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(54) SYSTEM AND METHOD FOR SPECIFYING AND PROCESSING LEGALITY EXPRESSIONS

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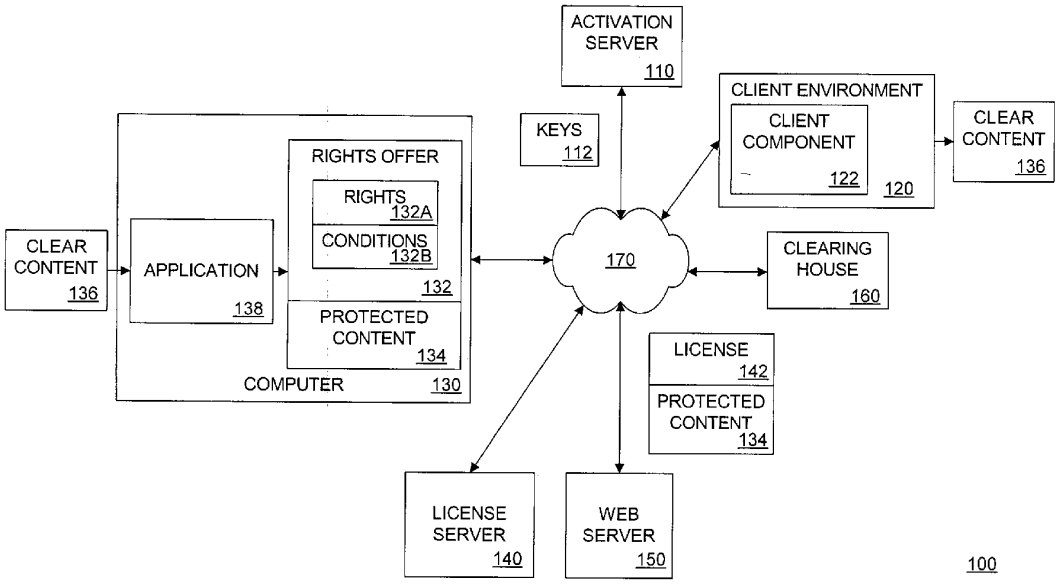
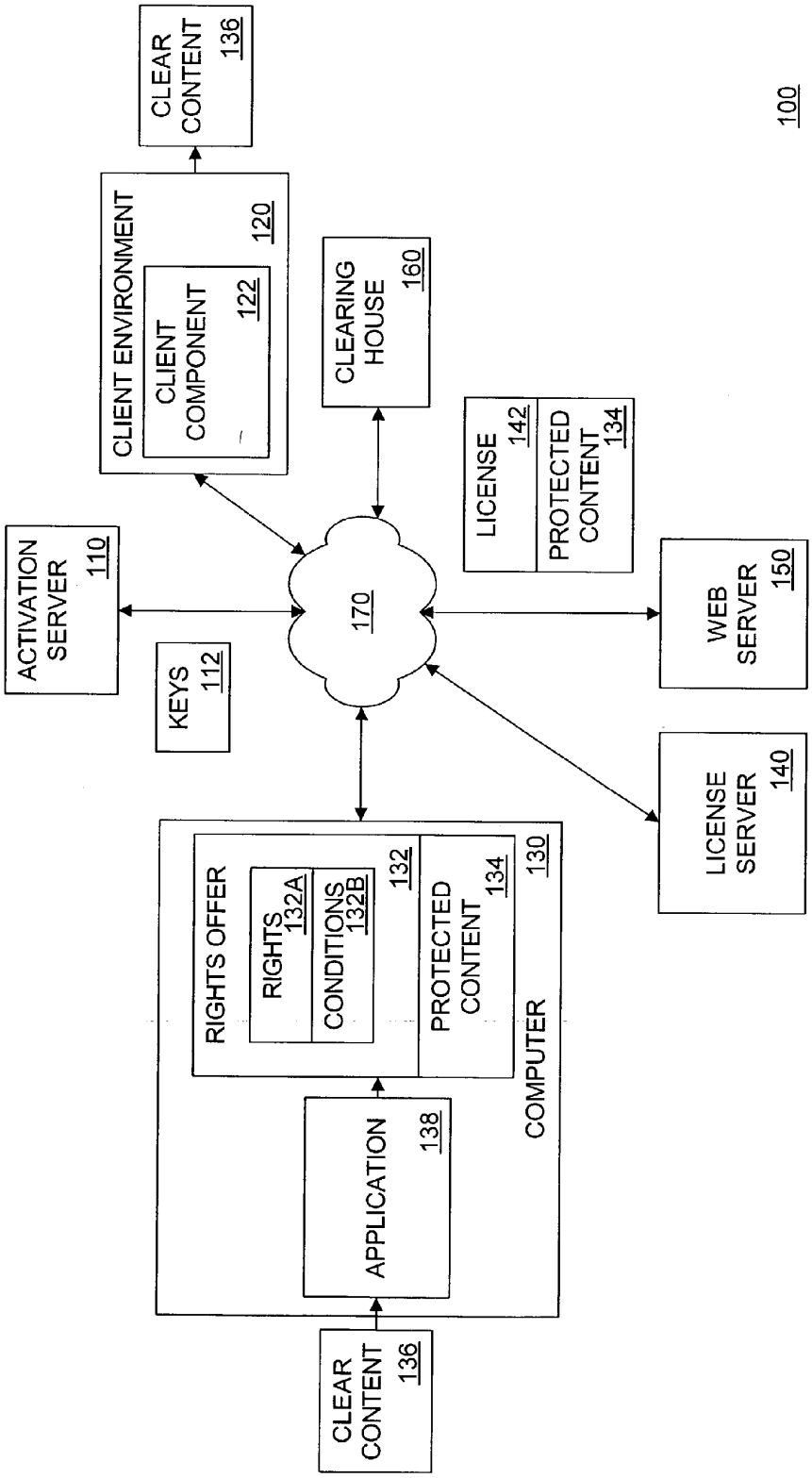
(57) ABSTRACT

A system and method are provided for specifying a legality expression for use in a system for processing the legality expression. The system and method include providing a legality expression language, including at least one of a duty element specifying an obligation that a principal must perform an act, a ban element specifying a prohibition that a principal must not perform an act, an intent element specifying an intention that a principal wants to perform an act, and a claim element specifying an assertion that a principal does perform an act. The system and method further include interpreting by the system a legality expression specified using the legality expression language.

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CLIENT COMPONENT 122
CLEARING HOUSE 160
LICENSE 142
PROTECTED CONTENT 134
ACTIVATION SERVER 110
KEYS 112
170
WEB SERVER 150
LICENSE SERVER 140
RIGHTS OFFER 132
RIGHTS 132A
CONDITIONS 132B
PROTECTED CONTENT 134
COMPUTER 130
APPLICATION 138
CLEAR CONTENT 136

FIG. 1

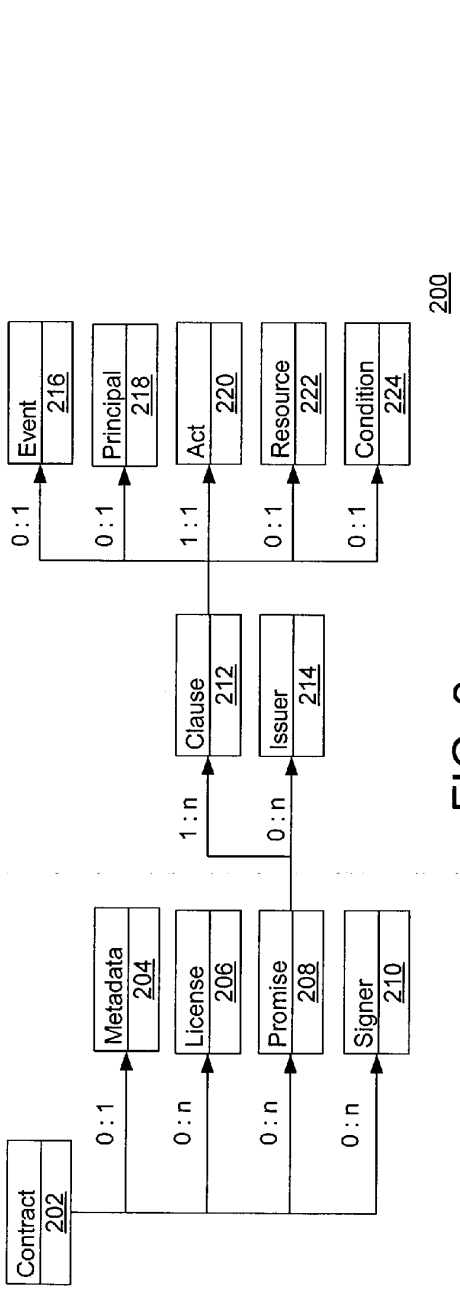

FIG. 2

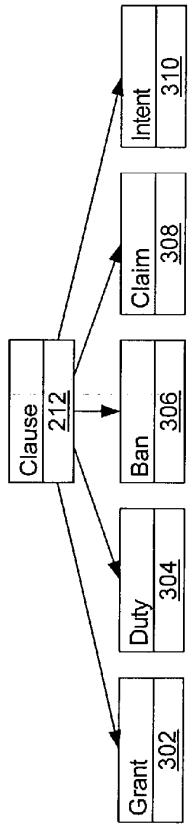

FIG. 3

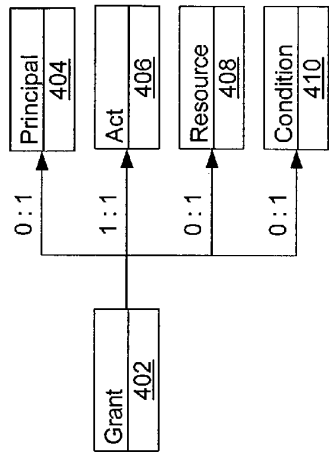

FIG. 4

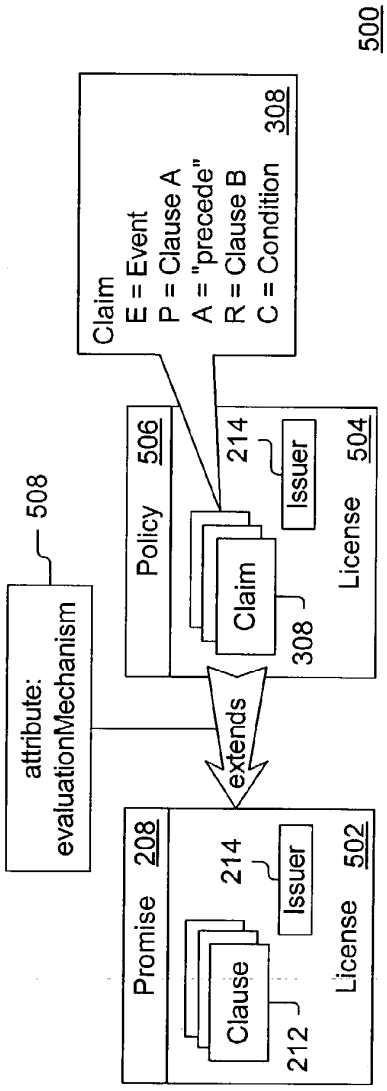
500
Claim
E = Event
P = Clause A
A = "precede"
R = Clause B
C = Condition
308
Policy 506
214
Issuer
Claim
308
License 504
508
attribute:
evaluationMechanism
extends
Promise 208
214
Issuer
Clause
212
License 502

FIG. 5

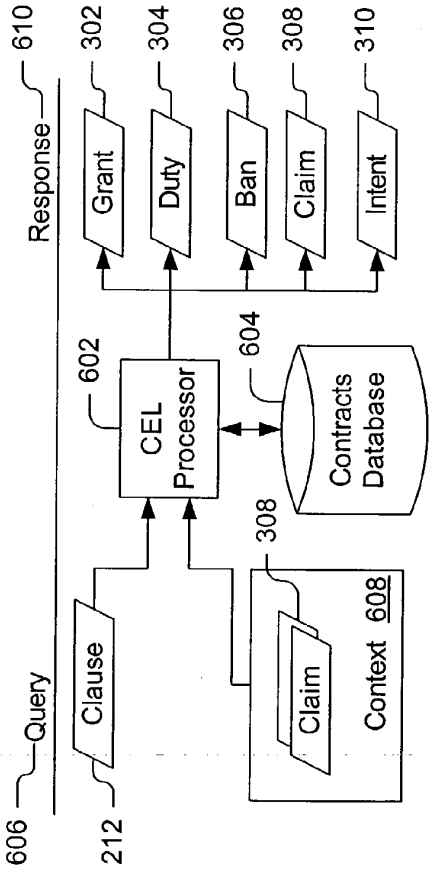
600
Response
610
Grant
302
Duty
304
Ban
306
Claim
308
Intent
310
602
CEL
Processor
604
Contracts
Database
Query
606
Clause
212
308
Claim
Context 608

FIG. 6

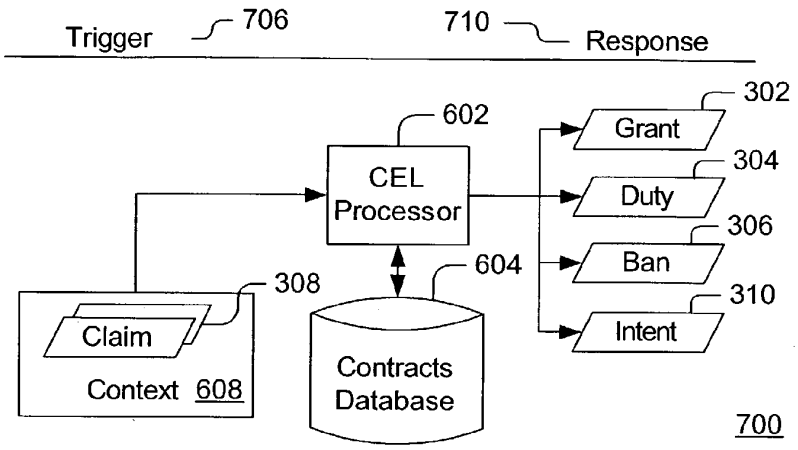
Trigger
706
710
Response
602
CEL Processor
302
Grant
304
Duty
306
Ban
310
Intent
604
Contracts Database
308
Claim
Context 608
700

FIG. 7

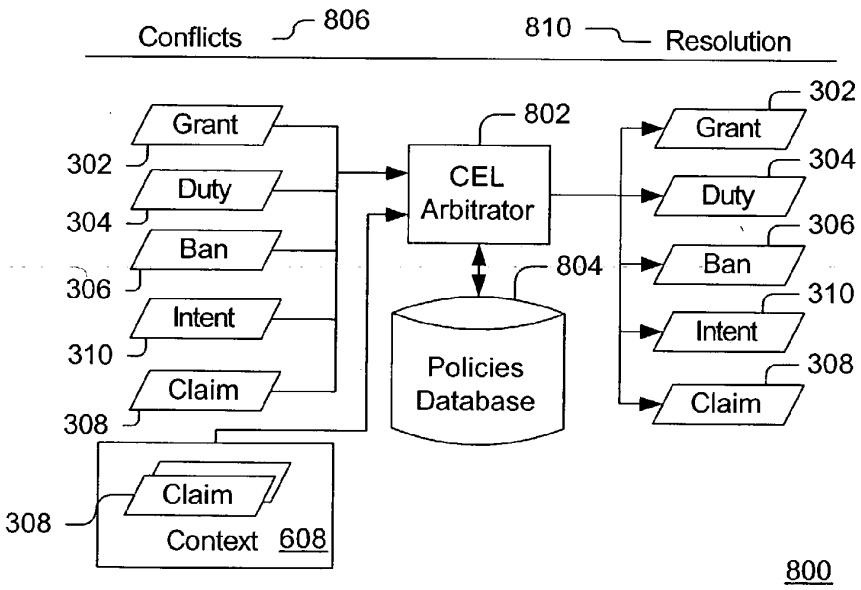
Conflicts
806
810
Resolution
802
CEL Arbitrator
Grant
302
Duty
304
Ban
306
Intent
310
Claim
308
804
Policies Database
Claim
308
Context 608
800

FIG. 8

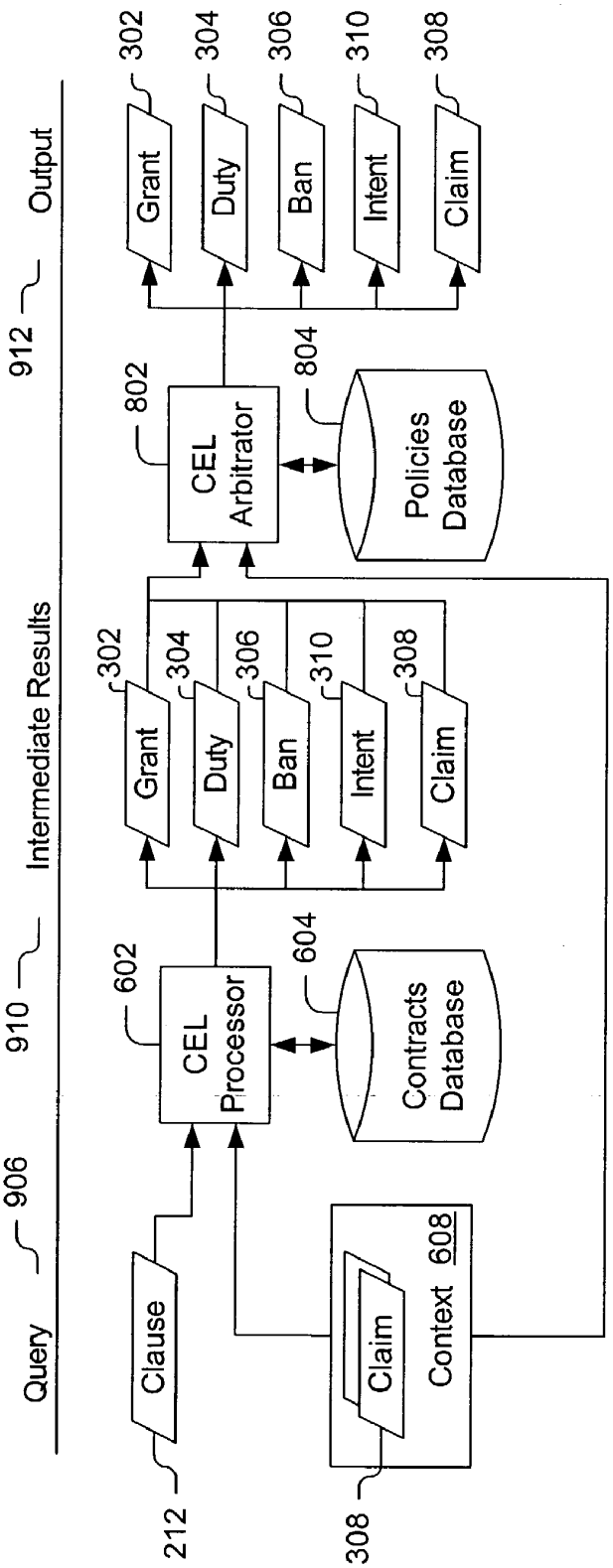
900
Query
906
910
Intermediate Results
912
Output
Clause
212
CEL Processor
602
Contracts Database
604
Claim
Context 608
308
Grant
302
Duty
304
Ban
306
Intent
310
Claim
308
CEL Arbitrator
802
Policies Database
804

FIG. 9

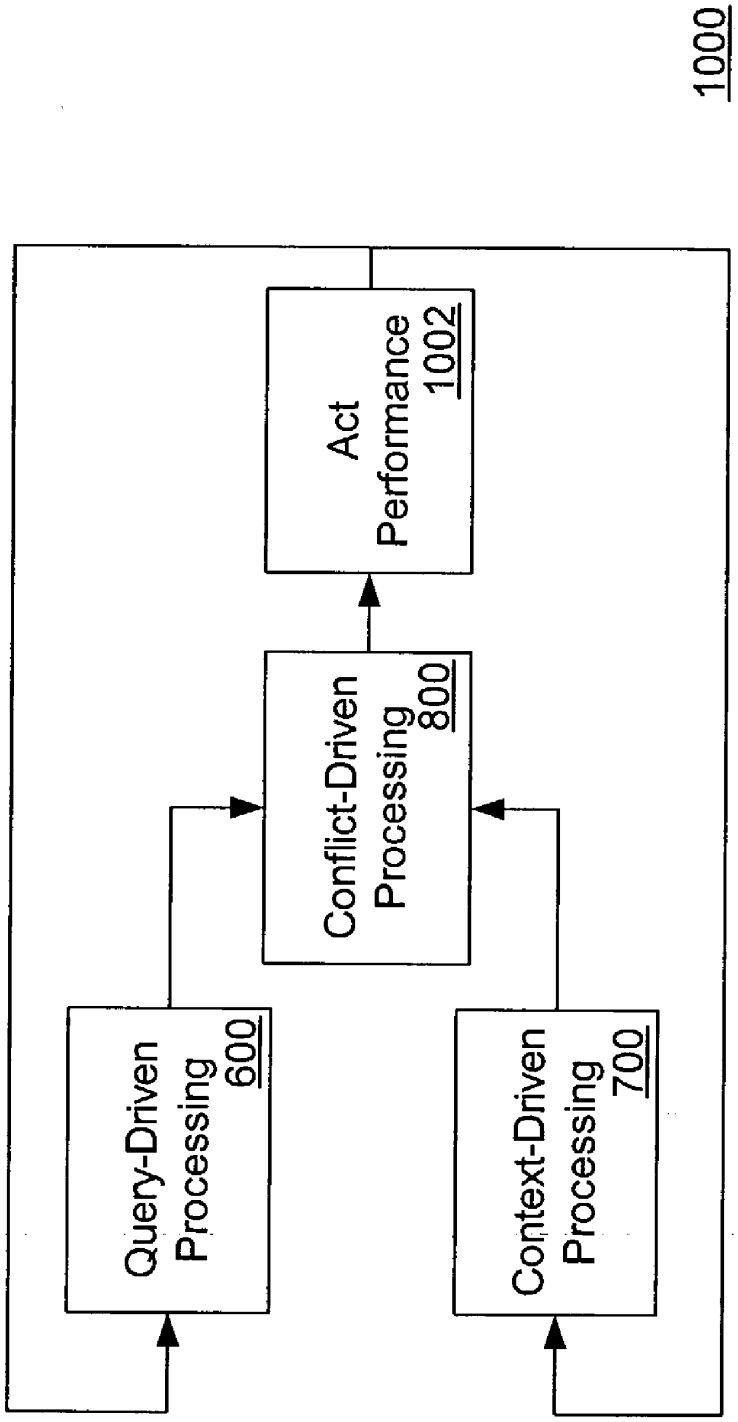
1000
Act Performance 1002
Conflict-Driven Processing 800
Query-Driven Processing 600
Context-Driven Processing 700

FIG. 10

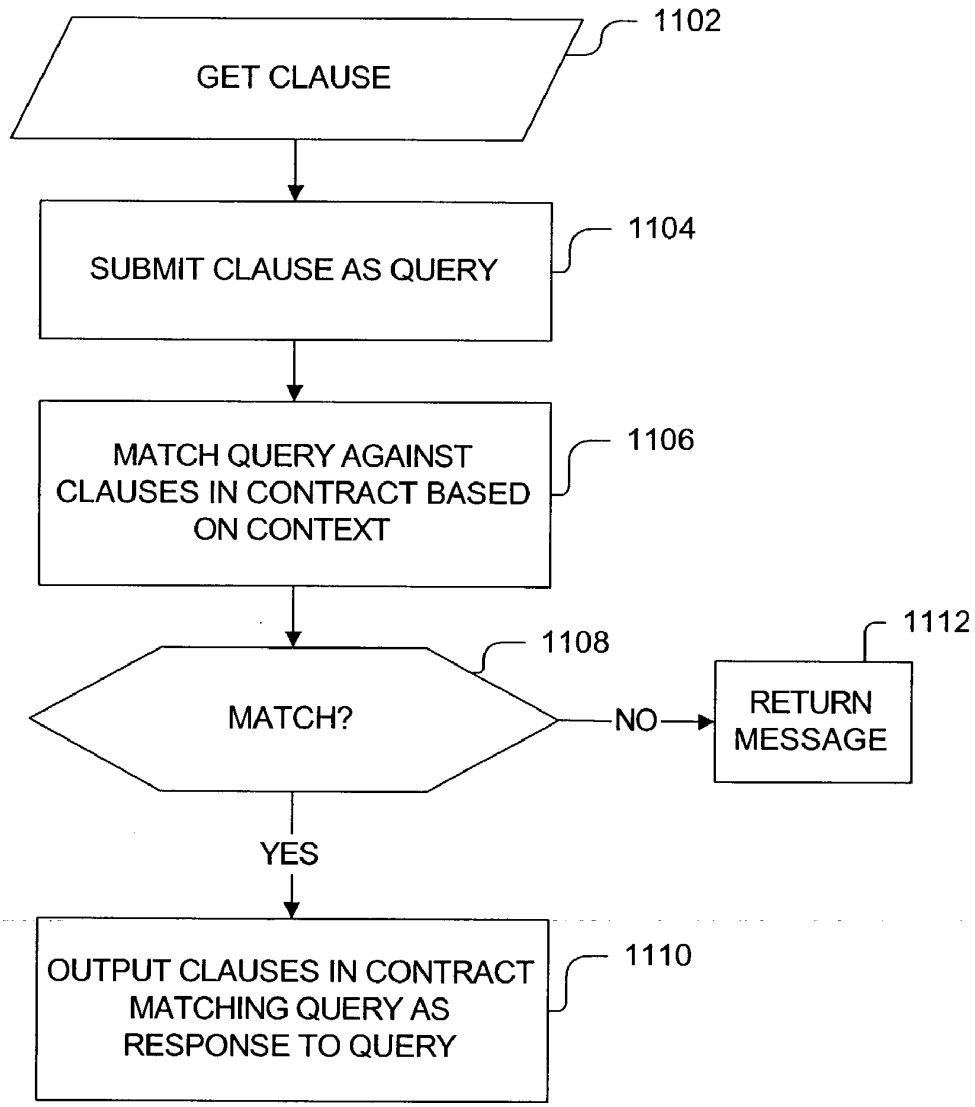
1102
GET CLAUSE
SUBMIT CLAUSE AS QUERY
1104
MATCH QUERY AGAINST CLAUSES IN CONTRACT BASED ON CONTEXT
1106
1108
MATCH?
NO
1112
RETURN MESSAGE
YES
OUTPUT CLAUSES IN CONTRACT MATCHING QUERY AS RESPONSE TO QUERY
1110

FIG. 11

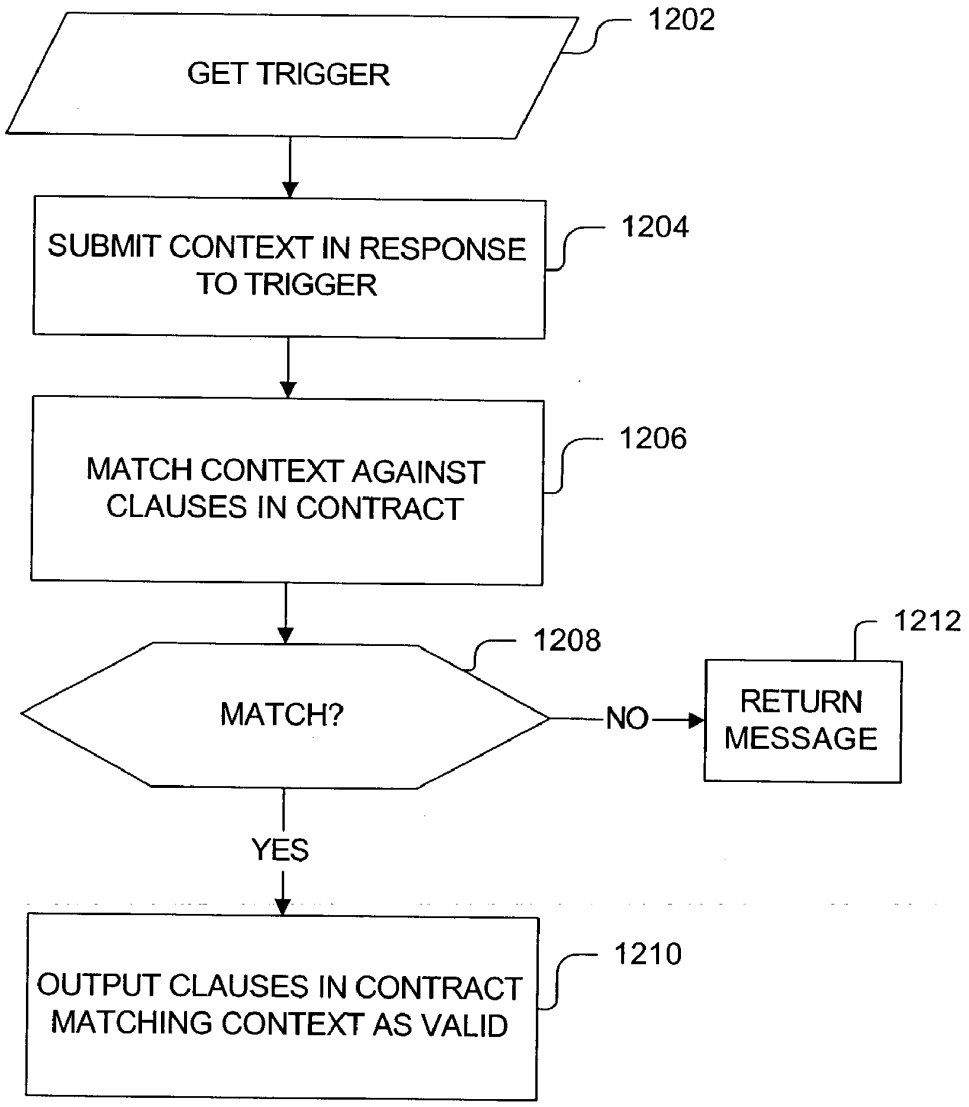
1202
GET TRIGGER
SUBMIT CONTEXT IN RESPONSE TO TRIGGER
1204
MATCH CONTEXT AGAINST CLAUSES IN CONTRACT
1206
1208
MATCH?
NO
1212
RETURN MESSAGE
YES
OUTPUT CLAUSES IN CONTRACT MATCHING CONTEXT AS VALID
1210

FIG. 12

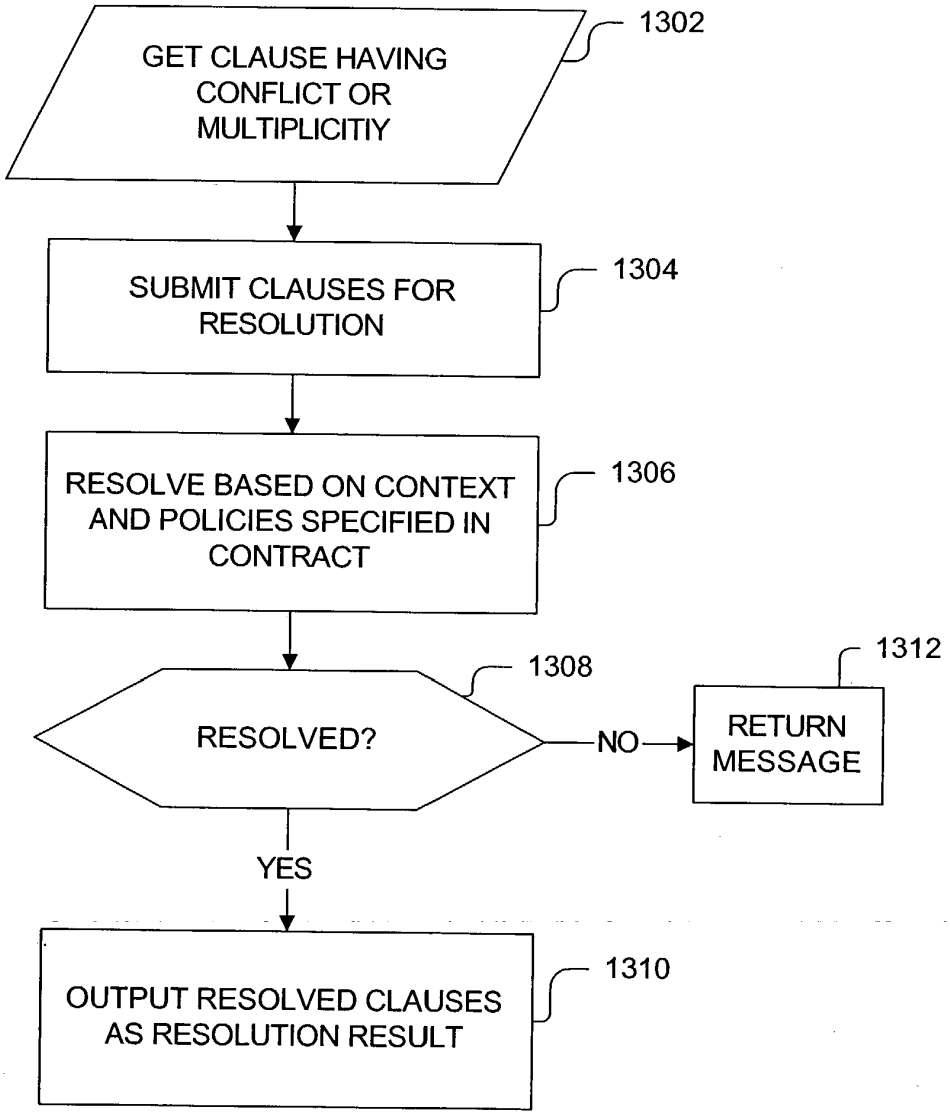
GET CLAUSE HAVING CONFLICT OR MULTIPLICITIY
1302
SUBMIT CLAUSES FOR RESOLUTION
1304
RESOLVE BASED ON CONTEXT AND POLICIES SPECIFIED IN CONTRACT
1306
RESOLVED?
1308
NO
RETURN MESSAGE
1312
YES
OUTPUT RESOLVED CLAUSES AS RESOLUTION RESULT
1310

FIG. 13

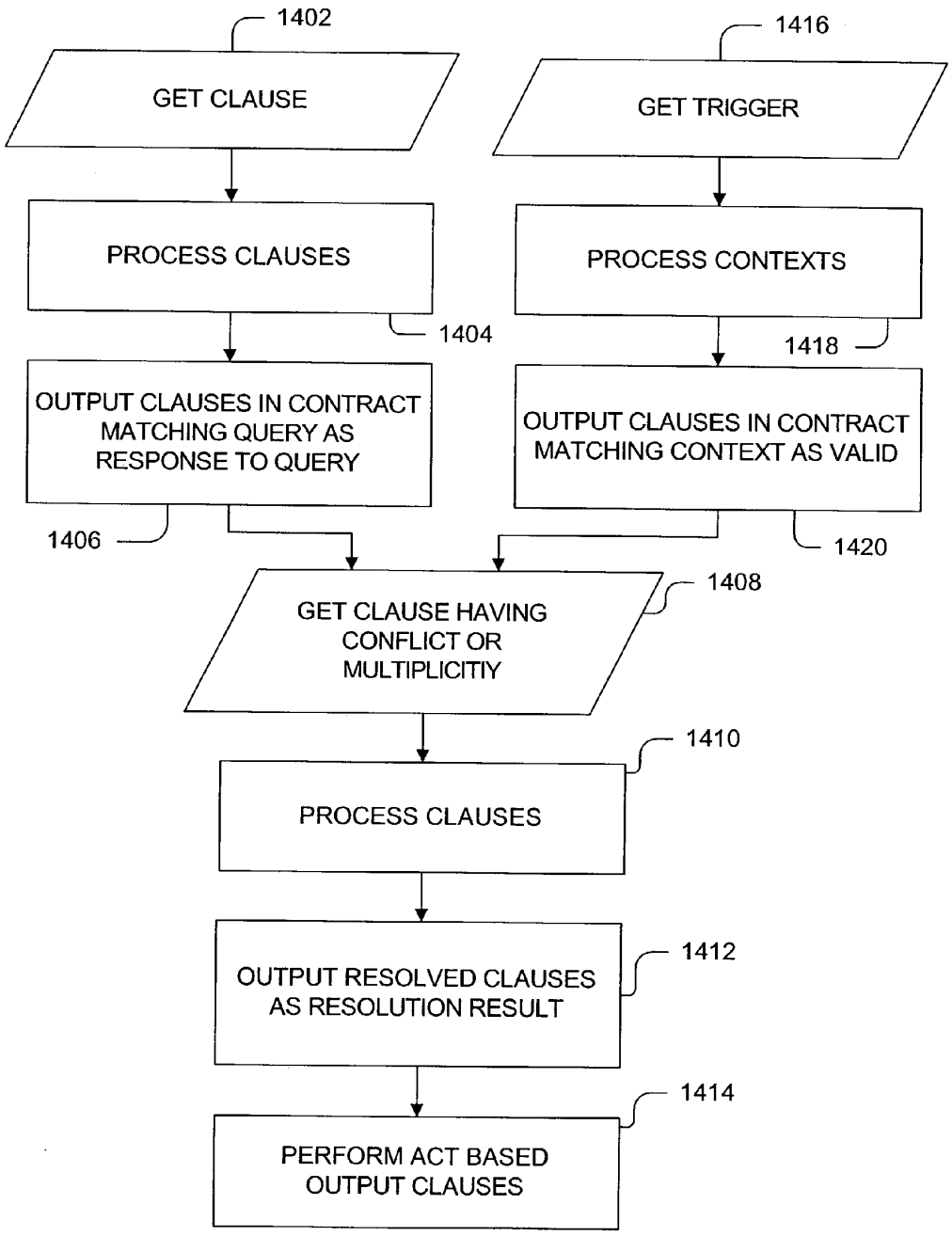
1402
GET CLAUSE
1416
GET TRIGGER
PROCESS CLAUSES
PROCESS CONTEXTS
1404
1418
OUTPUT CLAUSES IN CONTRACT MATCHING QUERY AS RESPONSE TO QUERY
OUTPUT CLAUSES IN CONTRACT MATCHING CONTEXT AS VALID
1406
1420
1408
GET CLAUSE HAVING CONFLICT OR MULTIPLICITIY
1410
PROCESS CLAUSES
1412
OUTPUT RESOLVED CLAUSES AS RESOLUTION RESULT
1414
PERFORM ACT BASED OUTPUT CLAUSES

FIG. 14

SYSTEM AND METHOD FOR SPECIFYING AND PROCESSING LEGALITY EXPRESSIONS

CROSS REFERENCE TO RELATED DOCUMENTS

[0001] The present invention claims benefit of priority under 35 U.S.C. § 119(e) to commonly assigned, co-pending, U.S. Provisional Patent Application Serial No. 60/375,808 of Wang, entitled "CONTRACTS EXPRESSION LANGUAGE," filed on Apr. 29, 2002, and U.S. Provisional Patent Application Serial No. 60/411,789 of Wang, entitled "CONTRACT EXPRESSION LANGUAGE," filed on Sep. 19, 2002, the entire disclosures of both of which are hereby incorporated by reference herein.

BACKGROUND OF THE INVENTION

[0002] 1. Field of the Invention

[0003] The present invention generally relates to systems and methods for Digital Rights and Contracts Management, and more particularly a system and method for specifying and processing legality expressions, such as contracts, within a Digital Rights and Contracts Management system.

[0004] 2. Description of Related Art

[0005] One of the most important issues concerning the widespread distribution of digital content, such as documents, music, movies, software, information, and the like, in forms usable by computing devices, via electronic means, and the Internet in particular, is the provision of the ability to enforce the intellectual property rights during the distribution and use of the digital content. Technologies for resolving this problem are referred to as Digital Rights Management (DRM) herein. However, there are a number of issues to be considered in effecting a DRM system, such as authentication, authorization, accounting, payment and financial clearing, rights specification, rights verification, rights enforcement, and document protection issues, to name but a few.

[0006] For example, in the world of printed documents and other physical content, a work created by an author is usually provided to a publisher, which formats and prints numerous copies of the work. The copies are then sent by a distributor to bookstores or other retail outlets, from which the copies are purchased by end users. While the low quality of copying and the high cost of distributing printed material have served as deterrents to unauthorized copying of most printed documents, it is much easier to copy, modify, and redistribute unprotected digital content with high quality. Therefore, there is a need for mechanisms to protect digital content.

[0007] Difficulties associated with preventing, or even deterring, people from making unauthorized copies of electronic content within current general-purpose computing and communications systems, such as personal computers, workstations, and other devices connected over communications networks, such as local area networks (LANs), intranets, and the Internet, are widely recognized. Many attempts to provide hardware-based solutions to prevent unauthorized copying have proven to be unsuccessful. Moreover, the deployment of high bandwidth or broadband communications technologies and the development of what is presently known as the National Information Infrastructure (NII) is making it more convenient to distribute large documents electronically, including video files, such as full length motion pictures, and this makes it easier to proliferate unauthorized copying and distribution of digital content. Therefore, the need for further development of DRM technologies is becoming a high priority.

[0008] Accordingly, commonly-assigned U.S. Pat. No. 5,634,012 discloses a DRM system for controlling the distribution of digital content, wherein devices of the DRM system can include a repository associated therewith. A predetermined set of usage transaction steps define a protocol used by the repositories for enforcing usage rights associated with the content. Usage rights persist with the content and the usage rights associated with the content comprise a digital work. The usage rights can permit various manners of use of the content, such as a right to view or print or display the content, a right to use the content only once, a right to distribute or redistribute the content, and the like. Such usage rights can be made contingent on payment or other conditions. However, there is a need for systems and methods that enable one or more parties to easily and securely manage, exchange, interpret, enforce, and the like, legality expressions information, such as contracts-related information.

SUMMARY OF THE INVENTION

[0009] The above and other needs are addressed by embodiments of the present invention, which provide an improved system and method specifying and processing contracts.

[0010] Accordingly, in one aspect of an embodiment of the present invention, there is provided a method for specifying a legality expression for use in a system for processing said legality expression. The method includes providing a legality expression language, including at least one of a duty element specifying an obligation that a principal must perform an act, a ban element specifying a prohibition that a principal must not perform an act, an intent element specifying an intention that a principal wants to perform an act, and a claim element specifying an assertion that a principal does perform an act. The method further includes interpreting by said system a legality expression specified using said legality expression language.

[0011] In another aspect of an embodiment of the present invention, there is provided a system for processing a legality expression including means for providing a legality expression language. The legality expression language includes at least one of a duty element specifying an obligation that a principal must perform an act, a ban element specifying a prohibition that a principal must not perform an act, an intent element specifying an intention that a principal wants to perform an act, and a claim element specifying an assertion that a principal does perform an act. The system further includes means for interpreting a legality expression specified using the legality expression language.

[0012] In a further aspect of an embodiment of the present invention, there is provided a legality expression adapted for use in a system for processing the legality expression. The legality expression includes at least one of a duty element specifying an obligation that a principal must perform an act, a ban element specifying a prohibition that a principal must not perform an act, an intent element specifying an intention

that a principal wants to perform an act, and a claim element specifying an assertion that a principal does perform an act, whereby a computer system can interpret the legality expression.

[0013] Still other aspects, features, and advantages of the present invention are readily apparent from the following detailed description, simply by illustrating a number of exemplary embodiments and implementations, including the best mode contemplated for carrying out the present invention. The present invention is also capable of other and different embodiments, and its several details can be modified in various respects, all without departing from the spirit and scope of the present invention. Accordingly, the drawings and descriptions are to be regarded as illustrative in nature, and not as restrictive.

BRIEF DESCRIPTION OF THE DRAWINGS

[0014] The present invention is illustrated by way of example, and not by way of limitation, in the figures of the accompanying drawings and in which like reference numerals refer to similar elements and in which:

[0015] **FIG. 1** illustrates an exemplary Digital Rights Management system on which various embodiments of the present invention can be implemented;

[0016] **FIG. 2** illustrates an exemplary contract data model of an exemplary Contract Expression Language that can be employed in the Digital Rights Management system of **FIG. 1**;

[0017] **FIG. 3** illustrates exemplary clauses that can be employed in the exemplary contract data model of **FIG. 2**;

[0018] **FIG. 4** illustrates an exemplary model of an eXtensible Rights Markup Language (XrML) grant;

[0019] **FIG. 5** illustrates an exemplary preference policy model for allowing setting of priorities for resolving conflicts and multiplicities and that can be employed in the exemplary contract data model of **FIG. 2**;

[0020] **FIG. 6** illustrates an exemplary query-driven processing system based on the exemplary Contract Expression Language;

[0021] **FIG. 7** illustrates an exemplary context-driven processing system based on the exemplary Contract Expression Language;

[0022] **FIG. 8** illustrates an exemplary conflict or multiplicity-driven processing system based on the exemplary Contract Expression Language;

[0023] **FIG. 9** illustrates an exemplary composite or hybrid processing system based on the exemplary Contract Expression Language; and

[0024] **FIG. 10** illustrates an exemplary linked system based on the exemplary Contract Expression Language;

[0025] **FIG. 11** is a flowchart for illustrating the exemplary query-driven processing of the system of **FIG. 6**;

[0026] **FIG. 12** is a flowchart for illustrating the exemplary context-driven processing of the system of **FIG. 7**;

[0027] **FIG. 13** is a flowchart for illustrating the exemplary conflict or multiplicity-driven processing of the system of **FIG. 8**; and

[0028] **FIG. 14** is a flowchart for illustrating the exemplary composite or hybrid and linked processing of the systems of **FIGS. 9 and 10**.

DETAILED DESCRIPTION OF THE INVENTION

[0029] A system and method for specifying and processing contracts are described. In the following description, for purposes of explanation, numerous specific details are set forth in order to provide a thorough understanding of the present invention. It is apparent to one skilled in the art, however, that the present invention can be practiced without these specific details or with equivalent arrangements. In some instances, well-known structures and devices are shown in block diagram form in order to avoid unnecessarily obscuring the present invention.

[0030] As noted above, authentication, authorization, accounting, payment and financial clearing, rights specification, rights verification, rights enforcement, and document protection issues should be addressed by a Digital Rights Management system. Commonly-assigned U.S. Pat. No. 5,530,235, No. 5,629,980, No. 5,634,012, No. 5,638,443, No. 5,715,403, No. 6,233,684, and No. 6,236,971, the entire disclosures of all of which are hereby incorporated by reference herein, disclose DRM systems addressing these and other issues.

[0031] The present invention can employ technologies, systems, methods, algorithms, concepts, and the like, for example, as further described in the articles, books, specifications, and the like, cited throughout the present disclosure by numerals enclosed within brackets in bold print [.] and cross-referenced in the APPENDIX provided herein, the entire contents of all of which are hereby incorporated by reference herein.

[0032] Generally, the exemplary embodiments can be employed for legality expression specification, processing, execution, management, and the like, such as contract specification, processing, execution, and management. For example, the exemplary embodiments include mechanisms to enable the specifying of legality expressions, and systems that interpret and execute such expressions in order to regulate behaviors of system entities, users, and the like.

[0033] The exemplary embodiments introduce an exemplary Legality Expression Language (LEL), for example, based on eXtensible Markup Language (XML), eXtensible rights Markup Language (XrML) [1], and the like, and that can include an exemplary Contract Expression Language (CEL). The exemplary Contract Expression Language, advantageously, allows, for example, for the expression of contractual agreements between participants in electronic content, service distribution value chains, and the like. The exemplary embodiments, advantageously, can be applied to online and offline environments.

[0034] The exemplary Contract Expression Language can include a machine readable language for expressing electronic contracts and a machine interpretable semantic model for contracts expressed in the exemplary Contract Expression Language. The exemplary Contract Expression Language can support the entire lifecycle of a contract and the exemplary embodiments include various exemplary processing systems of the exemplary Contract Expression Language to allow contract performance and execution among contract participants.

[0035] In the exemplary embodiments, the key words "must,""must not,""required,""shall,""shall not,""should, ""should not,""recommended,""may," and "optional," for example, can be used in statements or expressions specified with the exemplary Contract Expression Language for indicating requirement levels and, for example, can be interpreted as described in IETF RFC 2119 [4]. In the exemplary embodiments, an act can include the process or state of doing or performing something. In the exemplary embodiments, an event can include something that takes place, can be captured and is worth annotating, and the like. An act can differ from an event in that an act can become an event when the act is performed. The concept of a right or an obligation can be abstractions of an act.

[0036] In the exemplary embodiments, an agreement can include an arrangement between parties regarding a course of action, for example, such as the stage in contracts law at which the negotiations between the parties are complete. The foundation of the legal relation called a "contract" is the agreement of the parties. Thus, an agreement can include the writing or document embodying a contract.

[0037] In the exemplary embodiments, an assertion can include a declaration of performing some act. In the exemplary embodiments, a condition can include something, for example, indispensable to the appearance or occurrence of another.

[0038] In the exemplary embodiments, a contract, for example, can include a promise, or set of promises, for the breach of which the law gives a remedy, or the performance of which the law in some way recognizes as a duty [14], an agreement or covenant between two or more persons, in which each party binds him or herself to do or forbear some act, and each acquires a right to what the other promises, and the like.

[0039] In the exemplary embodiments, an intention can include a course of action that one intends to follow, an obligation can include a commitment that requires someone to perform some act, a permission can include a right, a principal can include an encapsulation of the identification of an entity involved in the performing of an act, a prohibition can include a requirement that forbids someone to perform an act, and a promise can include a manifestation of intention to act or refrain from acting in a specified way [14]. In the exemplary embodiments, a resource can include an object to which a principal may perform some act. For example, a resource can include a digital work, such as an e-book, an audio or video file, an image, and the like, a service, such as an email service, a Business to Business (B2B) transaction service, and the like, a piece of information that can be owned by a principal, such as a name, an email address, and the like.

[0040] In the exemplary embodiments, a right can include a privilege that entitles someone to perform an act, a breach can include the failure of a party of a contract to perform a contractual obligation, an offer can include a promise to do or refrain from doing some specified thing in the future conditioned upon acceptance of the promisee **1141**, a remedy can include an act of correcting an error or a fault, and a warranty can include a contractual term for a guarantee or assurance, breach of which gives rise to a right to claim damages, but not to a right to reject the subject matter of the contract or to treat the contract as repudiated.

[0041] In addition to expressing contract information, for example, related to permissions, obligations, prohibitions, and the like, the exemplary Contract Expression Language can be employed to define language constructs, such as "claim,""intent," and the like, advantageously, supporting contractual agreements and meeting processing requirements throughout the lifecycle of a contract. Advantageously, the exemplary Contract Expression Language expressions, for example, can be human processed, machine processed, and the like.

[0042] In addition to an exemplary data model and exemplary vocabularies of the exemplary Contract Expression Language, the exemplary Contract Expression Language can be used to provide programming language precision to ensure that the corresponding expressions can be interpretable by machines, humans, and the like, in an unambiguous manner. Advantageously, the exemplary Contract Expression Language can be employed for electronic content distribution contracts, electronic service distribution contracts, and the like, as well as for describing, interpreting, executing, and the like, any suitable contractual agreements.

[0043] The exemplary Contract Expression Language can be based on XrML, an XML-based language, for example, for specifying declarative statements or expressions about usage rights and associated conditions thereof that are offered and granted by rights holders of, for example, content, resources, services, and the like. An XrML rights statement or expression typically can be employed for declaring that someone "may" exercise a specified right upon a specified resource, subject to a specified condition. However, an XrML rights statement or expression typically does not convey the notion that someone "must" exercise a right when a condition is met. For example, an XrML rights statement or expression "may" grant a person the right to vote, but typically cannot specify that such a person "must" vote.

[0044] By contrast, the exemplary Contract Expression Language can be employed, advantageously, to express statements or expressions, such as "someone must do something,""someone must not do something," and the like. Advantageously, the exemplary Contract Expression Language can be employed in business situations dealing with contractual relationships, agreements, and the like. For example, a contract stipulating that someone must or is required to perform an action when a condition is met, such as "Party A must deliver goods when Party B has paid for the goods," advantageously, can be expressed with the exemplary Contract Expression Language.

[0045] In event management, some events are bound to happen if a triggering condition is satisfied, for example, such as "a clerk must remind a customer when a rented video is overdue,""to discourage piracy, the current top 40 list cannot be packaged at better than 8 bits amplitude resolution," and the like. Advantageously, the exemplary Contract Expression Language can be employed to specify such statements of an obligatory or prohibitory type, as well as statements of a permissive type.

[0046] In addition, the exemplary Contract Expression Language, advantageously, supports specifying statements of an intentional type, statements of a factual type, statements of an exclusive type, and the like. Further, the exemplary Contract Expression Language supports the

defining of preference rules, advantageously, for resolving potential conflicts and multiplicities that can be raised when interpreting a set of statements of a permissive, obligatory, or prohibitory type.

[0047] The exemplary Contract Expression Language, advantageously, can be employed to specify statements of the intentional type. For example, the exemplary Contract Expression Language can be used to express the intent between parties to enter a contractual agreement, advantageously, facilitating the construction of queries to a contract management system, for example, implemented on the Digital Rights Management system of **FIG. 1**, and the like.

[0048] The exemplary Contract Expression Language, advantageously, can be employed to specify statements of the factual type. For example, the exemplary Contract Expression Language can be used to certify the fulfillment of actions specified in a contract, advantageously, addressing the requirements for the backend of a contract lifecycle.

[0049] The exemplary Contract Expression Language, advantageously, can be employed to specify statements of the exclusivity type. For example, the exemplary Contract Expression Language can be used to express exclusivity, a common requirement in business contracts. Advantageously, preference mechanisms of the exemplary Contract Expression Language, for example, can be used to facilitate prioritization and conflict resolution between elements within a single contract, between multiple contracts, and the like.

[0050] The exemplary Contract Expression Language, for example, can include XrML elements, and leverage from the XrML design principles and extensibility structures. Advantageously, the exemplary Contract Expression Language can be integrated with XrML, providing flexible, interoperability, mechanisms to support many forms of contracts, for example, including business contracts for the supply, distribution, and consumption of content, resources, services, and the like.

[0051] In an exemplary embodiment, a contract can include a promise, or set of promises, for the breach of which the law gives a remedy, or the performance of which the law in some way recognizes as a duty [14]. Two or more parties can draft a contract to declare the consent of the parties to an act or a thing to be done or to forborne action by some of the parties or other parties. For example, the statement "Alice must sell her house to Bob upon receiving a payment of $500,000 from Bob by Apr. 30, 2002, signed by both Alice and Bob" can be a contract, advantageously, which can be expressed using the exemplary Contract Expression Language.

[0052] In the exemplary Contract Expression Language, a contract, for example, can include an agreement between two or more parties over a number of promises made by some parties. The nature of an agreement can include that a valid contract be signed by the parties involved. A promise can include several clauses, each of which can state a relationship, for example, such as when some parties acquire a right or permission to what the other grants, when some parties bind an obligation to what the other requires, when some parties follow a prohibition to what the other imposes, when some parties see an intention to what the other expresses, when some parties know an assertion to what the other makes, and the like.

[0053] A right or permission, an obligation and a prohibition can include the performance or the non-performance of some act or some class of acts. A difference among such terms can be the type of modality of an act that such terms address. For example, a right or permission can be of a type of modality that can be categorized by "may," an obligation can be categorized by "must," and a prohibition can be categorized by "must not." By contrast, an intention can include a desire to perform some act, and an assertion can describe a fact about the state of affairs as to whether or not some act was performed, is being performed, or will be performed. In an exemplary embodiment, the tense of an act need not be specified explicitly, as the tense often can be clear from the intention or assertion.

[0054] The relationship stated in a clause, for example, can be contingent on a condition to be true or on an event to occur, and the like. In an exemplary embodiment, the Principal-Act-Resource-Condition (PARC) model for XrML grants can be enhanced in the exemplary Contract Expression Language to an exemplary Event-Principal-Act-Resource-Condition (EPARC) model for such clauses. Advantageously, the exemplary EPARC model can include an event-condition-act rule paradigm and can be used in computer science and other applications, for example, including databases [27], expert systems [28], policy-based management [29], and the like.

[0055] The optional Event element, for example, can be used to capture the changes in a system context or environment that may entail the examination or execution of a clause. For example, the obligation clause can be triggered when the Event occurs, and if the Condition is true the Principal must perform the Act on the Resource. In an exemplary embodiment, the exemplary EPARC model, advantageously, can be employed for building relatively more efficient systems, for example, for managing contracts, consulting contracts, executing contracts, enforcing contracts, and the like.

[0056] In an exemplary embodiment, clauses also may be mutually dependent. For example, a mutual dependence can include that one clause depends on the validity of another clause, for example, such as "Alice has the right to sell a house, if she owns the house." Accordingly, the selling right clause depends on the validity of the ownership assertion clause.

[0057] In an exemplary embodiment, a mutual dependence can include that one clause depends on the performance of another clause, for example, such as "Alice has the obligation to sell her house, if Bob exercises his right to purchase the house by paying $500,000 by Apr. 30, 2002." Accordingly, the selling obligation clause depends on exercise of the purchase right clause.

[0058] In an exemplary embodiment, a mutual dependence can include that one clause depends on the non-performance of another clause, advantageously, which can be used to specify a remedy for a breach of the above contract, for example, such as "Bob must pay a penalty of $1,000 to Alice, if Bob does not make the payment of $500,000 to Alice by Apr. 30, 2002." Accordingly, the penalty obligation clause depends on the non-performance of the payment obligation clause.

[0059] In an exemplary embodiment, a contract can be specified as a dynamic object having a contracts lifecycle.

The contracts lifecycle, for example, can include a creation phase, an execution or performance phase, an amendment, extension or renewal phase, a completion, termination or expiration phase, an archiving phase, and the like. In an exemplary embodiment, the creation phase, for example, can begin with an offer provided by some party or parties, followed by a number of rounds of consideration and negotiation, and finally resulting in an agreement signed by the parties involved. In an exemplary embodiment, the execution or performance phase, for example, can dictate that the parties involved exercise rights, fulfill obligations, obey prohibitions, contest intentions, verify assertions, and the like.

[0060] Advantageously, the exemplary Contract Expression Language distinguishes from other policy specification approaches [29] by employing features from XrML, for example, for supporting the contracts lifecycle, for providing trust management of contracts, and the like. For example, in an open environment, such as that of the Internet, interaction among the entities involved can entail a certain level of trust amongst the involved entities. However, policy management systems that support trust management typically deal with a trust relationship only among principals and resources.

[0061] For example, in a typical policy management system, a principal is trusted if the principal possesses a certificate issued by some authority, and a resource is trusted if the resource is digitally signed by a trusted principal. However, such policy management systems typically do not deal with trust relationship among policies.

[0062] For example, a fundamental assumption typically made by such policy management systems is that policies are made for administrative or security domains managed by the owners or administrators of the respective domains. Thus, such policies typically are limited to regulating the behaviors of entities within the domains.

[0063] By contrast, when a contract is to be executed in a distributed environment that can include many different administrative and security domains, typically it is not only necessary to understand what the contract conveys, but more importantly to understand in some authenticated manner the parties bound within the contract, the parties that authored the contract, the parties that agreed upon the contract, and the like. After all, such factors typically are what make a contract valid and enforceable in the first place. Otherwise, an unauthorized party, for example, can forge a contract and impose unauthorized obligations upon an unsuspecting party, can illegally empower others or the unauthorized by granting excessive permissions within the forged contract, can restrict others by demanding unauthorized prohibitions, can mislead others by making false claims within the forged contract, and the like.

[0064] Advantageously, the exemplary Contract Expression Language addresses the above and other problems, and, for example, enables the building of operational systems for content reference, provides an extensible architectural framework for specifying contracts for various other applications, such as applications outside of the content reference framework, and the like.

[0065] In an exemplary embodiment, the exemplary Contract Expression Language, advantageously, can be employed for specifying evidence of a contract. For example, the exemplary Contract Expression Language can employed for communicating information conveyed within a contract in manner that can be easily and unambiguously understood, and the like.

[0066] In an exemplary embodiment, the exemplary Contract Expression Language, advantageously, can be employed for specifying execution of a contract. For example, the exemplary Contract Expression Language can employed for facilitating permissive, obligatory or prohibitory performance within a contract in an appropriate context, for example, integrated with the business processes of the contracting parties, for determining whether or not a party is allowed to exercise some right or is required to fulfill some obligation or obey some prohibition of a contact, and the like.

[0067] In an exemplary embodiment, the exemplary Contract Expression Language, advantageously, can be employed for evaluation of a contract. For example, the exemplary Contract Expression Language can be employed for checking permissive, obligatory or prohibitory performance by contracting parties, and the like.

[0068] In an exemplary embodiment, the exemplary Contract Expression Language, advantageously, can be employed for facilitating formation of contracts. For example, the exemplary Contract Expression Language can be employed for automating processes related to an offer, an acceptance, an agreement, consideration, and the like.

[0069] In an exemplary embodiment, the exemplary Contract Expression Language, advantageously, can be employed for facilitating the dynamic generation and updating of contracts. For example, the exemplary Contract Expression Language can be employed for facilitating the negotiation of contracts, for supporting business models related to contracts, and the like.

[0070] In an exemplary embodiment, the exemplary Contract Expression Language, advantageously, can be employed for facilitating the enforcement of contracts. For example, the exemplary Contract Expression Language can be employed for enforcing rights granted by a contract, for mandating obligations and stipulated prohibitions of a contract, and the like.

[0071] In an exemplary embodiment, the exemplary Contract Expression Language can be used to specify the "may,""must" and "must not" types of modalities in terms of contract rights, obligations, prohibitions, and the like. The exemplary Contract Expression Language need not to specify the "may not act" type of modality explicitly, as such modality can be treated as a default modality. For example, if an act, for example, play, is not mentioned in a contract expression written in the exemplary Contract Expression Language, the default can be that everyone "may not act," for example, play, according to such a clause. In an exemplary embodiment, the "may not act" default can be applicable to a contract expression that does not mention the given act, as other contract expressions can be used to specify the given act as being permitted, being obligated, being prohibited, and the like.

[0072] Accordingly, an exemplary modality specification in the exemplary Contract Expression Language, for example, can include the following types:

[0073] "may act"—right or permission,

[0074] "must act"—obligation, and

[0075] "must not act"—prohibition.

[0076] Advantageously, the exemplary modalities can be consistent with deontic logic [24], which can include principles of reasoning with respect to permission, obligation, prohibition, and other normative matters. The exemplary Contract Expression Language, advantageously, also supports specifying the intention of performing an act and the assertion of an act being performed as a fact, for example, as given by:

[0077] "want to act"—intention, and

[0078] "acted,""is acting,""will act,""was,""is" and "will be"—assertion.

[0079] Advantageously, by employing such mechanisms for specifying intentions, the exemplary Contract Expression Language, for example, can be used to express queries to authorization agents or services in order to get responses as to whether or not the intended acts are permitted, obligated or forbidden, and the like. In addition, by employing the mechanisms for specifying intentions, the exemplary Contract Expression Language, for example, can be used to create attribute assertions and describe facts about the states of affairs or a context of a contract, for the purposes of, for example, issuing identity and attribute certificates, defining various policies, for example, for specifying preference and trust, keeping track of histories and states for contract performance, and the like.

[0080] The exemplary Contract Expression Language, advantageously, can support multi-lateral contracts, for example, that need more than one of the parties to agree upon, unilateral contracts, and the like. For example, an action to be taken in response to a content reference may depend not only on a multi-lateral contract, but also on applicable laws and regulations and "one-party" business rules. Advantageously, contracts expressed in the exemplary Contract Expression Language, for example, allow for the specification of one or more signers of a contract, one or more issuers of promises within a contract, and the like. For example, a contract expressed with the exemplary Contract Expression Language, advantageously, can be configured to specify that a first party is bound to the contract, if the first party has signed the contract or if the first party trusts the issuer of the contract, and the like.

[0081] The present invention includes the recognition that contracts play a very important role in electronic commerce, especially with business-to-business applications. Generally, such contracts incorporate definitions, such as descriptive claims, and rights and responsibilities, such as prescriptive rules, and set forth the obligations and authorizations of the parties to the contract. The present invention further includes the recognition that there can be legal complications and implications related to contracts. As an enabling technology, the exemplary Contract Expression Language need not be employed to define, prescribe, and supersede contracts that can be enforced by law [16][17]. Advantageously, the exemplary Contract Expression Language can be employed to enable the theory of rights of Hohfeld [23] as applied to judicial reasoning.

[0082] The exemplary Contract Expression Language, for example, can be based on a rights expression language, such as XrML, and the like. For example, the exemplary Contract Expression Language can employ elements and types defined in XrML as building blocks, and the richness and the extensibility mechanisms of XrML expressions for providing expressiveness and extensibility to the exemplary Contract Expression Language. Advantageously, the exemplary Contract Expression Language can be interoperable and consistent with XrML. In addition, new constructs can be introduced, for example, for peer elements and types of the XrML counterparts, for containers of XrML constructs, for other new constructs, and the like.

[0083] In an exemplary embodiment, the exemplary Contract Expression Language can include a rights expression language, such as XrML, for rights or permissions, and additional features, such as obligations, prohibitions, intentions, assertions, and the like. Advantageously, the exemplary Contract Expression Language can be complementary to XrML. In addition, the exemplary embodiments, for example, can enable the offering, the generation, and the like, of XrML licenses based on exemplary Contract Expression Language contracts.

[0084] The exemplary Contract Expression Language can be defined as a declarative language. Advantageously, expressions written in the exemplary Contract Expression Language typically have no side effects. For example, the state of a system that uses the exemplary Contract Expression Language need not change because of evaluation of a Contract Expression Language expression.

[0085] Defining the exemplary Contract Expression Language as a declarative language provides a number of advantages over modeling the exemplary Contract Expression Language as an imperative language. For example, expressions based on the exemplary Contract Expression Language, such as grants, obligations, contracts, and the like, advantageously, can be independent of how the related rights are exercised, the related obligations are fulfilled, the related prohibitions are obeyed, the related contracts are adhered, and the like.

[0086] The exemplary Contract Expression Language, advantageously, can enable the development of business applications, systems, and the like. Advantageously, the exemplary Contract Expression Language can be employed by such business applications and systems as a means of expressing contractual agreements, as a means for managing expressions, and the like, for example, based on mechanisms for inserting, deleting, status-checking, enforcing, conflict detecting, conflict resolving, and the like, for contracts based on the exemplary Contract Expression Language.

[0087] In an exemplary embodiment, the exemplary Contract Expression Language need not decide how systems using the exemplary Contract Expression Language should change states thereof, for example, how values of expressions based on the exemplary Contract Expression Language will be changed. In an exemplary embodiment, when such expressions are evaluated, for example, for determining whether or not an action may or should be performed when associated conditions are satisfied, such determination can be regarded as one atomic action.

[0088] The exemplary embodiments can employ namespaces, for example, including schemas conforming to the W3C XML Schema [11], and the like. For example, eXtensible Markup Language (XML) namespace prefixes can be employed to stand for their respective namespaces as shown in Table 1, whether or not a namespace declaration is present in the listings of segments of the schema and examples.

TABLE 1

Schema Namespaces

Prefix	Namespace
c: (or omitted)	CEL Core namespace, http://www.xrml.org/schema/2002/04/celcore
csx:	CEL Standard Extension namespace, http://www.xrml.org/schema/2002/04/celsx
cpx:	CEL Content Distribution Extension namespace, http://www.xrml.org/schema/2002/04/celpx
r:	XrML Core namespace, http://www.xrml.org/schema/2001/11/xrml2core
sx:	XrML Standard Extension namespace, http://www.xrml.org/schema/2001/11/xrml2sx
cx:	XrML Content Extension namespace, http://www.xrml.org/schema/2001/11/xrml2cx
xsd:	W3C XML Schema namespace, http://www.w3.org/2001/XMLSchema [11]
dsig:	W3C XML Signature namespace, http://www.w3.org/2000/09/xmldsig# [12]
enc:	W3C XML Encryption namespace, http://www.w3.org/2001/04/xmlenc# [13]

[0089] **FIG. 2** illustrates an exemplary contract data model **200** of the exemplary Contract Expression Language that, for example, can be employed in the Digital Rights Management system of **FIG. 1**, and the like. In **FIG. 2**, the exemplary contract data model **200** can include a contract **202** having zero or more promises **208** agreed to by zero or more signers **210** of the contract **202**. In an exemplary embodiment, the promise **208** can include one or more clauses **212**, for example, used to describe a relationship among an event (E) **216**, a principal (P) **218**, an act (A) **220**, a resource (R) **222**, a condition (C) **224**, and the like.

[0090] The contract **202**, for example, further can include metadata **204**, such as a title, status, an inventory of expressions used throughout the contract **202**, and the like, one or more licenses **206**, such as XrML licenses, and the like. In an exemplary embodiment, the presence of signatures of the one or more signers **210**, for example, can be used in order to verify that the contract **202** is valid, can provide for contract integrity, can provide for signer authentication, can convey the consent of the signers **210**, and the like. Advantageously, by signing the contract **202**, the signers **210** can agree as to the contents of the contract **202**. In addition, the corresponding signatures can serve as evidence for a decision that a user of the contract **202** may need to make as to whether or not the user can accept the signatures in the contract **202** for an applicable purpose of the contract **202** by the user.

[0091] In an exemplary embodiment, the promise **208** can include the clauses **212**, optionally signed, and with each clause conveying a statement. In an exemplary embodiment, the corresponding signature for each clause can be used to indicate that that the issuer **214** corresponding to the signature conveyed the corresponding clause **212** within the promise **208**. Advantageously, such signatures can serve as evidence for a decision that a user of the clauses **212** may need to make as to whether or not the user can trust the signatures so as to believe the content and authenticity carried in the clauses **212**. For example, the issuer **214** element can be employed to conduct a process of issuance path validation for a chain of clauses in order to establish trust for an issuer of an end clause based on the trust of an issuer of a root clause in the clause chain.

[0092] In an exemplary embodiment, the clause **212** can be used to describe a statement about some kind of relationship among the elements of the clause **212**, such as the event **216**, the principal **218**, the act **220**, the resource **222**, and the condition **224** elements. In an exemplary embodiment, the event **216**, for example, can be an optional element representing an event condition. The event **216** can be satisfied if a corresponding event defined by the event **216** element occurs, for example, in a given context. In an exemplary embodiment, when the event **216** element is omitted from the corresponding contract **202**, a default interpretation can be that no event is required to occur and hence the event condition is satisfied.

[0093] In an exemplary embodiment, the event **216**, for example, can include an external event, an internal state, a temporal event, and the like. In an exemplary embodiment, an external event, for example, can be triggered by an entity outside a system bound by the corresponding contract **202**, for example, such as when a user request for a content reference, and the like. In an exemplary embodiment, an internal event or a state event can be triggered by something that happens inside of a system bound by the corresponding contract **202**, for example, such as when the number of printings allowed exceeding a limit, and the like. In an exemplary embodiment, a temporal event can be triggered when a point in time is reached, for example, such as when checking an invocation list at 1:00 am every day, and the like.

[0094] In an exemplary embodiment, the principal **218**, for example, can be an optional element representing an entity or a set of entities that can perform an act specified by the act **220** element. In an exemplary embodiment, when the principal **218** is omitted from the corresponding contract **202**, a default interpretation can be that any entity or the entire universe, for example, as a set of the entities, is being specified.

[0095] In an exemplary embodiment, the act **220** can include an act or a set of acts specified in the corresponding contract **202**. In an exemplary embodiment, the semantics of an instantiation of the act **220** can be used to determine whether or not the corresponding act **220** employs the resource **222** element.

[0096] In an exemplary embodiment, the resource **222**, for example, can be an optional element representing a resource or a set of resources that the corresponding act **220** applies to. In an exemplary embodiment, when the resource **222** is omitted from the corresponding contract **202**, the default interpretation can be that no resource is specified, as compared to the entire universe of resources being specified by such omission.

[0097] In an exemplary embodiment, the condition **224**, for example, can be an optional element representing a corresponding condition, subject to which the corresponding act **220** can be performed. In an exemplary embodiment, the condition **224** can be satisfied, if the corresponding condition the condition **224** specifies is met, for example, within a context. In an exemplary embodiment, when the condition **224** is omitted from the corresponding contract **202**, the default interpretation can be that no condition or equivalently a condition that is always true is being specified.

[0098] The exemplary EPARC data model for the clause **212**, advantageously, can be employed in computer science, and in the studies of programming languages, for example, such as for "if-guarded" and "while-guarded" commands in guarded commands [19], in database and knowledge-based systems, such as for event-condition-action rules [20][21], in artificial intelligence, such as for "pattern-action" rules in production systems [22], in multi-agent systems [25][26], and the like.

[0099] **FIG. 3** illustrates exemplary clauses **212** that can be employed in the exemplary contract data model **200** of **FIG. 2**. In **FIG. 3**, the exemplary clauses **212**, for example, can include one or more grant **320**, duty **304**, ban **306**, claim **308**, intent **310**, and the like, elements. In an exemplary embodiment, advantageously, the grant **302** element, for example, can be employed to convey a right, a permission, and the like, the duty **304** element can be employed to impose an obligation, the ban **306** element can be employed to command a prohibition, the claim **308** element can be employed to declare an assertion, and the intent **310** element can be employed to express an intention.

[0100] For example, the exemplary clause **212** can be employed to define connotations, such as modal connotations, intentional connotations, factual connotations, and the like, among the event (E), the principal (P), the act (A), the resource (R) and the condition (C) elements **302-310**, as follows:

[0101] Grant—(whenever E occurs), P may perform A upon R if C is met. Thus, (whenever E occurs), Grant conveys a permission on P to perform A on R in situations where C is met.

[0102] Duty—whenever E occurs, P must perform A upon R if C is met. Thus, whenever E occurs, Duty demands an obligation on P to perform A on R in situations where C is met.

[0103] Ban—whenever E occurs, P must not perform A upon R if C is met. Thus, whenever E occurs, Ban commends a prohibition on P not to perform A on R in situations where C is met.

[0104] Intent—whenever E occurs, P wants to perform A upon R if C is met. Thus, whenever E occurs, Intent expresses an intention of P to perform A on R in situations where C is met.

[0105] Claim—whenever E occurs, P does perform A upon R provided that C is met. Thus, whenever E occurs, claim makes an assertion about P performing A on R in situations where C is met.

[0106] **FIG. 4** illustrates an exemplary model of an eXtensible Rights Markup Language (XrML) grant **403** that can be defined using a PARC model, including a principal (P) **404** element, an act (A) **406** element, a resource (R) **408** element, and a condition (C) **410** element. Thus, an XrML grant can be specified with the clause **212** by omitting the event **216** element, for example, as given by:

[0107] Grant—P may perform A upon R if C is met. Thus, Grant conveys a permission on P to perform A on R in situations where C is met.

[0108] Advantageously, the EPARC model of the exemplary Contract Expression Language can be employed for expressing rights or permissions, as with the XrML grant, as well as for specifying obligations and prohibitions, for example, as given by:

[0109] "The distribution server must refer any music request to the www.someretailer.com."

[0110] Using the PARC model, the provisos of the above obligatory statement can be modeled by treating the provisos as conditions for the distribution server to fulfill the obligation of referring the request, for example, as given by:

[0111] (a) "the request being music," and

[0112] (b) "the reference destination being www.someretailer.com,"

[0113] However, treating the proviso (b) as a condition that must be met in order for the server to make the referral typically is not correct, as (b) is merely a constraint on the act of making a referral. For example, the act is making a referral to the destination www.someretailer.com. Moreover, making such a referral becomes an obligation for the server only when there is a request. For example, the referral has to be "triggered" by the event of an incoming request.

[0114] Advantageously, using the exemplary EPARC model, the referral service can be specified more precisely, for example, as given by:

[0115] "Upon the occurrence of a user request (E), it is obligatory that the distributor server (P) makes the referral to www.someretailer.com (A) of the request (R) if the request is a music request (C)."

[0116] The exemplary Contract Expression Language, for example, can employ XML Schema extensibility mechanisms, advantageously, enabling extensibility, Element substitution groups, Type substitution, "any" element, and the like [1][11]. In an exemplary embodiment, the Extensible Element and Types shown in Table 2 provide additional elements and types that typically are not provided in XrML, but that, advantageously, are extensible in the exemplary Contract Expression Language.

TABLE 2

Extensible Elements and Types

Element/Type	Extension Mechanism
Contract	"any"
Promise	Element substitution groups, Type substitution
Signer	Element substitution groups, Type substitution, "any"
Clause	Element substitution groups, Type substitution
Event	Element substitution groups, Type substitution
Principal	Element substitution groups, Type substitution
Act	Element substitution groups, Type substitution
Resource	Element substitution groups, Type substitution
Condition	Element substitution groups, Type substitution

[0117] Such extensions to core elements of the exemplary Contract Expression Language can include definitions of elements and types for those concepts that are generally and broadly applicable to the exemplary Contract Expression Language usage scenarios. For example, there are composite elements shown in Table 3 that extend a corresponding element.

TABLE 3

Composite Clause Elements

Element	Composite Element	Meaning
Event	OnPerformance/[Clause, Claim1, . . . , ClaimN]	A Event, caused by performing the Act in the Clause, given the Claim1, . . . , ClaimN (as part of the context), where N ≧ 0.
	AnyOneEvent/[E1, . . . , En]	A set of Events, including E1, . . . , En. This Event occurs if one and only one of E1, . . . , En occurs.
Principal	AllPrincipals/[P1, . . . , Pn]	A Principal representing the combination of all Principals P1, . . . , Pn.
	AnyOnePrincipal/[P1, . . . , Pn]	A set of Principals P1, . . . , Pn. This Principal represents any one of those P1, . . . , Pn.
	OnBehalfPrincipal/[P1, P2]	A Principal P1 acting on behalf of P2 [32].
Act	AllActs/[A1, . . . , An]	An Act whose performance is the simultaneous performances of all A1, . . . , An.
	AnyOneAct/[A1, . . . , An]	A set of Acts A1, . . . , An. This Act is performed if one and only one of A1, . . . , An is performed.
Resource	AllResources[R1, . . . , Rn]	A resource representing the combination of all R1, . . . , Rn.
	AnyOneResource[R1, . . . , Rn]	A set of Resources P1, . . . , Pn. This Resource represents any one of those R1, . . . , Rn.
Condition	AllConditions[C1, . . . , Cn]	A Condition representing the conjunction of C1, . . . , Cn.
	AnyConditions[C1, . . . , Cn]	A Condition representing the disconjunction of C1, . . . , Cn.

[0118] In an exemplary embodiment, there are a number of acts than can act as meta acts that act upon the corresponding clauses **212**, for example, given by:

[0119] Issue: an Act, used to specify that a principal issues a clause (for example, within a contract). With the Issue Act, one can create specified clauses (for example, for permissions, obligations, prohibitions, intentions, and assertions) by making the Issue Act as an issuer of the clauses.

[0120] Obtain: an Act, used to specify that a principal obtains a clause (for example, within a contract). With the Obtain Act, one can receive a specified clause.

[0121] Revoke: an Act, used to specify that one revokes a clause by revoking one of its signatures as a signer or issuer. With the Revoke Act, one can invalidate an existing clause made by the signer or issuer.

[0122] Precede: an Act, used to specify that one clause precedes another in terms of preference. With the Precede Act, one can make claims for preference among clauses in order to resolve potential conflicts and multiplicities by the clause preference.

[0123] Possess: an Act, used to specify that a principal can possess some resource.

[0124] Trust: an Act, used to specify that one principal trusts one resource (for example, which can also be a principal) in terms of clauses made on the resource (or, for example, by the principal). With the Trust Act, one can make claims about what a principal trusts.

[0125] Bind: an Act, used to specify that one principal binds to one resource (for example, which can also be a principal) in terms of clauses made on the resource (or, for example, by the principal). With the Bind Act, one can make claims about what a principal binds to.

[0126] Delegate: an Act, used to specify that a first principal delegates some resource (for example, which can be Clauses) possibly to a second principal to act on behalf of the first principal

[0127] In an exemplary embodiment, the exemplary Contract Expression Language can include one or more condition constructs related to past temporal operators **1331**, for example, given by:

[0128] Valid/[Clause, Claim1, . . . , ClaimN]: The Valid condition is satisfied if the Event and Conditions of the Clause are valid under the assertions

expressed in the Claim1, . . . , ClaimN for example, (on top of the current context).

[0129] Exercised/[Clause, StateReference, Count]: The Exercised condition is satisfied if the Act specified in the Clause has been performed in Count times and the number of performance is recorded at the (for example, optional) StateReference. The Count is optional and when omitted defaults to one. Logically, the Exercised condition is true if the number stored at the StateReference is greater than or equal to Count.

[0130] NotExercised/[Clause, StateReference, Count]: The NotExercised condition is satisfied if the Act specified in the Clause has not been performed in Count times and the number of performance is recorded at the (for example, optional) StateReference. Logically, the NotExercised condition is true if the number stored at the StateReference is less than or equal to Count.

[0131] The exemplary Contract Expression Language can include a content distribution extension to the core elements, for example, that can be specific to content or resource publishing, distribution, and the like. The present invention includes the recognition that publishing and distribution contracts can be some of the most important documents involved in the publication and distribution process. For example, a publishing and distribution contract can be used to define the scope of the interests of an author and a publisher and govern respective rights and obligations of the parties, as well as the rights and obligations of heirs and successors of the parties, in the publication and distribution value chain.

[0132] In an exemplary embodiment, an exemplary semantics model for contracts based on the exemplary Contract Expression Language, for example, can include a mapping of a contract to one or more logic propositions, for example, Datalog programs [30], Prolog programs [31], which have well understood logic semantics, and the like. In an exemplary embodiment, the semantic mapping can be structural, can be defined based on the data model of a contract, and the like. Advantageously, the exemplary semantics model can serve as a foundation for further processing models.

[0133] In an exemplary embodiment, further semantics models can be employed, for example, based on advanced logic, such as deontic logic for the grant **302** element, for example, for a right or a permission, the duty **304** element, for example, an obligation, ought-to-be or ought-to-do, the ban **306** element, for example, a prohibition, and the like. In an exemplary embodiment, further semantics models can be employed, for example, based on dynamic logic for the act **220** element, for example, actions, and the like. In an exemplary embodiment, further semantics models can be employed, for example, based on doxastic logic for the issuer **214** and the signer **210** elements, for example, so that trust and binding relationships can be specified. In an exemplary embodiment, further semantics models can be employed, for example, based on temporal logic for performance and non-performance of the act **220** elements, for example, so that a remedy and a warranty can be specified.

[0134] In an exemplary embodiment, the notation employed for the exemplary Contract Expression Language, for example, can be given by:

[0135] For any contract element Z within a Contract, denote by Z/[Z1, . . . , Zm] the fact that Z has m elements Z1, . . . , Zm, and by Z,[A1, . . . , A1] the fact that Z has n attributes A1, . . . , An.

[0136] Let Z be any contract element of a Contract. Denote by [[Z]] the semantics of Z, which is a set of logic propositions possibly with universally qualified variables (for example, Horn clauses [31]).

[0137] Let II be the set of all Datalog type propositions. For each proposition Q of the form Q0⇆Q1, . . . , Qm (m≧0), denote by Head(Q) (=Q0) the conclusion of Q, and by Body(Q) (=Q1, . . . , Qm) the hypothesis of Q.

[0138] In an exemplary embodiment, the semantics of the contract **202**, for example, can be given by:

[0139] For a Contract expression E of the form E/[D, P1, . . . , PM, S1, . . . , SK], where D is the Metadata, P1, . . . , PM, 0≦M, are the Promises and S1, . . . , SK, 0≦K, are the Signers within E:

[0140] Let Slist=[S1, . . . , SK].

[0141] In an exemplary embodiment, the semantics [[E]] of the contract **202** expression (E), for example, can be given by:

$$[[E]] = \{Metadata(E, D)\} \cup [[P1]](Slist) \cup \ldots \cup [[PM]](Slist) \cup [[S1]](E) \cup \ldots \cup [[SK]](E) \cup \{PromiseOf(P1, E)\} \cup \ldots \cup \{PromiseOf(PM, E)\}$$

[0142] In an exemplary embodiment, the semantics of the contract **202** expression E, for example, can include propositions declaring the promises (P1, . . . , PM) signed by the signers **210** from the Slist, and the semantics of the signers **210** (S1, . . . , SK) of the Slist for the contract **202** expression E. The semantics of the contract **202** expression E also can include the fact that each of the promises (P1, . . . , PM) is part of the promise **208** of the contract **202** expression E.

[0143] In an exemplary embodiment, the semantics of the promise **208**, for example, can be given by:

[0144] Let P be a Promise of the form P/[C1, . . . , CN, I1, . . . , IL], where C1, . . . , CN, 1≦N, are the Clauses and I1, . . . , IL, 0≦L are the Issuers within P.

[0145] Let Ilist=[I1, . . . , IL] and Slist be the list of Signers who sign the Contract directly including the Promise P.

[0146] In an exemplary embodiment, the semantics [[P]] of the promise (P) **208**, for example, can be given by:

$$[[P]](Slist) = [[C1]](Ilist, Slist) \cup \ldots \cup [[CN]](Ilist, Slist) \cup [[I1]](P) \cup \ldots \cup [[IL]](P) \cup \{ClauseOf(C1, P)\} \cup \ldots \cup \{ClauseOf(CN, P)\}.$$

[0147] In an exemplary embodiment, given a list of the signers **210**, the semantics of the promise (P) **208**, for example, can include propositions declaring the clauses (C1, . . . , CN) issued by the issuers **214** from Ilist, signed by the

signers **210** from the Slist, and the semantics of the issuers **214** (I1, . . . , IL) for the promise (P) **208**. The semantics of the promise (P) **208** also can include the fact that each of the clauses (C1, . . . , CN) is part of the clause **212** of the promise **208** (P).

[0148] In an exemplary embodiment, the semantics of the signer **210**, for example, can be given by:

[0149] Let S be a Signer. The semantics [[S]] of S is a mapping of a Contract expression E signed by S to II, such that [[S]](E) is a set of propositions, some of which have the head Signing (E, S), attesting whether or not the Signer S is indeed a signer of E. The set may include propositions to verify any digital signature of S upon E.

[0150] In an exemplary embodiment, the semantics of a clause, for example, can be given by:

[0151] Let C be a Clause of the form C/[E, P, A, R, C]. Let Ilist be the list of Issuers who issue the Promise directly including C and Slist be the list of Signers who sign the Contract directly including the Promise that directly includes the clause C.

[0152] In an exemplary embodiment, the semantics [[C]] of the clause (C) **212**, for example, can be given by:

$$[[C]](Ilist, Slist) = \{Clause(E, P, A, R, C, Ilist, Slist).\} \cup [[E]] \cup [[P]] \cup [[A]] \cup [[R]] \cup [[C]].$$

[0153] In an exemplary embodiment, given the list of the issuers **214** (Ilist) and the list of the signers **210** (Slist), the semantics of the clause **212**, for example, can include a proposition declaring that semantics of the clause **212** is a clause including of the event (E) **216**, the principal (P) **218**, the act (A) **220**, the resource (R) **222**, the condition (C) **224**, a list of the issuers **214** (Ilist), and the list of the signers **210** (Slist). The semantics of the clause **212** also can include the semantics of the elements E, P, A, R and C.

[0154] In an exemplary embodiment, the semantics of the grant **302**, the duty **304**, the ban **306**, the claim **308**, and the intent **310** elements for the clauses **212** can include propositions, instead of Clause(E, P, A, R, C, Ilist, Slist), for example, as given by:

[0155] Grant(E, P, A, R, C, Ilist, Slist).

[0156] Duty(E, P. A, R, C, Ilist, Slist).

[0157] Ban(E, P, A, R, C, Ilist, Slist).

[0158] Claim(E, P, A, R, C, Ilist, Slist).

[0159] Intent(E, P, A, R, C, Ilist, Slist).

[0160] In an exemplary embodiment, the semantics of the issuer **214**, for example, can be given by:

[0161] Let I be an Issuer. Its semantics [[I]] is a mapping of a Promise P it issues to H, such that [[I]](P) is a set of propositions, some of which have the head Issuing(P, I), attesting whether or not the Issuer I is indeed an issuer of P. The set may include propositions to verify any digital signature of I upon P.

[0162] In an exemplary embodiment, the event (E) **216** clause elements, for example, can include a condition. In an exemplary embodiment, the semantics [[E]] of the event **216** condition, for example, can be given by:

If E is an instance Event, then
[[E]] = e[[E]],
where e[[ ]] is a mapping of instance Events to II, such that for each instance Event E, e[[E]] is a set of propositions, some of which have the head Event(E), attesting whether or not the Event E occurs.
If E = OnPerformance/[Clause/[E, P, A, R, C], Claim1, ..., ClaimN], then
[[E]] = {Event(E) z,801 Claim(E, P, A, R, C, Ilist, Slist),
Claim (E1, P1, A1, R1, C1, Ilist1, Slist1,), ...
Claim (EN, PN, AN, RN, CN, IlistN, SlistN). } ∪
[[Claim1]] ∪ ... ∪[[ClaimN]].
If E = AllEvents/[E1, ..., En], then
[[E]] = {Event(E) z,801 Event(E1), ..., Event(En).} ∪
[[E1]] ∪ ... ∪[[En]].
If E = AnyOneEvent/[E1, ..., En], then
[[E]] = {Event(E) z,801 Event(E1).} ∪ ... ∪{Event(E) z,801 Event(En).} ∪
[[E1]] ∪ ... ∪[[En]].
If E is empty (or omitted), then [[E]] = { Event ([ ]). }. For example, this condition is always met.

[0163] In an exemplary embodiment, the principal (P) **218**, for example, can be a single entity, a set of entities, and the like. In an exemplary embodiment, the semantics [[P]] of the principal **218**, for example, can be given by:

If P is an instance Principal, then
[[P]] = p[[P]],
where p[[ ]] is a mapping of instance Principals to II, such that for each instance Principal P,

-continued

p[[P]] is a set of propositions, some of which have the head MatchP(X, P), attesting whether or not the input X matches against the Principal P. For example, if P is the XrML KeyHolder principal, its semantics includes the proposition MatchP(X, P) ← X = P, for example, X matches against P if X as an XML expression is "syntactically" equal to P (for example, subject to some XML canonicalization).
If P = AllPrincipals/[P1, ..., Pn], then
[[P]] = {MatchP(X, P) ← MatchP(X, P1), ..., MatchP(X, Pn).} ∪
[[P1]] ∪ ... ∪[[Pn]].
If P = AnyOnePrincipal/[P1, ..., Pn], then
[[P]] = {MatchP(X, P) ← MatchP(X, P1).} ◡ ... ◡
(MatchP(X, P) ← MatchP(X, Pn).{ ◡
[[P1]] ∪ ... ∪[[Pn]].
If P = OnBehalfPrincipal/[p1, p2], then
[[P]] = {MatchP(X, P) ← MatchP(X, P1),
Claim(E, P1, delegate, P2, C),
Event(E), Cond(C).} ∪
[[P1]] ∪[[P2]].
if P is empty (or, for example, omitted), then [[P]] = { MatchP(X, [ ]). }. For example, the input X matches against any Principal.

[**0164**] In an exemplary embodiment, the act (A) **220**, for example, can include a single act, a set of acts, and the like. In an exemplary embodiment, the semantics [[A]] of the act **220**, for example, can be given by:

If A is an instance Act, then
[[A]] = a[[A]],
where a[[ ]] is a mapping of instance Acts to Π, such that for each instance Act A, a[[A]] is a set of propositions, some of which have the head MatchA(X, A), attesting whether or not the input X matches against the Act A. For example, for the XML Play act, its semantics includes the proposition MatchA(X, A) ← X = A. In general, the semantics of Play also may include propositions that match more restrictive version of Play (for example, such as PlaySilent - play with no audio output) against Play.
If A = AllActs/[A1, ..., An], then
[[A]] = {MatchA(X, A) ← MatchA(X, A1), ..., MatchA(X, An).} ∪
[[A1]] ∪ ... ∪[[An]].
If A = AnyOneAct/[A1, ..., An], then
[[A]] = (MatchA(X, A) ← MatchA(X, A1).} ∪ . . . ∪
(MatchA(X, A) ← MatchA(X, An).{ ◡
[[A1]] ∪ . . . ∪[[An]].

[**0165**] In an exemplary embodiment, the resource (R) **222**, for example, can include a single resource, a set of resources, and the like. In an exemplary embodiment, the semantics [[R]] of the resource **222**, for example, can be given by:

If R is an instance Resource, then
[[R]] = r[[R]],
where r[[ ]] is a mapping of instance Resources to Π, such that for each instance Resource R, r[[R]] is a set of propositions, some of which have the head MatchR(X, R), attesting whether or not the input X matches against the Resource R.
If R = AllResources/[R1, ..., Rn], then
[[R]] = {MatchR(X, R) ← MatchR(X, R1), ..., MatchR(X, Rn).} ∪
[[R1]] ∪ ... ∪[[Rn]].
If R = AnyOneResource/[R1, ..., Rn], then
[[R]] = {MatchR(X, R) ← MatchR(X, R1).} ∪ . . . ∪
{MatchR(X, R) ← MatchR(X, Rn).} ◡
[[R1]] ∪ ... ∪[[Rn]].
if R is empty (or omitted), then [[R]] = { MatchR(X, [ ]) ← false. }. For example, the input X does match against any Resource.

[0166] In an exemplary embodiment, the condition (C) **224** specifies a condition, wherein the semantics [[C]] of the condition **224**, for example, can be given by:

If C is an instance Condition, then
[[C]] = c[[C]],
where c[[ ]] is a mapping of instance Conditions to Π, such that for each instance Condition C, c[[C]] is a set of propositions, some of which have the head Cond(C), attesting whether or not the Condition C is met.
If C = AllConditions/[C1, ..., Cn], then
[[C]] = {Cond(C) ← Cond(C1), ..., Cond(Cn).{ ∪
[[C1]] ∪ ... ∪[[Cn]].
If C = AnyOneCondition/[C1, ..., Cn], then
[[C]] = {Cond(C) ← Cond(C1).} ∪ ... ∪{Cond(C) :- Cond(Cn).} ∪
[[C1]] ∪ ... ∪[[Cn]].
If C is empty (or omitted), then [[C]] = { Cond([ ]). }. For example, this condition is always met.

[0167] The following exemplary contracts, obligations, prohibitions, intentions, assertions, and the like, help to illustrate the expressiveness of the exemplary Contract Expression Language. Advantageously, the exemplary embodiments, for example, allow for the expressing of exclusivity, allow for the expressing preference to help resolving potential conflicts and multiplicities, allow for the expressing trust and binding policies, and the like.

[0168] In an exemplary embodiment, a Web service referral, for example, can be given by:

[0169] "The distribution server must refer any music request to the www.someretailer.com."

[0170] The exemplary Web service referral can be expressed using the exemplary Contract Expression Language, for example, by employing an extension, "refx," for the referral extension, that defines the event **216** element, "receiveCR," the act **220** element, "redirect," with the constraint element, "redirectTo," the resource **222** element, "request," and the condition **224** element, "requestConstraint," for example, as given by:

```
<contract>
    <promise>
        <duty>
            <refx;receiveCR/>
            + <r:keyHolder licensePartId="DistributionServer">
            <refx:redirect>
                <refx:redirectTo>
                    <r:serviceReference>
                        <r:uddi>
                            <serviceKey>
                                <uuid> 12345678-1234-1234-1234-123456789abc</uuid>
                            </serviceKey>
                        </r:uddi>
                        <r:serviceParameters>
                            <r:datum>
                                <website url="www.someretailer.com"/>
                            </r:datum>
                            <r:datum>
                                <refx:request licensePartIdRef="CR"/>
                            </r:datum>
                        </r:serviceParameters>
                    </r:serviceReference>
                </refx:redirectTo>
            </refr:redirect>
            <refx:request licensePartId="CR"/>
            <refx:requestConstraint>
                <r:xmlExpression>/TYPE"music"
                </r:xmlExpression>
            </refx:requestConstraint>
        </duty>
    <promise>
    signer licensePartId="publisher">
        +<dsig:Signature>
        <details>
            <timeOfIssue>2001-11-11T11:11:11</timeOfIssue>
        </details>
    </signer>
    <signer licensePartId="distributor">
    + <dsig:Signature>
```

-continued

```
        <details>
            <timeOfIssue>2001-11-11T11:11:11</timeOfIssue>
        </details>
    </signer>
</contract>
```

[0171] In an exemplary embodiment, a goods-for-sale, for example, can be given by:

[0172] "Alice must sell her house to Bob if he pays her $500,000."

[0173] The exemplary goods-for-sale can be expressed using the exemplary Contract Expression Language, for example, by employing an extension "rex," for the real estate extension, that defines the event **216** element, "receivePayment," the act **220** element, "sell" with the constraint "sellTo" and the resource **222** element, "property," for example, as given by:

```
<contract>
    <promise>
        <duty>
            <rex:receivePayment>
                <sx:paymentFlat>
                    <sx:rate currencyCode="USD">500000</sx:rate>
                </sx:paymentFlat>
                <sx:to>
                    <!-- Alice's bank account -->
                    <sx:aba>
                        <sx:institute>123456789</sx:institute>
                        <sx:account>0987654321</sx:account>
                    </sx:aba>
                </sx:to>
            </rex:receivePayment>
            + <r:keyHolder licensePartId="Alice">
            <rex:sell>
                <rex:sellTo>
                    + <r:keyHolder licensePartId="Bob">
                </rex:sellTo>
            </rex:sell>
            <rex:property licensePartId="AliceHouse">
                rex:location>
                    <rex:address>SOME ADDRESS</rex:address>
                </rex:location>
            </rex:property>
        </duty>
    <promise>
    <signer licensePartId="Alice">
        + <dsig:Signature>
```

-continued

```
        <details>
            <timeOfIssue>2001-11-11T11:11:11</timeOfIssue>
        </details>
    </signer>
    <signer licensePartId="Bob">
        + <dsig:Signature>
        <details>
            <timeOfIssue>2001-11-11T11:11:11</timeOfIssue>
        </details>
    </signer>
</contract>
```

[0174] In an exemplary embodiment, a portion of a monthly apartment rental contract, for example, can be given by:

[0175] "On the first day of a month during the validity time of the contract, Alice must pay Bob $600 for renting the apartment. If Alice fails to make the payment, Bob has the right to evict hero from the apartment."

[0176] In an exemplary embodiment, a contract can be established based on the above expression and, for example, can include:

[0177] duty: Alice must pay Bob $600 on the first day of every month, since Jan. 1, 2001.

[0178] duty: Bob must allow Alice to live in the apartment every month she pays the rent.

[0179] grant: Alice may live in the apartment every month she pays the rent.

[0180] grant: Bob may evict Alice if she does not pay the rent (for example, breaches the payment obligation).

[0181] The exemplary portion of a monthly apartment rental contract can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<contract>
    <promise>
        <duty>
            <sx:validityTimePeriodic>
        <sx:start>2001-01-01T00:00:00</sx:start>
        <sx:period>P1M</sx:period>
        <sx:duration>P1D</sx:duration>
            </sx:validityTimePeriodic>
            + <r:keyHolder licensePartId="Alice">
            <rex:pay>
                <sx:validityTimePeriodic>
                    <sx:duration>P1D</sx:duration>
                </sx:validityTimePeriodic>
            </rex:pay>
```

-continued

```
        <rex:fee>
            <sx:paymentFlat>
                <sx:rate currencyCode="USD">1000</sx:rate>
            </sx:paymentFlat>
            <sx:to>
                <!--Bob's bank account -->
                <sx:aba>
                    <sx:institute>123456789</sx:institute>
                    <sx:account>098765432!</sx:account>
                </sx:aba>
            </sx:to>
        </rex:fee>
    </duty>
    <duty>
        + <r:keyHolder licensePartId="Bob">
        <rex:rent/>
        <rex:property licensePartId="Apartment">
            <rex:location>
                <rex:address>SOME ADDRESS</rex:address>
            </rex:location>
        </rex:property>
        <r:allConditions>
            <fulfillingObligation licensePartId="AlicePays">
                <r:keyHolder licensePartIdRef="Alice"/>
                <rex:pay/>
                <rex:fee>
                    <sx:paymentFlat>
                        <sx:rate currencyCode="USD">1000</sx:rate>
                    </sx:paymentFlat>
                    <sx:to>
                        <!-- Bob's bank account -->
                        <sx:aba>
                            <sx:institute>123456789</sx:institute>
                            <sx:account>0987654321</sx:account>
                        </sx:aba>
                    </sx:to>
                </rex:fee>
                <sx:validityTimePeriodic>
                    <sx:start>2001-01-01T00:00:00</sx:start>
                    <sx:period>P1M</sx:period>
                    <sx:duration>P1D</sx:duration>
                </sx:validityTimePeriodic>
            </fulfillingObligation>
            <rex:rentTo>
                <r:keyHolder licensePartIdRef="Alice"/>
            </rex:rentTo>
        </r:allConditions>
    </duty>
    <grant>
        <r:keyHolder licensePartIdRef="Alice"/>
        <rex:liveIn/>
        <rex:property licensePartIdRef="Apartment"/>
        <fulfillingObligation licensePartIdRef="AlicePays"/>
    </grant>
    <grant>
        <r:keyHolder/>
        <rex:evacuate/>
        <r:keyHolder/>
        <r:allConditions>
            <breachingObligation>
                <r:keyHolder licensePartIdRef="Alice"/>
                <rex:pay/>
                <rex:fee>
                    <sx:paymentFlat>
                        <sx:rate currencyCode="USD">1000</sx:rate>
                    </sx:paymentFlat>
                    <sx:to>
                        <!-- Bob's bank account -->
                        <sx:aba>
                            <sx:institute>123456789</sx:institute>
                            <sx:account>0987654321</sx:account>
                        </sx:aba>
                    </sx:to>
```

-continued

```
                    </rex:fee>
                    <sx:validityTimePeriodic>
                        <sx:start>2001-01-01T00:00:00</sx:start>
                        <sx:period>P1M</sx:period>
                        <sx:duration>P1D</sx:duration>
                    </sx:validity TimePeriodic>
                </breachingObligation>
                <rex:evacuateFrom>
                    <rex:property licensePartIdRef="Apartment"/>
                </rex:evacuateFrom>
            </r:allConditions>
        </grant>
    </promise>
    <signer licensePartId="Alice">
        + <dsig:Signature>
        <details>
            <timeOfIssue>2000-11-11T11:11:11</timeOfIssue>
        </details>
    </signer>
    <signer licensePartId="Bob">
        + <dsig:Signature>
        <details>
            <timeOfIssue>2000-11-11T11:11:11</timeOfIssue>
        </details>
    </signer>
</contract>
```

[0182] In an exemplary embodiment, an obligation that an online retailer must pay transmission charge, for example, can be given by:

[0183] "Yahoo! must pay 0.05 of receipts to AT&T for all sales that meet the criteria, 1) product is e-book, and 2) billing address of customer is in US."

[0184] Accordingly, it is obligated that, in the event of any sale transaction conducted by Yahoo!, Yahoo! pays to AT&T 0.05 of the receipts, when the product is an e-book and billing address of customer is in the U.S. The exemplary obligation duty can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<duty>
    <umg:saleTransactionEventAtYahoo licensePartId="sale"/>
    <cpx:company>Yahoo!</cpx:company>
    <cpx.pay/>
            <cx:destination>
                <!-- AT&T as a key holder -->
                <r:keyHolder> ... </r:keyHolder/>
            </cx:destination>
    </cpx:pay>
    <csx:transactionMarkupPayment>
        <cx:rate>0.05</cx:rate>
        <umg:saleTransactionEventAtYahoo licensePartIdRef="sale"/>
    </csx:transactionMarkupPayment>
    <r:allConditions>
        <umg:transactionProduct Type>e-book</umg:transactionProductType>
        <umg:transactionBillingAddress>
            <sx:country>US</sx:country>
        </umg:transactionBillingAddress>
    </r:allConditions>
</duty>
```

[0185] In an exemplary embodiment, a certification duty, for example, can be given by:

[0186] "Each revision of media player must be submitted, via HREV, to Haxor, Inc."

[0187] Accordingly, it is obligatory that, in the event of releasing a revision of media player, Microsoft submits the media player to Haxor, Inc., using the HREV protocol. Alternatively, Haxor, Inc., can be treated as a certification service and communication with the service is via the HREV protocol. The exemplary certification duty can be expressed using the exemplary Contract Expression Language, for example, as given by:

[0188] In an exemplary embodiment, a referral duty, for example, can be given by:

[0189] "Any referral requested by a consumer currently in Japan must be redirected to NTT's reference service for resolution."

[0190] Accordingly, "in Japan" can be defined by the location of the requestor at the time of the request, and any referral request from a consumer currently in Japan must be redirected to NTT's reference service." The exemplary referral duty can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<duty>
    <!--Define a variable for any revision of the media player released by Microsoft-->
    <forAll varName="mediaPlayer">
        <xmlExpression>//mpeg21:DIDL/mpeg21:ITEM/mpeg21:DESCRIPTOR/mpeg21:STATE
        MENT/mpeg7:Mpeg7/mpeg7:DescriptionUnit/mpeg7:Creation/mpeg7:Creator[mpeg7:Ro
        le/@href="urn:mpeg:mpeg7:cs:MPEG7RoleCS:PUBLISHER"]/mpeg7:Organization/mp
        eg7:Name="Microsoft" &&
        //mpeg21:DIDL/mpeg21:ITEM/mpeg21:DESCRIPTOR/mpeg21:STATEMENT/mpeg7:Mp
        eg7/mpeg7:DescriptionUnit/mpeg7:Creation/mpeg7:Title="Media Player"
        </xmlExpression>
    </forAll>
    <umg:eventOfProductReleasingByMicrosoft/>
        <r:resource varRef="mediaPlayer"/>
    </umg:eventOfProductReleasingByMicrosoft>
    <!-- Microsoft as a key holder -->
    <r:keyHolder> ... </r:keyHolder/>
    <cx:copy/>
        <cx:destination>
            <!-- Haxor as a key holder -->
            <r:keyHolder> ... </r:keyHolder/>
        </cx:destination>
        <cpx:protocol>
            HREV
        </cpx:protocol>
    </cx:copy>
    <r:resource varRef="mediaPlayer"/>
</duty>
```

```
<duty>
    <refx:receiveCR/>
    + <r:keyHolder licensePartId="DistributionServer">
    <refr:redirect>
        <refx:redirectTo>
            <r:serviceReference licensePartId="NTTReferenceService">
                <r:uddi>
                    <serviceKey>
                        <uuid> 12345678-1234-1234-1234-123456789abc</uuid>
                    </serviceKey>
                </r:uddi>
                <r:serviceParameters>
                    <r:datum>
                        <refx:request licensePartIdRef="CR"/>
                    </r:datum>
                </r:serviceParameters>
            </r:serviceReference>
        </refr:redirectTo>
    </refx:redirect>
    <refx:request licensePartId="CR"/>
```

-continued

```
    <refx:requestConstraint>
        <cx:territory>
            <cx:location>
                <cx:country>JP</cx:country>
                </cx:location>
            </cx:territory>
    </refx:requestConstraint>
</duty>
```

[0191] In an exemplary embodiment, a rendering device can be prohibited or banned, for example, as given by:

[0192] "The Z45 is prohibited from playing any UMG content."

[0193] The above exemplary prohibition or ban, for example, can be given by:

[0194] It is forbidden that anyone plays any UMG content, when the renderer is the device Z45.

[0195] The above exemplary prohibition or ban can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<ban>
    <!--Define a variable for any content published by UMG-->
        <forAll varName="UMGContent">
            <xmlExpression>//mpeg21:DIDL/mpeg21:ITEM/mpeg21:DESCRIPTOR/mpeg21:STATE
            MENT/mpeg7:Mpeg7/mpeg7:DescriptionUnit/mpeg7:Creation/mpeg7:Creator[mpeg7:Ro
            le/@href="urn:mpeg:mpeg7:cs:MPEG7RoleCS:PUBLISHER"]/mpeg7:Organization/mp
            eg7:Name="UMG"</xmlExpression>
    </forAll>
    <cx:play/>
    <r:resource varRef="UMGContent"/>
    <cx:renderer>
            <cpx:device type="Z45"/>
    </cx:renderer>
<ban>
```

[0196] The exemplary prohibition or ban, for example, also can be given by:

[0197] It is forbidden that anyone uses the rendering device Z45 to play any UMG content.

[0198] The above exemplary prohibition or ban can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<ban>
    <!--Define a variable for any content published by UMG-->
        <forAll varName="UMGContent">
            <xmlExpression>//mpeg21:DIDL/mpeg21:ITEM/mpeg21:DESCRIPTOR/mpeg21:STATE
            MENT/mpeg7:Mpeg7/mpeg7:DescriptionUnit/mpeg7:Creation/mpeg7:Creator[mpeg7:Ro
            le/@href="urn:mpeg:mpeg7:cs:MPEG7RoleCS:PUBLISHER"]/mpeg7:Organization/mp
            eg7:Name="UMG"</xmlExpression>
    </forAll>
    <cx:play>
            <cx:renderer>
                <cpx:device type="Z45"/>
            </cx:renderer>
    </cx:play>
    <r:resource varRef="UMGContent"/>
</ban>
```

[0199] In an exemplary embodiment, sales of a class of content in a country can be prohibited or banned, for example, as given by:

[0200] "Sales of R-rated movies where buyer is currently located in Finland are prohibited."

[0201] The above exemplary prohibition or ban, for example, can be given by:

[0202] It is forbidden that anyone in Finland obtains any R-rated movie.

[0203] The above exemplary prohibition or ban can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<ban>
    <!--Define a variable for any R-rated movie-->
        <forAll varName="R-RatedMovie">
            <xmlExpression>//mpeg21:DIDL/mpeg21:ITEM/mpeg21:DESCRIPTOR/mpeg21:STATE
            MENT/mpaa:rate="R"</xmlExpression>
    </forAll>
    <r:obtain/>
    <r:resource varRef="R-RatedMovie"/>
    <sx:territory>
            <sx:location>
                <sx:country>FI</sx:country>
            </sx:location>
    </sx:territory>
</ban>
```

[0204] The above exemplary prohibition or ban, for example, also can be given by:

[0205] It is forbidden that anyone sells to anyone in Finland any R-rated movie.

[0206] The above exemplary prohibition or ban can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<ban>
    <!--Define a variable for any R-rated movie-->
        <forAll varName="R-RatedMovie">
            <xmlExpression>//mpeg21:DIDL/mpeg21:ITEM/mpeg21:DESCRIPTOR/mpeg21:STATE
            MENT/mpaa:rate="R"</xmlExpression>
    </forAll>
    <cpx:sell>
            <cpx:buyer>
                <sx:location>
                    <sx:country>FI</sx:country>
                </sx:location>
            </cpx:buyer>
    </cpx:sell>
    <r:resource varRef="R-RatedMovie"/>
</ban>
```

[0207] In an exemplary embodiment, encoding can be prohibited or banned, for example, as given by:

[0208] "UMG content classified "Top-40" must never be encoded at greater than 8 bits resolution."

[0209] Accordingly, it is forbidden that anyone encodes at greater than 8 bits resolution any UMG-content, when the content is in the top 40 list. The exemplary prohibition or ban can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<ban>
    <!--Define a variable for any content published by UMG-->
        <forAll varName="UMGContent">
            <xmlExpression>//mpeg21:DIDL/mpeg21:ITEM/mpeg21:DESCRIPTOR/mpeg21:STATE
            MENT/mpeg7:Mpeg7/mpeg7:DescriptionUnit/mpeg7:Creation/mpeg7:Creator[mpeg7:Ro
```

-continued

```
            le/@href="urn:mpeg:mpeg7:cs:MPEG7RoleCS.PUBLISHER"]/mpeg7:Organization/mp
            eg7:Name="UMG"</xmlExpression>
    </forAll>
    <cpx:encode/>
            <!-- It is assumed that resolution range is specified as 8, 12, 8–12 ([8, 12]), –12 (<=12,),
8–(>=8) -->
            <cx:resolutionRange unit ="bit">8-</cpx:resolutionRange>
    </cpx:encode>
    <r:resource varRef="UMGContent"/>
    <umg:top40Content/>
</ban>
```

[0210] In an exemplary embodiment, an intention play a song using a rendering application, for example, can given by:

[0211] "Alice wants to play any UMG content using the Microsoft media player."

[0212] Accordingly, it is intended that Alice plays any UMG content, using Microsoft media player as the rendering application. The exemplary intent can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<intent>
    <!--Define a variable for any content published by UMG-->
    <forAll varName="UMGContent">
        <xmlExpression>//mpeg21:DIDL/mpeg21:ITEM/mpeg21:DESCRIPTOR/mpeg21:STATE
        MENT/mpeg7:Mpeg7/mpeg7:DescriptionUnit/mpeg7:Creation/mpeg7:Creator[mpeg7:Ro
        le/@href="urn:mpeg:mpeg7:cs:MPEG7RoleCS:PUBLISHER"]/mpeg7:Organization/mp
        eg7:Name="UMG"</xmlExpression>
    <forAll>
    + <r:keyHolder licensePartId="Alice">
    <cx:play>
        <cx:renderer>
            <cpx:application type="Microsoft Media Player"/>
        </cx:renderer>
    </cx:play>
    <r:resource varRef="UMG Content"/>
</intent>
```

[0213] In an exemplary embodiment, a certificate, for example, can given by:

[0214] "The key holder has the name Alice."

[0215] Accordingly, it is claimed that the key holder possesses the name attribute with value Alice. The exemplary claim can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
    <claim>
        + <r:keyHolder licensePartId="Alice">
            <cxs.possess/>
            <sx:commonName>Alice</sx:commonName>
        </claim>
```

[0216] In an exemplary embodiment, a usage state, for example, can given by:

[0217] "Alice played the song in the year 2001."

[0218] Accordingly, it is claimed that Alice played the song from Jan. 1 to Dec. 31, 2001. The exemplary claim can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<claim>
    + <r:keyHolder licensePartId="Alice">
    <cx:play/>
    <r:resource licenseIdRef="aSong"/>
```

-continued

```
        <validityInterval>
            <notBefore>2001-01-01T00:00:00</notBefore>
            <notAfter>2001-12-31T23:59:59</notAfter>
        </validityInterval>
</claim>
```

[0219] In an exemplary embodiment, the exemplary Contract Expression Language can be used to specify a contract clause, for example, in a mutually exclusive manner, in a manner that does not allow anything else to happen, and the like. For example, the exemplary Contract Expression Language can be used to express a contract that can specify that someone has an exclusive right to publish a digital work, and a guest user, such as non-registered guest user, can only browse a public area of the corresponding Web site.

[0220] In the exemplary embodiments, the Contract Expression Language clause **212** can be modeled using the EPARC model. Accordingly, the clause **212** can be modeled

with the event **216**, the principal **218**, the act **220**, the resource **222**, and the condition **224**. In an exemplary embodiment, the exclusivity or "only" restriction can vary from one element to another. For example, redirecting a content reference (CR) originating "only" from a particular source is different from "only" redirecting and doing nothing else. In an exemplary embodiment, for example, the former restriction can be specified with an exclusive list of sources that originate CRs, while the latter restriction can be specified with an exclusive list of acts.

[**0221**] Advantageously, the expressiveness of the exemplary Contract Expression Language for specifying permissions, obligations, prohibitions, and the like, also allows for the specifying of clauses of the "only" type. In an exemplary embodiment, expressiveness for exclusivity, for example, can be given by:

[**0222**] Let E, P, A, R and C be some Event, Principal, Act, Resource and Condition. Let G, D and B be respective Grant, Duty and Ban that include E, P, A, R, C. Suppose X C[E, P, A, R, C] is a component that needs to be exclusive. Let G(X) denote the Grant with X non-exclusively, and G[X] the Grant with X exclusively, for example, G is only for X Similarly, D(X), D[X], B(X), and B[X] can be given. Then, the clauses of the "only" type can be expressed using combinations of non-exclusive Clauses, as follows

[**0223**] G[X]≡G(x)^B(~X)—a Grant with X exclusive is equivalent to a Grant with X non-exclusive and a Ban with X (not X) non-exclusive.

[**0224**] D[X]≡D(X)^G'(·x)—a Duty with X exclusive is equivalent to a Duty with X non-exclusive and a new G' with X (not x) non-exclusive. Here G' is same as G except that it replaces the Act A in G with ~A (the Act of not doing A).

[**0225**] B[X]≡B(X)^G(~x)—a Ban with X exclusive is equivalent to a Ban with X non-exclusive and a Grant with ~X (not x) non-exclusive.

[**0226**] In an exemplary embodiment, the above rules, for example, can be given by: G[X]⇔B[~X]—a Grant with X exclusive is equivalent to a Ban with ~X exclusive.

[**0227**] In an exemplary embodiment, a form of "syntactical sugar" for exclusivity, advantageously, can be provided. For example, each element of the clause **212** can be augmented with an additional, but optional, attribute of a Boolean type, exclusivity, to indicate whether or not the element is to be exclusive. In an exemplary embodiment, the exclusivity attribute can take on, as a default, a false value, and when the exclusivity attribute is specified with "Exclusivity=True," the corresponding element can be specified as being exclusive. The exclusivity attribute can be considered "syntactical sugar" because a normalization transform can be employed to convert exclusive clauses, for example, with the attribute being true, into non-exclusive clauses, based on exemplary exclusivity rules.

[**0228**] In an exemplary embodiment, an exclusive seller in a territory, for example, can be given by:

[**0229**] "Lycos is the only authorized seller of 'I Remember Mama ' in Australia."

[**0230**] Accordingly, it is granted that Lycos sells "I Remember Mama" in Australia, and it is also forbidden that anyone else, for example, other than Lycos, sells it in Australia. The exemplary grant and ban can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<grant>
    <cpx:company>Lycos</cpx:company>
    <cpx:sell/>
    <r:resource licenseIdRef="aSong"/>
    <sx:territory>
        <sx:location>
            <sx:country>AU</sx:country>
        </sx:location>
    </sx:territory>
</grant>
<ban>
    <forAll varName="otherCompany">
        <xmlExpression>//cpx:company!="Lycos"</xmlExpression>
    </forAll>
    <r:principal varRef="otherCompany"/>
    <cpx:sell/>
    <r:resource licenseIdRef="aSong"/>
    <sx:territory>
        <sx:location>
            <sx:country>AU</sx:country>
        </sx:location>
    </sx:territory>
</ban>
```

[**0231**] In an exemplary embodiment, exclusive product classes, for example, can be given by:

[**0232**] "MGM permits sales by silver-class retailers of only movies older than 2 years."

[**0233**] Accordingly, it is granted that any silver-class retailer can sell any movie older than 2 years, and it is also forbidden that any silver-class retailer sells any movie less than 2 year old. For example, the "silver-class retailer,""any movie older than 2 years" and "any movie less than 2 year old" can be specified using the exemplary Contract Expression Language. The exemplary grant and ban can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<grant>
        <forAll varName="2YearsOldMovie"/>
        <forAll varName="silverRetailer"/>
    <cpx:company varRef="silverRetailer"/>
    <cpx:sell/>
    <r:resource varRef="2YearsOldMovie"/>
</grant>
<ban>
        <forAll varName="lessThan2YearOldMovie"/>
        <forAll varName="silverRetailer"/>
    <cpx:company varRef="silverRetailer"/>
    <cpx:sell/>
    <r:resource varRef="lessThan2YearOldMovie"/>
<ban>
```

[**0234**] In an exemplary embodiment, exclusive product classes, for example, also can be given by:

[**0235**] "MGM permits sales of movies older than 2 years only by silver-class retailers."

[**0236**] Accordingly, it is granted that any silver-class retailer sells any movie older than 2 years, and it is also

forbidden that any retailer that is not in silver-class sells any movie older than 2 years. For example, the "silver-class retailer,""any movie older than 2 years" and "any non-silver-class retailer" can be specified using the exemplary Contract Expression Language. The exemplary grant and ban can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<grant>
        <forAll varName="2YearsOldMovie"/>
        <forAll varName="silverRetailer"/>
        <cpx:company varRef="silverRetailer"/>
    <cpx:sell/>
    <r:resource varRef="2YearsOldMovie"/>
</grant>
<ban>
    <forAll varName="2YearsOldMovie"/>
    <forAll varName="nonSilverRetailer"/>
    <cpx:company varRef="nonSilverRetailer"/>
    <cpx:sell/>
    <forAll varName="2YearsOldMovie"/>
</ban>
```

[0237] The expressiveness of prohibitions and exclusivity with the exemplary Contract Expression Language can result in potential conflicts in expressed contracts. For example, one contract may require an obligation on Alice to do something and another contract may demand a prohibition on Alice not to do the same thing. In addition, when multiple of the clauses **212** of the same type, for example, the grants **320**, are applicable from a same contract or different contracts, it may be necessary to determine that one of the contracts is more preferable to the other contracts.

[0238] The present invention includes the recognition that a problem with conflict and multiplicity, for example, can be how to identify potential conflict and multiplicity and resolve same. In an exemplary embodiment, such as problem can be addressed according to policies, for example, specified in a higher level contract. For example, conflicts and multiplicities may recursively occur at a higher level in cases where there may well be multiple higher level contracts.

[0239] While detecting and resolving conflicts and multiplicities in a general and automatic way can be desirable, this may not be practical, for example, in a global distributed system requiring high scalability while operating on an imperfect input. For example, consider a task of adding a new contract to a system. In a small system, it can be possible to check for and identify conflicts, force legal negotiation of overrides and apply such overrides, for example, possibly triggering another check and negotiate cycle. By contrast, on a large scale such an exercise typically will not be practical, as entry of new contracts can become a prohibitively expensive task.

[0240] In an exemplary embodiment, a "best efforts" rather than "must resolve" approach can be employed, which can imply the finding of a compromise between taking upfront steps to identify and resolve conflicts and allowing for the possibility of "true conflicts" and providing for resolution thereof operationally. Accordingly, systems using the exemplary Contract Expression Language, for example, can be configured to detect and resolve potential conflicts as best as such systems can. In an exemplary embodiment, a sophisticated system can be configured to do a better job at detecting and resolving potential conflicts than a less sophisticated system, so long as the sophisticated system and the less sophisticated system behave in a similar manner in situations where there are no conflicts.

[0241] For example, for simple systems, whenever a conflict is detected, the conflict can be treated as an exception with a certain default behavior, for example, sending a message to the contracting parties in question stating the conflict. A significant consideration can be the "cost" of having conflicts in such a system. For example, if the main purpose of such a system is to trade content, the cost of occasionally not being able to resolve a request of a customer is fairly low. If, on the other hand, the "cost," for example, either direct or potential, of not being able to resolve every single request is high, an upfront system configured to identify and resolve conflicts can be provided.

[0242] The conflicts that result from bad input typically cannot be avoided. However, the exemplary Contract Expression Language, advantageously, can be employed to create mechanisms to deal with such as problem. How the exemplary Contract Expression Language facilitates the detecting and the resolving of potential conflicts, for example, can depend on what kinds of conflict are of interest. Without the capability of specifying prohibitions, for example, "must not" and exclusivity, for example, "only," a conflict could exist between one or more contracts.

[0243] On the other hand, under a same condition, being obligated to do two different things may not result in a conflict. A potential conflict, however, can result from having to do the two different things at the same time, for example, "going out to do shopping" and at the same time "staying home to do gardening." However, such a conflict is hardly a Contract Expression Language issue, as the semantics of such acts naturally conflict. In such a case, identifying such a conflict can be a programmatic issue of a contract management system, for example, involving identifiability and efficiency, for example, in real time. Advantageously, however, the exemplary Contract Expression Language can be employed, for example, to allow the setting of priorities for resolving conflicts, for resolving multiplicities, and the like.

[0244] **FIG. 5** illustrates an exemplary preference policy model **500** for allowing the setting of priorities for resolving conflicts and multiplicities and that can be employed in the exemplary contract data model **200** of **FIG. 2**. In **FIG. 5**, advantageously, the exemplary Contract Expression Language can be employed for specifying a preference policy **506**. Accordingly, in order to resolve potential conflicts and multiplicities, a preference relationship can be established among the clauses **212**. In an exemplary embodiment, such a relationship can be defined, for example, by configuring the claims **308** to specify that possibly subject to some condition C, one clause A "precedes" or, for example, is preferable to, another clause B, and the like. Advantageously, such a preference policy can be modeled as a kind of assertion, for example, as shown in **FIG. 5**.

[0245] In the exemplary model **500**, the policy **506** extends the promise **208** with an additional attribute **508**, "evaluationMechanism," for example, with restricted semantics on the clauses **212** of the promise **208**. Within the policy **506**, the clauses **212** correspond to the claims **308**, which can specify, for example, that in the event of E, clause A "precedes" clause B when condition C is met.

[0246] In an exemplary embodiment, the optional attribute **508**, "evaluationMechanism," of the policy **506**, can be used to specify how the claims **308** are to be considered. In an exemplary embodiment, the optional attribute can take a "random" or default value, a "firstApplicable" value, and a "allApplicable" value. The random or default value, for example, can be used to indicate that the claims **308** in the policy **506** can be considered in any order, for example, until an applicable claim of the claims **308** can be found. The "firstApplicable" value, for example, can be used specify that the first claim that is applicable of the claims **308**, according to the appearance order of the claims **308** in the policy **506**, can be the one to consider. The "allApplicable" value, for example, can be used to express that the applicable claims of the claims **308** should be considered one after another in the sequential order of their appearance in the policy **506**.

[0247] Using the exemplary model **500**, advantageously, many kinds of preference policies can be established. In an exemplary embodiment, an explicit partial ordered preference, for example, can be given by:

If the set of Clauses {C1, . . . , Cn} is known and a partial order p is given for the preference relationship among the Clauses, then a Policy can be formed in the following way:
For any pair of Clauses Ci and Cj where $1 \leqq i, j \leqq n$, the Policy includes the (, for example, un-conditional) claim, "Ci precedes Cj,"

```
<policy>
    . . .
    <claim>
        <clause licenseIdRef="Ci"/>
        <precede/>
        <clause licenseIdRef="Cj"/>
    </claim>
    . . .
</policy>
```

if and only if
(Ci, Cj) ϵ p, (for example., Ci precedes Cj according to the order p).

[0248] In an exemplary embodiment, preference based on issuance times of the clauses **212**, for example, can be given by:

If the linear order over the issuance times of Clauses is used as a criterion to resolve the conflict, then a Policy with a single Claim can be constructed as follows:

```
<policy>
    <claim>
        <forAll varName="A"/>
        <forAll varName="B">
        <clause varRef="A"/>
        <precede/>
        <clause varRef="B"/>
        <csx:xmlBooleanExpression>
            op:dateTime-less-than(
            xs:dateTime(xf:document( . . . / . . . /clause[1])//issuer/details/timeOfIssue),
            xs:dateTime(xf:document( . . . / . . . /clause[2])//issuer/details/timeOfIssue) ),
        </csx:xmlBooleanExpression>
    </claim>
</policy>
```

[0249] Accordingly, an early issued clause wins preference. In an exemplary embodiment, when the appearances of clauses A and B are switched in the claim **308**, the preference goes to a later issued clause. In an exemplary embodiment, the element "csx:xmlBooleanExpression" can be an element that can include a Boolean XPath expression, and can serve a condition whose truth-value can be equal to one of the Boolean XPath expressions.

[0250] In an exemplary embodiment, preference based on the issuers **214** of the clauses **212**, for example, can be given by:

If a partial order over the Issuers of Clauses is used as a criterion to resolve the conflict, then a policy with a single Claim can be constructed as follows:

```
<policy>
    <claim>
        <forAll varName="A"/>
        <forAll varName="B">
        <clause varRef="A"/>
        <precede/>
        <clause varRef="B"/>
        <allConditions>
            <csx:xmlBooleanExpression>
                xf:document( . . . / . . . /clause[1])//issuer="Alice"</csx:xmlBooleanExpression>
            <csx:xmlBooleanExpression>
                xf:document( . . . / . . . /clause[2])//issuer="Bob"</csx:xmlBooleanExpression>
        </allConditions>
    </claim>
</policy>
```

[0251] Accordingly, the promise issued by Alice, and hence the clauses within the promise of Alice get preference over the promise issued by Bob, and hence the clauses within the promise of Bob.

[0252] In an exemplary embodiment, preference based on types of promises, for example, can be given by:

If a partial order over the types of Clauses (for example, "Grant," "Duty" and "Ban") is used as a criterion to resolve the conflict, then a policy with a single Claim can be constructed as follows:

```
<policy>
    <claim>
        <forAll varName="A"/>
        <forAll varName="B">
        <clause varRef="A"/>
        <precede/>
        <clause varRef="B"/>
        <allConditions>
            <csx:xmlBooleanExpression>
                xf:document( . . . / . . . /clause[1]).name ="Grant"</csx:xmlBooleanExpression>
            <csx:xmlBooleanExpression>
                xf:document( . . . / . . . /clause[2]).name ="Ban"</csx:xmlBooleanExpression>
        </allConditions>
    </claim>
</policy>
```

[0253] Accordingly, the clause **212** expressed as the grant **302** can be preferable to the clause **212** expressed as the ban **306**.

[0254] In an exemplary embodiment, different preference claims, for example, can be combined together using the attribute **508**, "evaluationMechanism." For example, by putting together in the policy **506** two claims **308** that declare preference based on the issuers **214** and the types of the clauses **212**, advantageously, a combined preference rule can be established, first based on the issuers **214** and then types of the clauses **212**, for example, as given by:

[0255] ("Alice", "Grant")⇐("Alice", "Ban")⇐("Bob", "Grant")⇐("Bob", "Ban").

[0256] The exemplary policy/claim combinations can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<policy evaluationMechanism="allApplicable">
    <claim>
        <forAll varName="A"/>
        <forAll varName="B">
        <clause varRef="A"/>
        <precede/>
        <clause varRef="B"/>
        <allConditions>
            <csx:xmlBooleanExpression>
                xf:document( . . . / . . . /clause[1])//issuer="Alice"</csx:xmlBooleanExpression>
            <csx:xmlBooleanExpression>
                xf:document( . . . / . . . /clause[2])//issuer="Bob"</csx:xmlBooleanExpression>
        </allConditions>
    </claim>
    <claim>
        <forAll varName="A"/>
        <forAll varName="B">
        <clause varRef="A"/>
        <precede/>
        <clause varRef="B"/>
        <allConditions>
            <csx:xmlBooleanExpression>
                xf:document( . . . / . . . /clause[1]).name ="Grant"</csx:xmlBooleanExpression>
            <csx:xmlBooleanExpression>
                xf:document( . . . / . . . /clause[2]).name ="Ban"</csx:xmlBooleanExpression>
        </allConditions>
    </claim>
</policy>
```

[0257] In an exemplary embodiment "A or B, but prefer A," for example, can be given by:

[0258] "UMG may link to CDNOW, UMG may link to Amazon, and UMG will link to CDNOW if it is allowed to."

[0259] Accordingly, it is claimed that the clause **212** for linking to CDNOW precedes the clause **212** for linking to Amazon. The exemplary policy/claim combination can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
    <policy>
        <claim>
            <clause licenseIdRef="licenseForLinkingToCDNOW"/>
            <precede/>
            <clause licenseIdRef="licenseForLinkingToAmazon"/>
        </claim>
    </policy>
```

[0260] In an exemplary embodiment, a contract that overrides the law, for example, can be given by:

[0261] "a) Amazon may sell 'The Monkees' episodes,

[0262] b) All sales of 'The Monkees. The Time Machine' episode outside of the U.S. are prohibited,

[0263] c) Amazon chooses to sell the episode outside of the U.S."

[0264] Accordingly, it is a policy of Amazon that the clause **212** for selling "The Monkees" episodes is preferable to the clause **212** for banning sales of "The Monkees: The Time Machine" episode outside of the U.S. The exemplary policy/claim combination can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<policy>
    <claim>
        <clause licenseIdRef="licenseForSellingTheMonkeesEpsisodes"/>
        <precede/>
        <clause licenseIdRef="interdictForSellingTheMonkees-TheTimeMachineEpsisode"/>
    </claim>
    +<signer licensePartId="Amazon">
</policy>
```

[0265] In an exemplary embodiment, a price preference, for example, can be given by:

[0266] "For all retailers and all items, if more than one price is available from the same retailer, choose the lowest price."

[0267] For example, it is a policy of Random House that a clause A is preferable to a clause B, if (a) the signers are same, (b) the offered resources are same, and (c) the price, for example, fee/paymentFlat/rate, in the clause A is less than that of the clause B. The exemplary policy/claim combination can be expressed using the exemplary Contract Expression Language, for example, as given by:

```
<policy>
    <claim>
        <forAll varName="A"/>
        <forAll varName="B">
        <clause varRef="A"/>
        <precede/>
        <clause varRef="B"/>
        <csx:xmlBooleanExpression>
            op:equal(
                xf:document( . . . / . . . /clause[1])//r:issuer/ds:signature/ds:keyHolder,
                xf:document( . . . / . . . /clause[2])//r:issuer/ds:signature/ds:keyHolder,) AND
            op:equal(
                xf:document( . . . / . . . /clause[1])//r:grant/r:resource,
                xf:document( . . . / . . . /clause[2]//r:grant/r:resource) AND
            op:less-than(
                op:number(xf:document( . . . / . . . /clause[1])//Grant/sx:fee/sx:paymentFlat/rate),
                op:number(xf:document( . . . / . . . /clause[2])//Grant/sx:fee/sx:paymentFlat/rate) )
        </csx:xmlBooleanExpression>
    </claim>
    +<signer licensePartId="RandomHouse">
</policy>
```

[0268] The exemplary Contract Expression Language, advantageously, can be employed for specifying trust and binding relationships. For example, applications, such as Digital Rights Management, content reference, e-commerce, other distributed services, and the like, can entail interaction between entities that do not know each other. In addition to the principals of such interactions being previously unknown to each other, resources to be controlled can be moving from one system to another, for example, when a movie can be downloaded from a distributor site to a user PC and access on the PC also needs to be controlled. Further, the behavior of some principals may be regulated by policies defined by other principals, for example, when usage rights to consumers are granted by the content owners. In such situations, however, traditional assumptions for establishing and enforcing access control may not hold.

[0269] Advantageously, such policies can be expressed as contracts specified using the exemplary Contract Expression Language. The present invention includes the recognition that, when a contract is to be executed, the understanding of what to trust and what is bound within the contract must be determined. For example, an issuer I can grant a principal P a right to play a movie, as specified in contract based on the exemplary Contract Expression Language. However, P needs to establish some level of trust for I, for example, to ensure that I is a legitimate authority to grant P such a right.

[0270] In a further example, two homeowners can sign a contract and require respective family members of the homeowners to bind to the contract. Accordingly, a binding relationship needs to be specified in order to determine who are bound to the signers, and, for example, the corresponding signatures.

[0271] In the exemplary Contract Expression Language, such trust and binding relationships, for example, can be specified using the claims **308** with the acts **220**"trust" and "bind." Advantageously, such relationships can be defined by specifying in the claim **308** that, possibly subject to the event (E) **216** and the condition (C) **224**, the principal (P) **218** trusts or binds to the issuer (I) **214** or the signer (S) **210**, for example, as given by:

[0272] Claim/[E, P, "trust", I, C]

[0273] and

[0274] Claim/[E, P, "bind", S, C].

[0275] Advantageously, such relationships also can be defined at the clause **212** level by specifying in the claim **308** that, possibly subject to the event (E) **216** and the condition (C) **224**, the principal (P) **218** trusts or binds to a clause K, for example, as given by:

[0276] Claim/[E, P, "trust," K, C]

[0277] and

[0278] Claim/[E, P. "bind," K, C].

[0279] Accordingly, such a trust policy or binding policy, for example, can be modeled as an assertion. In an exemplary embodiment, as part of the semantics of the acts **220** trust and bind, anyone should trust themselves and whatever they issue, and anyone should bind to themselves and whatever they sign. Accordingly, the following claims can be made unconditionally, for-example, as given by:

[0280] Claim/[_, P, "trust", P, _]

[0281] and

[0282] Claim/[_, P, "bind", P, _].

[0283] The exemplary Contract Expression Language, for example, can be employed for specifying dependencies

among the clauses **212**. For example, the validity of the clauses **212** may be dependent on the validity of another of the clauses **212**, such as the grant **302** for a right to view an R-rated movie can be dependent on the claim **308** for an assertion that the principal **218** is at least 18 years old. Advantageously, the condition **224**"Valid," for example, can be used for specifying such a dependency. In an exemplary embodiment, a Clause(E, P, A, R, C) can become dependent on the validity of another clause by adding the condition valid, for example, as given by:

[**0284**] Clause(E, P, A, R, AllCondition/[Valid/[Clause, Claim1, . . . , ClaimN], C]),

[**0285**] where Claim1, . . . , ClaimN are any assertions to be used to evaluate the Event and Condition of Clause' in the current context.

[**0286**] The exemplary Contract Expression Language, advantageously, can be employed for specifying performance and non-performance dependence, such as remedies. For example, in order to specify remedy and warranty, conditions to specify whether or not an obligation or prohibition has been fulfilled in the past need be employed. Otherwise, some other obligations, prohibitions and/or rights may incur. Advantageously, the conditions **224**"Exercised" and "NotExercised" can be used for such a purpose. For example, a Clause(E, P, A, R, C) can become dependent on not fulfilling an obligation by adding the condition NotExercised, for example, as given by:

[**0287**] Clause(E, P, A, R, AllCondition/[NotExercised/[Duty, StateRefernece, Count], C]).

[**0288**] Similarly, a Clause(E, P, A, R, C) can become dependent on not obeying a prohibition by adding the condition Exercised, for example, as given by:

[**0289**] Clause(E, P, A, R, AllCondition/[Exercised/[Ban, StateRefernece, Count], C]).

[**0290**] The exemplary Contract Expression Language, advantageously, can be employed for specifying delegation. For example, delegation can be important in highly dynamic and widely distributed systems, because delegation provides mechanisms for passing of rights, obligations, prohibitions, and the like, to others in a manner that may not foreseen or specified at the time a contract or a policy iss defined. Advantageously, delegation can be specified using "DelegationControl" in XrML as a an implicit permission and also using the act **220**"delegate" so that the delegation can be explicitly specified as a permission, an obligation, a prohibition, and the like, for example, as given by:

[**0291**] Grant/[E, P. "delegate", K, C],

[**0292**] Duty/[E, P. "delegate", K, C],

[**0293**] Ban/[E, P, "delegate", K, C].

[**0294**] where K is any Clause, which can be in turn about another delegation.

[**0295**] Accordingly, when a principal P1 delegates an act **220** to another principal P2, the principal P2 who is to perform the act **220** can become the composite principal, for example, as given by:

[**0296**] OnBehalfPrincipal/[P2, P1].

[**0297**] The exemplary Contract Expression Language, advantageously, can enable numerous exemplary contract processing system models. For example, based on the exemplary data model **200** and the exemplary semantics model, the exemplary Contract Expression Language can be used to configure numerous processing system models. In an exemplary embodiment, the exemplary system models, for example, based on the logic semantics of expressions specified with the exemplary Contract Expression Language, can include query-driven models, data-driven models, conflict or multiplicity-driven models, backward inference models, forward inference models, conflict resolution models, and the like.

[**0298**] In an exemplary query-driven processing system model, for example, for one or more of the contracts **202**, a query in the form of the clause **212**, and a context in the form of a list of the claims **308** can be submitted to the system for processing. The clauses **212** in the contracts **202**, for example, together with the signers **210** thereof, can be matched against the query to generate a query response. In an exemplary embodiment, the event **216** and the condition **224** elements can be simplified based on the information provided in the context and the clauses **212** matching the query can be used to form the query response.

[**0299**] In an exemplary context-driven processing system model, for example, for one or more of the contracts **202**, a context in the form of a list of the claims **308** can be submitted to the system for processing. The clauses **212** in the contracts **202**, for example, together with the signers **210** thereof, and having the event **216** and the condition **224** elements satisfied in the context can be delivered as a response. In an exemplary embodiment, the clauses **212** that can form the response can be the statements held in the context.

[**0300**] In an exemplary conflict or multiplicity-driven processing system model, for example, for one or more of the contracts **202**, a conflict set in the form of one or more of the clauses **212**, for example, having common principals, acts, resources, and the like, that can result in a conflict, and a context in the form of a list the claims **308** can be submitted to the system for processing. A conflict resolution result can be provided in the form of a minimal subset of the clauses **212** in the contracts **202** that can be chosen according to a preference, conflict resolution rules, conflict resolution policies, and the like, which can be defined in the contracts **202**. In an exemplary embodiment, the clauses **212** that can form the conflict resolution result can be the result of resolving conflicts among the common principals, acts, resources, and the like.

[**0301**] The exemplary Contract Expression Language, advantageously, enables exemplary context models. In an exemplary embodiment, a context can include one or more propositions, for example, that can be used describe a state of affairs, and the like. Semantically, the exemplary context can include one or more propositions generated by one or more of the exemplary Contract Expression Language claims **308**. Advantageously, the exemplary context, for example, can be used provide a set of factual statements that can be used to validate conditions related to the events **216**, that can be used to validate conditions related to the conditions **224**, that can be used to identify and match the

principals **218**, the acts **220** and the resources **222**, that can be used to form trust relationships of the issuers **214** and the signers **210**, and the like.

[**0302**] In an exemplary embodiment, the exemplary context, for example, can include propositions to declare that the principal (P) **218** possesses a role, such as and administrator role, for example, issued by an owner and signed by P, trusts whatever the issuer (I) **214** issues, and binds to whatever the signer (S) **210** signs, if P is one of the issuers **214** or the signers **210** of the corresponding statements, for example, as given by:

[**0303**] Claim([], P, possess, administrator, [], Ilist, Slist)⇆member(owner, Jlist), member(P, Slist).

[**0304**] Claim(E, P, trust, I, C, Jlist, Slist)⇆Event(E), Cond(C), member(P, Ilist).

[**0305**] Claim(E, P, bind, S, C, Jlist, Slist)⇆Event(E), Cond(C), member(P, Slist).

[**0306**] **FIG. 6** illustrates an exemplary query-driven processing system **600** based on the exemplary Contract Expression Language. In **FIG. 6, a** query **606** in the form of the clause **212** can be processed by a Contracts Expression Language (CEL) processor **602** against one or more the contracts **202** stored in a contracts database **604**, and a context **608**, in order to generate a response **610** to the query **606**. In an exemplary embodiment, based on the semantics model of the contracts **202** and the semantics model of the context **608**, the query **606** can include the clause **212**, for example, such as Grant(E, P, A, R, C), and the like. The query **606** can be processed according to the propositions expressed by the contracts **202** and the context **608**, for example, by verifying if the query **606** can be logically proved by the propositions given by the contracts **202** and the context **608**, in order to generate the response **610** in the form of the grant **302**, the duty **304**, the ban **306**, the claim **308**, and/or the intent **310** elements matching the query **606**.

[**0307**] Advantageously, by employing the exemplary query-driven model, sophisticated processing systems and tasks can be configured, for example, according to the modality of the clauses **212**. For example, when the clause **212** of the query **606** includes the intent **310** element, the processing response **610** can include a set of the grants **302**, the duties **304**, the bans **306**, and the claims **308** that match the intent **310** element of the clause **212**. In an exemplary embodiment, matching the grant **302** element against the intent **310** element, for example, cam be given by:

```
Intent(E, P, A, R, C) ←Grant(E', P', A', R', C', Ilist', Slist'),
    EventImplication(E, E'),
    MatchP(P, P'), MatchA(A, A'), MatchR(R, R'),
    CondImplication(C, C'),
    Member(I, Ilist'),
    ClauseOf(Grant(E', P', A', R', C', Ilist', Slist'), Pro),
    Issuing(Pro, I),
    Claim(E, P, trust, I, C, Ilist', Slist'),
    Write(Grant(E, P, A, R, C, Ilist', Slist')).
Intent(E, P, A, R, C) ← Grant (E', P', A', R', C', Ilist', Slist'),
    EventImplication(E, E'),
    Match P(P, P'), MatchA(A, A'), MatchR(R, R'),
    CondImplication(C, C'),
    Member(S, Slist'),
    ClauseOf(Grant(E', P', A', R', C', Ilist', Slist'), Pro),
    PromiseOf(Pro, CE),
    Signing(CE, S),
    Claim (E, P, bind, I, C, Ilist', Slist'),
    Write(Grant(E, P, A, R, C, Ilist', Slist')).
EventImplication(E, E') ← Event(E), Event(E').
EventImplication(E, E') ← Event(E').
EventImplication(E, E').
CondImplication(C, C') ← Cond(C), Cond(C').
CondImplication(C, C') ← Cond(C').
CondImplication(C, C').
```

[**0308**] The above exemplary pair of propositions, for example, can be used to output a grant that matches against an intent and that is issued by a trusted issuer, for example, of a promise including the grant, or that is issued by a bound signer, for example, of a contract that can include the promise including the grant. In an exemplary embodiment, when the clause grant element in the pair of exemplary propositions is replaced by the duty, ban, and claim elements, for example, to generate other three pairs of propositions, one or more grants, duties, bans, and claims can be generated as the response to the intent. In an exemplary embodiment, the "EventImplication" proposition can be used to specify the logical implication of an event (E) for another event (E', for example, E→E') and the "CondImplication" proposition can be used to specify the the logical implication of a condition (C) for another condition (C', for example, C→C').

[**0309**] In an exemplary embodiment, one or more grants, duties and bans can be generated as a response to a grant query for generating a duty, for example, as given by:

```
Grant (E, P, A, R, C) ←Duty(E', P', A', R', C', Ilist', Slist'),
    EventImplication(E, E'),
    MatchP(P, P'), MatchA(A, A'), MatchR(R, R'),
    CondImplication(C, C'),
    Member(I, Ilist'),
    ClauseOf(Duty(E', P', A', R', C'), Pro),
    Issuing(Pro, I),
    Claim(E, P, trust, I, C, Ilist', Slist'),
    Write(Duty(E, P, A, R, C, Ilist', Slist')).
Grant (E, P, A, R, C) ← Duty (E', P', A', R', C', Ilist', Slist'),
    EventImplication(E, E'),
    MatchP(P, P'), MatchA(A, A'), MatchR(R, R'),
    CondImplication(C, C'),
    Member(S, Slist'),
    ClauseOf(Duty(E', P', A', R', C'), Pro),
    PromiseOf(Pro, E),
    Signing(E, S),
    Claim(E, P, bind, I, C, Ilist', Slist'),
    Write(Duty(E, P, A, R, C, Ilist', Slist')).
```

[**0310**] **FIG. 7** illustrates an exemplary context-driven processing system **700** based on the exemplary Contract Expression Language. In **FIG. 7**, one or more contracts **202** stored the contracts database **604** can be processed by the CEL processor **602** against a trigger **706** in the form of the context **608**, in order to yield a response in the form of a clause expressed in the contract **202** that is valid in the given context **608**. In an exemplary embodiment, for example, based on the exemplary semantics model of the exemplary Contract Expression Language Contracts and the semantics model of the context **608**, the exemplary system **700** can examine propositions of the form Clause(E, P, A, R, C, Ilist,

Slist) that are expressed by the contracts **202**, can evaluate the conditions of the event (E) **216** and the condition (C) **224** elements related to the events **216** and conditions **224** thereof, and can output such clauses with corresponding conditions thereof being satisfied as a response **710**.

[**0311**] Advantageously, the exemplary context-driven system **700** model can be used build system applications to notify of valid permissions, obligations, prohibitions intentions, and the like, within a given context, for example, in order to perform and execute a set of contracts. In an exemplary embodiment, the response **710** can be generated by evaluating propositions, each of which, when evaluated to be true, outputs a valid grant **302**, duty **304**, ban **306** or intent **310** element, for example, as given by:

```
ValidGrant( ) ←Grant(E, P, A, R, C, Ilist, Slist),
    Event(E), Cond(C),
    Write(Grant(E, P, A, R, C, Ilist, Slist)).
ValidDuty( ) ← Duty(E, P, A, R, C, Ilist, Slist),
    Event(E), Cond(C),
    Write(Duty(E, P, A, R, C, Ilist, Slist)).
ValidBan( ) ← Ban(E, P, A, R, C, Ilist, Slist),
    Event(E), Cond(C),
    Write(Ban(E, P, A, R, C, Ilist, Slist)).
ValidIntent( ) ← Intent(E, P, A, R, C, Ilist, Slist),
    Event(E), Cond(C),
    Write(Intent(E, P, A, R, C, Ilist, Slist)).
```

[**0312**] **FIG. 8** illustrates an exemplary conflict or multiplicity-driven processing system **800** based on the exemplary Contract Expression Language. In the query-driven **600** and context-driven **700** processing system models, the processing of the contract **202** can result in one or more of the clauses **212** having a potential conflict, for example, such a grant and a ban or a duty and a ban applying to the same principal, act or resource, and the like. The processing of the contract **202** also can result in one or more of the clauses **212** having potential multiplicities, for example, multiple grants, or a grant and a duty, and the like.

[**0313**] In order to resolve the above conflicts and multiplicities, advantageously, exemplary preference policies can be specified in the exemplary Contract Expression Language. In an exemplary embodiment, the preference policies can be relatively simple, for example, such as ban overrides duty, which overrides grant, and the like, or relatively complex employing the authority of the issuers of the clauses, for example, such as clauses issued by a higher authority are preferable to others, and the like. In addition, the preference policies can be based on the specificity of the clauses, for example, such as more specific clauses are preferable to more generic clauses, and the like. Further, the preference policies can be based on the issuing time of the clauses, for example, such as more recently issued clauses are preferable to older clauses, and the like.

[**0314**] In **FIG. 8**, in the exemplary conflict or multiplicity-driven processing system **800** model, two or more clauses **302-310** with potential conflicts and multiplicities in the form of a conflicts or multiplicities input **806**, for example, can be processed by a Contact Expression Language (CEL) arbitrator **802** against the context **608** including one or more claims **308** and a set of preference policies stored in a policies database **804**. In an exemplary embodiment, a preference relation, as defined by the preference policies, for example, can be applied by the exemplary system **800** to the input clauses **302-310** to determine which of the input clauses **302-310** is or are preferable over the others in the form of a resolution result **810**.

[**0315**] The above problem, for example, can be analogous to a graph-theoretical problem. For example, each input clause **302-310** can be a vertex and two vertices can be connected from one to the other, if one clause is preferable to the other clause. The problem can be to find out which vertex or vertices are "source" vertices or nodes in the graph, where a "source" node is a node that has no incoming link connected thereto. A direct solution can be to test, for a given vertex, whether or not there is another vertex that has a link to the given vertex, and if the given vertex has no one to link thereto, the given vertex can be a "source." Based on such a solution, an algorithm can be employed, for example, for evaluating the proposition:

[**0316**] ConflictResolve(ClauseList, ClauseList, OutputList, SomePrincipal).

[**0317**] and as given by:

```
ConflictResolve([ W \ X ], Y, Z, P) ← Overridden(W, Y, I), ConflictResolve(X, Y, Z, P).
ConflictResolve([ W \ X ], Y, [ W \ Z ], P) ← ConflictResolve(X, Y, Z, P).
Overridden(W, [ X \ Y ], P) ← Claim(E, W, procede, X, C, Ilist, Slist),
    Event(E), Cond(C),
    Member(I, Ilist),
    ClauseOf(Claim(E, W, procede, X, C), Pro),
    Issuing(Pro, I),
    Claim(E, P, trust, I, C, Ilist', Slist').
Overridden(W, [ X \ Y ]) ← Claim(E, W, procede, Y, C, Ilist, Slist),
    Event(E), Cond(C),
    Member(S, Slist),
    ClauseOf(Claim(E, W procede, Y, C), Pro),
    PromiseOf(Pro, CE),
    Signing(CE, S),
    Claim(E, P, bind, I, C, Ilist', Slist'),
```

[**0318**] The exemplary algorithm above, for example, can be based on an implementation of the graph-theoretical solution to resolve potential conflicts and multiplicities in a list of clauses, "ClauseList," and to output the resolution result **810** in a list, "OutputList." In an exemplary embodiment, the argument "SomePricipal" can be employed to

ensure that the preference relationship stated in the preference policies can be trusted by or can be bound to the principal.

[0319] **FIG. 9** illustrates an exemplary composite or hybrid processing system **900** based on the exemplary Contract Expression Language. In **FIG. 9**, the exemplary processing system **900** model, advantageously, can enable a workflow for applying preference policies stored in the policies database **804** to query-processing results **910** for contracts **202** stored in the contracts database **604** with assistance of the CEL processor **602** and the CEL arbitrator **802**.

[0320] The exemplary CEL processor **602**, for example, can take as an input the clause **212** in the form of the query **906**, and one or more of the claims **308** employed as a description of the context **608** and that can be used to define a system environment, a usage state, a list of attributes, and the like, of objects, subjects, and the like. The CEL processor **602** consults a set of the contracts **202**, for example, as a knowledge base in the form of the contracts database **604**, and generates a set of possible intermediate results **910** to the query **906** as response. In an exemplary embodiment; the intermediate results **910** can include one or more grants, duties, bans, claims or intents **302-310**. **1002231** In an exemplary embodiment, when the exemplary system **900** generates two or more results **910** based on the query **906**, the exemplary CEL arbitrator **802** can be invoked, initiated, and the like. The CEL arbitrator **802** can apply the set of preference policies, for example, as a knowledge base in the form of the policies database **804** in order to determine preference among the results **910**. **1002241** In an exemplary embodiment, the policies can be specified as a set of the input claims **308**. For example, each of the claims **308** can be used to compare two of the promises **208** and can be used to specify that a promise is more preferable to another promise, for example, such as in the form of "promise A precedes promise B, possibly under some condition." Accordingly, the output **912** of the CEL arbitrator **802** can include the outcome of such as preference resolution. In an exemplary embodiment, if there is only one promise left, then the preference gets determined, for example, according to the available policies. If, however, there are two or more promises left, then the preference can not be, for example, completely, determined and an external mechanism, error message, and the like, can be employed as needed.

[0321] In further exemplary embodiments, processing system models can be configured, for example, based on one or more of the query-driven **600**, the context-driven **700**, and the conflict or multiplicity-driven **800** system models. In addition, a sequence of conflict or multiplicity-driven processing system **800** models can be configured, each with a different set of preference policies, advantageously, for resolving conflicts according to preference policies, for example, from different authorities, administrative domains, and the like.

[0322] **FIG. 10** illustrates an exemplary linked system **1000** based on the exemplary Contract Expression Language. In **FIG. 10**, the exemplary query-driven **600**, the context-driven **700**, and the conflict or multiplicity-driven **800** system models can be integrated with other system components **1002** that can perform the acts **220** as specified in the clauses **212**. Advantageously, the exemplary system **1000**, for example, can be used to generate the events **216**, can be used to modify the system context, and the like.

[0323] **FIG. 11** is a flowchart for illustrating the exemplary query-driven processing of the system **600** of **FIG. 6**. In **FIG. 11**, at step **1102**, for example, the clause **212** can be obtained for processing and at step **1104** the clause **212** can be submitted as the query **606**. At step **1106**, the query **606** can be matched against clauses in the contract **202** from the database **604** based on the context **608** defined by one or more of the claims **308**. If there is a match, as determined by step **1108**, the clauses in the contract **202** matching the query **606** can be output as the response **610**, for example, including one or more of the elements **302-310**. Otherwise, at step **1112**, an appropriate message can be returned. Additional of the clauses **212** can be submitted as queries for query-driven processing by repeating the steps **1102-1112**.

[0324] **FIG. 12** is a flowchart for illustrating the exemplary context-driven processing of the system **700** of **FIG. 7**. In **FIG. 12**, at step **1202**, for example, the trigger **706** in the form of the context **608** can be obtained for processing and at step **1204** the context **608** defined by one or more of the claims **308** can be submitted for processing. At step **1206**, the context **608** can be matched against clauses in the contract **202** from the database **604**. If there is a match, as determined by step **1208**, the clauses in the contract **202** matching the context **608** can be output as valid as the response **710**, for example, including one or more of the elements **302-310** deemed as valid. Otherwise, at step **1212**, an appropriate message can be returned. Additional of the contexts **608** can be submitted for context-driven processing by repeating the steps **1202-1212**.

[0325] **FIG. 13** is a flowchart for illustrating the exemplary conflict or multiplicity-driven processing of the system **800** of **FIG. 8**. In **FIG. 13**, at step **1302**, for example, two or more of the clause **302-310** identified as having conflicts or multiplicities can be obtained for processing and at step **1304** and the clauses **302-310** can be submitted as the conflicts or multiplicities input **806**. At step **1306**, the conflicts or multiplicities can be resolved based on the context **608** defined by one or more of the claims **308** and preferences policies specified in one or more of the contracts **202** from the database **604**. If the conflicts or multiplicities can be resolved, as determined by step **1308**, the resolved clauses can be output as the resolution result **810**, for example, including one or more of the elements **302-310** being resolved. Otherwise, at step **1312**, an appropriate message can be returned. Additional of the clause **302-310** identified as having conflicts or multiplicities can be submitted for conflict or multiplicity-driven processing by repeating the steps **1302-1312**.

[0326] **FIG. 14** is a flowchart for illustrating the exemplary composite or hybrid and linked processing of the systems of **FIGS. 9 and 10**. In **FIG. 14**, steps **1402-1406** correspond to the steps **1102-1112** of the exemplary query-driven processing of **FIG. 11**, step **1416-1420** correspond to the steps **1202-1212** of the exemplary context-driven processing of **FIG. 12**, and steps **1408-1412** correspond to the steps **1302-1312** of the exemplary conflict or multiplicity-driven processing of **FIG. 13**. At step **1414**, on or more acts, for example, specified by the act **220** elements can be performed based on the resolved clauses output as the resolution result at step **1412**. Additional processing can be performed by repeating the steps **1402-1420**.

[0327] Advantageously, the exemplary Contract Expression Language defines the grammar employed for processing contract expressions based thereon, wherein the present invention includes the recognition defining a grammar can be the most challenging aspect of a design. The exemplary Contract Expression Language, thus, defines normative mathematical relationships between each one of the EPARC elements, advantageously, enabling consistent interpretation and enforcement of the exemplary Contract Expression Language by human and machines. The defined grammar also allows the exemplary Contract Expression Language to be extensible. Accordingly, an almost infinite number new contract constructs and vocabularies can be expressed with the grammar defined by the exemplary Contract Expression Language.

[0328] The devices and subsystems of the exemplary systems described with respect to FIGS. **1-14** can communicate, for example, over a communications network **170**, and can include any suitable servers, workstations, personal computers (PCs), laptop computers, PDAs, Internet appliances, set top boxes, modems, handheld devices, telephones, cellular telephones, wireless devices, other devices, and the like, capable of performing the processes of the disclosed exemplary embodiments. The devices and subsystems, for example, can communicate with each other using any suitable protocol and can be implemented using a general-purpose computer system, and the like. One or more interface mechanisms can be employed, for example, including Internet access, telecommunications in any suitable form, such as voice, modem, and the like, wireless communications media, and the like. Accordingly, communications network **170** can include, for example, wireless communications networks, cellular communications networks, satellite communications networks, Public Switched Telephone Networks (PSTNs), Packet Data Networks (PDNs), the Internet, intranets, hybrid communications networks, combinations thereof, and the like.

[0329] As noted above, it is to be understood that the exemplary systems, for example, as described with respect to FIGS. **1-14**, are for exemplary purposes, as many variations of the specific hardware used to implement the disclosed exemplary embodiments are possible. For example, the functionality of the devices and the subsystems of the exemplary systems can be implemented via one or more programmed computer systems or devices. To implement such variations as well as other variations, a single computer system can be programmed to perform the functions of one or more of the devices and subsystems of the exemplary systems. On the other hand, two or more programmed computer systems or devices can be substituted for any one of the devices and subsystems of the exemplary systems. Accordingly, principles and advantages of distributed processing, such as redundancy, replication, and the like, also can be implemented, as desired, for example, to increase the robustness and performance of the exemplary systems described with respect to FIGS. **1-14**.

[0330] The exemplary systems described with respect to FIGS. **1-14** can be used to store information relating to various processes described herein. This information can be stored in one or more memories, such as a hard disk, optical disk, magneto-optical disk, RAM, and the like, of the devices and sub-systems of the exemplary systems. One or more databases of the devices and subsystems can store the information used to implement the exemplary embodiments. The databases can be organized using data structures, such as records, tables, arrays, fields, graphs, trees, lists, and the like, included in one or more memories, such as the memories listed above.

[0331] All or a portion of the exemplary systems described with respect to FIGS. **1-14** can be conveniently implemented using one or more general-purpose computer systems, microprocessors, digital signal processors, micro-controllers, and the like, programmed according to the teachings of the disclosed exemplary embodiments. Appropriate software can be readily prepared by programmers of ordinary skill based on the teachings of the disclosed exemplary embodiments. In addition, the exemplary systems can be implemented by the preparation of application-specific integrated circuits or by interconnecting an appropriate network of component circuits.

[0332] Advantageously, the exemplary embodiments described herein can be employed in offline systems, online systems, and the like, and in applications, such as TV applications, computer applications, DVD applications, VCR applications, appliance applications, CD player applications, and the like. In addition, the signals employed to transmit the Contract Expression Language expressions, the rights expression, and the like, of the exemplary embodiments, can be configured to be transmitted within the visible spectrum of a human, within the audible spectrum of a human, not within the visible spectrum of a human, not within the audible spectrum of a human, combinations thereof, and the like.

[0333] Although the exemplary embodiments are described in terms of applications in contracts, legal arenas, and the like, the exemplary embodiments are applicable to any suitable application, such as digital and non-digital content, devices, software, services, goods, resources, and the like, and can be practiced with variations in technology, interface, language, grammar, content, rights, offerings, services, speed, size, limitations, devices, and the like.

[0334] While the present invention have been described in connection with a number of exemplary embodiments and implementations, the present invention is not so limited but rather covers various modifications and equivalent arrangements, which fall within the purview of the appended claims.

APPENDIX

[0335] The present invention can employ technologies, systems, methods, algorithms, concepts, and the like, described in the articles, books, specifications, and the like, cited herein, the entire contents of all of which are hereby incorporated by reference herein.

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What is claimed is:

1. A method for specifying a legality expression for use in a system for processing said legality expression, said method comprising:

providing a legality expression language, including at least one of,

a duty element specifying an obligation that a principal must perform an act,

a ban element specifying a prohibition that a principal must not perform an act,

an intent element specifying an intention that a principal wants to perform an act, and

a claim element specifying an assertion that a principal does perform an act; and

interpreting by said system a legality expression specified using said legality expression language.

2. The method of claim 1, comprising:

enforcing by said system said legality expression, including at least one of,

enforcing said obligation based on said duty element by verifying that said principal has performed said act, and

enforcing said prohibition based on said ban element by verifying that said principal has not performed said act.

3. The method of claim 1, comprising:

providing in said legality expression language a grant element specifying a permission that a principal may perform an act; and

enforcing by said system said legality expression, including at least one of,

enforcing said permission based on said grant element by verifying that said principal may perform said act,

enforcing said intention based on said intent element by verifying that said principal wants to perform said act, and

enforcing said assertion based on said claim element by verifying that said principal does perform said act.

4. The method of claim 3, comprising:

providing in said legality expression language,

respective principal elements associated with said duty element, said ban element, said grant element, said intent element, and said claim element, and specifying the respective principals,

respective resource elements associated with said duty element, said ban element, said grant element, said intent element, and said claim element, and specifying a resource associated with the respective acts, and

respective condition elements associated with said duty element, said ban element, said grant element, said intent element, and said claim element, and specifying a condition associated with the respective acts.

5. The method of claim 3, comprising:

providing in said legality expression language respective event elements associated with said duty element, said ban element, said grant element, said intent element, and said claim element, and specifying respective events for triggering said obligation, said prohibition, said permission, said intention, and said assertion.

6. The method of claim 3, providing in said legality expression language respective act elements associated with said duty element, said ban element, said grant element, said intent element, and said claim element, and specifying the respective acts.

7. The method of claim 3, comprising:

associating said grant element, said duty element, said ban element, said claim element, and said intent element with a clause element of said legality expression language.

8. The method of claim 7, comprising:

associating said clause element with a promise element of said legality expression language, said promise element specifying a promise in said legality expression; and

associating said clause element with an issuer element of said legality expression language, said issuer element specifying an issuer of said clause.

9. The method of claim 1, comprising:

specifying a signer of a contract specified using said legality expression with a signer element associated with said legality expression.

10. The method of claim 1, comprising:

specifying a license associated with a contract specified using said legality expression with a license element associated with said legality expression.

11. The method of claim 1, comprising:

specifying metadata associated with a contract specified using said legality expression with a metadata element associated with said legality expression.

12. The method of claim 1, comprising:

basing said legality expression language on a grammar based language.

13. The method of claim 7, comprising:

specifying with one or more of said claim elements a preference policy specifying a preference for one of processing and accepting respective one or more of said clause elements.

14. The method of claim 13, wherein said preference policy includes said preference based on an order of occurrence of said one or more of said clause elements.

15. The method of claim 13, wherein said preference policy includes said preference based on issuance times of said one or more of said clause elements.

16. The method of claim 13, wherein said preference policy includes said preference based on issuers of said one or more of said clause elements.

17. The method of claim 13, wherein said preference policy includes said preference based on a type of said one or more of said clause elements.

18. The method of claim 13, wherein said preference policy includes said preference based on a combination of at least two of an order of occurrence of said one or more of said clause elements, issuance times of said one or more of said clause elements, issuers of said one or more of said clause elements, and a type of said one or more of said clause elements.

19. The method of claim 7, comprising:

establishing a trust relationship, including,

specifying in said claim element that a principal trusts a resource comprising an issuer of a clause based on said act element comprising a trust element.

20. The method of claim 7, comprising:

establishing a binding relationship, including,

specifying in said claim element that a principal binds to a resource comprising a signer of a contract based on said act element comprising a bind element.

21. The method of claim 7, comprising:

establishing a trust relationship, including,

specifying in said claim element that a principal trusts a resource comprising a clause based on said act element comprising a trust element.

22. The method of claim 7, comprising:

establishing a binding relationship, including,

specifying in a claim element that a principal binds to a resource comprising a clause based on said act element comprising a bind element.

23. The method of claim 7, comprising:

providing query-driven processing, including,

submitting a clause as a query, and a context including one or more of said claim elements,

matching said clause against one or more clauses in a contract that are valid in said context, and

outputting clauses in said contract that match said query and are valid in said context as a query response.

24. The method of claim 7, comprising:

providing context-driven processing, including,

submitting a context in response to a trigger, said context including one or more claim elements,

matching one or more clauses in a contract that are valid in said context, and

outputting clauses in said contract that are valid in said context.

25. The method of claim 13, comprising:

providing conflict or multiplicity driven processing, including,

submitting two or more clauses having conflict or multiplicity,

resolving said conflict or multiplicity based on a context and said preference policy, said context including one or more claim elements, and

outputting resolved clauses as a resolution result.

26. The method of claim 7, comprising:

providing query-driven processing, including,

submitting a clause as a query, and a context including one or more of said claim elements,

matching said clause against one or more clauses in a contract that are valid in said context, and

outputting clauses in said contract that match said query and are valid in said context as a query response;

providing context-driven processing, including,

submitting a context in response to a trigger, said context including one or more claim elements,

matching one or more clauses in a contract that are valid in said context, and

outputting clauses in said contract that are valid in said context;

providing conflict or multiplicity driven processing, including,

submitting two or more clauses having conflict or multiplicity from at least one of said query response and said valid clauses,

resolving said conflict or multiplicity based on a context and said preference policy, said context including one or more claim elements, and

outputting resolved clauses as a resolution result; and

performing an act specified in said act element based on said resolution result.

27. A system for processing a legality expression, comprising:

means for providing a legality expression language, including at least one of,

a duty element specifying an obligation that a principal must perform an act,

a ban element specifying a prohibition that a principal must not perform an act,

an intent element specifying an intention that a principal wants to perform an act, and

a claim element specifying an assertion that a principal does perform an act; and

means for interpreting a legality expression specified using said legality expression language.

28. The system of claim 27, wherein said means for providing, and said means for interpreting comprise devices of a computer system.

29. The system of claim 27, wherein said means for providing, and said means for interpreting comprise computer readable instructions recorded on a medium.

30. A legality expression adapted for use in a system for processing said legality expression, said legality expression comprising at least one of:

a duty element specifying an obligation that a principal must perform an act,

a ban element specifying a prohibition that a principal must not perform an act,

an intent element specifying an intention that a principal wants to perform an act, and

a claim element specifying an assertion that a principal does perform an act

whereby a computer system can interpret said legality expression.

31. The legality expression of claim 30, whereby a computer system can enforce at least one of said obligation based on said duty element by verifying that said principal has performed said act, and said prohibition based on said ban element by verifying that said principal has not performed said act.

32. The legality expression of claim 30, comprising:

a grant element specifying a permission that a principal may perform an act,

whereby a computer system can enforce at least one of said permission based on said grant element by verifying that said principal may perform said act, said intention based on said intent element by verifying that said principal wants to perform said act, and said assertion based on said claim element by verifying that said principal does perform said act.

33. The legality expression of claim 32, comprising:

respective principal elements associated with said duty element, said ban element, said grant element, said intent element, and said claim element, and specifying the respective principals;

respective resource elements associated with said duty element, said ban element, said grant element, said intent element, and said claim element, and specifying a resource associated with the respective acts; and

respective condition elements associated with said duty element, said ban element, said grant element, said intent element, and said claim element, and specifying a condition associated with the respective acts.

34. The legality expression of claim 32, comprising:

respective event elements associated with said duty element, said ban element, said grant element, said intent element, and said claim element, and specifying respective events for triggering said obligation, said prohibition, said permission, said intention, and said assertion.

35. The legality expression of claim 32, comprising:

respective act elements associated with said duty element, said ban element, said grant element, said intent element, and said claim element, and specifying the respective acts.

36. The legality expression of claim 32, comprising:

a clause element associated with said grant element, said duty element, said ban element, said claim element, and said intent element.

37. The legality expression of claim 36, comprising:

a promise element associated said clause element and specifying a promise in said legality expression; and

associating said clause element with an issuer element of said legality expression language, said issuer element specifying an issuer of said clause.

38. The legality expression of claim 30, comprising:

a signer element specifying a signer of a contract specified using said legality expression.

39. The legality expression of claim 30, comprising:

a license element specifying a license associated with a contract specified using said legality expression.

40. The legality expression of claim 30, comprising:

a metadata element specifying metadata associated with a contract specified using said legality expression.

41. The legality expression of claim 30, wherein said legality expression is based on a legality expression language.

42. The legality expression of claim 41, wherein said legality expression language comprises a grammar based language.

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