OASIS LegalXML Electronic Court Filing (ECF) Technical Committee (TC)

Conference Call Agenda with Minutes

July 11, 2022 3-4pm EST / 19:00 – 20:00 UTC

Join Zoom Meeting

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| --- | --- | --- | --- |
| Name | Affiliation | Role | Present |
| James Cabral (JEC) | InfoTrack US | Chair, **Voting Member** |  |
| Philip Baughman (PB) | Tyler Technologies, Inc. | Secretary, **Voting Member** |  |
| Gary Graham (GG) | Arizona Supreme Court | Secretary, **Voting Member** |  |
| Eric Eastman (EE) | InfoTrack US | **Voting Member** |  |
| Barbara Holmes (BH) | National Center for State Courts | **Voting Member** |  |
| George Knecht (GK) | InfoTrack US | **Voting Member** |  |
| James McMillan (JM) | National Center for State Courts | **Voting Member** |  |
| Enrique Othon (EO) | Tyler Technologies, Inc. | **Voting Member** |  |
| Jim Price (JP) | Arizona Supreme Court | **Voting Member** |  |
| Ryan Foley (RF) | ImageSoft | **Voting Member** |  |
| Brock Rogers (BR) | File&ServXpress | **Voting Member** |  |
|  |  |  |  |

1. Old Business: Committee Specifications and version control systems
   1. Core: <https://docs.oasis-open.org/legalxml-courtfiling/ecf/>
   2. WS SIP: <https://docs.oasis-open.org/legalxml-courtfiling/ecf-webservices/>
   3. GitHub: <https://github.com/oasis-tcs/legalxml-courtfiling-5.0-spec>
   4. JIRA: <https://issues.oasis-open.org/projects/LEGALXMLCO/summary>
2. Old Business: Targeted releases
   1. ECF 5.x minor release
      1. 30 day Public Reviews of [ECF 5.01 CSD01](https://docs.oasis-open.org/legalxml-courtfiling/ecf/v5.01/csd01/ecf-v5.01-csd01.docx) and [ECF Web Services Service Interaction Profile 5.01](https://docs.oasis-open.org/legalxml-courtfiling/ecf-webservices/v5.01/csd01/ecf-webservices-v5.01-csd01.docx) end 7/16
         1. No comments have been received to date

* ECF 5.01 Core and WS SIP profile out for public review for 30 days ending Saturday 7-15. So far, no comments received.
* Future reviews will be 15-day reviews.
* Encourage public review, need by Saturday, July 15, 2022.
* Backslash vs. forward slash in file specification issue (Philip) – J.C. not yet addressed, before public review. If not a formal comment, then do not have to go through formal comments & response process.
  + 1. Next step: Consider changes to align with ECF 4.1
       1. Relaxed the cardinality of <ecf:SendingMDELocationID> and <ecf:SendingMDEProfileCode> in <ecf:CaseFilingType> to enable MDEs to send messages without requiring an asynchronous message. Added <DevelopmentPolicyParametersType>/ <RequireAsynchronousResponsesIndicator> to CourtPolicyResponseMessage to indicate whether all MDEs MUST support asynchronous responses to messages they send.
* Also include in ECF 5.01?
* General agreement. No issues raised. Change to be made after conclusion of public review (in new working draft).
  + - 1. Updated references to NIEM [MNDR] to version 1.3
* Agreed to make minor corresponding NIEM NDR version reference update in ECF 5.01. Jim Cabral to verify correct NDR version for NIEM 4
  + - 1. Discussed forward slash vs. backslash in file path specifications (see above). Eric reported success using PERL script that runs through WSDL and XSD and changes slash direction inside ‘location’ attributes. (e.g., schemaLocation and Location).
      2. Discussed problem with case types that are other than the civil case type (e.g., juvenile, probate), regarding import of all schemas. What is the best practice way of doing this? J. Cabral advised that he only imports schemas required for schema validation and only to the extent necessary to validate non-normative sample messages (does not import schema for all possible messages).

Include imports for every possible schema in messages?

Should additional examples be provided?

After discussion, it was agreed that, rather than include schema imports in all example messages, the specification should include non-normative guidance regarding imports of extension schema, and other schema not reflected in provided examples. This additional guidance should also be included in the ECF 4.1 specification.

* + - 1. It was noted that ECF 5.01 revisions are generally also appropriate to ECF 4.1 and vice versa. Coordination of reviews for these two ECF versions was discussed.
  1. ECF 4.x maintenance release
     1. [ECF 4.1 WD03](https://www.oasis-open.org/apps/org/workgroup/legalxml-courtfiling/document.php?document_id=70127) incorporates all proposed changes to ECF 4.01 and is available for review and comment by the TC
     + There have been two updates; now working draft 3.
     1. Next step: Consider approving as a Committee Specification Draft for public review
     + Should additional time be allowed for review?
     + After discussion, it was agreed to target Aug. 8, 2022 (the date for the next TC Monthly meeting) to complete review of the two ECF 4.1 specification drafts.
  2. Review JIRA for other specific changes for consideration by the TC
  3. Consider including APIs (normative) or guidance (non-normative) for identity, authentication and/or access management in future versions of ECF.
     1. [Summary of federated identity technologies](file:///C:\Users\ggraham\AppData\Local\Temp\MicrosoftEdgeDownloads\4cd9d95d-e3ef-48d7-80e5-67d92f27574a\i.%09https:\www.oasis-open.org\apps\org\workgroup\legalxml-courtfiling\email\archives\202206\msg00016.html)
     + Noted principle that ECF TC should avoid creating new technologies where existing technologies already exist.
     + Open question whether we even want to include normative or non-normative guidance.
     + Preference for non-normative guidance (although some okay with continued specification silence on the topic).
     + Can include non-normative Guidance in an Appendix in ECF 4.1 and/or ECF 5.1 (doesn’t break backward compatibility). New major or minor version for normative (preferably major version).

1. New Business
2. [Action Items](https://www.oasis-open.org/apps/org/workgroup/legalxml-courtfiling/members/action_items.php)
   1. J. Cabral to reach out to WS-Federation and SAML OASIS TCs to see if they can help the ECF TC on this issue.
3. Schedule
   1. Next TC Conference Call: August 8, 2022 3-4pm EDT / 19:00 – 20:00 UTC
   2. Next TC Face-To-Face Meeting: in conjunction with eCourts conference (Dec 5-7, 2022, Las Vegas)