

KMIP Interoperability Testing – Formal Process

January-February 2013

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Cryptsoft

Overview

- Objective: to obtain a verifiable expression of KMIP support and conformance for each participating implementation
- Achieved through:
 - Vendor claim statements
 - Cross vendor claim testing
 - Vendor testing verification
- Delivers: an inarguable set of test results clearly indicating each implementation's behaviour

Process

1. Publication and agreement of the formal process including testing scope
2. Capability claims – completed by each participant for each implementation
3. Claim collation – collection of claims, targeted tests produced & distributed
4. Testing – Each participating client tests against each participating server
5. Verification – Tests are verified
6. Dispute resolution and results publication

Capability Claims

- Vendors complete claim sheets for each participating client and server implementation
- Captures:
 - Supported operations and objects
 - Supported profiles
 - Supported test cases
 - Supported profile test cases

Claim sheet – Summary Page

KMIP Capability Statement - Summary

Organisation:	Cryptsoft	Completed by:	Tim Hudson
Product:	KMIP C Client SDK	Contact email:	tjh@cryptsoft.com
Product Version	v1.5.5b	Contact phone:	+61731030321
Client/Server:	Client	Date completed:	20-January-2013
Product GA Date:	v1.5.0a Jun 2011 v1.5.5b Jan 2013		

^Insert N/A if not applicable

KMIP Specification Support

KMIP 1.0 Operations	100%
KMIP 1.1 Operations	100%
KMIP State	100%
KMIP Object Types	100%
Certificate	100%
Key Format Types	100%
Custom Attribute Types	100%

KMIP Profile Coverage

KMIP V1.0 Server Profiles	0%
KMIP V1.1 Server Profiles	0%
KMIP V1.1 Client Profiles	100%

Test Case Coverage

KMIP Version 1.0 Test Cases	100%
KMIP Version 1.1 Test Cases	100%

Profile Test Case Coverage

Symmetric Key Foundry FIPS Profile	100%
Opaque Managed Object Store Profile	100%
Symmetric Key LifeCycle Profile	100%
Asymmetric Key LifeCycle Profile	100%

Collation Contact: tony.cox@cryptsoft.com

Capability Statement to be completed and returned by **3pm PST Jan 20, 2013**
Interop testing and Server capability reports circulated by **5pm PST Jan 23, 2013**

Claim sheet – KMIP Support

KMIP Capability Statement - Specification		
Organisation:	Cryptsoft	
Product:	KMIP C Client SDK	
Client/Server:	v1.5.5b	
KMIP Specification Coverage		
KMIP Feature	Claimed capability	Comments
KMIP 1.0 Operations		
Create	1 Supported	
Create Key Pair	2 Supported	
Register	3 Supported	
Re-key	4 Supported	
Derive Key	5 Supported	
Certify	6 Supported	
Re-certify	7 Supported	
Locate	8 Supported	
Check	9 Supported	
Get	10 Supported	
Get Attributes	11 Supported	
Get Attribute List	12 Supported	
Add Attribute	13 Supported	
Modify Attribute	14 Supported	
Delete Attribute	15 Supported	
Obtain Lease	16 Supported	
Get Usage Allocation	17 Supported	
Activate	18 Supported	
Revoke	19 Supported	
Destroy	20 Supported	
Archive	21 Supported	
Recover	22 Supported	
Validate	23 Supported	
Query	24 Supported	
Cancel	25 Supported	
Poll	26 Supported	
Notify	27 Supported	
Put	28 Supported	
KMIP 1.0 Operations Total	28	
KMIP 1.0 Operations % Supported	100.0%	

Claim sheet – Profile Support

KMIP Capability Statement - Profile Support

Organisation:	Cryptsoft
Product:	KMIP C Client SDK
Client/Server:	v1.5.5b

KMIP Profile Coverage

KMIP Profile	Description	Claimed capability	Comments
KMIP V1.0 Server Profiles			
Secret Data Server		1	
Basic Symmetric Key Store and Server		2	
Basic Symmetric Key Foundry and Server		3	
	KMIP V1.0 Server Profiles Total	0	
	KMIP V1.0 Server Profiles % Supported	0.0%	
KMIP V1.1 Server Profiles			
Basic Discover Versions Server Profile		1	
Basic Baseline Server KMIP Profile		2	
Basic Secret Data Server KMIP Profile		3	
Basic Symmetric Key Store and Server KMIP Profile		4	
Basic Symmetric Key Foundry and Server KMIP Profile		5	
Basic Asymmetric Key Store Server KMIP Profile		6	
Basic Asymmetric Key and Certificate Store Server KMIP Profile		7	
Basic Asymmetric Key Foundry and Server KMIP Profile		8	
Basic Certificate Server KMIP Profile		9	
Basic Asymmetric Key Foundry and Certificate Server KMIP Profile		10	
Discover Versions TLS 1.2 Authentication Server Profile		11	
Baseline Server TLS 1.2 Authentication KMIP Profile		12	
Secret Data Server TLS 1.2 Authentication KMIP Profile		13	
Symmetric Key Store and Server TLS 1.2 Authentication KMIP Profile		14	
Symmetric Key Foundry and Server TLS 1.2 Authentication KMIP Profile		15	
Asymmetric Key Store Server TLS 1.2 Authentication KMIP Profile		16	
Asymmetric Key and Certificate Store Server TLS 1.2 Authentication KMIP Profile		17	
Asymmetric Key Foundry and Server TLS 1.2 Authentication KMIP Profile		18	
Certificate Server TLS 1.2 Authentication KMIP Profile		19	
Asymmetric Key Foundry and Certificate Server TLS 1.2 Authentication KMIP Profile		20	
	KMIP V1.1 Server Profiles Total	0	
	KMIP V1.1 Server Profiles % Supported	0.0%	
KMIP V1.1 Client Profiles			
Basic Discover Versions Client KMIP Profile		1	Supported
Basic Baseline Client KMIP Profile		2	Supported
Basic Secret Data Client KMIP Profile		3	Supported
Basic Symmetric Key Store Client KMIP Profile		4	Supported

Claim sheet – Test Cases

KMIP Capability Statement - Test Cases

Organisation:	Cryptsoft
Product:	KMIP C Client SDK
Client/Server:	v1.5.5b

Test Case Coverage

Test Case ID	Description	Claimed capability	Comments
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KMIP Version 1.0 Test Cases

TC-311-10	3.1.1_ Create / Destroy	1	Supported
TC-312-10	3.1.2_ Register / Create / Get attributes / Destroy	2	Supported
TC-313-10	3.1.3_ Create / Locate / Get / Destroy	3	Supported
TC-314-10	3.1.4_ Dual client use-case, ID Placeholder linked Locate & Get batch	4	Supported
TC-315-10	3.1.5_ Register / Destroy Secret Data	5	Supported
TC-32-10	3.2_ Asynchronous Locate	6	Supported
TC-41-10	4.1_ Revoke scenario	7	Supported
TC-51-10	5.1_ Get usage allocation scenario	8	Supported
TC-61-10	6.1_ Import of a Third-party Key	9	Supported
TC-71-10	7.1_ Unrecognized Message Extension with Criticality Indicator false	10	Supported
TC-72-10	7.2_ Unrecognized Message Extension with Criticality Indicator true	11	Supported
TC-81-10	8.1_ Create a Key Pair	12	Supported
TC-82-10	8.2_ Register Both Halves of a Key Pair	13	Supported
TC-91-10	9.1_ Create a Key, Re-key	14	Supported
TC-92-10	9.2_ Existing Key Expired, Re-key with Same lifecycle	15	Supported
TC-93-10	9.3_ Existing Key Compromised, Re-key with same lifecycle	16	Supported
TC-94-10	9.4_ Create key, Re-key with new lifecycle	17	Supported
TC-95-10	9.5_ Obtain Lease for Expired Key	18	Supported
TC-101-10	10.1_ Create a Key, Archive and Recover it	19	Supported
TC-111-10	11.1_ Credential, Operation Policy, Destroy Date	20	Supported
TC-121-10	12.1_ Query, Maximum Response Size	21	Supported
TC-131-10	13.1_ Asymmetric Register PKCS#1	22	Supported
TC-132-10	13.2_ Asymmetric Register Certificate	23	Supported
TC-134-10	13.4_ Asymmetric Register / Certify	24	Supported

KMIP Version 1.0 Test Cases Total

24

KMIP Version 1.0 Test Cases % Supported

100.0%

Claim sheet – Profile Test Cases

KMIP Capability Statement - Profile Test Cases

Organisation:	Cryptsoft
Product:	KMIP C Client SDK
Client/Server:	v1.5.5b

Profile Test Case Coverage

Test Case ID	Description	Claimed capability	Comments
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Symmetric Key Foundry FIPS Profile

SKFF-M-1-11	Symmetric Key Foundry-FIPS Mandatory Test Case 1	1	Supported
SKFF-M-2-11	Symmetric Key Foundry-FIPS Mandatory Test Case 2	2	Supported
SKFF-M-3-11	Symmetric Key Foundry-FIPS Mandatory Test Case 3	3	Supported
SKFF-M-4-11	Symmetric Key Foundry-FIPS Mandatory Test Case 4	4	Supported
SKFF-M-5-11	Symmetric Key Foundry-FIPS Mandatory Test Case 5	5	Supported
SKFF-M-6-11	Symmetric Key Foundry-FIPS Mandatory Test Case 6	6	Supported
SKFF-M-7-11	Symmetric Key Foundry-FIPS Mandatory Test Case 7	7	Supported
SKFF-M-8-11	Symmetric Key Foundry-FIPS Mandatory Test Case 8	8	Supported
SKFF-M-9-11	Symmetric Key Foundry-FIPS Mandatory Test Case 9	9	Supported
SKFF-M-10-11	Symmetric Key Foundry-FIPS Mandatory Test Case 10	10	Supported
SKFF-M-11-11	Symmetric Key Foundry-FIPS Mandatory Test Case 11	11	Supported
SKFF-M-12-11	Symmetric Key Foundry-FIPS Mandatory Test Case 12	12	Supported
SKFF-O-1-11	Symmetric Key Foundry-FIPS Optional Test Case 1	13	Supported
SKFF-O-2-11	Symmetric Key Foundry-FIPS Optional Test Case 2	14	Supported
SKFF-O-3-11	Symmetric Key Foundry-FIPS Optional Test Case 3	15	Supported
SKFF-O-4-11	Symmetric Key Foundry-FIPS Optional Test Case 4	16	Supported
SKFF-O-5-11	Symmetric Key Foundry-FIPS Optional Test Case 5	17	Supported
SKFF-O-6-11	Symmetric Key Foundry-FIPS Optional Test Case 6	18	Supported

Symmetric Key Foundry FIPS Profile Total 18

Symmetric Key Foundry FIPS Profile % Supported 100.0%

Opaque Managed Object Store Profile

OMOS-M-1-11	Opaque Managed Object Store Mandatory Test Case 1	1	Supported
OMOS-O-1-11	Opaque Managed Object Store Optional Test Case 1	2	Supported

Opaque Managed Object Store Profile Total 2

Opaque Managed Object Store Profile % Supported 100.0%

Claim collation

- Claims are collected from all vendors and checked
 - Blank cells are considered “unsupported”
- Cross-Implementation test sheets are constructed
 - Tests are available only for tests cases supported by both the client and the vendor
- Test sheets are sent to each “vendor-pair”

Claim collation - Test sheet

KMIP Capability Statement - Test Cases

Client

Organisation: Cryptsoft
Product: KMIP C Client SDK
Client/Server: v1.5.5b

Server

Organisation: Cryptsoft
Product: KMIP C Server SDK
Client/Server: v1.5.9c

Test Case Coverage

Test Case ID	Description	Client Claimed	Server Claimed	Valid Test	Client Test	Server Verification	Comments
TC-91-11	9.1_ Create a Key, Re-key	Supported	Supported	Y			
TC-92-11	9.2_ Existing Key Expired, Re-key with Same lifecycle	Supported	Supported	Y			
TC-93-11	9.3_ Existing Key Compromised, Re-key with same lifecycle	Supported	Supported	Y			
TC-94-11	9.4_ Create key, Re-key with new lifecycle	Supported	Supported	Y			
TC-95-11	9.5_ Obtain Lease for Expired Key	Supported	Supported	Y			
TC-101-11	10.1_ Create a Key, Archive and Recover it	Supported	Supported	Y			
TC-111-11	11.1_ Credential, Operation Policy, Destroy Date	Supported	Supported	Y			
TC-112-11	11.2_ Device Credential, Operation Policy, Destroy Date	Supported	Supported	Y			
TC-121-11	12.1_ Query, Maximum Response Size	Supported	Supported	Y			
TC-122-11	12.2_ Query Vendor Extensions	Supported	Supported	Y			
TC-131-11	13.1_ Asymmetric Register PKCS#1	Supported	Supported	Y			
TC-132-11	13.2_ Asymmetric Register Certificate	Supported	Supported	Y			
TC-133-11	13.3_ Asymmetric Create / Re-Key	Supported	Supported	Y			
TC-134-11	13.4_ Asymmetric Register / Certify	Supported	Supported	Y			
TC-141-11	14.1_ Key Wrapping using AES Key Wrap and No Encoding	Supported	Supported	Y			
TC-142-11	14.2_ Key Wrapping using AES Key Wrap with Attributes	Supported	Supported	Y			
TC-151-11	15.1_ Locate a Fresh Object from the Default Group	Supported	Supported	Y			
TC-152-11	15.2_ Client-side Group Management	Supported	Supported	Y			
TC-153-11	15.3_ Default Object Group Member	Supported	Unsupported	N			
TC-161-11	16.1_ Discover Versions	Supported	Supported	Y			
TC-171-11	17.1_ Handling of Attributes and Attribute Index Values	Supported	Supported	Y			
TC-181-11	18.1_ Digests of Symmetric Keys	Supported	Supported	Y			
TC-182-11	18.2_ Digests of RSA Private Keys	Supported	Supported	Y			
Totals		36	35	35	0	0	
% of full list		100.00%	97.22%	97.22%	0.00%	0.00%	

Testing

- Client operators conduct tests against each server
- Results are reported as either “Passed” or “Failed”
- Both the client and server logs are kept in case of any dispute that may arise for a given test case
- Test results are sent to the Server operator on completion

Verification

- Server operator checks each client test entry and marks each as “Verified” or “Contested”
- Server operator returns verified test sheets to the respective client operator and any disputes are resolved.
- If any disputes remain unresolved, the issue is escalated for resolution in the interop group, then the TC if required.

Verification

KMIP Capability Statement - Test Cases

Client

Server

Organisation:

Product:

Client/Server:

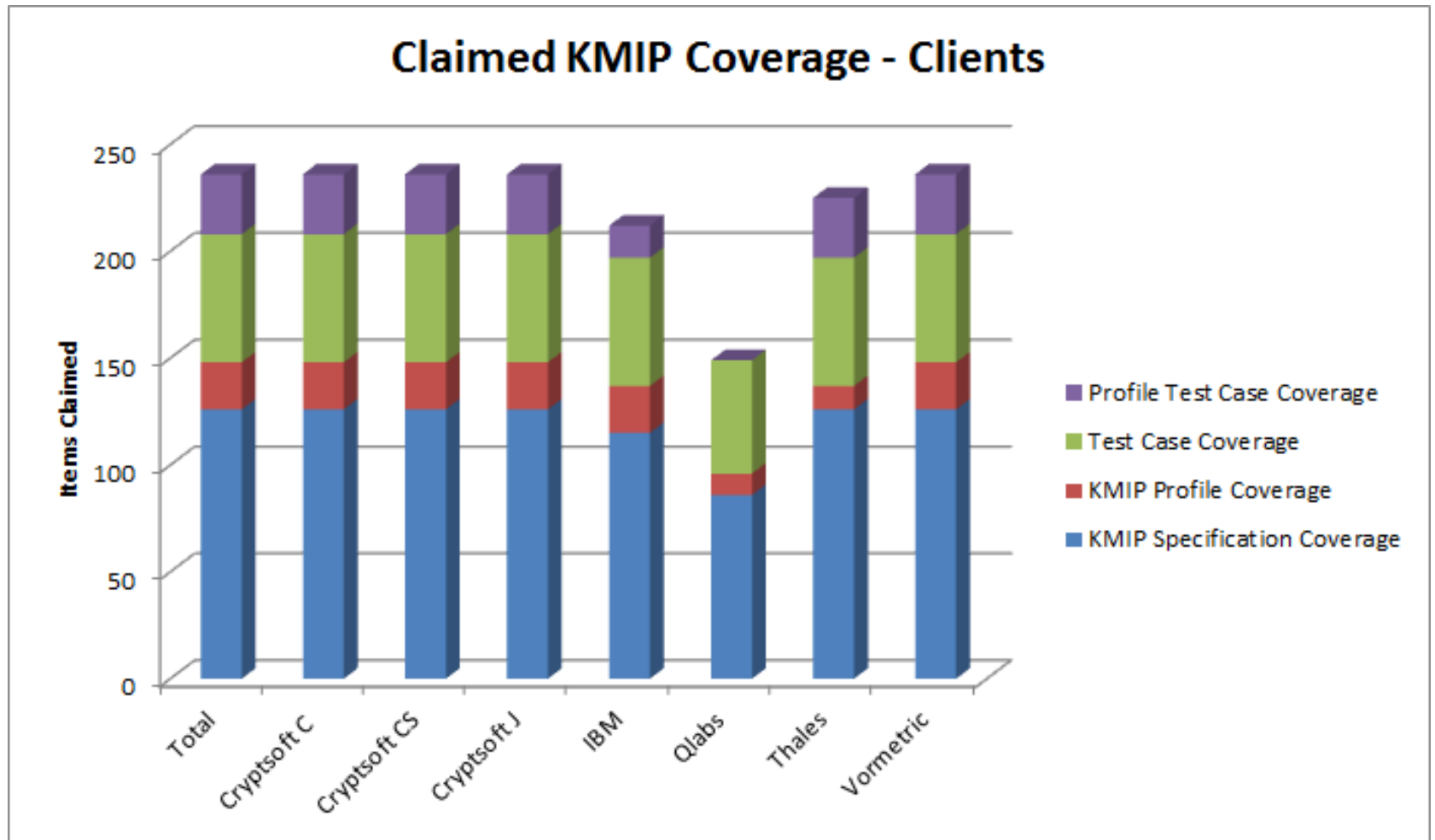
Test Case Coverage

Description		Client Claimed	Server Claimed	Valid Test	Client Test	Server Verification	Comments
8.1_ Create a Key Pair	12	Supported	Supported	Y	Passed	Verified	
8.2_ Register Both Halves of a Key Pair	13	Supported	Supported	Y	Passed	Verified	
9.1_ Create a Key, Re-key	14	Supported	Supported	Y	Passed	Verified	
9.2_ Existing Key Expired, Re-key with Same lifecycle	15	Supported	Supported	Y	Passed	Contested	
9.3_ Existing Key Compromised, Re-key with same lifecycle	16	Supported	Supported	Y	Passed	Verified	
9.4_ Create key, Re-key with new lifecycle	17	Supported	Supported	Y	Passed	Verified	
9.5_ Obtain Lease for Expired Key	18	Supported	Supported	Y	Passed	Verified	
10.1_ Create a Key, Archive and Recover it	19	Unsupported	Supported	N			
11.1_ Credential, Operation Policy, Destroy Date	20	Supported	Supported	Y	Passed	Verified	
11.2_ Device Credential, Operation Policy, Destroy Date	21	Supported	Supported	Y	Passed	Verified	
12.1_ Query, Maximum Response Size	22	Supported	Supported	Y	Passed	Verified	
12.2_ Query Vendor Extensions	23	Supported	Supported	Y	Passed	Verified	
13.1_ Asymmetric Register PKCS#1	24	Supported	Supported	Y	Passed	Verified	
13.2_ Asymmetric Register Certificate	25	Supported	Supported	Y	Passed	Verified	
13.3_ Asymmetric Create / Re-Key	26	Supported	Supported	Y	Passed	Verified	
13.4_ Asymmetric Register / Certify	27	Unsupported	Supported	N			
14.1_ Key Wrapping using AES Key Wrap and No Encoding	28	Supported	Supported	Y	Passed	Verified	
14.2_ Key Wrapping using AES Key Wrap with Attributes	29	Unsupported	Supported	N			
15.1_ Locate a Fresh Object from the Default Group	30	Supported	Supported	Y	Passed	Verified	
15.2_ Client-side Group Management	31	Supported	Supported	Y	Passed	Verified	
15.3_ Default Object Group Member	32	Supported	Unsupported	N			
16.1_ Discover Versions	33	Supported	Supported	Y	Passed	Verified	
17.1_ Handling of Attributes and Attribute Index Values	34	Supported	Supported	Y	Passed	Verified	
18.1_ Digests of Symmetric Keys	35	Supported	Supported	Y	Passed	Verified	
18.2_ Digests of RSA Private Keys	36	Supported	Supported	Y	Passed	Verified	

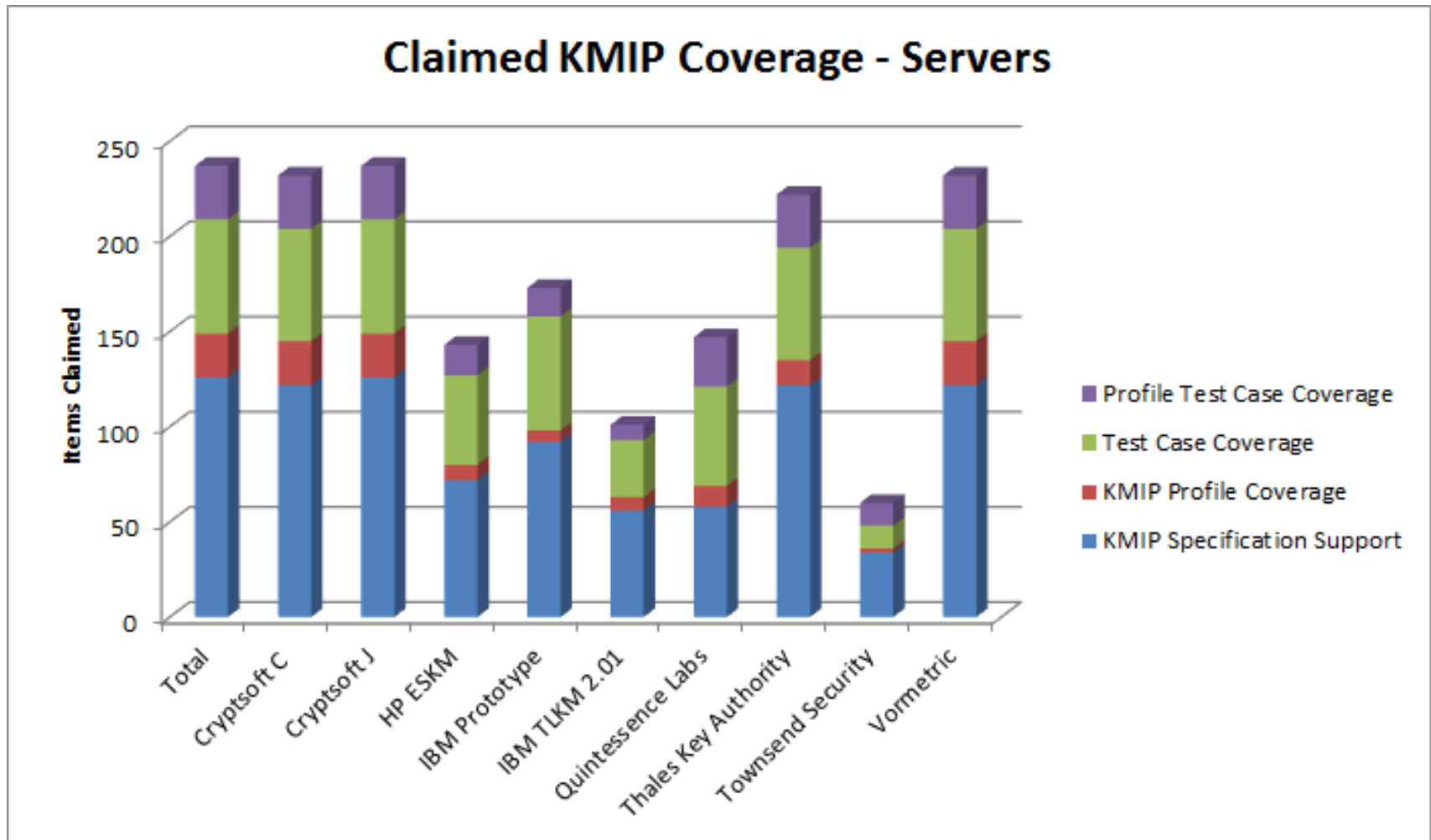
Results

- Test sheets are collected from all client operators and fed into a master file.
- Test result file is circulated to interop participants to resolve any outstanding issues or gaps
- Once all results are agreed:
 - The claim sheets are analysed and graphed
 - The test results are analysed and graphed

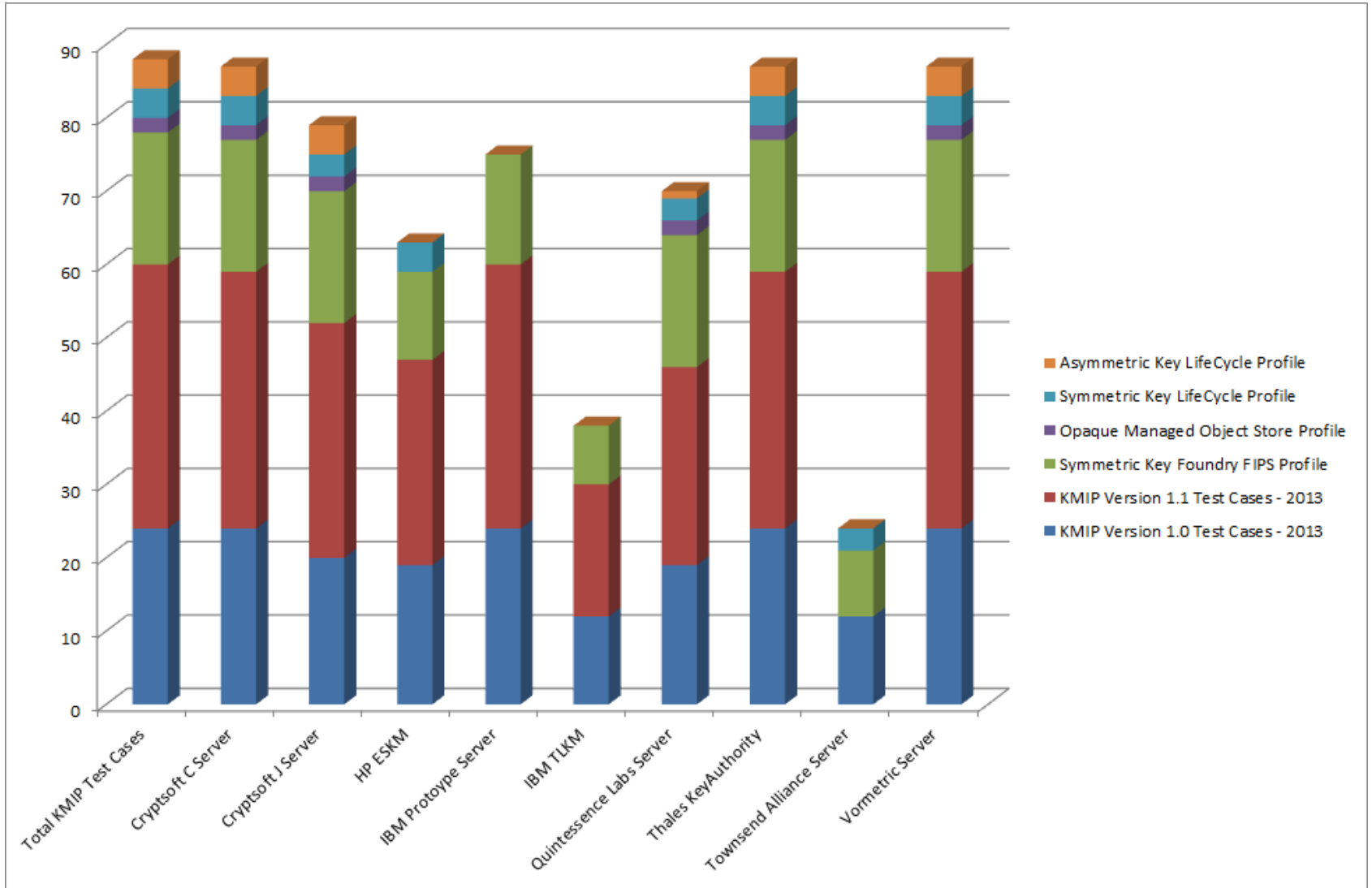
Claim Results - Clients



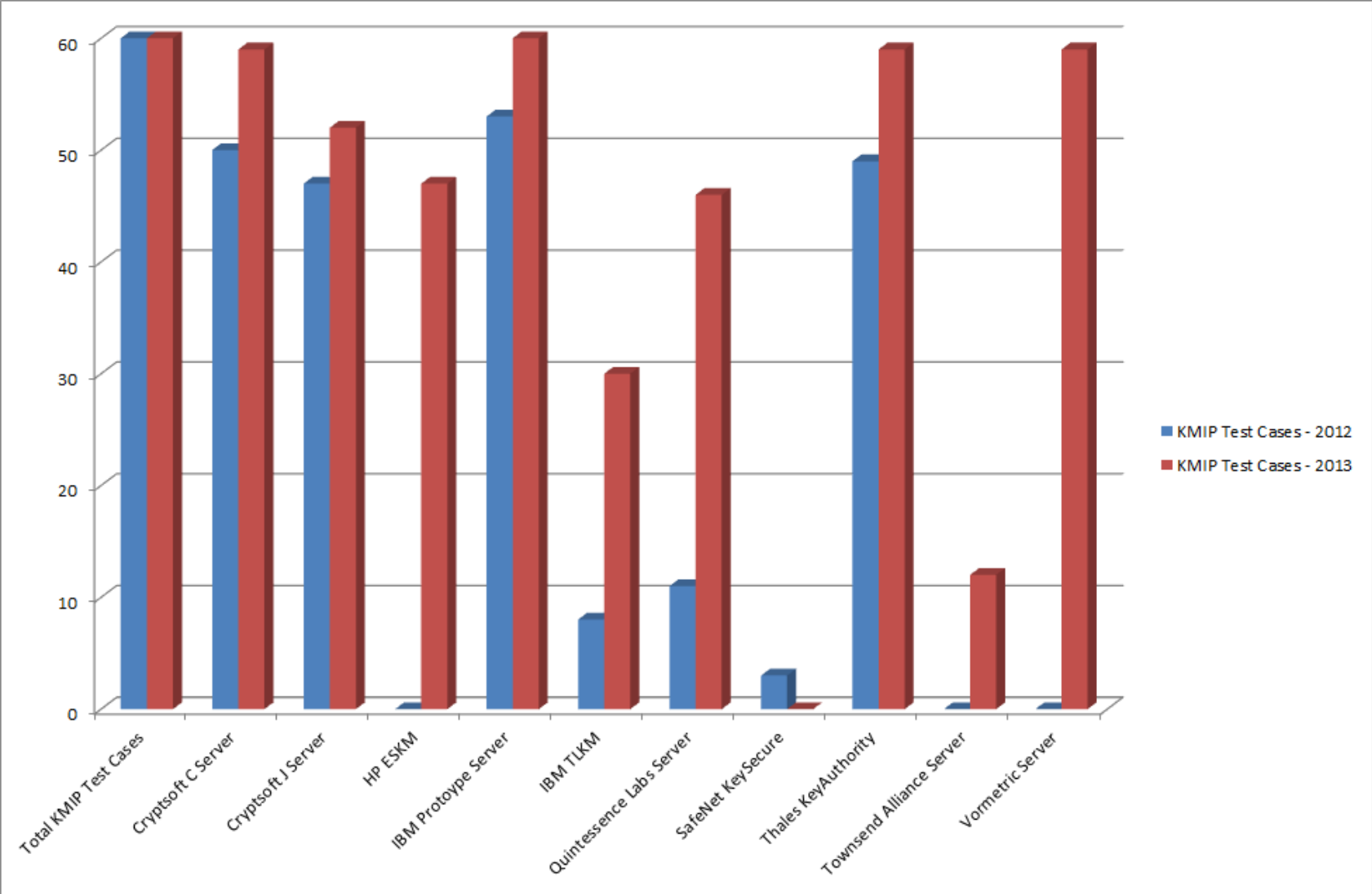
Claim Results - Servers



Test Results - Overall

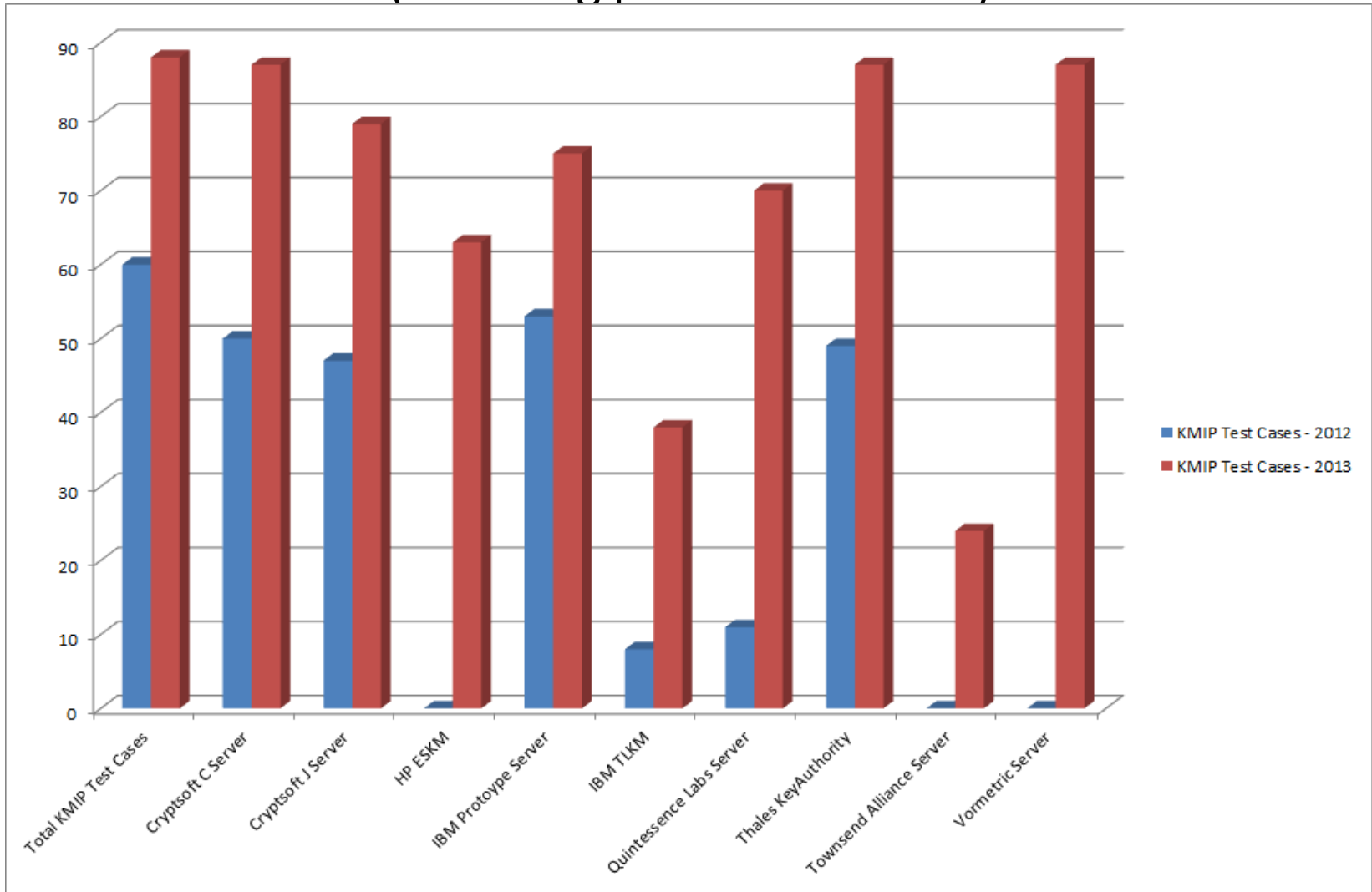


Progression from 2012 to 2013



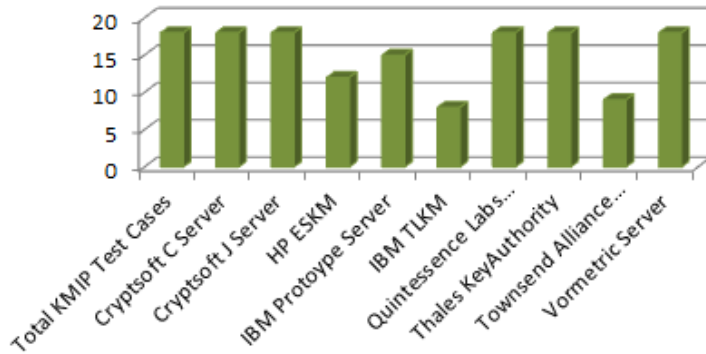
Progression from 2012 to 2013

(including profile test cases)

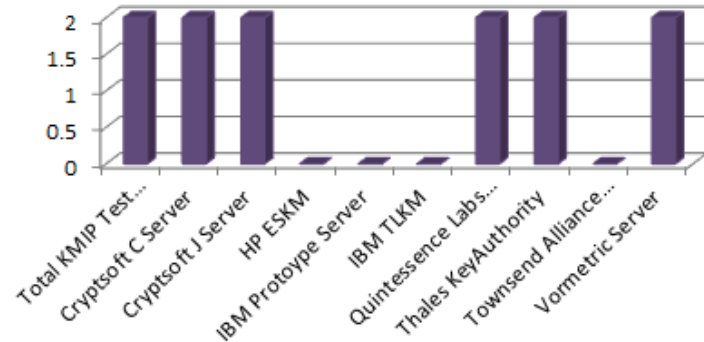


Test Results – Profile Test Cases

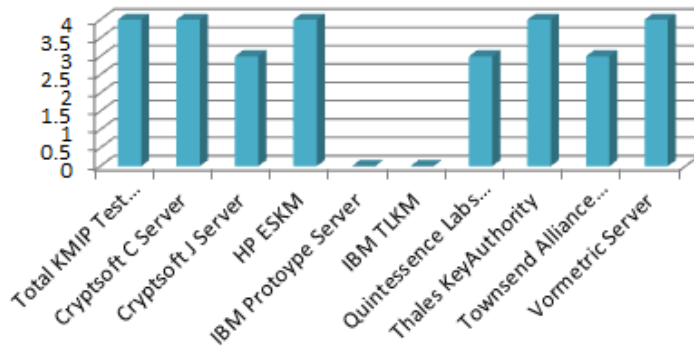
Symmetric Key Foundry FIPS Profile - Supported Test Cases



Opaque Managed Object Store Profile - Supported Test Cases



Symmetric Key LifeCycle Profile - Supported Test Cases



Asymmetric Key LifeCycle Profile - Supported Test Cases

