Electronic Court Filing Version 5.0

Working Draft 01

14 February 2017

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Additional artifacts:

This prose specification is one component of a Work Product that also includes:

* XML schemas: (list file names or directory name)
* Other parts (list titles and/or file names)

Related work:

This specification replaces or supersedes:

* *LegalXML Electronic Court Filing 3.0*. Edited by Roger Winters. 15 November 2005.  
  <http://docs.oasis-open.org/legalxml-courtfiling/specs/ecf/v3.0/ecf-v3.0-spec-cd01.zip>.
* *Electronic Court Filing Version 4.0*. Edited by Adam Angione and Roger Winters. Latest version: <http://docs.oasis-open.org/legalxml-courtfiling/specs/ecf/v4.0/ecf-v4.0-spec/ecf-v4.0-spec.html>.
* *Electronic Court Filing Version 4.01.* Edited by Adam Angione and James Cabral. Latest version: <http://docs.oasis-open.org/legalxml-courtfiling/specs/ecf/v4.01/ecf-v4.01-spec/ecf-v4.01-spec.html>.

This specification is related to:

* [National Information Exchange Model 3.0](http://niem.gov/). <https://www.niem.gov/technical/Pages/version-3.aspx>.

Declared XML namespaces:

* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/allocatedate
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/appellate
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/bankruptcy
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/cancel
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/caselistrequest
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/caselistresponse
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/caserequest
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/caseresponse
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/citation
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* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/criminal
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/datecallback
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/docket
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* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/documentrequest
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/documentresponse
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/domestic
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* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/feesrequest
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/feesresponse
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/filing
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/filinglistrequest
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/filinglistresponse
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/filingstatusrequest
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/filingstatusresponse
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* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/reviewfilingcallback
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/serveprocess
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/serviceinformationrequest
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/serviceinformationresponse
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/schedulerequest
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* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/AbuseNeglectAllegationCategoryText
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/BinaryFormatText
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/CaseCategoryCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/CaseOfficialRoleCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/CaseParticipantRoleCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/CaseTypeCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/CauseOfActionCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/ChargeDegreeText
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/ChargeEnhancingFactorText
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/ChargeSpecialAllegationText
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/CourtEventTypeCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/CourtLocationCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/DelinquentActCategoryCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/DocumentRelatedCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/EntityAssociationTypeCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/ErrorCodeText
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/FeeExceptionReasonCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/FiduciaryTypeCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/FilingStatusCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/IncidentLevelCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/JurisdictionGroundsText
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/MajorDesignElementTypeCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/OperationNameCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/PersonIdentificationCategoryCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/RegisterActionDescriptionCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/RelatedCaseAssociationTypeCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/ReliefTypeCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/SensitivityText
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/ServiceInteractionProfileCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/ServiceStatusCode
* https://docs.oasis-open.org/legalxml-courtfiling/ns/v5.0/SignatureProfileCode

Abstract:

*Electronic Court Filing Version 5.0* (ECF v5.0) consists of a set of non-proprietary XML and Web services specifications developed to promote interoperability among electronic court filing vendors and systems. ECF v5.0 is a major release that adds new functionality and capabilities beyond the scope of the ECF 4.0 and 4.01 specifications that it supersedes.

Status:

This [Working Draft](https://www.oasis-open.org/policies-guidelines/tc-process#dWorkingDraft) (WD) has been produced by one or more TC Members; it has not yet been voted on by the TC or [approved](https://www.oasis-open.org/policies-guidelines/tc-process#committeeDraft) as a Committee Draft (Committee Specification Draft or a Committee Note Draft). The OASIS document [Approval Process](https://www.oasis-open.org/policies-guidelines/tc-process#standApprovProcess) begins officially with a TC vote to approve a WD as a Committee Draft. A TC may approve a Working Draft, revise it, and re-approve it any number of times as a Committee Draft.

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Permanent “Latest version” URI:  
http://docs.oasis-open.org/legalxml-courtfiling/ecf/v5.0/ecf-v5.0.docx

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# Introduction

[All text is normative unless otherwise labeled]

## Terminology

The key words “MUST”, “MUST NOT”, “REQUIRED”, “SHALL”, “SHALL NOT”, “SHOULD”, “SHOULD NOT”, “RECOMMENDED”, “MAY”, and “OPTIONAL” in this document are to be interpreted as described in [[RFC2119](#RFC2119)].

## Normative References

[RFC2119] Bradner, S., “Key words for use in RFCs to Indicate Requirement Levels”, BCP 14, RFC 2119, March 1997. <http://www.ietf.org/rfc/rfc2119.txt>.

[Reference] [Full reference citation]

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For example:

**[OpenDoc-1.2]** *Open Document Format for Office Applications (OpenDocument) Version 1.2*. Edited by Patrick Durusau and Michael Brauer. 19 January 2011. OASIS Committee Specification Draft 07. <http://docs.oasis-open.org/office/v1.2/csd07/OpenDocument-v1.2-csd07.html>. Latest version: <http://docs.oasis-open.org/office/v1.2/OpenDocument-v1.2.html>.

**Reference sources:**

For references to **IETF RFCs**, use the approved citation formats at:  
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For references to **W3C Recommendations**, use the approved citation formats at:  
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# Section Title

text

## Level 2 section title

text

### Level 3 section title

text

#### Level 4 section title is usually deepest for Table of Contents

text

##### Level 5 or deeper may be included in TOC with TC approval

text

# # Conformance

The last numbered section in the specification must be the Conformance section. Conformance Statements/Clauses go here.

See “Guidelines to Writing Conformance Clauses”:   
<http://docs.oasis-open.org/templates/TCHandbook/ConformanceGuidelines.html>.

1. Acknowledgments

The following individuals have participated in the creation of this specification and are gratefully acknowledged:

Participants:

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1. Example Title

text

* 1. Subsidiary section

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* + 1. Sub-subsidiary section

text

1. Revision History

|  |  |  |  |
| --- | --- | --- | --- |
| **Revision** | **Date** | **Editor** | **Changes Made** |
| [Rev number] | [Rev Date] | [Modified By] | [Summary of Changes] |