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Distributed Application Platforms and Services (DAPS) –Reference Architecture for Service Oriented Architecture (SOA) Part 3 – Service-Oriented Architecture Ontology
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Foreword

- 172 ISO (the International Organization for Standardization) is a worldwide federation of national standards
- 173 bodies (ISO member bodies). The work of preparing International Standards is normally carried out through
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- 175 been established has the right to be represented on that committee. International organizations,
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- 179 The main task of technical committees is to prepare International Standards. Draft International Standards
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- 181 International Standard requires approval by at least 75 % of the member bodies casting a vote.
- 182 Attention is drawn to the possibility that some of the elements of this document may be the subject of patent
- 183 rights. ISO shall not be held responsible for identifying any or all such patent rights.
- 184 ISO 18384-n was prepared by Technical Committee ISO/JTC 1, Subcommittee SC 38, SC DAPS Work
- 185 Group 2, SOA Working Group.
- 186 ISO 18384 consists of three parts, under the general title: Reference Architecture for Service Oriented
- 187 Architecture Part 1 is: SOA Terminology and Concepts, Part 2 is Reference Architecture for SOA; this
- 188 document is Part 3, SOA Ontology.

Introduction

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190 191 192	The purpose of this International Standard is to contribute to developing and fostering common understanding of Service-Oriented Architecture (SOA) in order to improve alignment between the business and information technology communities, and facilitate SOA adoption.
193	It does this in two specific ways:
194	It defines the concepts, terminology, and semantics of SOA in both business and technical terms, in order to:
195	Create a foundation for further work in domain-specific areas
196	Enable communications between business and technical people
197	• Enhance the understanding of SOA concepts in the business and technical communities
198 199	 Provide a means to state problems and opportunities clearly and unambiguously to promote mutual understanding
200	• It potentially contributes to model-driven SOA implementation.
201	The ontology is designed for use by:
202 203	• Business people, to give them a deeper understanding of SOA concepts and how they are used in the enterprise and its environment
204	Architects, as metadata for architectural artifacts
205	Architecture methodologists, as a component of SOA meta-models
206	System and software designers for guidance in terminology and structure

207

This report defines the following clauses:

Clause 3 – terminology – defines terms used when discussing or designing service oriented solutions. Terms defined here are used in some unique fashion for SOA. It does not define terms that are used in general English manner.

212 Clause 4 – Overview clause provides an introduction to the whole standard.

213 214 215	Clauses 5 through 10 provide the formal definitions (OWL and natural language) of the terms and concepts included in the ontology.
216	Clause 4 – System and Element
217	Clause 5 – Human Actor and Task
218	Clause 6 – Service, Service Contract, and Service Interface
219	Clause 7 – Composition and its Subclasses
220	Clause 8 – Policy
221	Clause 9 – Event
222	Clause 11 contains the complete car wash example that is used as a common example throughout.
223	Clause 11 contains an additional elaborate example utilizing most of the classes in the ontology.
224 225	Appendix Error! Reference source not found. contains the formal OWL definitions of the ontology collected together.
226	Appendix Error! Reference source not found. describes the relation of this ontology to other work.
227 228 229	Appendix Error! Reference source not found. contains a relationship matrix that details the class relationships implied by the OWL definitions of the ontology.
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232	Distributed Application Platforms and Services (DAPS)
233	SOA Reference Architecture
234	Service Oriented Architecture Ontology
235	1 Scope
236 237 238	This Standard defines a formal ontology for Service-Oriented Architecture (SOA). SOA is an architectural style tha supports service-orientation. This is the official definition of SOA as defined by The SOA Reference Architecture Part 1. For full details, [see SOA Reference Architecture Part 1]
239	2 Normative references
240 241 242	The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.
243	Editors note: Normative references need to be identified
244	3 Terms, Definitions, Notations, and Conventions
245	For the purposes of this document, the following terms and definitions apply:
246	Those terms and definitions defined by SOA reference Architecture Part 1.
247	
248 249	3.1 Definitions
250	3.1.1 Opaque
251	any possible internal structure of something is invisible to an external observer
252 253	
254	

255	3.2	Acronyms
256	BPM	MN – Business Process Management Notation
257	IT –	Information Technology
258	EA-	- Enterprise Architecture
259	RA -	- Reference Architecture
260	SLA	A – Service Level Agreement
261	SOA	A - Service Oriented Architecture
262	<mark>Edit</mark>	ors note: Acronyms need to be identified and added
263	3.3	Notations
264		
265	3.4	Conventions
266		Bold font is used for OWL class, property, and instance names where they appear in Clause text.
267		Italic strings are used for emphasis and to identify the first instance of a word requiring definition.
268		OWL definitions and syntax are shown in fixed-width font.
269		An unlabeled arrow in the illustrative UML diagrams means subclass.
270 271		The examples in this document are strictly informative and are for illustrative purposes.
272	4	SOA Ontology Overview
273 274 275		This Technical Standard defines a formal ontology for Service-Oriented Architecture (SOA). SOA is an architectural style that supports service-orientation. This is the official definition of SOA as defined by The SOA Reference Architecture Part 1. For full details, [see SOA Reference Architecture Part 1]
276 277 278 279 280		The ontology is represented in the Web Ontology Language (OWL) defined by the World-Wide Web Consortium. OWL has three increasingly expressive sub-languages: OWL-Lite, OWL-DL, and OWL-Full. (See www.w3.org/2004/OWL for a definition of these three dialects of OWL.) This ontology uses OWL-DL, the sub-language that provides the greatest expressiveness possible while retaining computational completeness and decidability.
281 282		The ontology contains classes and properties corresponding to the core concepts of SOA. The formal OWL definitions are supplemented by natural language descriptions of the concepts, with graphic ISO/IEC WD 1 18384 Part 3 SOA Ontology

illustrations of the relations between them, and with examples of their use. For purposes of exposition, the ontology also includes UML diagrams that graphically illustrate its classes and properties of the ontology. The natural language and OWL definitions contained in this specification constitute the authoritative definition of the ontology; the diagrams are for explanatory purposes only. Some of the natural language terms used to describe the concepts are not formally represented in the ontology; those terms are meant in their natural language sense.

This Technical Standard uses examples to illustrate the ontology. One of these, the car-wash example, is used consistently throughout to illustrate the main concepts. (See Clause 11 for the complete example.) Other examples are used ad hoc in individual clauses to illustrate particular points.

A graphically compressed visualization of the entire ontology is shown below (in Figure 1).

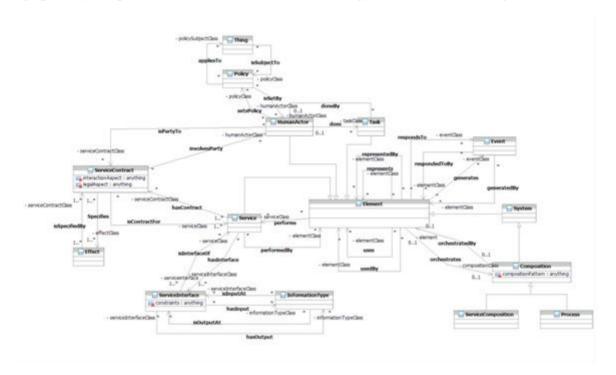


Figure 1: SOA Ontology - Graphical Overview

The concepts illustrated in this figure (Figure 1Figure 1) are described in the body of this Technical Standard.

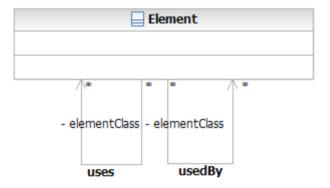
4.1.1 Applications

The SOA ontology specification was developed in order to aid understanding, and potentially be a basis for model-driven implementation.

To aid understanding, this specification can simply be read. To be a basis for model-driven implementation, it should be applied to particular usage domains and application to example usage domains will aid understanding.

304 305 306	that domain. This is sometimes referred to as "populating the ontology". In addition, an application can add definitions of new classes and properties, can import other ontologies, and can import the ontology OWL representation into other ontologies.
307 308 309 310 311 312 313 314	The ontology defines the relations between terms, but does not prescribe exactly how they should be applied. (Explanations of what ontologies are and why they are needed can be found in, for example, Beyond Concepts: Ontology as Reality Representation and What is an Ontology?) The examples provided in this Technical Standard are describing one way in which the ontology could be applied in practical situations. Different applications of the ontology to the same situations would nevertheless be possible. The precise instantiation of the ontology in particular practical situations is a matter for users of the ontology; as long as the concepts and constraints defined by the ontology are correctly applied, the instantiation is valid.
315	4.1.2 Conformance
316 317 318	There are two kinds of applications that can potentially conform to this ontology. One is other OWL-based ontologies (typically extensions of the SOA ontology); the other is a non-OWL application such as a meta-model or a piece of software.
319	A conforming OWL application (derived OWL-based ontology):
320	Must conform to the OWL standard
321 322	• Must include (in the OWL sense) the whole of the ontology contained in Appendix AA of this Technical Standard
323	Can add other OWL constructs, including class and property definitions
324	Can import other ontologies in addition to the SOA ontology
325	A conforming non-OWL application:
326 327	• Must include a defined and consistent transform to a non-trivial subset of the ontology contained in Appendix AA of this Technical Standard
328	Can add other constructs, including class and property definitions
329	Can leverage other ontologies in addition to the SOA ontology
330	5 System and Element
331	5.1 Introduction
332 333	System and element are two of the core concepts of this ontology. Both are concepts that are often used by practitioners, including the notion that systems have elements and that systems can be hierarchically

combined (systems of systems). What differs from domain to domain is the specific nature of systems 334 and elements; for instance, an electrical system has very different kinds of elements than an SOA system. 335 336 In the ontology only elements and systems within the SOA domain are considered. Some SOA sub-337 domains use the term *component* rather than the term element. This is not contradictory, as any 338 component of an SOA system is also an element of that (composite) system. 339 This Clause describes the following classes of the ontology: 340 **ElementSystem** 341 In addition, it defines the following properties: uses and usedBy 342 343 represents and representedBy 344 5.2 The Element Class <owl:Class rdf:about="#Element"> 345 </owl:Class> 346 An *element* is an opaque entity that is indivisible at a given level of abstraction. The element has a 347



clearly defined boundary. The concept of element is captured by the **Element** OWL class, which is

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illustrated below (in Figure 1).

Figure 1: The Element Class

In the context of the SOA ontology we consider in detail only functional elements that belong to the SOA domain. There are other kinds of Elements than members of the four named subclasses (System,

354 HumanActor, Task, and Service) described later in this ontology. Examples of such other kinds of Elements are things like software components or technology components (such as Enterprise Service 355 356 Bus (ESB) implementations, etc.). 357 5.3 The uses and usedBy Properties 358 <owl:ObjectProperty rdf:about="#uses"> <rdfs:domain rdf:resource="#Element"/> 359 360 <rdfs:range rdf:resource="#Element"/> 361 </owl:ObjectProperty> 362 <owl:ObjectProperty rdf:ID="usedBy"> 363 364 <owl:inverseOf> <owl:ObjectProperty rdf:ID="uses"/> 365 366 </owl:inverseOf> 367 </owl> 368 Elements may use other elements in various ways. In general, the notion of some element using another 369 element is applied by practitioners for all of models, executables, and physical objects. What differs from 370 domain to domain is the way in which such use is perceived. 371 An element uses another element if it interacts with it in some fashion. Interacts here is interpreted very broadly ranging through, for example, an element simply being a member of (used by) some system (see 372 373 later for a formal definition of the **System** class), an element interacting with (using) another element (such as a service; see later for a formal definition of the Service class) in an ad hoc fashion, or even a 374 strongly coupled dependency in a composition (see later for a formal definition of the Composition 375 class). The uses property, and its inverse usedBy, capture the abstract notion of an element using 376 377 another. These properties capture not just transient relations. Instantiations of the property can include "uses at this instant", "has used", and "may in future use". 378 379 For the purposes of this ontology we have chosen not to attempt to enumerate and formally define the multitude of different possible semantics of a uses relationship. We leave the semantic interpretations to 380 a particular sub-domain, application or even design approach. 381

5.4 Element – Organizational Example 383 Using an organizational example, typical instances of **Element** are organizational units and people. 384 Whether to perceive a given part of an organization as an organizational unit or as the set of people within that organizational unit is an important choice of abstraction level: 385 386 Inside the boundary of the organizational unit we want to express the fact that an organizational unit uses the people that are members of it. Note that the same person can in fact be a member of (be used by) 387 388 multiple organizational units. 389 Outside the boundary the internal structure of an organizational unit must remain opaque to an external observer, as the enterprise wants to be able to change the people within the organizational unit without 390 391 having to change the definition of the organizational unit itself. 392 This simple example expresses that some elements have an internal structure. In fact, from an internal 393 perspective they are an organized collection of other simpler things (captured by the System class defined below). 394 395 5.5 The System Class <owl:Class rdf:ID="System"> 396 397 <owl>disjointWith> <owl:Class rdf:ID="Task"/> 398 399 </owl:disjointWith> 400 <owl:disjointWith> 401 <owl:Class rdf:ID="Service"/> 402 </owl:disjointWith> 403 <rdfs:subClassOf> <owl:Class rdf:about="#Element"/> 404 405 </rdfs:subClassOf> </owl:Class> 406

A *system* is an organized collection of other things. Specifically things in a system collection are instances of **Element**, each such instance being used by the system. The concept of *system* is captured by the **System** OWL class, which is illustrated below (in Figure 2).



Figure 2: The System Class

This definition of System is heavily influenced by IEEE Std 1471-2000, adopted by ISO/IEC JTC1/SC7 as ISO/IEC 42010:2007: Systems and Software Engineering – Recommended Practice for Architectural Description of Software-intensive Systems.

In the context of the SOA ontology we consider in detail only functional systems that belong to the SOA domain. Note that a fully described instance of **System** should have by its nature (as a collection) a *uses* relationship to at least one instance of **Element**.

Since System is a subclass of **Element**, all systems have a boundary and are opaque to an external observer (black box view). This excludes from the **System** class structures that have no defined boundary. From an SOA perspective this is not really a loss since all interesting SOA systems do have the characteristic of being possible to perceive from an outside (consumer) perspective. Furthermore, having **System** as a subclass of **Element** allows us to naturally express the notion of systems of systems – the lower-level systems are simply elements used by the higher level system.

At the same time as supporting an external view point (black box view, see above) all systems must also support an internal view point (white box view) expressing how they are an organized collection. As an example, for the notion of a service this would typically correspond to a service specification view *versus* a service realization view (similar to the way that SoaML defines services as having both a black box/specification part and a white box/realization part).

It is important to realize that even though systems using elements express an important aspect of the **uses** property, it is not necessary to "invent" a system just to express that some element uses another. In fact, even for systems we may need to be able to express that they can use elements outside their own boundary – though this in many cases will preferably be expressed not at the system level, but rather by an element of the system using that external **Element** instance.

System is defined as disjoint with the *Service* and *Task* classes. Instances of these classes are considered not to be collections of other things. **System** is specifically not defined as disjoint with the *HumanActor* class since an organization is many cases is in fact just a particular kind of system. We choose not to define a special intersection class to represent this fact.

438	5.6 System – Examples
439	5.6.1 Organizational Example
440 441	Continuing the organizational example from above, we can now express that an organizational unit as an instance of System has the people in it as members (and instances of element).
442	5.6.2 Service Composition Example
443 444 445 446	Using a service composition example, services A and B are instances of Element and the composition of A and B is an instance of System (that uses A and B). It is important to realize that the act of composing is different than composition as a thing – it is in the latter sense that we are using the term composition here.
447 448	See also below for a formal definition of the concepts of service and service composition (and a repeat of the example in that more precise context).
449	5.6.3 Car Wash Example
450 451	Consider a car wash business. The company as a whole is an organizational unit and can be instantiated in the ontology in the following way:
452	CarWashBusiness is an instance of System.
453	Joe (the owner) is an instance of Element and used by (owner of) CarWashBusiness.
454	Mary (the secretary) is an instance of Element and used by (employee of) CarWashBusiness.
455	John (the pre-wash guy) is an instance of Element and used by (employee of) CarWashBusiness.
456 457	Jack (the washing manager and operator) is an instance of Element and used by (employee of) CarWashBusiness.
458	5.7 The represents and representedBy Properties
459	<pre><owl:objectproperty rdf:about="#represents"></owl:objectproperty></pre>
460	<rdfs:domain rdf:resource="#Element"></rdfs:domain>
461	<rdfs:range rdf:resource="#Element"></rdfs:range>
462	

</owl:ObjectProperty>

The environment described by an SOA is intrinsically hierarchically composite (see also Clause 6.2 for a definition of the *Composition* class); in other words, the elements of SOA systems can be repeatedly composed to ever higher levels of abstraction. One aspect of this has already been addressed by the **uses** and **usedBy** properties in that we can use these to express the notion of systems of systems. This is still a very concrete relationship though, and does not express the concept of architectural abstraction. We find the need for architectural abstraction in various places such as a role representing the people playing that role, an organizational unit representing the people within it (subtly different from that same organizational unit using the people within it, as the **represents** relationship indicates the organizational unit as a substitute interaction point), an architectural building block representing an underlying construct (for instance, important to enterprise architects wanting to explicitly distinguish between constructs and building blocks), and an Enterprise Service Bus (ESB) representing the services that are accessible through it (for instance, relevant when explicitly modeling operational interaction and dependencies). The concept of such an explicitly changing view point, or level of abstraction, is captured by the *represents* and *representedBy* properties illustrated below (in Figure 3).

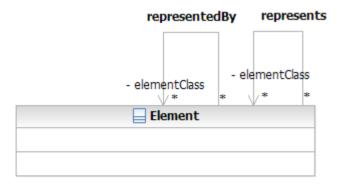


Figure 3: The represents and representedBy Properties

It is important to understand the exact nature of the distinction between using an element (E1) and using another element (E2) that represents E1. If E1 changes, then anyone using E1 directly would experience a change, but someone using E2 would not experience any change.

488 489	When applying the architectural abstraction via the represents property there are three different architectural choices that can be made:
490 491 492 493	An element represents another element in a very literal way, simply by hiding the existence of that element and any changes to it. There will be a one-to-one relationship between the instance of Element and the (different) instance of Element that it represents. A simple real-world example is the notion of a broker acting as an intermediary between a seller (that does not wish to be known) and a buyer.
494 495 496 497	An element represents a particular aspect of another element. There will be a many-to-one relationship between many instances of Element (each of which represents a different aspect), and one (different) instance of Element . A simple real-world example is the notion that the same person can play (be represented by) many different roles.
498 499 500 501	An element is an abstraction that can represent many other elements. There will be a one-to-many relationship between one instance of Element (as an abstraction) and many other instances of Element . A simple real-world example is the notion of an architectural blueprint representing an abstraction of many different buildings being built according to that blueprint.
502 503 504 505	Note that in most cases an instance of Element will represent only one kind of thing. Specifically an instance of Element will typically represent instances of at most one of the classes System , Service , Actor , and Task (with the exception of the case where the same thing is both an instance of System and an instance of Actor). See later clauses for the definitions of Service , Actor , and Task .
506	5.8 Examples
507	5.8.1 Organizational Example
508 509	Expanding further on the organizational example, assume that a company desires to form a new organizational unit O1. There are two ways of doing this:
510 511 512	Define the new organization directly as a collection of people P1, P2, P3, and P4. This means that the new organization is perceived to be a leaf in the organizational hierarchy, and that any exchange of personnel means that its definition needs to change.
513 514 515 516 517 518	Define the new organization as a higher-level organizational construct, joining together two existing organizations O3 and O4. Coincidentally, O3 and O4 between them may have the same four people P1, P2, P3, and P4, but the new organization really doesn't know, and any member of O3 or O4 can be changed without needing to change the definition of the new organization. Furthermore, any member of O3 is intrinsically <i>not</i> working in the same organization as the members of O4 (in fact need not even be aware of them) – contrary to the first option where P1, P2, P3, and P4 are all colleagues in the same new organization.
520 521	In this way the abstraction aspect of the represents property induces an important difference in the semantics of the collection defining the new organization. Any instantiation of the ontology can and

523	should use the represents and representedBy properties to crisply define the implied semantics and lines of visibility/change.
524	5.8.2 Car Wash Example
525 526	Joe chooses to organize his business into two organizational units, one for the administration and one for the actual washing of cars. This can be instantiated in the ontology in the following way:
527	CarWashBusiness is an instance of System.
528	AdministrativeSystem is an instance of System.
529 530	Administration is an instance of Element that represents AdministrativeSystem (the opaque organizational unit aspect, aka ignoring anything else about AdministrativeSystem).
531	CarwashBusiness uses (has organizational unit) Administration.
532	CarWashSystem is an instance of System .
533 534	CarWash is an instance of Element that represents CarWashSystem (the opaque organizational unit aspect, aka ignoring anything else about CarWashSystem).
535	CarWash is a member of CarWashBusiness.
536	Joe (the owner) is an instance of Element and now used by AdministrationSystem.
537	Mary (the secretary) is an instance of Element and now used by AdministrationSystem.
538	John (the pre-wash guy) is an instance of Element and now used by CarWashSystem.
539	Jack (the wash manager and operator) is an instance of Element and now used by CarWashSystem.
540	
541	6 HumanActor and Task
542	6.1 Introduction
543 544 545 546	People, organizations, and the things they do are important aspects of SOA systems. <i>HumanActor</i> and <i>Task</i> capture this as another set of core concepts of the ontology. Both are concepts that are generic and have relevance outside the domain of SOA. For the purposes of this SOA ontology we have chosen to give them specific scope in that tasks are intrinsically atomic (corresponding to, for instance, the

Business Process Modeling Notation (BPMN) 2.0 definition of Task) and human actors are restricted to people and organizations.

This Clause describes the following classes of the ontology:

HumanActor

551 Task

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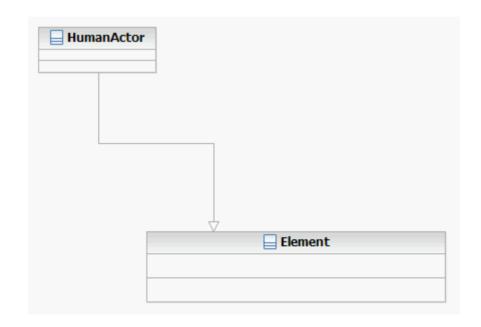
In addition, it defines the following properties:

does and doneBy

6.2 The HumanActor Class

```
555
         <owl:Class rdf:about="#HumanActor">
556
           <rdfs:subClassOf>
557
             <owl:Class rdf:ID="Element"/>
558
           </rdfs:subClassOf>
559
           <owl:disjointWith>
560
             <owl:Class rdf:ID="Task"/>
561
           </owl:disjointWith>
562
           <owl:disjointWith>
563
             <owl:Class rdf:ID="Service"/>
564
           </owl:disjointWith>
565
         </owl:Class>
```

A *human actor* is a person or an organization. The concept of *human actor* is captured by the **HumanActor** OWL class, which is illustrated below (in Figure 4).



569	Figure 4: The HumanActor Class		
570 571 572 573	HumanActor is defined as disjoint with the <i>Service</i> and <i>Task</i> classes. Instances of these classes are considered not to be people or organizations. HumanActor is specifically not defined as disjoint with System since an organization in many cases is in fact just a particular kind of system. We choose not to define a special intersection class to represent this fact.		
574	6.3 HumanActor – Examples		
575	6.3.1 The uses and usedBy Properties Applied to HumanActor		
576 577 578	In one direction, a human actor can itself use things such as services, systems, and other human actors. In the other direction, a human actor can, for instance, be used by another actor or by a system (as an element within that system such as a human actor in a process).		
579	6.3.2 The represents and representedBy Properties Applied to HumanActor		
580 581 582 583	As mentioned in the introduction to this clause, human actors are intrinsically part of systems that instantiate service-oriented architectures. Yet in many cases as an element of an SOA system we talk about not the specific person or organization, rather an abstract representation of them that participates in processes, provides services, etc. In other words, we talk about elements representing human actors.		
584 585 586 587	As examples, a broker (instance of HumanActor) may represent a seller (instance of HumanActor) that wishes to remain anonymous, a role (instance of Element) may represent (the role aspect of) multiple instances of HumanActor , and an organizational unit (instance of HumanActor) may represent the many people (all instances of HumanActor) that are part of it.		
588 589 590 591	Note that we have chosen not to define a "role class", as we believe that using Element with the represents property is a more general approach which does not limit the ability to also define role-based systems. For all practical purposes there is simply a "role subclass" of Element , a subclass that we have chosen not to define explicitly.		
592	6.3.3 Organizational Example		
593 594 595 596 597	Continuing the organizational example from above, we can now express that P1 (<i>John</i>), P2 (<i>Jack</i>), P3 (<i>Joe</i>), and P4 (<i>Mary</i>) as instances of Element are in fact (people) instances of HumanActor . We can also express (if we so choose) that all of O1 (<i>CarWashBusiness</i>), O3 (<i>CarWash</i>), and O4 (<i>Administration</i>) are (organization) human actors from an action perspective at the same time that they are systems from a collection/composition perspective.		
598	6.3.4 Car Wash Example		
599	See Clause 11.1 for the complete organizational aspect of the car wash example.		

6.4 The Task Class

600

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621 622

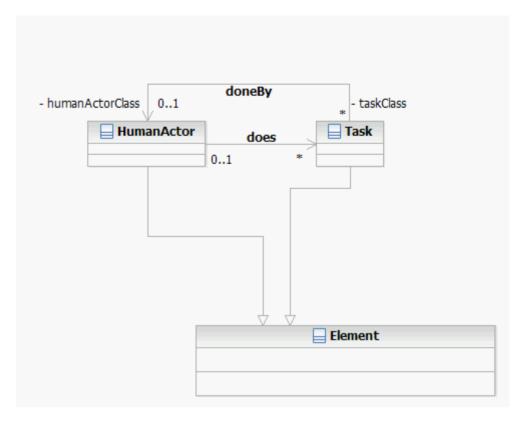
623

```
601
         <owl:Class rdf:about="#Task">
602
           <owl:disjointWith>
603
             <owl:Class rdf:ID="System"/>
604
           </owl:disjointWith>
605
           <owl:disjointWith>
606
             <owl:Class rdf:ID="HumanActor"/>
607
           </owl:disjointWith>
608
           <owl:disjointWith>
609
             <owl:Class rdf:ID="Service"/>
610
           </owl:disjointWith>
611
           <rdfs:subClassOf>
612
             <owl:Class rdf:ID="Element"/>
613
           </rdfs:subClassOf>
614
         </owl:Class>
```

A *task* is an atomic action which accomplishes a defined result. Tasks are done by people or organizations, specifically by instances of **HumanActor**.

The Business Process Modeling Notation (BPMN) 2.0 defines task as follows: "A Task is an atomic Activity within a Process flow. A Task is used when the work in the Process cannot be broken down to a finer level of detail. Generally, an end-user and/or applications are used to perform the Task when it is executed." For the purposes of the ontology we have added precision by formally separating the notion of doing from the notion of performing. Tasks are (optionally) done by human actors, furthermore (as instances of Element) tasks can use services that are performed by technology components (see details in Clause 7.3; see also the example in Clause 12).

The concept of *task* is captured by the **Task** OWL class, which is illustrated below (in Figure 5).



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628

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Figure 5: The Task Class

Task is defined as disjoint with the *System*, *Service*, and *HumanActor* classes. Instances of these classes are considered not to be atomic actions.

6.5 The does and doneBy Properties

```
630
         <owl:ObjectProperty rdf:about="#doneBy">
631
           <rdfs:domain rdf:resource="#Task"/>
632
           <rdfs:range rdf:resource="#HumanActor"/>
633
         </owl:ObjectProperty>
634
635
         <owl:ObjectProperty rdf:ID="does">
636
           <owl:inverseOf>
637
              <owl:ObjectProperty rdf:about="#doneBy"/>
638
           </owl:inverseOf>
639
         </owl:ObjectProperty>
640
641
         <owl:Class rdf:ID="Task">
642
           <rdfs:subClassOf>
643
              <owl:Restriction>
644
                <owl:onProperty>
645
                  <owl:ObjectProperty rdf:ID="doneBy"/>
646
                </owl:onProperty>
647
                <owl:minCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
```

```
648
                >0</owl:minCardinality>
649
              </owl:Restriction>
650
            </rdfs:subClassOf>
651
            <rdfs:subClassOf>
652
              <owl:Restriction>
653
                <owl:maxCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
654
                >1</owl:maxCardinality>
655
                <owl:onProperty>
656
                  <owl:ObjectProperty rdf:about="#doneBy"/>
657
                </owl:onProperty>
              </owl:Restriction>
658
659
            </rdfs:subClassOf>
660
         </owl:Class>
```

Tasks are naturally thought of as being done by people or organizations. If we think of tasks as being the actual things done, then the natural cardinality is that each instance of **Task** is done by at most one instance of **HumanActor**. Due to the atomic nature of instances of **Task** we rule out the case where such an instance is done jointly by multiple instances of Human**Actor**. The cardinality can be zero if someone chooses not to instantiate all possible human actors. On the other hand, the same instance of **HumanActor** can (over time) easily do more than one instance of **Task**. The **does** property, and its inverse **doneBy**, capture the relation between a human actor and the tasks it does.

6.6 Task – Examples

6.6.1 The uses and usedBy Properties Applied to Task

In one direction, the most common case of a task using another element is where an automated task (in an orchestrated process; see Clause **Error! Reference source not found.** for the definition of *process* and *orchestration*) uses a service as its realization. In the other direction, a task can, for instance, be used by a system (as an element within that system, such as a task in a process).

6.6.2 The represents and represented By Properties Applied to Task

As mentioned in the introduction to this clause, tasks are intrinsically part of SOA systems. Yet in many cases as an element of an SOA system we talk about not the actual thing being done, rather an abstract representation of it that is used as an element in systems, processes, etc. In other words, we talk about elements representing tasks.

As a simple example, an abstract activity in a process model (associated with a role) may represent a concrete task (done by a person fulfilling that role). Note that due to the atomic nature of a task it does not make sense to talk about many elements representing different aspects of it.

6.6.3 Organizational Example

Continuing the organizational example from above, we can now express which tasks that are done by human actors (people) P1, P2, P3, and P4, and how those tasks can be elements in bigger systems that

685 686 687		describe things such as organizational processes. Clause Error! Reference source not found. will deal formally with the concept of <i>composition</i> , including properly defining the concept of a <i>process</i> as one particular kind of <i>composition</i> .
886		6.6.4 Car Wash Example
689 690		As an important part of the car wash system, John and Jack perform certain manual tasks required for washing a car properly:
691		Jack and John are instances of HumanActor .
692		WashWindows is an instance of Task and is done by John.
693		PushWashButton is an instance of Task and is done by Jack.
694	7	Service, ServiceContract, and ServiceInterface
695	7.1	Introduction
696 697 698		<i>Service</i> is another core concept of this ontology. It is a concept that is fundamental to SOA and always used in practice when describing or engineering SOA systems, yet it is not easy to define formally. The ontology is based on the following definition of <i>service</i> :
699 700		"A service is a logical representation of a repeatable activity that has a specified outcome. It is self-contained and is a 'black box' to its consumers."
701 702		This corresponds to the existing official Open Group definition of the term; refer to the Open Group Definition of SOA.
703 704 705 706 707 708 709	The word activity in the definition above is here used in the general English language sense of the wonot in the process-specific sense of that same word (i.e., activities are not necessarily process activities. The ontology purposefully omits "business" as an intrinsic part of the definition of <i>service</i> . The reason for this is that the notion of business is relative to a person's viewpoint – as an example, one person's notion of IT is another person's notion of business (the business of IT). <i>Service</i> as defined by the ontology is agnostic to whether the concept is applied to the classical notion of a business domain or classical notion of an IT domain.	
710		Other current SOA-specific definitions of the term service include:
711 712 713		• "A mechanism to enable access to one or more capabilities, where the access is provided using a prescribed interface and is exercised consistent with constraints and policies as specified by the service description." (Source: OASIS SOA Reference Model)

714 "A capability offered by one entity or entities to others using well-defined 'terms and conditions' 715 and interfaces." (Source: OMG SoaML Specification) 716 Within the normal degree of precision of the English language, these definitions are not contradictory; they are stressing different aspects of the same concept. All three definitions are SOA-specific though, 717 and represent a particular interpretation of the generic English language term service. 718 719 This clause describes the following classes of the ontology: 720 Service ServiceContract 721 ServiceInterface 722 723 **InformationType** In addition, it defines the following properties: 724 performs and performedBy 725 hasContract and isContractFor 726 727 involvesParty and isPartyTo specifies and isSpecifiedBy 728 hasInterface and isInterfaceOf 729 hasInput and isInputAt 730 731 hasOutput and isOutputAt 732 7.2 The Service Class 733 <owl:Class rdf:about="#Service"> 734 <owl:disjointWith> 735 <owl:Class rdf:ID="System"/> 736 </owl:disjointWith> 737 <owl:disjointWith> 738 <owl:Class rdf:ID="Task"/> 739 </owl:disjointWith> 740 <owl:disjointWith>

<owl:Class rdf:ID="HumanActor"/>

<owl:Class rdf:about="#Element"/>

</owl:disjointWith>

<rdfs:subClassOf>

741

742

743

A *service* is a logical representation of a repeatable activity that has a specified outcome. It is self-contained and is a 'black box' to its consumers. The concept of *service* is captured by the **Service** OWL class, which is illustrated below (in Figure 6).

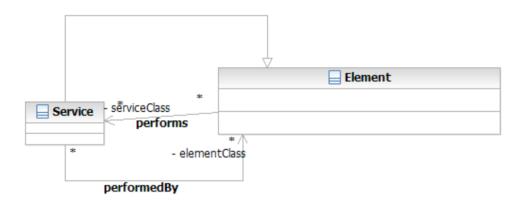


Figure 6: The Service Class

In the context of the SOA ontology we consider only SOA-based services. Other domains, such as Integrated Service Management, can have services that are not SOA-based hence are outside the intended scope of the SOA ontology.

Service is defined as disjoint with the *System*, *Task*, and *HumanActor* classes. Instances of these classes are considered not to be services themselves, even though they may provide capabilities that can be offered as services.

7.3 The performs and performedBy Properties

```
759
         <owl:ObjectProperty rdf:ID="performs">
760
           <rdfs:domain rdf:resource="#Element"/>
761
           <rdfs:range rdf:resource="#Service"/>
762
         </owl:ObjectProperty>
763
764
         <owl:ObjectProperty rdf:ID="performedBy">
765
           <owl:inverseOf>
766
             <owl:ObjectProperty rdf:ID="performs"/>
767
           </owl:inverseOf>
768
         </owl:ObjectProperty>
```

As a service itself is only a logical representation, any service is *performed* by something. The something that *performs* a service must be opaque to anyone interacting with it, an opaqueness which is the exact nature of the **Element** class. This concept is captured by the *performs* and *performedBy*

772 773 774	performed by elements of other types than systems. This includes elements such as software			
775 776 777 778	Note that the same instance of Service can be performed by many different instances of Element . As long as the service performed is the same, an external observer cannot tell the difference (for contractual obligations, SLAs, etc. see the definition of the <i>ServiceContract</i> class in Clause 7.5.). Conversely, any instance of Element may perform more than one service or none at all.			
779 780 781	While a service can be performed by other elements, the service itself (as a purely logical representation does not perform other services. See the <u>Simple Service Composition Example (Clause 8.6.1)</u> for an example of how to represent service compositions formally in the ontology.			
782	7.3.1	Service Consumers and Service Providers		
783 784	Terminology used in an SOA environment often includes the notions of service providers and service consumers. There are two challenges with this terminology:			
785 786 787 788	i i	t does not distinguish between the contractual obligation aspect of consume/provide and the interaction aspect of consume/provide. A contractual obligation does not necessarily translate to an interaction dependency, if for no other reason than because the realization of the contractual obligation may have been sourced to a third party.		
789 790 791	C	Consuming or providing a service is a statement that only makes sense in context – either a contractual context or an interaction context. These terms are consequently not well suited for making tatements about elements and services in isolation.		
792 793 794 795 796 797	The above are the reasons why the ontology has chosen not to adopt consume and provide as core concertation instead allows consume or provide terms used with contractual obligations and/or interaction rules described by service contracts; see the definition of the <i>ServiceContract</i> class in Clause 7.5. In its simple form, outside the context of a formal service contract, the interaction aspect of consuming and providing services may even be expressed simply by saying that some element uses (consumes) a service or that so element performs (provides) a service; see also the examples below.		rather instead allows consume or provide terms used with contractual obligated described by service contracts; see the definition of the <i>ServiceContract</i> class form, outside the context of a formal service contract, the interaction aspect of services may even be expressed simply by saying that some element uses (contract).	
798	7.4	Service – Examples		
799	7.4.1	The uses and usedBy Properties Applied to Service		
800 801 802	In one direction, it does not really make sense to talk about a service that uses another element. While the thing that performs the service might very well include the use of other elements (and certainly we in the case of <i>Service Composition</i>), the service itself (as a purely logical representation) does not use other elements.			

In the other direction, we find the most common of all interactions in an SOA environment: the notion that some element uses a service by interacting with it. Note that from an operational perspective this interaction actually reaches somewhat beyond the service itself by involving the following typical steps:

- Picking the service to interact with (this statement is agnostic as to whether this is done dynamically at runtime or statically at design and/or construct time)
- Picking an element that performs that service (in a typical SOA environment, this is most often done "inside" an Enterprise Service Bus (ESB))
- Interacting with the chosen element (that performs the chosen) service (often also facilitated by an ESB)

7.4.2 The represents and represented By Properties Applied to Service

Concepts such as service mediations, service proxies, ESBs, etc. are natural to those practitioners that describe and implement the operational aspects of SOA systems. From an ontology perspective all of these can be captured by some other element representing the service – a level of indirection that is critical when we do not want to bind operationally to a particular service endpoint, rather we want to preserve loose coupling and the ability to switch embodiments as needed. Note that by leveraging the *represents* and *representedBy* properties in this fashion we additionally encapsulate the relatively complex operational interaction pattern that was described in the clause above (picking the service, picking an element that performs the service, and interacting with that chosen element).

While a service being represented by something else is quite natural, it is harder to imagine what the service itself might represent. To some degree we have already captured the fact that a service represents any embodiment of it, only we have chosen to use the *performs* and *performedBy* properties to described this rather than the generic *represents* and *representedBy* properties. As a consequence, we do not expect practical applications of the ontology to have services represent anything.

7.4.3 Exemplifying the Difference between Doing a Task and Performing a Service

The distinction between a human actor performing a task and an element (technology, human actor, or other) performing a service is important. The human actor doing the task has the responsibility that it gets done, yet may in fact in many cases leverage some service to achieve that outcome:

- John is an instance of **HumanActor**.
- WashWindows is an instance of **Task** and is done by John.
- *SoapWater* is an instance of **Service**.
- WaterTap is an instance of Element.
- *WaterTap* performs *SoapWater*.

- *John* uses *SoapWater* (to do *WashWindows*).
- 837 Note how clearly *SoapWater* does not do *WashWindows*, nor does *WaterTap* do *WashWindows*.

7.4.4 Car Wash Example

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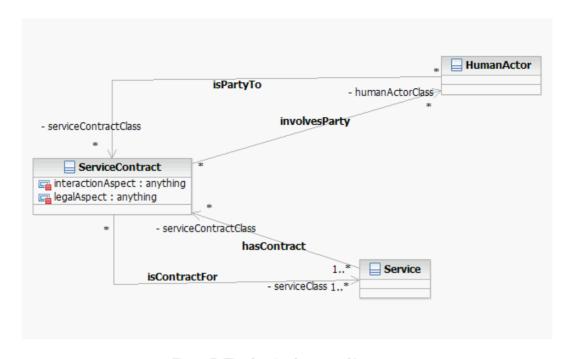
863 864

- Joe offers two different services to his customers: a basic wash and a gold wash. This can be instantiated in the ontology in the following way (subset to the part relevant for these two services):
- *GoldWash* is an instance of **Service**.
- BasicWash is an instance of Service.
- CarWash performs both BasicWash and GoldWash.
- *WashManager* represents both BasicWash and *GoldWash* (i.e., is the interaction point where customers can order services as well as pay for them).
- Note the purposeful use of *WashManager* representing both services. This is due to Joe deciding that in his car wash customers are not to interact with the washing machinery directly, rather must instead interact with whomever (human actor) is fulfilling the role of wash manager.

7.5 The ServiceContract Class

```
850
         <owl:Class rdf:about="#ServiceContract">
851
           <owl:disjointWith>
852
              <owl:Class rdf:ID="HumanActor"/>
853
           </owl:disjointWith>
854
           <owl:disjointWith>
855
             <owl:Class rdf:ID="Task"/>
856
           </owl:disjointWith>
857
         </owl:Class>
```

In many cases, specific agreements are needed in order to define how to use a service. This can either be because of a desire to regulate such use or can simply be because the service will not function properly unless interaction with it is done in a certain sequence. A *service contract* defines the terms, conditions, and interaction rules that interacting participants must agree to (directly or indirectly). A *service contract* is binding on all participants in the interaction, including the service itself and the element that provides it for the particular interaction in question. The concept of *service contract* is captured by the **ServiceContract** OWL class, which is illustrated below (in Figure 7).



865

867

866 Figure 7: The ServiceContract Class

7.5.1 The interactionAspect and legalAspect Datatype Properties

```
868
         <owl:DatatypeProperty rdf:about="#interactionAspect">
869
           <rdfs:domain rdf:resource="#ServiceContract"/>
870
         </owl:DatatypeProperty>
871
872
         <owl:DatatypeProperty rdf:about="#legalAspect">
873
           <rdfs:domain rdf:resource="#ServiceContract"/>
874
         </owl:DatatypeProperty>
875
876
         <owl:Class rdf:about="#ServiceContract">
877
           <rdfs:subClassOf>
878
              <owl:Restriction>
879
                <owl:onProperty>
880
                  <owl:DatatypeProperty rdf:ID="legalAspect"/>
881
                </owl:onProperty>
882
                <owl:minCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
883
                >1</owl:minCardinality>
884
              </owl:Restriction>
885
           </rdfs:subClassOf>
886
           <rdfs:subClassOf>
887
              <owl:Restriction>
888
                <owl:maxCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
889
                >1</owl:maxCardinality>
890
                <owl:onProperty>
891
                  <owl:DatatypeProperty rdf:ID="legalAspect"/>
892
                </owl:onProperty>
893
              </owl:Restriction>
```

```
894
           </rdfs:subClassOf>
895
           <rdfs:subClassOf>
896
             <owl:Restriction>
897
                <owl:onProperty>
898
                  <owl:DatatypeProperty rdf:ID="interactionAspect"/>
899
                </owl:onProperty>
900
                <owl:maxCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
901
                >1</owl:maxCardinality>
902
              </owl:Restriction>
903
           </rdfs:subClassOf>
904
           <rdfs:subClassOf>
905
              <owl:Restriction>
906
                <owl:onProperty>
907
                  <owl:DatatypeProperty rdf:about="#interactionAspect"/>
908
                </owl:onProperty>
909
                <owl:minCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
910
                >1</owl:minCardinality>
911
             </owl:Restriction>
912
           </rdfs:subClassOf>
913
         </owl:Class>
```

Service contracts explicitly regulate both the interaction aspects (see the *hasContract and isContractFor* properties) and the legal agreement aspects (see the *involvedParty* and *isPartyTo* properties) of using a service. The two types of aspects are formally captured by defining the **interactionAspect** and **legalAspect** datatype properties on the **ServiceContract** class. Note that the second of these attributes, the legal agreement aspects, includes concepts such as Service-Level Agreements (SLAs).

If desired, it is possible as an architectural convention to split the interaction and legal aspects into two different service contracts. Such choices will be up to any application using this ontology.

7.6 The hasContract and isContractFor Properties

```
922
         <owl:ObjectProperty rdf:about="#isContractFor">
923
           <rdfs:domain rdf:resource="#ServiceContract"/>
924
           <rdfs:range rdf:resource="#Service"/>
925
         </owl:ObjectProperty>
926
927
         <owl:ObjectProperty rdf:ID="hasContract">
928
           <owl:inverseOf>
929
             <owl:ObjectProperty rdf:about="#isContractFor"/>
930
           </owl:inverseOf>
931
         </owl:ObjectProperty>
932
933
         <owl:Class rdf:about="#ServiceContract">
934
           <rdfs:subClassOf>
935
             <owl:Restriction>
936
               <owl:onProperty>
937
                 <owl:ObjectProperty rdf:ID="isContractFor"/>
938
               </owl:onProperty>
939
               <owl:minCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
940
               >1</owl:minCardinality>
```

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The **hasContract** property, and its inverse **isContractFor**, capture the abstract notion of a service having a service contract. Anyone wanting to use a service must obey the interaction aspects (as defined in the **interactionAspect** datatype property) of any service contract applying to that interaction. In that fashion, the interaction aspects of a service contract are context-independent; they capture the defined or intrinsic ways in which a service may be used.

By definition, any service contract must be a contract for at least one service. It is possible that the same service contract can be a contract for more than one service; for instance, in cases where a group of services share the same interaction pattern or where a service contract (legally – see the *involvesParty* and *isPartyTo* properties below) regulates the providing and consuming of multiple services.

7.7 The involvesParty and isPartyTo Properties

```
954
         <owl:ObjectProperty rdf:about="#isPartyTo">
955
           <rdfs:domain rdf:resource="#HumanActor"/>
956
           <rdfs:range rdf:resource="#ServiceContract"/>
957
         </owl:ObjectProperty>
958
959
         <owl:ObjectProperty rdf:ID="involvesParty">
960
           <owl:inverseOf>
961
             <owl:ObjectProperty rdf:ID="isPartyTo"/>
962
           </owl:inverseOf>
963
         </owl:ObjectProperty>
```

In addition to the rules and regulations that intrinsically apply to any interaction with a service (the interaction aspect of service contracts captured in the **interactionAspect** datatype property) there may be additional legal agreements that apply to certain human actors and their use of services. The **involvesParty** property, and its inverse **isPartyTo**, capture the abstract notion of a service contract specifying legal obligations between human actors in the context of using the one or more services for which the service contract is a contract.

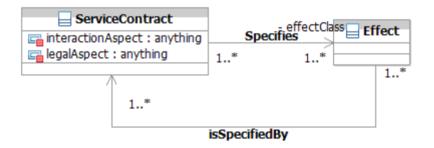
While the **involvesParty** and **isPartyTo** properties define the relationships to human actors involved in the service contract, the actual legal obligations on each of these human actors is defined in the **legalAspect** datatype property on the service contract. This includes the ability to define who is the provider and who is the consumer from a legal obligation perspective.

There is a many-to-many relationship between service contracts and human actors. A given human actor may be party to none, one, or many service contracts. Similarly, a given service contract may involve none, one, or multiple human actors (none in the case where that particular service contract only specifies the **interactionAspect** datatype property). Note that it is important we allow for sourcing contracts where there is a legal agreement between human actor A and human actor B (both of which are party to a service contract), yet human actor B has sourced the performing of the service to human actor C (*aka* human actor C performs the service in question, not human actor B).

The **involvesParty** property together with the **legalAspect** datatype property on **ServiceContract** capture not just transient obligations. They include the ability to express "is obliged to at this instant", "was obliged to", and "may in future be obliged to".

7.8 The Effect Class

Interacting with something performing a service has *effects*. These comprise the outcome of that interaction, and are how a service (through the element that performs it) delivers value to its consumers. The concept of *effect* is captured by the **Effect** OWL class, which is illustrated below (in Figure 8).



serviceContractClass

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994 Figure 8: The Effect Class

Note that the **Effect** class purely represents how results or value is delivered to someone interacting with a service. Any possible internal side-effects are explicitly not covered by the **Effect** class.

Effect is defined as disjoint with the *ServiceInterface* class. (The *ServiceInterface* class is defined later in this document.) Interacting with a service through its service interface can have an outcome or provide a value (an instance of **Effect**) but the service interface itself does not constitute that outcome or value.

7.9 The specifies and is Specified By Properties

```
1004
          </owl:ObjectProperty>
1005
1006
          <owl:ObjectProperty rdf:about="#isSpecifiedBy">
1007
            <owl:inverseOf>
1008
              <owl:ObjectProperty rdf:about="#specifies"/>
1009
            </owl:inverseOf>
1010
          </owl:ObjectProperty>
1011
1012
          <owl:Class rdf:ID="Effect">
1013
            <rdfs:subClassOf>
1014
              <owl:Restriction>
1015
                <owl:minCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
1016
                >1</owl:minCardinality>
1017
                <owl:onProperty>
1018
                  <owl:ObjectProperty rdf:ID="isSpecifiedBy"/>
1019
                </owl:onProperty>
1020
              </owl:Restriction>
1021
            </rdfs:subClassOf>
1022
          </owl:Class>
1023
          <owl:Class rdf:about="#ServiceContract">
1024
1025
            <rdfs:subClassOf>
1026
              <owl:Restriction>
1027
                <owl:onProperty>
1028
                  <owl:ObjectProperty rdf:ID="specifies"/>
1029
                </owl:onProperty>
1030
                <owl:minCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
1031
                >1</owl:minCardinality>
1032
              </owl:Restriction>
1033
            </rdfs:subClassOf>
1034
          </owl:Class>
```

While a service intrinsically has an effect every time someone interacts with it, in order to trust the effect to be something in particular, the effect needs to be specified as part of a service contract. The **specifies** property, and its inverse **isSpecifiedBy**, capture the abstract notion of a service contract specifying a particular effect as part of the agreement for using a service. Note that the specified effect can apply to both the **interactionAspect** datatype property (simply specifying what will happen when interacting with the service according to the service contract) and the **legalAspect** datatype property (specifying a contractually promised effect).

Anyone wanting a guaranteed effect of the interaction with a given service must ensure that the desired effect is specified in a service contract applying to that interaction. By definition, any service contract must specify at least one effect. In the other direction, an effect must be an effect of at least one service contract; this represents that fact that we have chosen only to formalize those effects that are specified by service contracts (and not all intrinsic effects of all services).

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1047	7.10	ServiceContract – Examples
1048	7.10.1	Service-Level Agreements
1049 1050 1051	realize t	ce-Level Agreement (SLA) on a service has been agreed by organizations A and B. It is important to hat an SLA always has a context of the parties that have agreed to it, involving at a minimum one onsumer" and one legal "provider". This can be represented in the ontology as follows:
1052	• A	and B are instances of HumanActor .
1053	• Se	ervice is an instance of Service.
1054	• S	erviceContract is an instance of ServiceContract.
1055	• S	erviceContract isContractFor Service.
1056	• S	erviceContract involvesParty A.
1057	• S	erviceContract involvesParty B.
1058	• T	the legalAspect datatype property on <i>ServiceContract</i> describes the SLA.
1059	7.10.2	Service Sourcing
1060 1061		ations A and B have agreed on B providing certain services for A, yet B wants to source the actual of those services to third party C. This can be represented in the ontology as follows:
1062	• A	, B, and C are instances of HumanActor .
1063	• Se	ervice is an instance of Service.
1064	• C	provides Service.
1065	• Se	erviceContract is an instance of ServiceContract.
1066	• S	erviceContract isContractFor Service.
1067	• S	erviceContract involvesParty A.
1068	• S	erviceContract involvesParty B.
1069 1070		the legalAspect datatype property on ServiceContract describes the legal obligation of B to provide ervice for A.

1071 **7.10.3** Car Wash Example

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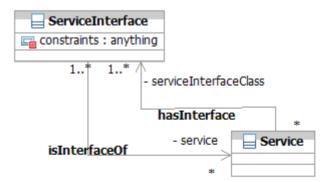
1092

1093 1094 See Clause 11.2 for the complete **Service** and **ServiceContract** aspects of the car wash example.

7.11 The ServiceInterface Class

```
<owl:Class rdf:about="#ServiceInterface">
1074
1075
            <owl:disjointWith>
1076
              <owl:Class rdf:ID="Service"/>
1077
            </owl:disjointWith>
1078
            <owl:disjointWith>
1079
              <owl:Class rdf:ID="ServiceContract"/>
1080
            </owl:disjointWith>
1081
            <owl:disjointWith>
1082
              <owl:Class rdf:ID="Effect"/>
1083
            </owl:disjointWith>
1084
            <owl:disjointWith>
1085
              <owl:Class rdf:ID="HumanActor"/>
1086
            </owl:disjointWith>
1087
            <owl:disjointWith>
1088
              <owl:Class rdf:ID="Task"/>
1089
            </owl:disjointWith>
1090
          </owl:Class>
```

An important characteristic of services is that they have simple, well-defined interfaces. This makes it easy to interact with them, and enables other elements to use them in a structured manner. A *service interface* defines the way in which other elements can interact and exchange information with a service. This concept is captured by the **ServiceInterface** class which is illustrated below (in Figure 9).



10951096

Figure 9: The ServiceInterface Class

1097 1098 The concept of an interface is in general well understood by practitioners, including the notion that interfaces define the parameters for information going in and out of them when invoked. What differs

from domain to domain is the specific nature of how an interface is invoked and how information is passed back and forth. Service interfaces are typically, but not necessarily, message-based (to support loose coupling). Furthermore, service interfaces are always defined independently from any service implementing them (to support loose coupling and service mediation).

From a design perspective interfaces may have more granular operations or may be composed of other interfaces. We have chosen to stay at the concept level and not include such design aspects in the ontology.

ServiceInterface is defined as disjoint with the **Service, ServiceContract**, and **Effect** classes. Instances of these classes are considered not to define (by themselves) the way in which other elements can interact and exchange information with a service. Note that that there is a natural synergy between **ServiceInterface** and the **interactionAspect** datatype property on **ServiceContract**, as the latter defines any multi-interaction and/or sequencing constraints on how to use a service through interaction with its service interfaces.

7.11.1 The Constraints Datatype Property

```
1113
          <owl:DatatypeProperty rdf:about="#constraints">
1114
            <rdfs:domain rdf:resource="#ServiceInterface"/>
1115
          </owl:DatatypeProperty>
1116
1117
          <owl:Class rdf:about="#ServiceInterface">
1118
            <rdfs:subClassOf>
1119
              <owl:Restriction>
1120
                <owl:onProperty>
1121
                  <owl:DatatypeProperty rdf:ID="constraints"/>
1122
                </owl:onProperty>
1123
                <owl:minCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
1124
                >1</owl:minCardinality>
1125
              </owl:Restriction>
1126
            </rdfs:subClassOf>
1127
            <rdfs:subClassOf>
1128
              <owl:Restriction>
1129
                <owl:onProperty>
1130
                  <owl:DatatypeProperty rdf:about="#constraints"/>
1131
                </owl:onProperty>
1132
                <owl:maxCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
1133
                >1</owl:maxCardinality>
1134
              </owl:Restriction>
1135
            </rdfs:subClassOf>
1136
          </owl:Class>
```

The **Constraints** datatype property on **ServiceInterface** captures the notion that there can be constraints on the allowed interaction such as only certain value ranges allowed on given parameters. Depending on the nature of the service and the service interface in question these constraints may be defined either formally or informally (the informal case being relevant at a minimum for certain types of real-world services).

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1142 7.12 The hasInterface and isInterfaceOf Properties

```
1143
          <owl:ObjectProperty rdf:about="#hasInterface">
1144
            <rdfs:domain rdf:resource="#Service"/>
1145
            <rdfs:range rdf:resource="#ServiceInterface"/>
1146
          </owl:ObjectProperty>
1147
1148
          <owl:ObjectProperty rdf:ID="isInterfaceOf">
1149
            <owl:inverseOf>
1150
              <owl:ObjectProperty rdf:about="#hasInterface"/>
1151
            </owl:inverseOf>
1152
          </owl:ObjectProperty>
1153
1154
          <owl:Class rdf:about="#Service">
1155
            <rdfs:subClassOf>
1156
              <owl:Restriction>
1157
                <owl:minCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
1158
                >1</owl:minCardinality>
1159
                <owl:onProperty>
1160
                   <owl:ObjectProperty rdf:ID="hasInterface"/>
1161
                </owl:onProperty>
1162
              </owl:Restriction>
1163
            </rdfs:subClassOf>
1164
          </owl:Class>
```

The **hasInterface** property, and its inverse **isInterfaceOf**, capture the abstract notion of a service having a particular service interface.

In one direction, any service must have at least one service interface; anything else would be contrary to the definition of a service as a representation of a repeatable activity that has a specified outcome and is a 'black box' to its consumers. In the other direction, there can be service interfaces that are not yet interfaces of any defined services. Also, the same service interface can be an interface of multiple services. The latter does not mean that these services are the same, nor even that they have the same effect; it only means that it is possible to interact with all these services in the manner defined by the service interface in question.

7.13 The InformationType Class

1165

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```
1175
          <owl:Class rdf:ID="InformationType">
1176
            <owl:disjointWith>
1177
              <owl:Class rdf:ID="Effect"/>
1178
            </owl:disjointWith>
1179
            <owl:disjointWith>
1180
              <owl:Class rdf:ID="ServiceContract"/>
1181
            </owl:disjointWith>
1182
          </owl:Class>
```

A service interface can enable another element to give information to or receive information from a service (when it uses that service); specifically the types of information given or received. The concept

of *information type* is captured by the **InformationType** OWL class, which is illustrated <u>below (in</u> Figure 10).

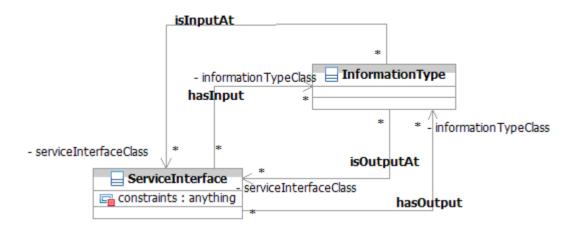


Figure 10: The InformationType Class

In any concrete interaction through a service interface the information types on that interface are instantiated by information items, yet for the service interface itself it is the types that are important. Note that the **constraints** datatype property on **ServiceInterface**, if necessary, can be used to express constraints on allowed values for certain information types.

7.14 The hasInput and isInputAt Properties

```
1194
          <owl:ObjectProperty rdf:ID="hasInput">
1195
            <rdfs:domain rdf:resource="#ServiceInterface"/>
1196
            <rdfs:range rdf:resource="#InformationType"/>
1197
          </owl:ObjectProperty>
1198
1199
          <owl:ObjectProperty rdf:ID="isInputAt">
1200
            <owl:inverseOf>
1201
              <owl:ObjectProperty rdf:ID="hasInput"/>
1202
            </owl:inverseOf>
1203
          </owl:ObjectProperty>
```

The **hasInput** property, and its inverse **isInputAt**, capture the abstract notion of a particular type of information being given when interacting with a service through a service interface.

Note that there is a many-to-many relationship between service interfaces and input information types. A given information type may be input at many service interfaces or none at all. Similarly, a given service interface may have many information types as input or none at all. It is important to realize that some services may have only inputs (triggering an asynchronous action without a defined response) and other

services may have only outputs (elements performing these services execute independently yet may provide output that is used by other elements).

7.15 The hasOutput and isOutputAt Properties

```
1213
          <owl:ObjectProperty rdf:ID="hasOutput">
1214
            <rdfs:domain rdf:resource="#ServiceInterface"/>
1215
            <rdfs:range rdf:resource="#InformationType"/>
1216
          </owl:ObjectProperty>
1217
1218
          <owl:ObjectProperty rdf:ID="isOutputAt">
1219
            <owl:inverseOf>
1220
              <owl:ObjectProperty rdf:ID="hasOutput"/>
1221
            </owl:inverseOf>
1222
          </owl:ObjectProperty>
```

The **hasOutput** property, and its inverse **isOutputAt**, capture the abstract notion of a particular type of information being received when interacting with a service through a service interface.

Note that there is a many-to-many relationship between service interfaces and output information types.

A given information type may be output at many service interfaces or none at all. Similarly, a given service interface may have many information types as output or none at all. It is important to realize that some services may have only inputs (triggering an asynchronous action without a defined response) and other services may have only outputs (elements performing these services execute independently yet may provide output that is used by other elements).

7.16 Examples

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1231

1232 7.16.1 Interaction Sequencing

- A service contract on a service expresses that the services interfaces on that services must be used in a certain order:
- Service is an instance of **Service**.
- ServiceContract is an instance of ServiceContract.
- ServiceContract isContractFor Service.
- X is an instance of ServiceInterface.
- X isInterfaceOf Service.
- Y is an instance of ServiceInterface.

1241	• Y isInterfaceOf Service.
1242 1243	• The interactionAspect datatype property on <i>ServiceContract</i> describes that <i>X</i> must be used before <i>Y</i> may be used.
1244	7.16.2 Car Wash Example
1245	See Clause 11.2 for the complete ServiceInterface aspect of the car wash example.
1246	
1247	8 Composition and its Subclasses
1248	8.1 Introduction
1249 1250 1251 1252 1253 1254	The notion of Composition is a core concept of SOA. Services can be composed of other services. Processes are composed of human actors, tasks, and possibly services. Experienced SOA practitioners intuitively apply composition as an integral part of architecting, designing, and realizing SOA systems; in fact, any well structured SOA environment is intrinsically composite in the way services and processes support business capabilities. What differs from practitioner to practitioner is the exact nature of the composition – the composition pattern being applied.
1255	This clause describes the following classes of the ontology:
1256	Composition (as a subclass of System)
1257	ServiceComposition (as a subclass of Composition)
1258	Process (as a subclass of Composition)
1259	In addition, it defines the following datatype property:
1260	compositionPattern
1261	8.2 The Composition Class
1262	<pre><owl:class rdf:about="#Composition"></owl:class></pre>
1263	<rdfs:subclassof></rdfs:subclassof>
1264	<pre><owl:class rdf:id="System"></owl:class></pre>
1265	
1266	<pre><owl:disjointwith></owl:disjointwith></pre>

A *composition* is the result of assembling a collection of things for a particular purpose. Note in particular that we have purposefully distinguished between the act of composing and the resulting composition as a thing, and that it is in the latter sense we are using the concept of *composition* here. The concept of *composition* is captured by the **Composition** OWL class, which is illustrated <u>below (in Figure 11)</u>.

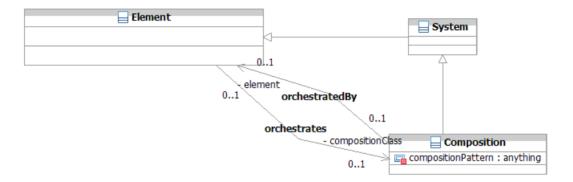


Figure 11: The Composition Class

Being intrinsically (also) an organized collection of other, simpler things, the **Composition** class is a subclass of the **System** class. While a composition is always also a system, a system is not necessarily a composition in that it is not necessarily a result of anything – note here the difference between a system producing a result and the system itself being a result. A perhaps more tangible difference between a system and a composition is that the latter must have associated with it a specific composition pattern that renders the composition (as a whole) as the result when that composition pattern is applied to the elements used in the composition. One implication of this is that there is not a single member of a composition that represents (as an element) that composition as a whole; in other words, the composition itself is not one of the things being assembled. On the other hand, *composition* is in fact a recursive concept (as are all subclasses of **System**) – being a system, a composition is also an element which means that it can be used by a higher-level composition.

In the context of the SOA ontology we consider in detail only functional compositions that belong to the SOA domain. Note that a fully described instance of **Composition** must have by its nature a *uses* relationship to at least one instance of **Element.** (It need not necessarily have more than one as the composition pattern applied may be, for instance, simply a transformation.) Again (as for **System**) it is important to realize that a composition can use elements outside its own boundary.

Since **Composition** is a subclass of **Element**, all compositions have a boundary and are opaque to an external observer (black box view). The composition pattern in turn is the internal view point (white box view) of a composition. As an example, for the notion of a service composition this would correspond to

the difference between seeing the service composition as an element providing a (higher-level) service or 1296 seeing the service composition as a composite structure of (lower-level) services. 1297 1298 8.2.1 The compositionPattern Datatype Property 1299 <owl:DatatypeProperty rdf:about="#compositionPattern"> 1300 <rdfs:domain rdf:resource="#Composition"/> 1301 </owl:DatatypeProperty> 1302 1303 <owl:Class rdf:about="#Composition"> 1304 <rdfs:subClassOf> 1305 <owl:Restriction> 1306 <owl:maxCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre> 1307 >1</owl:maxCardinality> 1308 <owl:onProperty> 1309 <owl:DatatypeProperty rdf:ID="compositionPattern"/> 1310 </owl:onProperty> 1311 </owl:Restriction> 1312 </rdfs:subClassOf> 1313 <rdfs:subClassOf> 1314 <owl:Restriction> 1315 <owl:onProperty> 1316 <owl:DatatypeProperty rdf:ID="compositionPattern"/> 1317 </owl:onProperty> <owl:minCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre> 1318 1319 >1</owl:minCardinality> 1320 </owl:Restriction>

</rdfs:subClassOf>

1322	
1323	As discussed, above any composition must have associated with it a specific composition pattern, that
1324	pattern describing the way in which a collection of elements is assembled to a result. The concept of a
1325	composition pattern is captured by the compositionPattern datatype property. Note that even though
1326	certain kinds of composition patterns are of special interest within SOA (see below), the
1327	compositionPattern data type property may take any value as long as that value describes how to
1328	assemble the elements used by the composition with which it is associated.
1020	assemble the elements used by the composition with which it is associated.
1329	• The Orchestration Composition Pattern
1330	One kind of composition pattern that has special interest within SOA is an Orchestration. In an
1331	orchestration (a composition whose composition pattern is an orchestration), there is one particular
1332	element used by the composition that oversees and directs the other elements. Note that the element that
1333	directs an orchestration by definition is different than the orchestration (Composition instance) itself.
1334	Think of an orchestrated executable workflow as an example of an orchestration. The workflow
1335	construct itself is one of the elements being used in the composition, yet it is different from the
1336	composition itself – the composition itself is the result of applying (executing) the workflow on the
1337	processes, human actors, services, etc. that are orchestrated by the workflow construct.
1338	A non-IT example is the foreman of a road repair crew. If the foreman chooses to exert direct control
1339	over the tasks done by his crew, than the resulting composition becomes an orchestration (with the
1340	foreman as the director and provider of the composition pattern). Note that under other circumstances,
1341	with a different team composition model, a road repair crew can also act as a collaboration or a
1342	choreography. (See below for definitions of collaboration and choreography.)
1343	As the last example clearly shows, using an orchestration composition pattern is not a guarantee that
1344	"nothing can go wrong". That would, in fact, depend on the orchestration director's ability to handle
1345	exceptions.
1346	• The Choreography Composition Pattern
1347	Another kind of composition pattern that has special interest within SOA is a <i>Choreography</i> . In a
1348	choreography (a composition whose composition pattern is a choreography) the elements used by the
1349	composition interact in a non-directed fashion, yet with each autonomous member knowing and
1350	following a predefined pattern of behavior for the entire composition.
1351	Think of a process model as an example of a choreography. The process model does not direct the
1352	elements within it, yet does provide a predefined pattern of behavior that each such element is expected
1353	to conform to when "executing".
.000	to comorni to when checaming.
1354	• The Collaboration Composition Pattern
1355	A third kind of composition pattern that has special interest within SOA is a Collaboration. In a
1356	collaboration (a composition whose composition pattern is a collaboration) the elements used by the
1357	composition interact in a non-directed fashion, each according to their own plans and purposes without a
1358	predefined pattern of behavior. Each element simply knows what it has to do and does it independently,

```
1359
           initiating interaction with the other members of the composition as applicable on its own initiative. This
           means that there is no overall predefined "flow" of the collaboration, though there may be a run-time
1360
1361
           "observed flow of interactions".
           A good example of a collaboration is a work meeting. There is no script for how the meeting will unfold
1362
           and only after the meeting has concluded can we describe the sequence of interactions that actually
1363
           occurred
1364
       8.3 The orchestrates and orchestrated by Properties
1365
1366
           <owl:ObjectProperty rdf:about="#orchestratedBy">
1367
             <rdfs:domain rdf:resource="#Composition"/>
1368
             <rdfs:range rdf:resource="#Element"/>
1369
           </owl:ObjectProperty>
1370
1371
           <owl:ObjectProperty rdf:about="#orchestrates">
1372
             <owl:inverseOf>
1373
               <owl:ObjectProperty rdf:ID="orchestratedBy"/>
1374
             </owl:inverseOf>
1375
           </owl:ObjectProperty>
1376
1377
           <owl:Class rdf:about="#Composition">
1378
             <rdfs:subClassOf>
1379
               <owl:Restriction>
1380
                 <owl:maxCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
1381
                 >1</owl:maxCardinality>
1382
                 <owl:onProperty>
1383
                   <owl:ObjectProperty rdf:ID="orchestratedBy"/>
1384
                 </owl:onProperty>
1385
               </owl:Restriction>
1386
             </rdfs:subClassOf>
```

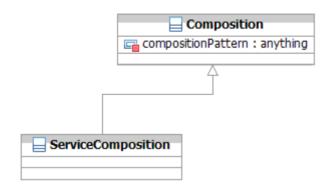
```
1387
            <rdfs:subClassOf>
1388
             <owl:Restriction>
1389
                <owl:minCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
1390
               >0</owl:minCardinality>
1391
               <owl:onProperty>
1392
                 <owl:ObjectProperty rdf:ID="orchestratedBy"/>
1393
                </owl:onProperty>
1394
              </owl:Restriction>
1395
            </rdfs:subClassOf>
1396
          </owl:Class>
1397
1398
          <owl:Class rdf:about="#Element">
1399
           <rdfs:subClassOf>
1400
             <owl:Restriction>
1401
               <owl:minCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
1402
               >0</owl:minCardinality>
1403
               <owl:onProperty>
1404
                 <owl:ObjectProperty rdf:ID="orchestrates"/>
1405
               </owl:onProperty>
1406
              </owl:Restriction>
1407
            </rdfs:subClassOf>
1408
            <rdfs:subClassOf>
1409
             <owl:Restriction>
1410
               <owl:maxCardinality rdf:datatype="http://www.w3.org/2001/XMLSchema#int"</pre>
1411
               >1</owl:maxCardinality>
1412
                <owl:onProperty>
```

```
1413
                      <owl:ObjectProperty rdf:about="#orchestrates"/>
1414
                   </owl:onProperty>
1415
                 </owl:Restriction>
1416
               </rdfs:subClassOf>
1417
            </owl:Class>
1418
            As defined above, an orchestration has one particular element that oversees and directs the other
1419
            elements used by the composition. This type of relationship is important enough that we have chosen to
            capture the abstract notion in the orchestrates property and its inverse orchestratedBy.
1420
1421
            In one direction, a composition has at most one element that orchestrates it, and the cardinality can only
            be 1 if in fact the composition pattern of that composition is an orchestration. In the other direction, an
1422
1423
            element can orchestrate at most one composition which then must have an orchestration as its
1424
            composition pattern.
1425
            Note that in practical applications of the ontology, even though Service is a subclass of Element, a
1426
            service (as a purely logical representation) is not expected to orchestrate a composition.
```

8.4 The ServiceComposition Class

```
1428
          <owl:Class rdf:ID="ServiceComposition">
1429
            <rdfs:subClassOf>
1430
              <owl:Class rdf:ID="Composition"/>
1431
            </rdfs:subClassOf>
1432
            <owl:disiointWith>
              <owl:Class rdf:ID="ServiceContract"/>
1433
1434
            </owl:disjointWith>
1435
            <owl:disjointWith>
1436
              <owl:Class rdf:ID="ServiceInterface"/>
1437
            </owl:disjointWith>
1438
          </owl:Class>
```

A key SOA concept is the notion of *service composition*, the result of assembling a collection of services in order to perform a new higher-level service. The concept of *service composition* is captured by the **ServiceComposition** OWL class, which is illustrated below (in Figure 12).



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Figure 12: The ServiceComposition Class

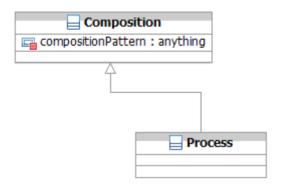
As a *service composition* is the result of assembling a collection of services, **ServiceComposition** is naturally a subclass of **Composition**.

A service composition may, and typically will, add logic (or even "code") via the composition pattern. Note that a service composition is *not* the new higher-level service itself (due to the **System** and **Service** classes being disjoint); rather it performs (as an element) that higher-level service.

8.5 The Process Class

```
1450
          <owl:Class rdf:ID="Process">
1451
            <rdfs:subClassOf>
1452
              <owl:Class rdf:ID="Composition"/>
1453
            </rdfs:subClassOf>
1454
            <owl:disjointWith>
1455
              <owl:Class rdf:ID="ServiceContract"/>
1456
            </owl:disjointWith>
1457
            <owl:disjointWith>
1458
              <owl:Class rdf:ID="ServiceInterface"/>
1459
            </owl:disjointWith>
1460
          </owl:Class>
```

Another key SOA concept is the notion of *process*. A *process* is a composition whose elements are composed into a sequence or flow of activities and interactions with the objective of carrying out certain work. This definition is consistent with, for instance, the Business Process Modeling Notation (BPMN) 2.0 definition of a process. The concept of *process* is captured by the **Process** OWL class, which is illustrated below (in Figure 13).



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1467 Figure 13: The Process Class

Elements in process compositions can be things like human actors, tasks, services, other processes, etc. A process always adds logic via the composition pattern; the result is more than the parts. According to their collaboration pattern, processes can be:

- **Orchestrated**: When a process is orchestrated in a Business Process Management System, then the resulting IT artifact is in fact an orchestration; i.e., it has an orchestration collaboration pattern. This type of process is often called a "Process Orchestration".
- 1474 **Choreographed**: For example, a process model representing a defined pattern of behavior. This type of process is often called a "Process Choreography".
 - **Collaborative**: No (pre)defined pattern of behavior (model); the process represents observed (executed) behavior.

8.6 Service Composition and Process Examples

8.6.1 Simple Service Composition Example

- Using a service composition example, services A and B are instances of Service and the composition of A
 and B is an instance of ServiceComposition (that uses A and B):
- 1482 A and B are instances of **Service**.
- 1483 X is an instance of **ServiceComposition**.
- 1484 X uses both A and B (composes them according to its service composition pattern).
- Note that there are various ways in which the service composition pattern can compose A and B, all of which are relevant in one situation or another. For example, interfaces of X may or may not include some
- subset of the interfaces of A and B. Furthermore, the interfaces of A and B may or may not also be
- 1488 (directly) invocable without going through X that is, a matter of the service contracts and/or access

policies apply to the A and B. Finally, X may also use other elements that are not services at all (examples are composition code, adaptors, etc.).
8.6.2 Process Example
Using a process example, tasks T1 and T2 are instances of Task , roles R1 and R2 are instances of Element , and the composition of T1, T2, R1, and R2 is an instance of Process (that uses T1, T2, R1, and R2):
T1 and T2 are instances of Task .
R1 and R2 are instances of Element .
Y is an instance of Process .
Y uses all of T1, T2, R1, and R2 (composes them according to its process composition pattern).
8.6.3 Process and Service Composition Example
Elaborating on the process example above, if T1 is done using service S then:
S is an instance of Service .
T1 uses S .
Note that depending on the particular design approach chosen (and the resulting composition pattern), Y may or may not use S directly. This depends on whether Y carries the binding between T1 and S or whether that binding is encapsulated in T1.
8.6.4 Car Wash Example
See Clause 11.4 for the Process aspect of the car wash example.
9 Policy
9.1 Introduction
Policies, the human actors defining them, and the things that they apply to are important aspects of any system, certainly also SOA systems with their many different interacting elements. Policies can apply to any element in a system. The concept of <i>Policy</i> is captured by the Policy class and its relationships to the HumanActor and Thing classes.
This clause describes the following classes of the ontology:

```
1515
            Policy
1516
           In addition, it defines the following properties:
1517
            appliesTo and isSubjectTo
            setsPolicy and isSetBy
1518
       9.2 The Policy Class
1519
1520
           <owl:Class rdf:about="#Policy">
1521
              <owl:disjointWith>
1522
                <owl:Class rdf:ID="InformationType"/>
1523
             </owl:disjointWith>
1524
              <owl:disjointWith>
1525
                <owl:Class rdf:ID="ServiceInterface"/>
1526
             </owl:disjointWith>
1527
             <owl:disjointWith>
1528
                <owl:Class rdf:ID="Element"/>
1529
             </owl:disjointWith>
1530
             <owl:disjointWith>
1531
                <owl:Class rdf:ID="Effect"/>
1532
             </owl:disjointWith>
1533
             <owl:disjointWith>
1534
                <owl:Class rdf:ID="Event"/>
1535
             </owl:disjointWith>
1536
             <owl:disjointWith>
1537
                <owl:Class rdf:ID="ServiceContract"/>
1538
              </owl:disjointWith>
1539
           </owl:Class>
1540
           A policy is a statement of direction that a human actor may intend to follow or may intend that another
           human actor should follow. Knowing the policies that apply to something makes it easier and more
1541
           transparent to interact with that something. The concept of policy is captured by the Policy OWL class,
1542
           which is illustrated below (in Figure 14).
1543
```

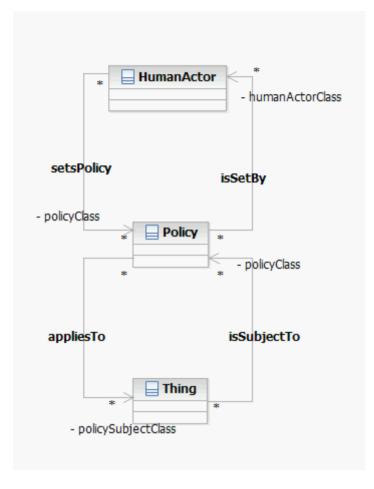


Figure 14: The Policy Class

1546 Policy as a concept is generic and has relevance outside the domain of SOA. For the purposes of this
 1547 SOA ontology it has not been necessary or relevant to restrict the generic nature of the Policy class itself.
 1548 The relationships between Policy and HumanActor are of course bound by the SOA-specific
 1549 restrictions that have been applied on the definition of HumanActor.

From a design perspective policies may have more granular parts or may be expressed and made operational through specific rules. We have chosen to stay at the concept level and not include such design aspects in the ontology.

Policy is distinct from all other concepts in this ontology, hence the **Policy** class is defined as disjoint with all other defined classes. In particular, **Policy** is disjoint with **ServiceContract**. While policies may apply to service contracts – such as security policies on who may change a given service contract – or conversely be referred to by service contracts as part of the terms, conditions, and interaction rules that interacting participants must agree to, service contracts are themselves not policies as they do not describe an intended course of action.

9.2.1 The appliesTo and isSubjectTo Properties

```
1560
          <owl:ObjectProperty rdf:ID="appliesTo">
1561
            <rdfs:domain rdf:resource="#Policy"/>
1562
          </owl:ObjectProperty>
1563
1564
          <owl:ObjectProperty rdf:ID="isSubjectTo">
1565
            <owl:inverseOf>
1566
              <owl:ObjectProperty rdf:ID="appliesTo"/>
1567
            </owl:inverseOf>
1568
          </owl:ObjectProperty>
```

Policies can apply to things other than elements; in fact, policies can apply to anything at all, including other policies. For instance, a security policy might specify which actors have the authority to change some other policy. The **appliesTo** property, and its inverse **isSubjectTo**, capture the abstract notion that a policy can apply to any instance of **Thing**. Note specifically that **Element** is a subclass of **Thing**, hence policies by inference can apply to any instance of **Element**.

In one direction, a policy can apply to zero (in the case where a policy has been formulated but not yet explicitly applied to anything), one, or more instances of **Thing**. Note that having a policy apply to multiple things does not mean that these things are the same, only that they are (partly) regulated by the same intent. In the other direction, an instance of **Thing** may be subject to zero, one, or more policies. Note that where multiple policies apply to the same instance of **Thing** this is often because the multiple policies are from multiple different policy domains (such as security and governance).

The SOA ontology does not attempt to enumerate different policy domains; such policy-focused details are deemed more appropriate for a policy ontology. It is worth pointing out that a particular policy ontology may also restrict (if desired) the kinds of things that policies can apply to.

9.3 The setsPolicy and isSetBy Properties

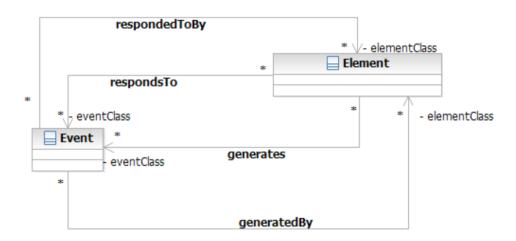
```
1584
          <owl:ObjectProperty rdf:about="#setsPolicy">
1585
            <rdfs:domain rdf:resource="#HumanActor"/>
1586
            <rdfs:range rdf:resource="#Policy"/>
1587
          </owl:ObjectProperty>
1588
1589
          <owl:ObjectProperty rdf:ID="isSetBy">
1590
            <owl:inverseOf>
1591
              <owl:ObjectProperty rdf:ID="setsPolicy"/>
1592
            </owl:inverseOf>
1593
          </owl:ObjectProperty>
```

The **setsPolicy** property, and its inverse **isSetBy**, capture the abstract notion that a policy can be set by one or more human actors.

In one direction, a policy can be set by zero (in the case where actors setting the policy by choice are not defined or captured), one, or more human actors. Note specifically that some policies are set by multiple human actors in conjunction, meaning that all these human actors need to discuss and agree on the policy before it can take effect. A real-world example would be two parents in conjunction setting policies for

1600 1601	acceptable child behavior. In the other direction, a human actor may potentially set (or be part of setting) multiple policies.
1602 1603 1604 1605	The SOA ontology purposefully separates the setting of the policy itself and the application of the policy to one or more instances of Thing . In some cases these two acts may be inseparably bound together, yet in other cases they are definitely not. One such example is an overall compliance policy that is formulated at the corporate level yet applied by the compliance officer in each line of business.
1606 1607 1608 1609	Also, while a particular case of interest for this ontology is that where the provider of a service has a policy for the service, a policy for a service is not necessarily owned by the provider. For example, government food and hygiene regulations (a policy that is law) cover restaurant services independently of anything desired or defined by the restaurant owner.
1610	9.4 Examples
1611	9.4.1 Car Wash Example
1612	See The Washing Policies (Clause 11.5) for the Policy aspect of the car wash example.
1613	10 Event
1614	10.1 Introduction
1615 1616 1617	Events and the elements that generate or respond to them are important aspects of any event emitting system. SOA systems are in fact often event emitting, hence <i>event</i> is defined as a concept in the SOA ontology.
1618	This clause describes the following classes of the ontology:
1619	Event
1620	In addition, it defines the following properties:
1621	generates and generatedBy
1622	respondsTo and respondedToBy
1623	10.2 The Event Class
1624 1625 1626 1627 1628 1629 1630	<pre><owl:class rdf:about="#Event"> <owl:disjointwith> <owl:class rdf:id="Policy"></owl:class> </owl:disjointwith> <owl:disjointwith> <owl:class rdf:id="ServiceContract"></owl:class> </owl:disjointwith></owl:class></pre>

An *event* is something that happens, to which an element may choose to respond. Events can be responded to by any element. Similarly, events may be generated (emitted) by any element. Knowing the events generated or responded to by an element makes it easier and more transparent to interact with that element. Note that some events may occur whether generated or responded to by an element or not. The concept of *event* captured by the **Event** OWL class, which is illustrated below (in Figure 15).



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1641 Figure 15: The Event Class

Event as a concept is generic and has relevance to the domain of SOA as well as many other domains.

For the purposes of this ontology, *Event* is used in its generic sense.

From a design perspective events may have more granular parts or may be expressed and made operational through specific syntax or semantics. We have chosen to stay at the concept level and not include such design aspects in the ontology.

10.3 The generates and generated By Properties

```
1648
          <owl:ObjectProperty rdf:ID="generates">
1649
            <rdfs:domain rdf:resource="#Element"/>
1650
            <rdfs:range rdf:resource="#Event"/>
1651
          </owl:ObjectProperty>
1652
1653
          <owl:ObjectProperty rdf:ID="generatedBy">
1654
            <owl:inverseOf>
1655
              <owl:ObjectProperty rdf:ID="generates"/>
1656
            </owl:inverseOf>
1657
          </owl:ObjectProperty>
```

1658 Events can, but need not necessarily, be generated by elements. The **generates** property, and its inverse **generatedBv.** capture the abstract notion that an element generates an event. 1659 Note that the same event may be generated by many different elements. Similarly, the same element may 1660 generate many different events. 1661 10.4 The responds To and responded To By Properties 1662 1663 <owl:ObjectProperty rdf:ID="respondsTo"> <rdfs:domain rdf:resource="#Element"/> 1664 1665 <rdfs:range rdf:resource="#Event"/> 1666 </owl:ObjectProperty> 1667 1668 <owl:ObjectProperty rdf:ID="respondedToBy"> 1669 <owl:inverseOf> 1670 <owl:ObjectProperty rdf:ID="respondsTo"/> 1671 </owl:inverseOf> 1672 </owl:ObjectProperty> 1673 Events can, but need not necessarily, be responded to by elements. The **respondsTo** property, and its 1674 inverse **respondedToBy**, capture the abstract notion that an element responds to an event. 1675 Note that the same event may be responded to by many different elements. Similarly, the same element may respond to many different events. 1676 1677 11 Complete Car Wash Example 1678 This clause contains the complete car wash example that has been used in parts throughout the definitional clauses of the ontology. 1679 11.1 The Organizational Aspect 1680 Joe the owner chooses to organize his business into two organizational units: Administration and CarWash: 1681 1682 CarWashBusiness is an instance of both **HumanActor** and **System**. 1683 Administration is an instance of **HumanActor** (organizational unit). 1684 CarWash is an instance of **HumanActor** (organizational unit). CarWashBusiness uses (has organizational units) Administration and CarWash. 1685 1686 AdministrativeSystem is an instance of **System**.

CarWashSystem is an instance of **System**.

Administration represents Administrative System.

1687

1689 *CarWash* represents *CarWashSystem*.

1690

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1692

1693

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1695

1696

1697

1698

1699 1700 And using well-defined roles within each organization:

Owner (role) is an instance of **Element** and is used by AdministrativeSystem.

Joe is an instance of **HumanActor** and is represented by (has role) Owner.

Secretary (role) is an instance of **Element** and is used by AdministrativeSystem.

Mary is an instance of **HumanActor** and is represented by (has role) Secretary.

PreWashGuy (role) is an instance of **Element** and is used by CarWashSystem.

John is an instance of **HumanActor** and is represented by (has role) *PreWashGuy*.

WashManager (role) is an instance of **Element** and is used by CarWashSystem.

WashOperator (role) is an instance of **Element** and is used by CarWashSystem.

Jack is an instance of **HumanActor** and is represented by (has roles) both WashManager and WashOperator.

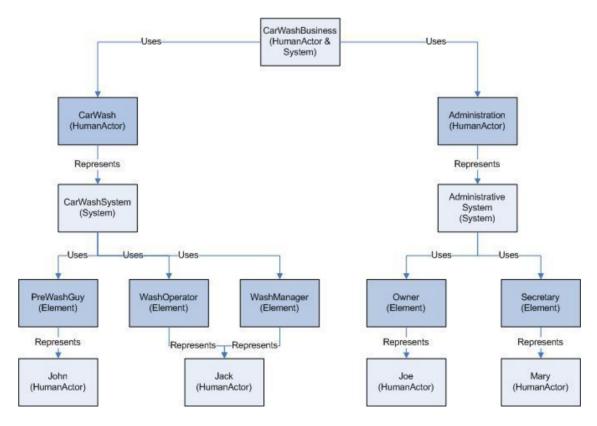


Figure 16: Car Wash Example – The Organizational Aspect

1703	11.2 The Washing Services
1704	Joe offers two different services to his customers: a basic wash and a gold wash:
1705	GoldWash is an instance of Service.
1706	BasicWash is an instance of Service.
1707	CarWash performs both BasicWash and GoldWash.
1708 1709	WashManager represents both BasicWash and GoldWash (i.e., it is the interaction point where customers can order services as well as pay for them).
1710	In return for payment, Joe's BasicWash service cleans the car of customer Judy:
1711	Judy is an instance of HumanActor (the customer).
1712	BasicWashContract is an instance of ServiceContract.
1713	BasicWash has contract BasicWashContract.
1714	CleanCar is an instance of Effect.
1715	BasicWashContract specifies CleanCar as its effect.
1716 1717 1718 1719 1720 1721	BasicWashContract involves parties CarWashBusiness and Judy and specifies that Judy (as the legal consumer) pays CarWashBusiness (as the legal provider) \$10 for the one consumption of BasicWash with the effect of (one) CleanCar. Note that BasicWash is actually performed by CarWash and not by the legal provider CarWashBusiness – in this particular example CarWash happens to be a member of CarWashBusiness but such need not always be the case, CarWash could have been some third party provider.
1722	Judy uses WashManager (in order to invoke the BasicWash service).
1723 1724 1725	Note that in this example Judy does not interact with the (abstract) <i>BasicWash</i> service directly, rather she interacts with the <i>WashManager</i> that represents the service. This is due to Joe deciding that in his car wash customers are not to interact with the washing machinery directly.

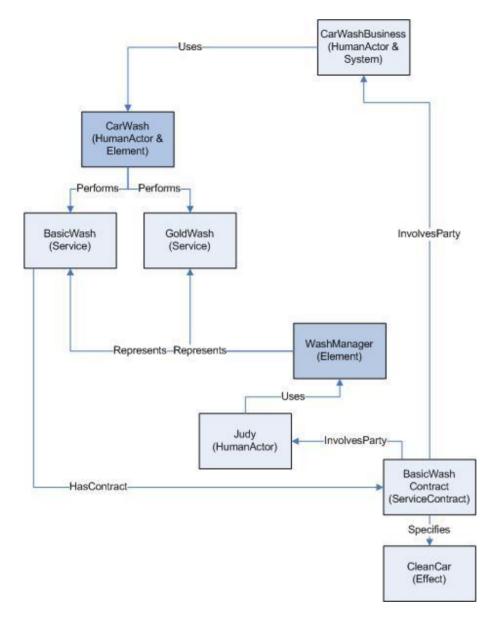


Figure 17: Car Wash Example – The Washing Services

11.3 Interfaces to the Washing Services

The way to interact with the car wash services is simple for the customer; he or she simply gives money to the wash manager and asks to have to the car washed using one of the two available wash services. Due to the fact that Joe has decided to interpose the wash manager between the customer and the washing machine, the customer actually never interacts with the wash services themselves. We could have chosen to formally define a proxy service provided by the wash manager but have omitted that level of formality in this real-world example.

735 736	The wash manager in turn does interact with the wash services through their interfaces defined as follows:
737	WashingMachineInterface is an instance of ServiceInterface.
738	TypeOfWash is an instance of InformationType.
739	WashingMachineInterface has input TypeOfWash.
740	Basic Wash has interface Washing Machine Interface.
741	GoldWash has interface WashingMachineInterface.
742 743 744	Note how both washing services in fact have the same service interface. Even though Joe has chosen to offer basic wash and gold wash as two different services, both are in effect done by the same washing machine (one simply has to choose the type of wash when initializing the washing machine).
745	11.4 The Washing Processes
746	An important part of the car wash system is the car washing process itself:
747	AutomatedCarWashProcess is an instance of both Process and Orchestration.
748	Wash is an instance of Task and is used by AutomatedCarWashProcess.
749	Dry is an instance of Task and is used by AutomatedCarWashProcess.
750 751	AutomatedCarWash is an instance of Element (the automated washing machine) and represents AutomatedCarWashProcess (encapsulates the process) as well as directs AutomatedCarWashProcess
752 753	CarWashProcess is an instance of Process and is used by (part of) CarWashSystem (no need to create an explicit opaque building block).
754	AutomatedCarWash is used by CarWashProcess (automated activity in the process).
755	WashWindows is an instance of Task and is done by John.
756 757	<i>PreWash</i> is an instance of Element , represents <i>WashWindows</i> , and is used by <i>CarWashProcess</i> (logical activity in the process).
758	PrewashGuy is a member of CarWashProcess (role in the process).
759	PushWashButton is an instance of Task and is done by Jack.
760 761	InitiateAutomatedWash is an instance of Element , represents PushWashButton, and is used by CarWashProcess (logical activity in the process).
762	WashOperator is a member of CarWashProcess (role in the process). ISO/IEC WD 1 18384 Part 3 SOA Ontology 61

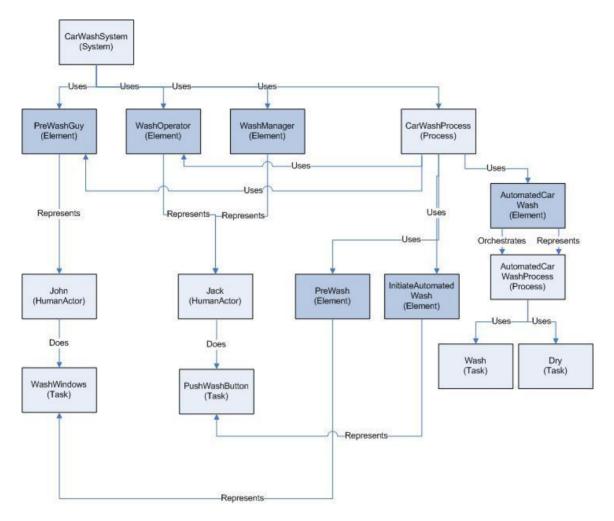


Figure 18: Car Wash Example – The Washing Processes

11.5 The Washing Policies

- Joe sets a payment up-front policy for the washing services:
- *PaymentUpFront* is an instance of both **Policy**.
- *PaymentUpFront* is set by *Joe*.
- 1769 PaymentUpFront applies to both GoldWash and BasicWash.

Note how the *PaymentUpFront* policy enhances the service contract *BasicWashContract*. While *BasicWashContract* only specifies that *Judy* has to pay \$10 for one consumption of the *BasicWash* service, the *PaymentUpFront* policy makes it specific that payment has to happen up-front. One of the advantages of separating policy from service contract is that the payment policy can be changed independently of the service contract. For instance, at some later point in time Joe may decide that recurring customers need not

1775 1776	pay up-front, and can institute this change in policy without changing anything else related to <i>CarWashBusiness</i> .
1777	
1778	12 Internet Purchase Example
1779	Jill is purchasing a new TV on the Internet through an online sales site:
1780	Jill is an instance of Actor (person).
1781	PurchaseTV is an instance of Task .
1782	Jill does PurchaseTV.
1783	BuyTVOnline is an instance of Service.
1784	PurchaseTV uses BuyTVOnline.
1785	OnlineTVSales is the company that is selling TVs:
1786	OnlineTVSales is an instance of Actor (organization).
1787 1788	BuyTVOnlineContract is an instance of ServiceContract (and describes how to interact with BuyTVOnline as well as the legal contract between TV buyer and OnlineTVSales).
1789	BuyTVOnline has contract BuyTVOnlineContract.
1790	OnlineTVSales is party to BuyTVOnlineContract.
1791	Jill is party to BuyTVOnlineContract.
1792	The online site is implemented using web site software:
1793	OnlineSalesComponent is an instance of Element .
1794	OnlineSalesComponent performs OnlineTVSales.
1795	SelectWhatToBuyComponent is an instance of Element .
1796	SelectWhatToBuyService is an instance of Service.
1797	SelectWhatToBuyComponent performs SelectWhatToBuyService.
1798	PayComponent is an instance of Element .

1799	PayService is an instance of Service.
1800	PayComponent performs PayService.
1801	OnlineSalesComponent is also an instance of ServiceComposition.
1802	OnlineSalesComponent uses SelectWhatToBuyService and PayService.
1803	To complete the purchase transaction, Jill needs to pay for the purchase and then the TV will be delivered:
1804	PayForTV is an instance of Task .
1805	Jill does PayForTV.
1806	PayForTV uses BuyTVOnline.
1807	DeliverTV is an instance of Task .
1808	OnlineTVSales does DeliverTV.
1809	OnlineTVSalesProcess is an instance of Process.
1810	OnlineTVSalesProcess uses Jill, OnlineTVSales, PurchaseTV, PayForTV, and DeliverTV.
1811	
1812	
1813	

Annex A The OWL Definition of the SOA Ontology

1815 The OWL ontology is available online at:

1816 Editors note: need to find out from JTC1 how / where to post the Ontology RDF file

```
14 The Ontology is reproduced below.
1817
1818
          15 <?xml version="1.0"?>
1819
          16
1820
          17 <rdf:RDF
1821
                 xmlns:rdf="http://www.w3.org/1999/02/22-rdf-syntax-ns#"
          18
1822
          19
                 xmlns:xsd="http://www.w3.org/2001/XMLSchema#"
1823
          20
                 xmlns:rdfs="http://www.w3.org/2000/01/rdf-schema#"
1824
          21
                 xmlns:owl="http://www.w3.org/2002/07/owl#"
1825
          22
                 xmlns="http://www.semanticweb.org/ontologies/2010/01/core-soa.owl#"
1826
          23
                 xml:base="http://www.semanticweb.org/ontologies/2010/01/core-soa.owl"
1827
          24 >
1828
          25
1829
          26
               <!-- ontology -->
1830
          27
1831
          28
               <owl:Ontology rdf:about=""/>
1832
          29
1833
          30
               <!-- classes -->
1834
          31
1835
          32
               <owl:Class rdf:ID="Event">
1836
               <owl:disjointWith>
          33
1837
          34
                   <owl:Class rdf:ID="Policy"/>
                 </owl:disjointWith>
1838
          35
1839
          36
                 <owl:disjointWith>
1840
          37
                   <owl:Class rdf:ID="ServiceContract"/>
1841
          38
                 </owl:disjointWith>
1842
          39
                 <owl:disjointWith>
1843
          40
                   <owl:Class rdf:ID="ServiceInterface"/>
1844
          41
                 </owl:disjointWith>
1845
          42
               </owl:Class>
1846
          43
1847
          44
               <owl:Class rdf:ID="InformationType">
1848
          45
                <owl:disjointWith>
1849
          46
                   <owl:Class rdf:about="#Policy"/>
1850
          47
                 </owl:disjointWith>
1851
          48
                 <owl:disjointWith>
1852
          49
                   <owl:Class rdf:ID="ServiceContract"/>
1853
          50
                 </owl:disjointWith>
1854
          51
                 <owl:disjointWith>
1855
          52
                   <owl:Class rdf:ID="Effect"/>
1856
          53
                 </owl:disjointWith>
1857
          54
               </owl:Class>
1858
          55
```

```
1859
          56
               <owl:Class rdf:ID="ServiceComposition">
1860
          57
                 <rdfs:subClassOf>
1861
          58
                    <owl:Class rdf:ID="Composition"/>
1862
          59
                 </rdfs:subClassOf>
1863
          60
                 <owl:disjointWith>
1864
                    <owl:Class rdf:ID="ServiceContract"/>
          61
1865
          62
                 </owl:disjointWith>
1866
          63
                  <owl:disjointWith>
1867
          64
                    <owl:Class rdf:ID="ServiceInterface"/>
1868
          65
                  </owl:disjointWith>
1869
          66
               </owl:Class>
1870
          67
1871
          68
               <owl:Class rdf:ID="Effect">
1872
          69
                 <owl:disjointWith>
1873
          70
                    <owl:Class rdf:about="#Policy"/>
1874
          71
                 </owl:disjointWith>
1875
          72
                 <owl:disjointWith>
1876
          73
                    <owl:Class rdf:ID="ServiceInterface"/>
1877
          74
                 </owl:disjointWith>
1878
          7.5
                  <owl:disjointWith>
1879
          76
                    <owl:Class rdf:ID="InformationType"/>
1880
          77
                 </owl:disjointWith>
1881
          78
                 <rdfs:subClassOf>
1882
          79
                   <owl:Restriction>
1883
          80
                      <owl:minCardinality</pre>
1884
          rdf:datatype="http://www.w3.org/2001/XMLSchema#int"
1885
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          209
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2023
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2169
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```

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2231
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2235
2236
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2238
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              423
2245
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2247
            426
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               <owl:inverseOf>
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```

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              </owl:ObjectProperty>
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               </owl:inverseOf>
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2364
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2366
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                </owl:inverseOf>
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                </owl:inverseOf>
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2428
```

2430

Annex B (Informative) Class Relationship Matrix

This appendix contains a class relationship matrix that illustrates the class-to-class relationships intrinsic in the OWL definitions of the SOA ontology. The matrix is deterministically derived from the ontology OWL definitions. Each row X and each column Y corresponds to an OWL class. A relation appears in cell (X,Y) if and only if class X is part of the domain and class Y is part of the range of the corresponding OWL property. Note that this means that datatype properties (which do not have a range) are not included in the class relationship matrix.

As outlined in the body of the document there are four relationships in the table (plus their inverses and subclassed derivatives) that are technically allowed according to the OWL definitions, but would not be expected to occur in a practical application of the ontology. Specifically, services are not expected to perform services, services are not expected to use elements (directly), services are not expected to represent elements, and services are not expected to orchestrate compositions – all due to the **Service** class being defined as a logical representation of a repeatable activity; see The performs and performedBy Properties (Clause 7.3), The uses and usedBy Properties Applied to Service (Clause 7.4.1), The represents and representedBy Properties Applied to Service (Clause 7.4.2) and The orchestrates and orchestratedBy Properties (Clause 8.3) for details.

	Element	System	Service	Human Actor	Task	Composition	Process	Service Composition	Service Contract	Effect	Service Interface	Information Type	Event	Policy	Thing
Element	uses usedBy represents representedBy	uses usedBy represents representedBy	uses usedBy represents representedBy performs	uses usedBy represents representedBy	uses usedBy represents representedBy	uses usedBy represents representedBy orchestrates	uses usedBy represents representedBy orchestrates	uses usedBy represents representedBy orchestrates					generates respondsTo	isSubjectTo	
System	uses usedBy represents representedBy	uses usedBy represents representedBy	uses usedBy represents representedBy performs	uses usedBy represents representedBy	uses usedBy represents representedBy	uses usedBy represents representedBy orchestrates	uses usedBy represents representedBy orchestrates	uses usedBy represents representedBy orchestrates					generates respondsTo	isSubjectTo	
Service	Uses usedBy represents representedBy performedBy	uses usedBy represents representedBy performedBy	uses usedBy represents representedBy performs performedBy	Uses usedBy represents representedBy performedBy	uses usedBy represents representedBy performedBy	uses usedBy represents representedBy performedBy orchestrates	uses usedBy represents representedBy performedBy orchestrates	uses usedBy represents representedBy performedBy orchestrates	hasContract		hasInterface		generates respondsTo	isSubjectTo	
Human Actor	Uses usedBy represents representedBy	uses usedBy represents representedBy	uses usedBy represents representedBy performs	uses usedBy represents representedBy	uses usedBy represents representedBy does	uses usedBy represents representedBy orchestrates	uses usedBy represents representedBy orchestrates	uses usedBy represents representedBy orchestrates	isPartyTo				generates respondsTo	setsPolicy isSubjectTo	
Task	Uses usedBy represents representedBy	uses usedBy represents representedBy	uses usedBy represents representedBy performs	uses usedBy represents representedBy doneBy	uses usedBy represents representedBy	uses usedBy represents representedBy orchestrates	uses usedBy represents representedBy orchestrates	uses usedBy represents representedBy orchestrates					generates respondsTo	isSubjectTo	
Composition	uses usedBy represents representedBy orchestratedBy	uses usedBy represents representedBy orchestratedBy	uses usedBy represents representedBy performs orchestratedBy	uses usedBy represents representedBy orchestratedBy	uses usedBy represents representedBy orchestratedBy	uses usedBy represents representedBy orchestrates orchestratedBy	uses usedBy represents representedBy orchestrates orchestratedBy	uses usedBy represents representedBy orchestrates orchestratedBy					generates respondsTo	isSubjectTo	
Process	uses usedBy represents representedBy orchestratedBy	uses usedBy represents representedBy orchestratedBy	uses usedBy represents representedBy performs orchestratedBy	uses usedBy represents representedBy orchestratedBy	uses usedBy represents representedBy orchestratedBy	uses usedBy represents representedBy orchestrates orchestratedBy	uses usedBy represents representedBy orchestrates orchestratedBy	uses usedBy represents representedBy orchestrates orchestratedBy					generates respondsTo	isSubjectTo	
Service Composition	uses usedBy represents representedBy orchestratedBy	uses usedBy represents representedBy orchestratedBy	uses usedBy represents representedBy performs orchestratedBy	uses usedBy represents representedBy orchestratedBy	uses usedBy represents representedBy orchestratedBy	uses usedBy represents representedBy orchestrates orchestratedBy	uses usedBy represents representedBy orchestrates orchestratedBy	uses usedBy represents representedBy orchestrates orchestratedBy					generates respondsTo	isSubjectTo	
Service Contract			isContractFot	involvesParty						specifies				isSubjectTo	
Effect									isSpecifiedBy					isSubjectTo	
Service Interface			isInterfaceOf									hasInput hasOutput		isSubjectTo	
Information Type											isInputAt isOutputAt			isSubjectTo	
Event	generatedBy respondedToBy	generatedBy respondedToBy	generatedBy respondedToBy	generatedBy respondedToBy	generatedBy respondedToBy	generatedBy respondedToBy	generatedBy respondedToBy	generatedBy respondedToBy						isSubjectTo	
Policy	appliesTo	appliesTo	appliesTo	isSetBy appliesTo	appliesTo	appliesTo	appliesTo	appliesTo	appliesTo	appliesTo	appliesTo	appliesTo	appliesTo	appliesTo isSubjectTo	appliesTo
Thing														isSubjectTo	

WORKING DRAFT ISO/IEC WD 18384-3

Annex C (Informative) Issues List

The following issues remain to be addressed:

Comment Ref	Comment summary	Action/Disposition	

:

Annex D (Informative) Bibliography

Editors Note: The bibliography needs reducing, ensure all references are actually used

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