ISO/IEC JTC 1/SC 40
IT Service Management and IT Governance
Secretariat: SA(Australia)

Document type:	Other document (Defined)
Title:	JTC 1/SC 40 Meeting June 2014 - expert contribution draft NP - OASIS TGF and ISO 38500
Status:	
Date of document:	2014-05-16
Source:	ISO/IEC JTC 1/SC 40 Secretariat
Expected action:	INFO
Email of secretary:	jenny.mance@standards.org.au
Committee URL:	http://isotc.iso.org/livelink/livelink/open/jtc1sc40

	Closing date for voting	Reference number (to be given by the Secretariat)
	Date of circulation	**ISO/IEC JTC 1/SC N**
	Secretariat	Proposer:

A proposal for a new work item within the scope of an existing committee shall be submitted to the secretariat of that committee with a copy to the ITTF and, in the case of a subcommittee, a copy to the JTC 1 secretariat. Proposals not within the scope of JTC 1 shall be submitted to the ITTF.

A new work item proposal may be made by a national body, the JTC 1 secretariat or JTC 1 subcommittee secretariat, another technical committee or subcommittee, a Category A organization in liaison with JTC 1, the technical management board or one of its advisory groups, or the Chief Executive Officer.

The proposal will be circulated to the P-members of JTC 1 or JTC 1 subcommittee for voting, and to the O-members for information.

IMPORTANT NOTE: Proposals without adequate justification risk rejection or referral back to the originator. Guidelines for proposing and justifying a new work item are given overleaf.

Proposal (to be completed by the proposer)

Title of the proposed deliverable *(in the case of an amendment, revision or a new part of an existing publication, show the reference number and current title)*

Information technology – Governance of IT – Mapping between ISO 38500 and OASIS Transformational Government Framework

Scope of the proposed deliverable
1. Identify those parts of the "Transformational Government Framework" (TGF) deliverables from OASIS that relate to governance of Information Technology;
2. Map those parts to the six principles and three task profiles covered in ISO 36500
3. Compare the TGF approach with the "Principles, Actions, and Outcomes" model of ISACA previously presented to WG8
4. Assess the value of the TGF "Pattern Language" structure for addressing the concern that ISO 36500 does not provide a clear link between principles and outcomes

Purpose and justification of the proposal *(attach a separate page as annex, if necessary)*

The former JTC 1/WG8 Draft Roadmap (N 0027) highlighted a common problem of IT Governance: that unlike other areas of many organisation's governance (finances, operations, marketing, etc.), governing bodies all too easily "leave IT to the geeks". This is as true in the public sector as in any private enterprise. The creation of JTC 1/SC40 is an opportunity to explore these issues further.

The "Transformational Government Framework" (TGF) is a project of OASIS. The TGF tackles the high level issues of IT governance is several areas. In one part in particular, and although referred in the document as a "Technology Management Framework" it is clearly about technology *governance*, it considers:

- Governance of Information Technology resources;
- So-called "Ecosystem Governance";
- Realisation and Governance of SOA-based ICT systems

Note: SOA is defined according to the "Reference Model for SOA" [3] as a "*paradigm for organizing and utilizing distributed capabilities that may be under the control of different ownership domains*". This is relevant as the TGF underlines the key importance of IT governance across ownership domains.

The preparation of a Technical report would provide valuable insights into the relationship between the work conducted by OASIS and by JTC 1 and will help in the further discussions regarding future work in scope for this working group.

Is this a Management Systems Standard (MSS)?
    Yes ☐   No x

Envisaged publication type *(indicate one of the following, if possible)*
☐ International Standard   ☐ Technical Specification   x Technical Report

Proposed development track   x 1 (24 months)   ☐ 2 (36 months - default)   ☐ 3 (48 months)
Target dates for availability   First CD ____September 2015   Publication _____

Known patented items *(see ISO/IEC Directives Part 1 for important guidance)*
    Yes ☐   No x   If "Yes", provide full information in an annex.

Are there any known accessibility requirements and/or dependencies?   Yes ☐   No x
If yes, please specify in a separate annex.

Are there any known requirements for cultural and linguistic adaptability?   Yes ☐   No x
If yes, please specify in a separate annex.

Meeting Information
Estimated number of meetings: _____ 2   Frequency of meetings: _____ 2 per year
Date and place of first meeting (if known): June 2014, Sydney, Australia

A listing of relevant documents
[1] Transformational Government Framework – Primer v1.0, http://docs.oasis-open.org/tgf/TGF-Primer/v1.0/TGF-Primer-v1.0.pdf
[2] Transformational Government Framework – Pattern Language – Core Patterns v1.0, http://docs.oasis-open.org/tgf/TGF-PL-Core/v1.0/TGF-PL-Core-v1.0.pdf
[1] and [2] are due to be superseded (May 2014) by a new version 2 combining both artifacts.
[3] Reference Model for Service-Oriented Architecture 1.0, http://docs.oasis-open.org/soa-rm/v1.0/soa-rm.pdf
[4] ISACA COBIT 5 Framework

A statement from the proposer as to how the proposed work may relate to or impact on existing work, especially existing ISO and IEC deliverables. The proposer should explain how the work differs from apparently similar work, or explain how duplication and conflict will be minimized. *(attach a separate page as annex, if necessary)*

The proposed work is intended to map existing ISO deliverables precisely in order to identify potential overlaps and ensure that any further work in the areas covered by the OASIS "TGF" is done in a way that complements work already completed or intended to be included in the working group's work programme

A simple and concise statement identifying and describing relevant affected stakeholder categories (including small and medium sized enterprises) and how they will each benefit from or be impacted by the proposed deliverable(s).

The Transformational Government Framework (TGF) is intended primarily to meet the needs of:
- Political and administrative leaders responsible for shaping public sector reform and e-Government strategies and policies (at national, state/regional and city/local levels);
- Senior executives in industry who wish to partner with and assist governments in the transformation of public services and to ensure that the technologies and services which the private sector provides can have optimum impact in terms of meeting public policy objectives;
- Service and technology solution providers to the public sector.
Secondary audiences for the Transformational Government Framework include:
- Leaders of international organisations working to improve public sector delivery, whether at a global level (e.g. World Bank, United Nations) or a regional one (e.g. European Commission, ASEAN, IADB);
- Professional bodies that support industry sectors by the development and maintenance of common practices, protocols, processes and standards to facilitate the production and operation of services and systems within the sector, where the sector needs to interact with government processes and systems;
- Academic and other researchers working in the field of public sector reform;
- Civil society institutions engaged in debate on how technology can better enable service transformation.

All of these stakeholder categories will benefit from the mapping of existing work proposed in the deliverable and assist them in determining which combination of standards is appropriate for their work in this domain.

Liaisons *(A listing of relevant external international organizations or internal parties (other ISO and/or IEC committees) to be engaged as liaisons in the development of the deliverable(s).)*

- OASIS
- JTC 1/SC38 and SG1-Smart Cities

A listing of relevant countries which are not already P-members of the committee.

Preparatory work *(at a minimum an outline should be included with the proposal; ensure that all copyright issues are identified)*
☐ A draft is attached   ☐ An existing document is attached   X An outline is attached.
                    to serve as an initial basis   It is possible to supply a draft by _ Late June 2014 __
The proposer or the proposer's organization is prepared to undertake the preparatory work required   Yes x   No ☐

Proposed Project Editor *(include contact information)*	Name of the Proposer *(include contact information)*
	Peter F Brown peter@peterfbrown.com

Supplementary information relating to the proposal *(Comments of the JTC 1 or SC Secretariat)*
☒ This proposal relates to a new ISO/IEC document
☐ This proposal relates to the amendment of an existing ISO/IEC document
☐ This proposal relates to the revision of an existing ISO/IEC document
☐ This proposal relates to a multi-part standard consisting of _____ parts
☐ This proposal relates to the adoption as an active project of an item currently registered as a Preliminary Work Item
☐ This proposal relates to the re-establishment of a cancelled project as an active project
☐ This proposal requires the service of a maintenance agency. If yes, has a potential candidate been identified? Please identify_____
☐ This proposal requires the service of a registration authority. If yes, has a potential candidate been identified? Please identify_____
☐ This proposal is submitted with a CD for simultaneous NP and CD balloting
☐ Other: _____
Voting information – The ballot associated with this proposal comprises a vote on (check only one):
☐ Adoption of the proposal as a new project (Stage 10.99)
☐ Adoption of the proposal as a new project and the associated draft as a working draft (WD) (Stage 20.20)
☐ Adoption of the proposal as a new project and the associated draft as a committee draft (CD) (Stage 30.20)
☐ Other: _____

It is proposed to assign this new item to: ☒   JTC 1/SC40   ☐ a new JTC 1 subcommittee

Annex(es) are included with this proposal *(give details)*

Use this form to propose:

- a) a new ISO/IEC document (including a new part to an existing ISO/IEC document), or the amendment/revision of an existing ISO/IEC document;
- b) the establishment as an active project of a preliminary work item, or the re-establishment of a cancelled project;
- c) the change in the type of an existing publication, e.g. conversion of a Technical Specification into an International Standard.

This form is not intended for use to propose an action following a systematic review - use ISO Form 21 for that purpose.

Proposals for correction (i.e. proposals for a Technical Corrigendum) should be submitted in writing directly to the secretariat concerned.