parts of this document.

418 When formulating messages to send, the deprecated tags should be included as redundant
419 carriers of the information. Older implementations that do not know about the new tags will use
420 the deprecated tags.

421 When receiving messages, the new tags should take precedence. If a new tag exists, its value
422 should be used, and the presence of the corresponding deprecated tag should be ignored. But if
423 the new tag is not present, and the deprecated tag is present, then the value from the
424 deprecated tag should be used as if it came from the new tag.

New Tag	Deprecated Tag	Comment
<code><wsa:To></code>	<code><as:RecieverKey></code>	Both are IRI addresses.
<code><wsa:ReplyTo></code>	<code><as:SenderKey></code>	The ReplyTo is an endpoint reference, while the SenderKey holds only the address part of the EPR.
<code><wsa:MessageId></code>	<code><as:RequestId></code>	Both of these denote unique ids for a particular exchange. RequestId was used in both request and reply, while MessageId is used in the request, RelatesTo is used in the response.
<code><wsa:RelatesTo></code>	<code><as:RequestId></code>	

425

426 **3.33.5 Body**

427 ASAP requires that there be one of the following elements within the body which represents the
428 information needed for a specific operation:

	Factory	Instance	Observer
GetPropertiesRq	X	X	X
GetPropertiesRs	X	X	X
SetPropertiesRq		X	
SetPropertiesRs		X	
CompletedRq			X
CompletedRs			X
CreateInstanceRq	X		
CreateInstanceRs	X		
ListInstancesRq	X		
ListInstancesRs	X		
ChangeStateRq		X	
ChangeStateRs		X	
StateChangedRq			X
StateChangedRs			X
SubscribeRq		X	
SubscribeRs		X	
UnsubscribeRq		X	
UnsubscribeRs		X	
env:Fault	X	X	X

429 *Table 3 The ASAP message body elements*

430 These elements and their contents are described in detail in the sections on the specific
431 operations.

432 4 Instance resource

433 All resources that represent the execution of a long-term asynchronous service must implement
434 the Service Instance resource. The purpose of this resource type is to allow the work to proceed
435 asynchronously from the caller. The Instance represents a unit of work, and a new instance of
436 the Instance resource must be created for every time the work is to be performed.

437 The performing of the work may take anywhere from minutes to months, so there are a number of
438 operations that may be called while the work is going on. While the work is proceeding, ASAP
439 requests can be used to check on the state of the work. If the input data has changed in the
440 meantime, new input values may be supplied to the Instance, though how it responds to new data
441 is determined by details about the actual task it is performing. Early values of the result data may
442 be requested, which may or may not be complete depending upon the details of the task being
443 performed. The results are not final until the unit of work is completed. When the state of the
444 Instance changes, it can send events to the Observer informing it of these changes. The only
445 event that is absolutely required is the "completed" or "terminated" events that tell the requesting
446 resource that the results are final and the Instance resource may be disappearing.

447 While a business process will implement Instance, it is important to note that there are also many
448 other types of resources that will implement the Instance resource; it will also be implemented on
449 any discrete task that needs to be performed asynchronously. Thus a wrapper for a legacy CICS
450 transaction would implement the Instance resource so that that legacy application could be called
451 and controlled by any program that speaks ASAP. A driver for an actual physical device, such as
452 a numerical milling machine, would implement the Instance resource if that device were to be
453 controlled by ASAP. Any program to be triggered by a process flow system that takes a long time
454 to perform should implement the Instance resource, for example a program that automatically
455 backs up all the hard drives for a computer. Since these resources represent discrete units of
456 work (which have no subunits represented within the system) these resources will not need to
457 have any activities.

458 4.1 Instance resource properties

459 Key: A URI that uniquely identifies this resource.

460 State: The current status of this resource. Please see more details on the status property later in
461 section on Section 7.3 "State Type". This property is not directly settable, but can be changed
462 through the ChangeState command.

463 Name: A human readable identifier of the resource. This name may be nothing more than a
464 number.

465 Subject: A short description of this process instance. This property can be set using
466 SetProperties.

467 Description: A longer description of this process instance resource. This property can be set
468 using SetProperties.

469 FactoryKey: EPR for the factory resource from which this instance was created.

470 Observers: A collection of endpoint references of registered observers of this process instance, if
471 any exist.

472 ContextData: Context-specific data that represents the values that the service execution is
473 expected to operate on.

474 ResultData: Context-specific data that represents the current values resulting from process
475 execution. This information will be encoded as described in the section Process Context and
476 Result Data above. If result data are not yet available, the ResultData element is returned empty.

477 History: Describes the sequence of events and time stamp of the process instance.

478 UserInterface: The address of a web based user interface for the process, should one exist. The
479 remote asynchronous service may have a way to display this service instance to the user(s) who
480 are involved in the local service. The URI in this EPR can be used in the local service to make a
481 link to the remote service that can be navigated by a user to see directly the state of the remote
482 process. An example of this might be a order process, which in turn schedules a shipment from a
483 courier, and the courier provides a way to track the shipment, and so this EPR would allow the
484 user to the purchase process to access the tracking display directly. This value is optional, and if
485 not present then assumed that the remote service instance has no UI acceptable for the local
486 users.

487

```
488 <?pseudo-xml?>
489 ...
490 <as:Key> URI </as:Key>
491 <as:State>open.notrunning</as:State>
492 <as:Name> string </as:Name>
493 <as:Subject> string </as:Subject>
494 <as:Description> string </as:Description>
495 <as:FactoryKey> EPR </as:FactoryKey>
496 <as:Observers>
497 <as:ObserverKey>* EPR </as:ObserverKey>
498 </as:Observers>
499 <as:ContextData>
500 <!-- extensible element -->
501 </as:ContextData>
502 <as:ResultData>
503 <!-- extensible element -->
504 </as:ResultData>
505 <as:History xlink:href="url"/>
506 ...
```

507 *Example 3 Instance resource properties*

```
508 <xsd:group name="instancePropertiesGroup">
509   <xsd:sequence>
510     <xsd:element name="Key" type="xsd:anyURI"/>
511     <xsd:element name="State" type="stateType"/>
512     <xsd:element name="Name" type="xsd:string"/>
513     <xsd:element name="Subject" type="xsd:string"/>
514     <xsd:element name="Description" type="xsd:string"/>
515     <xsd:element name="FactoryKey" type="wsa:EndpointReferenceType"/>
516     <xsd:element name="Observers">
517       <xsd:complexType>
518         <xsd:sequence>
519           <xsd:element name="ObserverKey" type="wsa:EndpointReferenceType" maxOccurs="unbounded"/>
520         </xsd:sequence>
521       </xsd:complexType>
522     </xsd:element>
523     <xsd:element name="ContextData">
524       <xsd:complexType>
525         <xsd:sequence>
526           <xsd:any namespace="#any" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
527         </xsd:sequence>
528       </xsd:complexType>
529     </xsd:element>
530     <xsd:element name="ResultData">
531       <xsd:complexType>
532         <xsd:sequence>
533           <xsd:any namespace="#any" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
534         </xsd:sequence>
```

```

535     </xsd:complexType>
536     </xsd:element>
537     <xsd:element name="History" type="historyType"/>
538     <xsd:element name="UserInterface" type="wsa:EndpointReference" minOccurs="0"/>
539   </xsd:sequence>
540 </xsd:group>
541
542 <xsd:complexType name="stateType">
543   <xsd:simpleContent>
544     <xsd:extension base="xsd:string">
545       <xsd:attribute name="namespace" type="xsd:anyURI"/>
546     </xsd:extension>
547   </xsd:simpleContent>
548 </xsd:complexType>
549
550 <xsd:element name="Event">
551 <xsd:complexType>
552   <xsd:sequence>
553     <xsd:element name="Time" type="xsd:dateTime"/>
554     <xsd:element name="EventType">
555       <xsd:simpleType>
556         <xsd:restriction base="xsd:string">
557           <xsd:enumeration value="InstanceCreated"/>
558           <xsd:enumeration value="PropertiesSet"/>
559           <xsd:enumeration value="StateChanged"/>
560           <xsd:enumeration value="Subscribed"/>
561           <xsd:enumeration value="Unsubscribed"/>
562           <xsd:enumeration value="Error"/>
563         </xsd:restriction>
564       </xsd:simpleType>
565     </xsd:element>
566     <xsd:element name="SourceKey" type="wsa:EndpointReferenceType"/>
567     <xsd:element name="Details" type="xsd:anyType"/>
568     <xsd:element name="OldState" type="as:stateType"/>
569     <xsd:element name="NewState" type="as:stateType"/>
570   </xsd:sequence>
571 </xsd:complexType>
572 </xsd:element>
573 <xsd:complexType name="historyType">
574   <xsd:sequence>
575     <xsd:element ref="Event" maxOccurs="unbounded"/>
576   </xsd:sequence>
577 </xsd:complexType>

```

578

579 *Schema 1 Instance resource properties*

580 **4.2 GetProperties**

581 This is a single method that returns all the values of all the properties of the resource.

582 GetPropertiesRq: This is the main element present in the SOAP Body element.

```

583   <?pseudo-xml?>
584   <env:Envelope xmlns:env=http://www.w3.org/2001/12/soap-envelope>
585     xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing">
586     <env:Header>
587       <wsa:...>
588     </env:Header>
589     <env:Body>
590       <as:GetPropertiesRq/>
591     </env:Body>
592   </env:Envelope>

```

593 *Example 4 Instance resource GetProperties method request*

```

594   <?pseudo-xml?>
595   <env:Envelope xmlns:env=http://www.w3.org/2001/12/soap-envelope>

```

```
596
597     xmlns:wsa= "http://schemas.xmlsoap.org/ws/2004/08/addressing">
598     <env:Header>
599         <wsa:...>
600     </env:Header>
601     <env:Body>
602         <as:GetPropertiesRs>
603             <!-- properties -->
604         </as:GetPropertiesRs>
605     </env:Body>
606 </env:Envelope>
```

606 *Example 5 Instance resource GetProperties method response*

```
607     <xsd:element name="GetPropertiesRq"/>
608     <xsd:element name="GetPropertiesRs" type="instancePropertiesGroup"/>
```

609 *Schema 2 Instance resource GetProperties method*

610 **4.3 SetProperties**

611 All resources implement SetProperties and allow as parameters all of the settable properties.
612 This method can be used to set at least the displayable name, the description, or the priority of a
613 process flow resource. This is an abstract interface, and the resources that implement this
614 interface may have other properties that can be set in this manner. All of the parameters are
615 optional, but to have any effect at least one of them must be present. This returns the complete
616 info for the resource, just as the GetProperties method does, which will include any updated
617 values.

618 Data: A collection of elements that represent the context of this Instance. The elements are from
619 the schema defined by this resource. The context is considered to be the union of the previous
620 context and these values, which means that a partial set of values can be used to update just
621 those elements in the partial set having no effect on elements not present in the call.

```
622     <?pseudo-xml?>
623     <env:Envelope xmlns:env=http://www.w3.org/2001/12/soap-envelope
624         xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing">
625         <env:Header>
626             <wsa:...>
627         </env:Header>
628         <env:Body>
629             <as:SetPropertiesRq>
630                 <as:Subject...?>
631                 <as:Description...?>
632                 <as:Priority...?>
633                 <as:Data>
634                     <!-- extensible element -->
635                 </as:Data>
636             </as:SetPropertiesRq>
637         </env:Body>
638     </env:Envelope>
639 
```

640 *Example 6 Instance resource SetProperties method request*

```
641     <?pseudo-xml?>
642     <env:Envelope xmlns:env=http://www.w3.org/2001/12/soap-envelope
643         xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing">
644         <env:Header>
645             <wsa:...>
646         </env:Header>
647         <env:Body>
648             <as:SetPropertiesRs...>
649                 Returns the same response as GetProperties
650             </as:SetPropertiesRs>
651         </env:Body>
```

652 </env:Envelope>

653 *Example 7 Instance resource SetProperties method response*

654 <xsd:element name="SetPropertiesRq">

655 <xsd:complexType>

656 <xsd:sequence>

657 <xsd:element name="Subject" type="xsd:string"/>

658 <xsd:element name="Description" type="xsd:string"/>

659 <xsd:element name="Priority" type="xsd:string"/>

660 <xsd:element name="Data">

661 <xsd:complexType>

662 <xsd:sequence>

663 <xsd:any namespace="##any" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>

664 </xsd:sequence>

665 </xsd:complexType>

666 </xsd:element>

667 </xsd:sequence>

668 </xsd:complexType>

669 </xsd:element>

670 <xsd:element name="SetPropertiesRs" type="instancePropertiesGroup"/>

671 *Schema 3 Instance resource SetProperties method*

672 **4.4 Subscribe**

673 To allow scalability, Instances will notify Observers when important events occur. Observers must register their endpoint references with the Instance in order to be notified.

674

675 The subscribe method is a way for other implementations of the Observer Operation Group to register themselves to receive posts about changes in process instance state. Not all Instance resources will support this; those that do not support, will return an exception value that explains the error.

676

677 ObserverKey: Endpoint reference to a resource that both implements the Observer Operation Group and will receive the events

681 <?pseudo-xml?>

682 <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope"

683 xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing">

684 <env:Header>

685 <wsa:...>

686 </env:Header>

687 <env:Body>

688 <as:SubscribeRq>

689 <as:ObserverKey> EPR </as:ObserverKey>

690 </as:SubscribeRq>

691 </env:Body>

692 </env:Envelope>

693 *Example 6 Instance resource Subscribe method request*

694 <?pseudo-xml?>

695 <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope"

696 xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing">

697 <env:Header>

698 <wsa:...>

699 </env:Header>

700 <env:Body>

701 <as:SubscribeRs/>

702 </env:Body>

703 </env:Envelope>

704 *Example 7 Instance resource Subscribe method response*

705 <xsd:element name="SubscribeRq">

```
706 <xsd:complexType>
707   <xsd:sequence>
708     <xsd:element name="ObserverKey" type="wsa:EndpointReference"/>
709   </xsd:sequence>
710 </xsd:complexType>
711 </xsd:element>
712 <xsd:element name="SubscribeRs"/>
```

713 *Schema 4 Instance resource Subscribe method*

714 **4.5 Unsubscribe**

715 This is the opposite of the subscribe method. Resource removed from being observers will no
716 longer get events from this resource. The URI of the resource to be removed from the observers
717 list must match exactly to an URI already in the list. If it does match, then that URI will be
718 removed. If it does not match exactly, then there will be no change to the service instance.

```
719 <?pseudo-xml?>
720 <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope"
721   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing">
722   <env:Header>
723     <as:Request...>
724     <wsa:...>
725   </env:Header>
726   <env:Body>
727     <as:UnsubscribeRq>
728       <as:ObserverKey> EPR </as:ObserverKey>
729     </as:UnsubscribeRq>
730   </env:Body>
731 </env:Envelope>
```

732 *Example 8 Instance resource Unsubscribe method request*

```
733 <?pseudo-xml?>
734 <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope"
735   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing">
736   <env:Header>
737     <wsa:...>
738   </env:Header>
739   <env:Body>
740     <as:UnsubscribeRs/>
741   </env:Body>
742 </env:Envelope>
```

743 *Example 9 Instance resource Unsubscribe method response*

```
744 <xsd:element name="UnsubscribeRq">
745   <xsd:complexType>
746     <xsd:sequence>
747       <xsd:element name="ObserverKey" type="wsa:EndpointReference"/>
748     </xsd:sequence>
749   </xsd:complexType>
750 </xsd:element>
751 <xsd:element name="UnsubscribeRs"/>
```

752 *Schema 5 Instance resource Unsubscribe method*

753 **4.6 ChangeState**

754 This method requests a change of state in the service. The instance service should send a
755 StateChanged message to all observers.

```
756 <?pseudo-xml?>
757 <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope"
758   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing">
759   <env:Header>
```

```
760     <wsa:...>
761   </env:Header>
762   <env:Body>
763     <as:ChangeStateRq>
764       <as:State>the state requested</as:State>
765     </as:ChangeStateRq>
766   </env:Body>
767 </env:Envelope>
```

768 *Example 10 Instance resource ChangeState method request*

```
769 <?pseudo-xml?>
770 <env:Envelope xmlns:env=http://www.w3.org/2001/12/soap-envelope
771   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing">
772   <env:Header>
773     <wsa:...>
774   </env:Header>
775   <env:Body>
776     <as:ChangeStateRs>
777       <as:State>the state</as:State>
778     </as:ChangeStateRs>
779   </env:Body>
780 </env:Envelope>
```

781 *Example 11 Instance resource ChangeState method response*

```
782 <xsd:element name="ChangeStateRq">
783   <xsd:complexType>
784     <xsd:sequence>
785       <xsd:element name="State" type="as:stateType"/>
786     </xsd:sequence>
787   </xsd:complexType>
788 </xsd:element>
789 <xsd:element name="ChangeStateRs">
790   <xsd:complexType>
791     <xsd:sequence>
792       <xsd:element name="State" type="as:stateType"/>
793     </xsd:sequence>
794   </xsd:complexType>
795 </xsd:element>
```

796 *Schema 6 Instance resource ChangeState method*

797

5 Factory resource

798

5.1 Factory resource properties

799 Key: A URI that uniquely identifies this resource. All resources must have a Key property.
800 Name: A human readable identifier of the resource. This name may be nothing more than a
801 number.
802 Subject: A short description of this service. Note that the factory and the instance both have a
803 subject. The subject of the factory should be general. The subject of an instance should be
804 specific.
805 Description: A longer description of what the AWS will perform. . Note that the factory and the
806 instance both have a subject. The subject of the factory should be general. The subject of an
807 instance should be specific.
808 ContextDataSchema: An XML Schema representation of the context data that should be
809 supplied when starting an instance of this process. This element contains ContextDataType and
810 should not contain any other global element.
811 ResultDataSchema: an XML Schema representation of the data that will generate and return as
812 a result of the execution of this process. This element contains ResultDataType and should not
813 contain any other global element.
814 Expiration: The minimum amount of time the service instance will remain accessible as a
815 resource after it has been completed for any reason. The requester must plan to pick up all data
816 within this time span of service completion. Data might remain longer than this, but there is no
817 guarantee. The value is expressed as an XML Schema duration data type. For instance, 120
818 days is expresses as "P120D".
819 ServiceGroupReference: Optional EPR for a service group relating created instances.

```
820 <?pseudo-xml?>
821 ...
822 <as:Key> URI </as:Key>
823 <as:Name> xsd:string </as:Name>
824 <as:Subject> xsd:string </as:Subject>
825 <as:Description> xsd:string </as:Description>
826 <as:ContextDataSchema>
827   <xsd:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema">
828     <!-- factory specific items of the context data schema -->
829   </xsd:schema>
830 <as:ResultDataSchema>
831   <xsd:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema">
832     <!-- factory specific items of the result data schema -->
833   </xsd:schema>
834 </as:ResultDataSchema>
835 <as:Expiration> xsd:duration </as:Expiration>
836 ...
```

837 Example 12 Factory resource properties

```
838 <xsd:group name="factoryPropertiesGroup">
839   <xsd:sequence>
840     <xsd:element name="Key" type="xsd:anyURI"/>
841     <xsd:element name="Name" type="xsd:string"/>
842     <xsd:element name="Subject" type="xsd:string"/>
843     <xsd:element name="Description" type="xsd:string"/>
844     <xsd:element name="ContextDataSchema" type="ContextDataType"/>
845     <xsd:element name="ResultDataSchema" type="ResultDataType"/>
```

```
846 <xsd:element name="Expiration" type="xsd:duration"/>
847 <xsd:element name="ServiceGroupReference" type = "wsa:EndpointReference">
848 </xsd:sequence>
849 </xsd:group>
850 <xsd:complexType name="schemaType">
851 <xsd:any namespace="##other"/>
852 <xsd:attribute name="href" type="xsd:anyURI"/>
853 </xsd:complexType>
854 <xsd:complexType name="ContextDataType">
855 <xsd:sequence>
856 <xsd:any namespace="##other"/>
857 </xsd:sequence>
858 </xsd:complexType>
859 <xsd:complexType name="ResultDataType">
860 <xsd:sequence>
861 <xsd:any namespace="##other"/>
862 </xsd:sequence>
863 </xsd:complexType>
```

864 *Schema 7 Factory resource properties*

865 **5.2 GetProperties**

866 The Factory resource `GetProperties` method request is exactly the same as the Instance
867 resource `GetProperties` request. The response returns the properties particular to the factory
868 resource.

```
869 <?pseudo-xml?>
870 <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope"
871   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing">
872   <env:Header>
873     <wsa:...>
874   </env:Header>
875   <env:Body>
876     <as:GetPropertiesRq/>
877   </env:Body>
878 </env:Envelope>
```

879 *Example 13 Factory resource GetProperties method request*

```
880 <?pseudo-xml?>
881 <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope"
882   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing">
883   <env:Header>
884     <wsa:...>
885   </env:Header>
886   <env:Body>
887     <as:GetPropertiesRs>
888       <!-- properties -->
889     </as:GetPropertiesRs>
890   </env:Body>
891 </env:Envelope>
```

892 *Example 14 Factory resource GetProperties method response*

```
893 <xsd:element name="GetPropertiesRq"/>
894 <xsd:element name="GetPropertiesRs" type="factoryPropertiesGroup"/>
```

895 *Schema 10 Factory resource GetProperties method*

896

897 **5.3 CreateInstance**

898 Given a process definition resource, this method is how instances of that process are created.
899 There are two modes: create the process, with data, and start it immediately; or just create it and
900 put the data on it and start it manually.

901 StartImmediately element holds a Boolean value to say whether the process instances that is
902 created should be immediately started, or whether it should be put into an initial state for later
903 starting by use of the "start" operation. If this tag is missing, the default value is "Yes".

904 ObserverKey: holds the endpoint reference that will receive events from the created process
905 instance. This observer resource (if it is specified) is to be notified of events impacting the
906 execution of this process instance such as state changes, and most notably the completion of the
907 instance.

908 Name: A human readable name of the new instance. There is no commitment that this name be
909 used in any way other than to return this value as the name. There are no implied uniqueness
910 constraints.

911 Subject: A short description of the purpose of the new instance.

912 Description: A longer description of the purpose of the newly created instance.

913 ContextData: Context-specific data required to create this service instance. Must conform to the
914 schema specified by the ContextDataSchema.

915 InstanceKey: The endpoint reference of the new Instance resource that has been created. This
916 is NOT the same as the key for the factory that is in the Response header.

```
917 <?pseudo-xml?>
918 <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope"
919   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing">
920   <env:Header>
921     <wsa:...>
922   </env:Header>
923   <env:Body>
924     <as:CreateInstanceRq>
925       <as:StartImmediately>Yes|No</as:StartImmediately>
926       <as:ObserverKey? EPR </as:ObserverKey>
927       <as:Name? string </as:Name>
928       <as:Subject? string </as:Subject>
929       <as:Description? string </as:Description>
930       <as:ContextData>
931         <!-- extensible element -->
932       </as:ContextData>
933     </as:CreateInstanceRq>
934   </env:Body>
935 </env:Envelope>
```

936 Example 15 Factory resource CreateInstance method request

```
937 <?pseudo-xml?>
938 <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope"
939   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing"
940   >
941   <env:Header>
942     <wsa:To>URI of the process definition receiving this request</wsa:To>
943   </env:Header>
944   <env:Body>
945     <as:CreateInstanceRs>
946       <as:InstanceKey? EPR </as:InstanceKey>
947     </as:CreateInstanceRs>
948   </env:Body>
949 </env:Envelope>
```

950 Example 16 Factory resource CreateInstance method request

```

951 <xsd:element name="CreateInstanceRq">
952   <xsd:complexType>
953     <xsd:sequence>
954       <xsd:element name="StartImmediately" type="xsd:boolean"/>
955       <xsd:element name="ObserverKey" type="wsa:EndpointReference" minOccurs="0"/>
956       <xsd:element name="Name" type="xsd:string" minOccurs="0"/>
957       <xsd:element name="Subject" type="xsd:string" minOccurs="0"/>
958       <xsd:element name="Description" type="xsd:string" minOccurs="0"/>
959       <xsd:element name="ContextData">
960         <xsd:complexType>
961           <xsd:sequence>
962             <xsd:any namespace="##any" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
963           </xsd:sequence>
964         </xsd:complexType>
965       </xsd:element>
966     </xsd:sequence>
967   </xsd:complexType>
968 </xsd:element>
969 <xsd:element name="CreateInstanceRs">
970   <xsd:element name="InstanceKey" type="wsa:EndpointReference"/>
971 </xsd:element>
```

972 *Schema 11 Factory resource CreateInstance method*

973 **5.4 ListInstances**

974 This method returns a collection of process instances, each instance described by a few
975 important process instance properties.

976 Filter: Specifies what kinds of process instance resource you are interested in.

977 FilterType: indicates what language the filter is expressed in.

```

978 <?pseudo-xml?>
979 <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope"
980   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing">
981   <env:Header>
982     <wsa:...>
983   </env:Header>
984   <env:Body>
985     <as>ListInstancesRq>
986       <as:Filter filterType="nmtoken"?>
987         string
988       </as:Filter>
989     </as>ListInstancesRq>
990   </env:Body>
991 </env:Envelope>
```

992 *Example 17 Factory resource ListInstances method request*

```

993 <?pseudo-xml?>
994 <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope"
995   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing">
996   <env:Header>
997     <as:Response...>
998   </env:Header>
999   <env:Body>
1000     <as>ListInstancesRs>
1001       <as:Instance>*>
1002         <as:InstanceKey> EPR </as:InstanceKey>
1003         <as:Name...?>
1004         <as:Subject...?>
1005         <as:Priority...?>
1006       </as:Instance>
1007       <as>ListInstancesRs>
1008     </env:Body>
1009 </env:Envelope>
```

1010 *Example 18 Factory resource ListInstances method response*

```
1011 <xsd:element name="ListInstancesRq">
1012   <xsd:complexType>
1013     <xsd:sequence>
1014       <xsd:element name="Filter" type="FilterType">
1015         </xsd:element>
1016       </xsd:sequence>
1017     </xsd:complexType>
1018   </xsd:element>
1019   <xsd:complexType name="FilterType">
1020     <xsd:simpleContent>
1021       <xsd:extension base="xsd:string">
1022         <xsd:attribute name="filterType" type="xsd:NMTOKEN"/>
1023       </xsd:extension>
1024     </xsd:simpleContent>
1025   </xsd:complexType>
1026
1027 <xsd:element name="ListInstancesRs">
1028   <xsd:complexType>
1029     <xsd:sequence>
1030       <xsd:element ref="Instance" maxOccurs="unbounded" minOccurs="0"/>
1031     </xsd:sequence>
1032   </xsd:complexType>
1033   </xsd:element>
1034
1035   <xsd:element name="Instance">
1036     <xsd:complexType>
1037       <xsd:sequence>
1038         <xsd:element name="InstanceKey" type="xsd:anyURI"/>
1039         <xsd:element name="Name" type="xsd:string" minOccurs="0"/>
1040         <xsd:element name="Subject" type="xsd:string" minOccurs="0"/>
1041         <xsd:element ref="Priority" type="xsd:int" minOccurs="0"/>
1042       </xsd:sequence>
1043     </xsd:complexType>
1044   </xsd:element>
```

1045 *Schema 12 Factory resource ListInstances method*

1046 **6 Observer resource**

1047 **6.1 Observer resource properties**

1048 The Observer resource can receive events about the state changes of a service instance. An
1049 observer is expected to have a Key.

1050 Key: a URI that uniquely identifies the resource. All resources must have a Key property.

```
1051    <xsd:element name="Key" type="xsd:AnyURI"/>
```

1052 *Schema 13 Observer resource properties*

1053 **6.2 GetProperties**

1054 This method is the same as it was with Instance and Factory resources.

```
1055    <xsd:element name="GetPropertiesRq"/>  
1056    <xsd:element name="GetPropertiesRs" type="observerPropertiesGroup"/>
```

1057 *Schema 14 Observer resource GetProperties method*

1058

1059 **6.3 Completed**

1060 The Completed method indicates that the Instance has completed the work. This is the 'normal'
1061 completion.

1062 This function signals to the observer resource that the started process is completed its task, and
1063 will no longer be processing. There is no guarantee that the resource will persist after this point
1064 in time.

1065 InstanceKey: The URI of a process that is performing this work. The process is addressable by
1066 the wsa:From EPR.

1067 ResultData: Context-specific data that represents the current values resulting from process
1068 execution. This information will be encoded as described in the section Process Context and
1069 Result Data above. If result data are not yet available, the ResultData element is returned empty.

```
1070    <?pseudo-xml?>  
1071    <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">  
1072      <env:Header>  
1073        <as:Request...>  
1074      </env:Header>  
1075      <env:Body>  
1076        <as:CompletedRq>  
1077          <as:InstanceKey> URI </as:Instance>  
1078          <as:ResultData>  
1079            <!-- extensible element -->  
1080          </as:ResultData>  
1081        </as:CompletedRq>  
1082      </env:Body>  
1083 </env:Envelope>
```

1084 *Example 19 Observer resource Completed method request*

```
1085    <?pseudo-xml?>  
1086    <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">  
1087      <env:Header>  
1088        <as:Response...>
```

```
1089 </env:Header>
1090 <env:Body>
1091   <as:CompletedRs/>
1092 </env:Body>
1093 </env:Envelope>
```

1094 *Example 20 Observer resource Completed method response*

```
1095 <xsd:element name="CompletedRq">
1096   <xsd:complexType>
1097     <xsd:sequence>
1098       <xsd:element name="InstanceKey" type="xsd:anyURI"/>
1099       <xsd:element name="ResultData">
1100         <xsd:complexType>
1101           <xsd:sequence>
1102             <xsd:any namespace="##any" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
1103           </xsd:sequence>
1104         </xsd:complexType>
1105       </xsd:element>
1106     </xsd:sequence>
1107   </xsd:complexType>
1108 </xsd:element>
1109 <xsd:element name="CompletedRs"/>
```

1110 *Schema 15 Observer resource Completed method*

1111 **6.4 StateChanged**

1112 Observers receive a StateChanged message from the Instance when the state of the Instance
1113 changes. The response to a notify event is not necessary. Typically, the header request tag will
1114 specify that no response is necessary.

```
1115 <?pseudo-xml?>
1116 <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
1117   <env:Header>
1118     <as:Request...>
1119   </env:Header>
1120   <env:Body>
1121     <as:StateChanged>
1122       <as:State> ...
1123       <as:PreviousState> ...
1124     </as:StateChanged>
1125   </env:Body>
1126 </env:Envelope>
```

1127 *Example 21 Observer resource StateChanged method request*

```
1128 <?pseudo-xml?>
1129 <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
1130   <env:Header>
1131     <as:Response...>
1132   </env:Header>
1133   <env:Body>
1134     <as:StateChangedRs/>
1135   </env:Body>
1136 </env:Envelope>
```

1137 *Example 22 Observer resource StateChanged method response*

```
1138 <xsd:element name="StateChangedRq">
1139   <xsd:complexType>
1140     <xsd:sequence>
1141       <xsd:element name="State" type="as:stateType"/>
1142       <xsd:element name="PreviousState" type="as:stateType"/>
1143     </xsd:sequence>
1144   </xsd:complexType>
1145 </xsd:element>
```

1146

<xsd:element name="StateChangedRs"/>

1147

Schema 16 Observer resource StateChanged method

1148

7 Data encoding

1149

7.1 Context data and result data

1150 The heart of an asynchronous service is the `ContextData` and the `ResultData`. The
1151 `ContextData` and the `ResultData` are the unique part of a particular service; everything else
1152 is boilerplate. The `ContextData` is the query or the initial request to the service. The
1153 `ContextData` dictates, determines or implies what the service instance should create. The
1154 `ResultData` is what the service eventually creates for the observers.

1155 The service factory should provide a schema for the `ContextData` element and `ResultData`
1156 element. The schema may be XML Schema or Relax NG. ASAP follows the SOAP and XML
1157 Schema data type encoding specifications.

1158

7.2 Extensibility

1159 Actual implementations of these resources may extend the set of properties returned. This
1160 document defines the required minimum set, as well as an optional set. Every implementation
1161 MUST return the required properties. The implementation may optionally return additional
1162 properties. Those additional properties should be elements of a namespace that is not ASAP.
1163 Use of extended properties must be carefully considered because this may limit the ability to
1164 interoperate with other systems. In general no system should be coded so as to require an
1165 extended attribute. Instead it should be able to function if the extended properties are missing.
1166 Future versions of this specification will cover the adoption of new properties to be considered
1167 part of the specification.

1168

7.3 State type

1169 The overall status of the asynchronous web service is defined by a state property value. This is a
1170 string value composed of words separated by periods. The status value must start with one of
1171 the seven defined values below, but the value can be extended by adding words on the end of
1172 the status separated by periods. The extension must be a refinement of one of the seven states
1173 defined here, such that it is not necessary to understand the extension. The intention is that these
1174 extensions may be proposed for future inclusion in the standard. The seven defined base states
1175 are:

1176 open.notrunning: A resource is in this state when it has been instantiated, but is not currently
1177 participating in the enactment of a work process.

1178 open.notrunning.suspended: A resource is in this state when it has initiated its participation in
1179 the enactment of a work process, but has been suspended. At this point, no resources contained
1180 within it may be started.

1181 open.running: A resource is in this state when it is performing its part in the normal execution of
1182 a work process.

1183 closed.completed: A resource is in this state when it has finished its task in the overall work
1184 process. All resources contained within it are assumed complete at this point.

1185 closed.abnormalCompleted: A resource is in this state when it has completed abnormally. At
1186 this point, the results for the completed tasks are returned.

1187 closed.abnormalCompleted.terminated: A resource is in this state when it has been terminated
1188 by the requesting resource before it completed its work process. At this point, all resources
1189 contained within it are assumed to be completed or terminated.

1190 closed.abnormalCompleted.aborted: A resource is in this state when the execution of its
1191 process has been abnormally ended before it completed its work process. At this point, no
1192 assumptions are made about the state of the resources contained within it.

```
1193 <xsd:complexType name="stateType">  
1194   <xsd:simpleContent>  
1195     <xsd:extension base="xsd:string">  
1196       <xsd:attribute name="namespace" type="xsd:anyURI"/>  
1197     </xsd:extension>  
1198   </xsd:simpleContent>  
1199 </xsd:complexType>
```

1200 Schema 17 stateType

1201 These state values come from the Workflow Management Coalition standards.

1202 7.4 History type

1203 The history is optional. It contains a list of events. An event is a state change that can occur in the
1204 asynchronous service that is externally identifiable. Notifications can be sent to an observer in
1205 order to inform it of the particular event.

1206 Time: the date/time of the event that occurred

1207 EventType: One of an enumerated set of values to specify event types: InstanceCreated,
1208 PropertiesSet, StateChanged, Subscribed, Unsubscribed, Error. The event types correspond to
1209 the message types that the resource can receive.

1210 SourceKey: The EPR of the resource that triggered this event, usually an observer resource but
1211 perhaps the instance resource itself.

1212 Details: A catchall element for containing any data appropriate.

1213 OldState: The state of the instance resource before this event occurred.

1214 NewState: The state of the instance resource before this event occurred.

```
1215 <xsd:element name="Event">  
1216   <xsd:complexType>  
1217     <xsd:sequence>  
1218       <xsd:element name="Time" type="xsd:dateTime"/>  
1219       <xsd:element name="EventType">  
1220         <xsd:simpleType>  
1221           <xsd:restriction base="xsd:string">  
1222             <xsd:enumeration value="InstanceCreated"/>  
1223             <xsd:enumeration value="PropertiesSet"/>  
1224             <xsd:enumeration value="StateChanged"/>  
1225             <xsd:enumeration value="Subscribed"/>  
1226             <xsd:enumeration value="Unsubscribed"/>  
1227             <xsd:enumeration value="Error"/>  
1228         </xsd:restriction>  
1229     </xsd:simpleType>  
1230   </xsd:element>  
1231   <xsd:element name="SourceKey" type="wsa:EndpointReference"/>  
1232   <xsd:element name="Details" type="xsd:anyType"/>  
1233   <xsd:element name="OldState" type="as:stateType"/>  
1234   <xsd:element name="NewState" type="as:stateType"/>  
1235   </xsd:sequence>  
1236 </xsd:complexType>  
1237 </xsd:element>  
1238 <xsd:complexType name="historyType">  
1239   <xsd:sequence>  
1240     <xsd:element ref="Event" maxOccurs="unbounded"/>  
1241   </xsd:sequence>  
1242 </xsd:complexType>
```

1243 Schema 17 complexType

1244 7.5 Exceptions and error codes

1245 All messages have the option of returning an exception. Exceptions are handled in the manner
1246 specified by SOAP 1.2. The header information should be the same, but in the body of the
1247 response, instead of having an ASAP element such as GetPropertiesRs or CreateInstanceRs,
1248 there will be the SOAP exception element env:Fault.

1249 Multi server transactions: ASAP does not include any way for multiple servers to participate in
1250 the same transactions. It will be up to individual systems to determine what happens if a ASAP
1251 request fails; In some cases it should be ignored, in some cases it should cause that transaction
1252 to fail, and in some cases the operation should be queued to repeat until it succeeds.

```
1253 | <?pseudo-xml?>
1254 | <env:Envelope xmlns:env="http://www.w3.org/2001/12/soap-envelope">
1255 |   <env:Header>
1256 |     <as:Response...>
1257 |   </env:Header>
1258 |   <env:Body>
1259 |     <env:Fault>
1260 |       <faultcode>env:Sender</faultcode>
1261 |       <faultstring>Header specific error</faultstring>
1262 |       <detail>
1263 |         <as:ErrorCode>104</as:ErrorCode>
1264 |         <as:ErrorMessage>Invalid key</as:ErrorMessage>
1265 |       </detail>
1266 |     </env:Fault>
1267 |   </env:Body>
1268 | </env:Envelope>
```

1269 Example 23 Exception

1270 These error codes are chosen to be specific with the error codes defined by the Workflow
1271 Management Coalition Wf-MXL 1.1 specification.

	Header-specific	100 Series
1273	These exceptions deal with missing or invalid parameters in the header.	
1274	ASAP_PARSING_ERROR	101
1275	ASAP_ELEMENT_MISSING	102
1276	ASAP_INVALID_VERSION	103
1277	ASAP_INVALID_RESPONSE_REQUIRED_VALUE	104
1278	ASAP_INVALID_KEY	105
1279	ASAP_INVALID_OPERATION_SPECIFICATION	106
1280	ASAP_INVALID_REQUEST_ID	107
1281	 	
1282	Data	200 Series
1283	These exceptions deal with incorrect context or result data	
1284	ASAP_INVALID_CONTEXT_DATA	201
1285	ASAP_INVALID_RESULT_DATA	202
1286	ASAP_INVALID_RESULT_DATA_SET	203
1287	 	
1288	Authorization	300 Series
1289	A user may not be authorized to carry out this operation on a particular resource, e.g., may 1290 not create a process instance for that process definition.	
1291	ASAP_NO_AUTHORIZATION	301
1292	 	
1293	Operation	400 Series

1294	The operation can not be accomplished because of some temporary internal error in the workflow engine. This error may occur even when the input data is syntactically correct and authorization is permitted.	
1295		
1296		
1297	ASAP_OPERATION_FAILED	401
1298		
1299	Resource Access	500 Series
1300	A valid Key has been used, however this operation cannot currently be invoked on the specified resource.	
1301		
1302	ASAP_NO_ACCESS_TO_RESOURCE	501
1303	ASAP_INVALID_FACTORY	502
1304	ASAP_MISSING_INSTANCE_KEY	503
1305	ASAP_INVALID_INSTANCE_KEY	504
1306		
1307	Operation-specific	600 Series
1308	These are the more operation specific exceptions. Typically, they are only used in a few operations, possibly a single one.	
1309		
1310	ASAP_INVALID_STATE_TRANSITION	601
1311	ASAP_INVALID_OBSERVER_FOR_RESOURCE	602
1312	ASAP_MISSING_NOTIFICATION_NAME	603
1313	ASAP_INVALID_NOTIFICATION_NAME	604
1314	ASAP_HISTORY_NOT_AVAILABLE	605
1315		

1316 **7.6 Language**

1317 ASAP messages should indicate their preferred language using the `xml:lang` attribute either in the
 1318 SOAP Envelope element (the root element) or in the ASAP Request or Response element.

1319 **7.7 Security**

1320 HTTP provides for both authenticated as well as anonymous requests. Because of the nature of
 1321 process flow in controlling access to resources, many operations will not be allowed unless
 1322 accompanied by a valid and authenticated user ID. There are two primary means that this will be
 1323 provided: HTTP authorization header or transport level encryption such as SSL.

1324 The first and most common method of authentication over HTTP is through the use of the
 1325 Authorization header. This header carries a user name and a password that can be used to
 1326 validate against a user directory. If the request is attempted but the authentication of the user
 1327 fails, or the Authorization header field is not present, then the standard HTTP error "401
 1328 Unauthorized" is the response. Within this, there are two authentication schemes:

- 1329 • Basic involves carrying the name and password in the authorization field and is not
 1330 considered secure.
- 1331 • A digest authentication for HTTP is specified in IETF RFC-2069
 1332 [<http://ietf.org/rfc/rfc2069.html>], which offers a way to securely authenticate without sending
 1333 the password in the clear.

1334 Second, encryption at the transport level, such as SSL, can provide certificate based
 1335 authentication of the user making the request. This is much more secure than the previous
 1336 option, and should be used when high security is warranted.

1337 Because the lower protocol levels are providing the user ID, ASAP does not specify how to send
 1338 the client user ID. The authenticated user ID can be assumed to be present in the server at the
 1339 time of handling the request.

1340 Note that since most ASAP interactions are between programs that we would normally consider
 1341 to be servers (i.e. process flow engine to process flow engine) the conclusion can be made that

1342 all such process flow engines will need a user id and associated values (e.g. password or
1343 certificate) necessary to authenticate themselves to other servers. Servers must be configured
1344 with the appropriate safeguards to assure that these associated values are protected from view.
1345 Under no circumstances should a set of process flow engines be configured to make anonymous
1346 ASAP requests that update information since the only way to be sure that the request is coming
1347 from a trustable source is through the authentication.

1348 With the authentication requirements above, of either HTTP authorization header field or SSL
1349 secure transport, ASAP should be able to protect and safeguard sensitive data while allowing
1350 interoperability to and from any part of the Internet.

1351

8 References

1352

8.1 Normative

1353

[RFC2119] S. Bradner, *Key words for use in RFCs to Indicate Requirement Levels*,
<http://www.ietf.org/rfc/rfc2119.txt>, IETF RFC 2119, March 1997.

1354

[SOAP] Simple Object Access Protocol

1355

[W3C Arch] Web Services Architecture Working Group,

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<http://www.w3.org/TR/2004/NOTE-ws-arch-20040211/>

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<http://www.w3.org/TR/xmlschema-1/> and

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[WS-Addressing] Don Box, et al., *Web services Addressing (WS-Addressing)*,

1361

W3C Member Submission, August 2004,

1362

<http://www.w3.org/Submission/2004/SUBM-ws-addressing-20040810/>

1363

[RAYMOND] The Art of Unix Programming by Eric S. Raymond, Addison Wesley

1364

Publishers

1365

1366

1367

1368 Appendix A. Schema

```
1369 <?xml version="1.0"?>
1370 <xsd:schema
1371   targetNamespace= "http://docs.oasis-open.org/asap/1.0/asap.xsd"
1372   xmlns:xsd= "http://www.w3.org/2001/XMLSchema"
1373   xmlns:wsa= "http://schemas.xmlsoap.org/ws/2004/08/addressing"
1374   xmlns:as="http://docs.oasis-open.org/asap/1.0/asap.xsd"
1375 >
1376
1377 <xsd:import namespace= "http://schemas.xmlsoap.org/ws/2004/08/addressing"
1378   schemaLocation="http://schemas.xmlsoap.org/ws/2004/08/addressing"/>
1379
1380 <!-- ===== simple property elements ===== -->
1381
1382 <xsd:complexType name="schemaType">
1383   <xsd:sequence>
1384     <xsd:any namespace="#other" />
1385   </xsd:sequence>
1386   <xsd:attribute name="href" type="xsd:anyURI" />
1387 </xsd:complexType>
1388
1389 <xsd:complexType name="ContextDataType">
1390   <xsd:sequence>
1391     <xsd:any namespace="#other" />
1392   </xsd:sequence>
1393 </xsd:complexType>
1394
1395 <xsd:complexType name="ResultDataType">
1396   <xsd:sequence>
1397     <xsd:any namespace="#other" />
1398   </xsd:sequence>
1399 </xsd:complexType>
1400
1401 <xsd:complexType name="stateType">
1402   <xsd:simpleContent>
1403     <xsd:extension base="xsd:string">
1404       <xsd:attribute name="namespace" type="xsd:anyURI" />
1405     </xsd:extension>
1406   </xsd:simpleContent>
1407 </xsd:complexType>
1408
1409 <xsd:element name="Event">
1410   <xsd:complexType>
1411     <xsd:sequence>
1412       <xsd:element name="Time" type="xsd:dateTime" />
1413       <xsd:element name="EventType">
1414         <xsd:simpleType>
1415           <xsd:restriction base="xsd:string">
1416             <xsd:enumeration value="InstanceCreated"/>
1417             <xsd:enumeration value="PropertiesSet"/>
1418             <xsd:enumeration value="StateChanged"/>
1419             <xsd:enumeration value="Subscribed"/>
1420             <xsd:enumeration value="Unsubscribed"/>
1421             <xsd:enumeration value="Error"/>
1422           </xsd:restriction>
1423         </xsd:simpleType>
1424       </xsd:element>
1425       <xsd:element name="SourceKey" type="wsa:EndpointReferenceType" />
1426       <xsd:element name="Details" type="xsd:anyType" />
```

```

1427     <xsd:element name="OldState" type="as:stateType" />
1428     <xsd:element name="NewState" type="as:stateType" />
1429   </xsd:sequence>
1430 </xsd:complexType>
1431 </xsd:element>
1432
1433 <xsd:complexType name="historyType">
1434   <xsd:sequence>
1435     <xsd:element ref="as:Event" maxOccurs="unbounded" />
1436   </xsd:sequence>
1437 </xsd:complexType>
1438
1439 <xsd:simpleType name="YesNoIfError">
1440   <xsd:restriction base="xsd:string">
1441     <xsd:enumeration value="Yes" />
1442     <xsd:enumeration value="No" />
1443     <xsd:enumeration value="IfError" />
1444   </xsd:restriction>
1445 </xsd:simpleType>
1446
1447 <!-- ===== properties ===== -->
1448
1449 <xsd:group name="resourcePropertiesGroup">
1450   <xsd:sequence>
1451     <xsd:element name="Key" type="xsd:anyURI" />
1452   </xsd:sequence>
1453 </xsd:group>
1454
1455 <xsd:group name="descriptivePropertiesGroup">
1456   <xsd:sequence>
1457     <xsd:element name="Name" type="xsd:string" />
1458     <xsd:element name="Subject" type="xsd:string" />
1459     <xsd:element name="Description" type="xsd:string" />
1460   </xsd:sequence>
1461 </xsd:group>
1462
1463
1464
1465 <xsd:group name="instancePropertiesGroup">
1466   <xsd:sequence>
1467     <xsd:group ref="as:resourcePropertiesGroup" />
1468     <xsd:group ref="as:descriptivePropertiesGroup" />
1469     <xsd:element name="State" type="as:stateType" />
1470     <xsd:element name="FactoryKey" type="wsa:EndpointReferenceType" />
1471     <xsd:element name="Observers" >
1472       <xsd:complexType>
1473         <xsd:sequence>
1474           <xsd:element name="ObserverKey" type="wsa:EndpointReferenceType" /
1475           maxOccurs="unbounded" minOccurs="0" />
1476         </xsd:sequence>
1477       </xsd:complexType>
1478     </xsd:element>
1479     <xsd:element name="ContextData" >
1480       <xsd:complexType>
1481         <xsd:sequence>
1482           <xsd:any namespace="#any" processContents="lax" /
1483           minOccurs="0" maxOccurs="unbounded" />
1484         </xsd:sequence>
1485       </xsd:complexType>
1486     </xsd:element>
1487     <xsd:element name="ResultData" >
1488       <xsd:complexType>
1489         <xsd:sequence>

```

```

1490     <xsd:any namespace="##any" processContents="lax"
1491         minOccurs="0" maxOccurs="unbounded" />
1492     </xsd:sequence>
1493     </xsd:complexType>
1494   </xsd:element>
1495     <xsd:element name="History" type="as:historyType"/>
1496     <xsd:element name="UserInterface" type="wsa:EndpointReferenceType"
1497         minOccurs="0" />
1498   </xsd:sequence>
1499 </xsd:group>
1500
1501 <xsd:group name="factoryPropertiesGroup">
1502   <xsd:sequence>
1503     <xsd:group ref="as:resourcePropertiesGroup" />
1504     <xsd:group ref="as:descriptivePropertiesGroup" />
1505     <xsd:element name="ContextDataSchema" type="as:ContextDataType" />
1506     <xsd:element name="ResultDataSchema" type="as:ResultDataType" />
1507     <xsd:element name="Expiration" type="xsd:duration" />
1508     <xsd:element name="ServiceGroupReference" type="wsa:EndpointReferenceType" />
1509   </xsd:sequence>
1510 </xsd:group>
1511
1512 <xsd:group name="observerPropertiesGroup">
1513   <xsd:sequence>
1514     <xsd:group ref="as:resourcePropertiesGroup" />
1515   </xsd:sequence>
1516 </xsd:group>
1517
1518 <!-- ===== messages ===== -->
1519
1520 <xsd:element name="GetPropertiesRq" />
1521
1522 <xsd:element name="GetPropertiesRs">
1523   <xsd:complexType>
1524     <xsd:choice>
1525       <xsd:group ref="as:instancePropertiesGroup" />
1526       <xsd:group ref="as:factoryPropertiesGroup" />
1527       <xsd:group ref="as:observerPropertiesGroup" />
1528     </xsd:choice>
1529   </xsd:complexType>
1530 </xsd:element>
1531
1532 <xsd:element name="SetPropertiesRq">
1533   <xsd:complexType>
1534     <xsd:sequence>
1535       <xsd:element name="Subject" type="xsd:string" />
1536       <xsd:element name="Description" type="xsd:string" />
1537       <xsd:element name="Priority" type="xsd:int" />
1538       <xsd:element name="Data">
1539         <xsd:complexType>
1540           <xsd:sequence>
1541             <xsd:any namespace="##any" processContents="lax"
1542                 minOccurs="0" maxOccurs="unbounded" />
1543           </xsd:sequence>
1544         </xsd:complexType>
1545       </xsd:element>
1546     </xsd:sequence>
1547   </xsd:complexType>
1548 </xsd:element>
1549
1550 <xsd:element name="SetPropertiesRs">
1551   <xsd:complexType>
1552     <xsd:choice>

```

```

1553     <xsd:group ref="as:instancePropertiesGroup" />
1554     <xsd:group ref="as:factoryPropertiesGroup" />
1555     <xsd:group ref="as:observerPropertiesGroup" />
1556   </xsd:choice>
1557 </xsd:complexType>
1558 </xsd:element>
1559
1560 <xsd:element name="SubscribeRq">
1561   <xsd:complexType>
1562   <xsd:sequence>
1563     <xsd:element name="ObserverKey" type="wsa:EndpointReferenceType" />
1564   </xsd:sequence>
1565 </xsd:complexType>
1566 </xsd:element>
1567
1568 <xsd:element name="SubscribeRs" />
1569
1570 <xsd:element name="UnsubscribeRq">
1571   <xsd:complexType>
1572   <xsd:sequence>
1573     <xsd:element name="ObserverKey" type="wsa:EndpointReferenceType" />
1574   </xsd:sequence>
1575 </xsd:complexType>
1576 </xsd:element>
1577
1578 <xsd:element name="UnsubscribeRs" />
1579
1580 <xsd:element name="CreateInstanceRq">
1581   <xsd:complexType>
1582   <xsd:sequence>
1583     <xsd:element name="StartImmediately" type="xsd:boolean" />
1584     <xsd:element name="ObserverKey" type="wsa:EndpointReferenceType"
1585       minOccurs="0" />
1586     <xsd:element name="Name" type="xsd:string" minOccurs="0" />
1587     <xsd:element name="Subject" type="xsd:string" minOccurs="0" />
1588     <xsd:element name="Description" type="xsd:string"
1589       minOccurs="0" />
1590     <xsd:element name="ContextData">
1591       <xsd:complexType>
1592         <xsd:sequence>
1593           <xsd:any namespace="##any" processContents="lax"
1594             minOccurs="0" maxOccurs="unbounded" />
1595         </xsd:sequence>
1596       </xsd:complexType>
1597     </xsd:element>
1598   </xsd:sequence>
1599 </xsd:complexType>
1600 </xsd:element>
1601
1602 <xsd:element name="CreateInstanceRs">
1603   <xsd:complexType>
1604   <xsd:sequence>
1605     <xsd:element name="InstanceKey" type="wsa:EndpointReferenceType" />
1606   </xsd:sequence>
1607 </xsd:complexType>
1608 </xsd:element>
1609
1610 <xsd:complexType name="FilterType">
1611   <xsd:simpleContent>
1612     <xsd:extension base="xsd:string">
1613       <xsd:attribute name="filterType" type="xsd:NMTOKEN" />
1614     </xsd:extension>
1615   </xsd:simpleContent>

```

```

1616 </xsd:complexType>
1617
1618 <xsd:element name="ListInstancesRq">
1619   <xsd:complexType>
1620     <xsd:sequence>
1621       <xsd:element name="Filter" type="as:FilterType">
1622         </xsd:element>
1623       </xsd:sequence>
1624     </xsd:complexType>
1625   </xsd:element>
1626
1627 <xsd:element name="Instance">
1628   <xsd:complexType>
1629     <xsd:sequence>
1630       <xsd:element name="InstanceKey" type="wsa:EndpointReferenceType" />
1631       <xsd:element name="Name" type="xsd:string" minOccurs="0" />
1632       <xsd:element name="Subject" type="xsd:string" minOccurs="0" />
1633       <xsd:element name="Priority" type="xsd:int" minOccurs="0" />
1634     </xsd:sequence>
1635   </xsd:complexType>
1636 </xsd:element>
1637
1638 <xsd:element name="ListInstancesRs">
1639   <xsd:complexType>
1640     <xsd:sequence>
1641       <xsd:element ref="as:Instance" maxOccurs="unbounded"
1642         minOccurs="0" />
1643     </xsd:sequence>
1644   </xsd:complexType>
1645 </xsd:element>
1646
1647 <xsd:element name="CompletedRq">
1648   <xsd:complexType>
1649     <xsd:sequence>
1650       <xsd:element name="InstanceKey" type="xsd:anyURI" />
1651       <xsd:element name="ResultData">
1652         <xsd:complexType>
1653           <xsd:sequence>
1654             <xsd:any namespace="#any" processContents="lax"
1655               minOccurs="0" maxOccurs="unbounded" />
1656           </xsd:sequence>
1657         </xsd:complexType>
1658       </xsd:element>
1659     </xsd:sequence>
1660   </xsd:complexType>
1661 </xsd:element>
1662
1663 <xsd:element name="CompletedRs" />
1664
1665 <xsd:element name="ChangeStateRq">
1666   <xsd:complexType>
1667     <xsd:sequence>
1668       <xsd:element name="State" type="as:stateType" />
1669     </xsd:sequence>
1670   </xsd:complexType>
1671 </xsd:element>
1672
1673 <xsd:element name="ChangeStateRs">
1674   <xsd:complexType>
1675     <xsd:sequence>
1676       <xsd:element name="State" type="as:stateType" />
1677     </xsd:sequence>
1678   </xsd:complexType>

```

```
1679 | </xsd:element>
1680 |
1681 | <xsd:element name="StateChangedRq">
1682 |   <xsd:complexType>
1683 |     <xsd:sequence>
1684 |       <xsd:element name="State" type="as:stateType"/>
1685 |       <xsd:element name="PreviousState" type="as:stateType"/>
1686 |     </xsd:sequence>
1687 |   </xsd:complexType>
1688 | </xsd:element>
1689 |
1690 | <xsd:element name="StateChangedRs" />
1691 |
1692 | </xsd:schema>
```

1693

1694 WSDL Elements

1695

```
1696 <?xml version="1.0" encoding="utf-8"?>
1697 <wsd:definitions xmlns="http://schemas.xmlsoap.org/wsdl/"
1698   xmlns:wsd="http://schemas.xmlsoap.org/wsdl/"
1699   xmlns:xsd="http://www.w3.org/2001/XMLSchema"
1700   xmlns:asdl="http://docs.oasis-open.org/asap/1.0/asap.wsdl"
1701   xmlns:asap="http://docs.oasis-open.org/asap/1.0/asap.xsd"
1702   xmlns:soap="http://schemas.xmlsoap.org/wsdl/soap/"
1703   targetNamespace="http://docs.oasis-open.org/asap/1.0/asap.wsdl"
1704 >
1705
1706 <wsd:types>
1707 <xsd:schema elementFormDefault= "qualified"
1708   targetNamespace= "http://docs.oasis-open.org/asap/1.0/asap.wsdl">
1709   <xsd:import namespace="http://docs.oasis-open.org/asap/1.0/asap.xsd"
1710     schemaLocation="http://docs.oasis-open.org/asap/1.0/asap.xsd"/>
1711 </xsd:schema>
1712 </wsd:types>
1713
1714 <!-- Messages ===== -->
1715
1716 <wsd:message name="completedRequest">
1717   <wsd:part name="body" element="asap:CompletedRq"/>
1718 </wsd:message>
1719
1720 <wsd:message name="completedResponse">
1721   <wsd:part name="body" element="asap:CompletedRs"/>
1722 </wsd:message>
1723
1724 <wsd:message name="createInstanceRequest">
1725   <wsd:part name="body" element="asap>CreateInstanceRq"/>
1726 </wsd:message>
1727
1728 <wsd:message name="createInstanceResponse">
1729   <wsd:part name="body" element="asap>CreateInstanceRs"/>
1730 </wsd:message>
1731
1732 <wsd:message name="getPropertiesRequest">
1733   <wsd:part name="body" element="asap:GetPropertiesRq"/>
1734 </wsd:message>
1735
1736 <wsd:message name="getPropertiesResponse">
1737   <wsd:part name="body" element="asap:GetPropertiesRs"/>
1738 </wsd:message>
1739
1740 <wsd:message name="listInstancesRequest">
1741   <wsd:part name="body" element="asap>ListInstancesRq"/>
1742 </wsd:message>
1743
1744 <wsd:message name="listInstancesResponse">
1745   <wsd:part name="body" element="asap>ListInstancesRs"/>
1746 </wsd:message>
1747
1748 <wsd:message name="setPropertiesRequest">
1749   <wsd:part name="body" element="asap:SetPropertiesRq"/>
1750 </wsd:message>
1751
1752 <wsd:message name="setPropertiesResponse">
```

```

1753     <wsd:part name="body" element="asap:SetPropertiesRs" />
1754 </wsd:message>
1755
1756 <wsd:message name="subscribeRequest">
1757     <wsd:part name="body" element="asap:SubscribeRq" />
1758 </wsd:message>
1759
1760 <wsd:message name="subscribeResponse">
1761     <wsd:part name="body" element="asap:SubscribeRs" />
1762 </wsd:message>
1763
1764 <wsd:message name="unsubscribeRequest">
1765     <wsd:part name="body" element="asap:UnsubscribeRq" />
1766 </wsd:message>
1767
1768 <wsd:message name="unsubscribeResponse">
1769     <wsd:part name="body" element="asap:UnsubscribeRs" />
1770 </wsd:message>
1771
1772 <wsd:message name="stateChangedRequest">
1773     <wsd:part name="body" element="asap:StateChangedRq" />
1774 </wsd:message>
1775
1776 <wsd:message name="changeStateRequest">
1777     <wsd:part name="body" element="asap:ChangeStateRq" />
1778 </wsd:message>
1779
1780 <wsd:message name="stateChangedResponse">
1781     <wsd:part name="body" element="asap:StateChangedRs" />
1782 </wsd:message>
1783
1784 <wsd:message name="changeStateResponse">
1785     <wsd:part name="body" element="asap:ChangeStateRs" />
1786 </wsd:message>
1787
1788 <!-- Port types ===== -->
1789
1790 <wsd:portType name="InstancePortType">
1791     <wsd:operation name="GetProperties">
1792         <wsd:input message="asdl:getPropertiesRequest" />
1793         <wsd:output message="asdl:getPropertiesResponse" />
1794     </wsd:operation>
1795     <wsd:operation name="SetProperties">
1796         <wsd:input message="asdl:setPropertiesRequest" />
1797         <wsd:output message="asdl:setPropertiesResponse" />
1798     </wsd:operation>
1799     <wsd:operation name="Subscribe">
1800         <wsd:input message="asdl:subscribeRequest" />
1801         <wsd:output message="asdl:subscribeResponse" />
1802     </wsd:operation>
1803     <wsd:operation name="Unsubscribe">
1804         <wsd:input message="asdl:unsubscribeRequest" />
1805         <wsd:output message="asdl:unsubscribeResponse" />
1806     </wsd:operation>
1807     <wsd:operation name="ChangeState">
1808         <wsd:input message="asdl:changeStateRequest" />
1809         <wsd:output message="asdl:changeStateResponse" />
1810     </wsd:operation>
1811 </wsd:portType>
1812
1813 <wsd:portType name="FactoryPortType">
1814     <wsd:operation name="GetProperties">
1815         <wsd:input message="asdl:getPropertiesRequest" />

```

```
1816     <wsd:output message="asdl:getPropertiesResponse" />
1817   </wsd:operation>
1818   <wsd:operation name="CreateInstance">
1819     <wsd:input message="asdl:createInstanceRequest" />
1820     <wsd:output message="asdl:createInstanceResponse" />
1821   </wsd:operation>
1822   <wsd:operation name="ListInstances">
1823     <wsd:input message="asdl:listInstancesRequest" />
1824     <wsd:output message="asdl:listInstancesResponse" />
1825   </wsd:operation>
1826 </wsd:portType>
1827
1828 <wsd:portType name="ObserverPortType">
1829   <wsd:operation name="GetProperties">
1830     <wsd:input message="asdl:getPropertiesRequest" />
1831     <wsd:output message="asdl:getPropertiesResponse" />
1832   </wsd:operation>
1833   <wsd:operation name="Completed">
1834     <wsd:input message="asdl:completedRequest" />
1835     <wsd:output message="asdl:completedResponse" />
1836   </wsd:operation>
1837   <wsd:operation name="StateChanged">
1838     <wsd:input message="asdl:stateChangedRequest" />
1839     <wsd:output message="asdl:stateChangedResponse" />
1840   </wsd:operation>
1841 </wsd:portType>
1842
1843 </wsd:definitions>
```

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1870

Appendix C. Revision History

Rev	Date	By Whom	What
wd-01d	2003-09-09	Jeffrey Ricker	Draft for first meeting
wd-01e	2004-04-22	Mayilraj Krishnan	Draft for Publishing
Wd-01 f	2004-06-01	Mayilraj Krishnan	Schema and Minor changes
Wd-02a	2004-11-24	John Fuller	Added UserInterface instance property Corrected typos, formatting Introduced use of WS Addressing

1871

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