# Web Services Make Connection (WS-MakeConnection) 1.0

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http://docs.oasis-open.org/ws-rx/wsmc/200702

**Abstract:**

This specification (WS-MakeConnection) describes a protocol that allows messages to be transferred between nodes implementing this protocol by using a transport-specific back-channel. The protocol is described in this specification in a transport-independent manner allowing it to be implemented using different network technologies. To support interoperable Web services, a SOAP binding is defined within this specification.

The protocol defined in this specification depends upon other Web services specifications for the identification of service endpoint addresses and policies. How these are identified and retrieved are detailed within those specifications and are out of scope for this document.

By using the XML [XML], SOAP [SOAP 1.1], [SOAP 1.2] and WSDL [WSDL 1.1] extensibility model, SOAP-based and WSDL-based specifications are designed to be composed with each other to define a

rich Web services environment. As such, WS-MakeConnection by itself does not define all the features required for a complete messaging solution. WS-MakeConnection is a building block that is used in conjunction with other specifications and application-specific protocols to accommodate a wide variety of requirements and scenarios related to the operation of distributed Web services.

## Status:

This document was last revised or approved by the WS-RX on the above date. The level of approval is also listed above. Check the "Latest Version" or "Latest Approved Version" location noted above for possible later revisions of this document.

Technical Committee members should send comments on this specification to the Technical Committee's email list. Others should send comments to the Technical Committee by using the "Send A Comment" button on the Technical Committee's web page at http://www.oasis-open.org/committees/ws-rx.

For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing terms, please refer to the Intellectual Property Rights section of the Technical Committee web page (http://www.oasis-open.org/committees/ws-rx/ipr.php).

The non-normative errata page for this specification is located at http://www.oasis-open.org/committees/ws-rx.

# Notices

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# 1 Introduction

The primary goal of this specification is to create a mechanism for the transfer of messages between two endpoints when the sending endpoint is unable to initiate a new connection to the receiving endpoint. It defines a mechanism to uniquely identify non-addressable endpoints, and a mechanism by which messages destined for those endpoints can be delivered. It also defines a SOAP binding that is required for interoperability. Additional bindings can be defined.

This mechanism is extensible allowing additional functionality, such as security, to be tightly integrated. This specification integrates with and complements the WS-ReliableMessaging[WS-RM], WS-Security [WS-Security], WS-Policy [WS-Policy], and other Web services specifications. Combined, these allow for a broad range of reliable, secure messaging options.

## 1.1 Terminology

The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [KEYWORDS].

This specification uses the following syntax to define normative outlines for messages:

- The syntax appears as an XML instance, but values in italics indicate data types instead of values.
- Characters are appended to elements and attributes to indicate cardinality:
  - "?" (0 or 1)
  - "*" (0 or more)
  - "+" (1 or more)
- The character "|" is used to indicate a choice between alternatives.
- The characters "[" and "]" are used to indicate that contained items are to be treated as a group with respect to cardinality or choice.
- An ellipsis (i.e. "...") indicates a point of extensibility that allows other child or attribute content specified in this document. Additional children elements and/or attributes MAY be added at the indicated extension points but they MUST NOT contradict the semantics of the parent and/or owner, respectively. If an extension is not recognized it SHOULD be ignored.
- XML namespace prefixes (See Section 1.2) are used to indicate the namespace of the element being defined.

Elements and Attributes defined by this specification are referred to in the text of this document using XPath 1.0 [XPATH 1.0] expressions. Extensibility points are referred to using an extended version of this syntax:

- An element extensibility point is referred to using {any} in place of the element name. This indicates that any element name can be used, from any namespace other than the `wsmc:` namespace.
- An attribute extensibility point is referred to using @{any} in place of the attribute name. This indicates that any attribute name can be used, from any namespace other than the `wsmc:` namespace.

## 1.2 Namespace

The XML namespace [XML-ns] URI that MUST be used by implementations of this specification is:

```
http://docs.oasis-open.org/ws-rx/wsmc/200702
```

Dereferencing the above URI will produce the Resource Directory Description Language [RDDL 2.0] document that describes this namespace.

Table 1 lists the XML namespaces that are used in this specification. The choice of any namespace prefix is arbitrary and not semantically significant.

Table 1

| Prefix | Namespace |
|---|---|
| S | (Either SOAP 1.1 or 1.2) |
| S11 | http://schemas.xmlsoap.org/soap/envelope/ |
| S12 | http://www.w3.org/2003/05/soap-envelope |
| wsmc | http://docs.oasis-open.org/ws-rx/wsmc/200702 |
| wsrm | http://docs.oasis-open.org/ws-rx/wsrm/200702 |
| wsa | http://www.w3.org/2005/08/addressing |
| wsam | http://www.w3.org/2007/02/addressing/metadata |
| xs | http://www.w3.org/2001/XMLSchema |

The normative schema for WS-MakeConnection can be found linked from the namespace document that is located at the namespace URI specified above.

All sections explicitly noted as examples are informational and are not to be considered normative.

## 1.3 Conformance

An implementation is not conformant with this specification if it fails to satisfy one or more of the MUST or REQUIRED level requirements defined herein. A SOAP Node MUST NOT use the XML namespace identifier for this specification (listed in Section 1.2) within SOAP Envelopes unless it is conformant with this specification.

Normative text within this specification takes precedence over normative outlines, which in turn take precedence over the XML Schema [XML Schema Part 1, Part 2] descriptions.

# 2 MakeConnection Model

The WS-Addressing [WS-Addressing] specification defines the anonymous URI to identify non-addressable endpoints and to indicate a protocol-specific back-channel is to be used for any messages destined for that endpoint. For example, when used in the WS-Addressing ReplyTo EPR, the use of this anonymous URI is meant to indicate that any response message is to be transmitted on the transport-specific back-channel. In the HTTP case this would mean that any response message is sent back on the HTTP response flow.

In cases where the connection is still available the WS-Addressing URI is sufficient. However, in cases where the original connection is no longer available, additional mechanisms are needed. Take the situation where the original connection that carried a request message is broken and therefore is no longer available to carry a response back to the original sender. Traditionally, non-anonymous (addressable) EPRs would be used in these cases to allow for the sender of the response message to initiate new connections as needed. However, if the sender of the request message is unable (or unwilling) to accept new connections then the only option available is for it to establish a new connection for the purposes of allowing the response message to be sent. This specification defines a mechanism by which a new connection can be established.

The MakeConnection model consists of a two key aspects:

- An optional  anonymous-like URI template is defined that has similar semantics to WS-Addressing's anonymous, but also allows for each non-addressable endpoint to be uniquely identified
- A new message is defined that establishes a connection that can then be used to transmit messages to these non-addressable endpoints

Figure 1 below illustrates the overall flow involved in the use of MakeConnection:

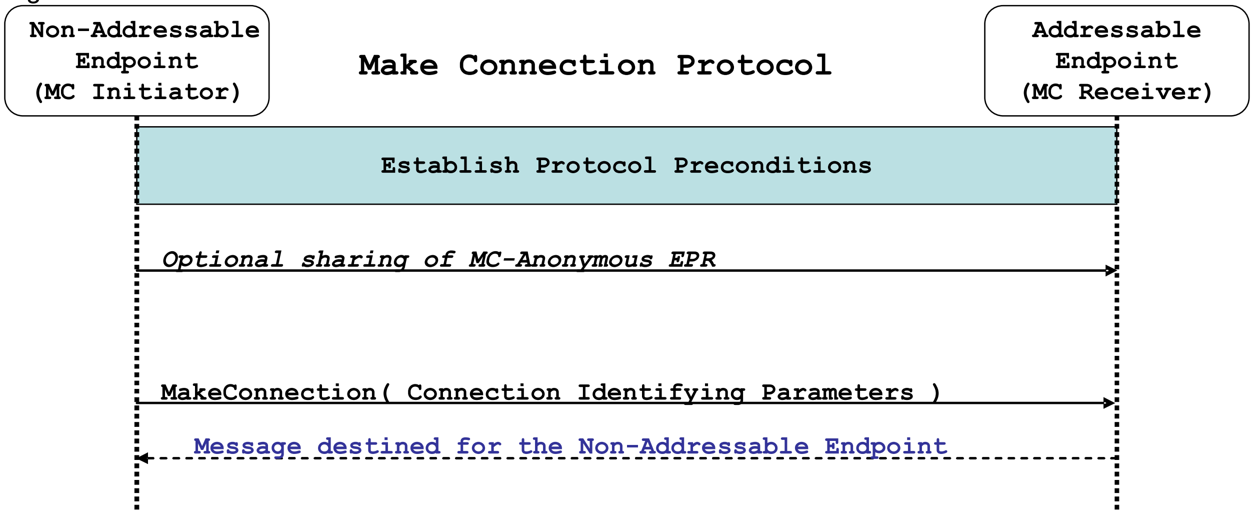
Non-Addressable Endpoint (MC Initiator)
Make Connection Protocol
Addressable Endpoint (MC Receiver)
Establish Protocol Preconditions
Optional sharing of MC-Anonymous EPR
MakeConnection( Connection Identifying Parameters )
Message destined for the Non-Addressable Endpoint

Figure 1 – Make Connection Model

The MakeConnection message is used to establish a new connection between the two endpoints. Within the message is identifying information that is used to uniquely identify a message that  is eligible for transmission.

## 2.1 Glossary

The following definitions are used throughout this specification:

**Back-channel:** When the underlying transport provides a mechanism to return a transport-protocol specific response, capable of carrying a SOAP message, without initiating a new connection, this specification refers to this mechanism as a back-channel.

**Endpoint:** As defined in the WS-Addressing specification; a Web service Endpoint is a (referenceable) entity, processor, or resource to which Web service messages can be addressed. Endpoint references (EPRs) convey the information needed to address a Web service Endpoint.

**MC Initiator** The endpoint that transmits the MakeConnection message – the destination endpoint for the messages being sent on the transport-specific back-channel.

**MC Receiver:** The endpoint that receives the MakeConnection message – the source endpoint for the messages being sent on the transport-specific back-channel.

**Receive:** The act of reading a message from a network connection.

**Transmit:** The act of writing a message to a network connection.

## 2.2 Protocol Preconditions

The correct operation of the protocol requires that a number of preconditions MUST be established prior to the processing of the initial sequenced message:

- The MC Receiver MUST be capable of accepting new incoming connections.
- The MC Initiator MUST be capable of creating new outgoing connections to the MC Receiver, and those connections MUST have a back-channel.
- If a secure exchange of messages is REQUIRED, then the MC Initiator and MC Receiver MUST have a security context.

## 2.3 Example Message Exchange

Figure 2 illustrates a message exchange in which the response message is delivered using MakeConnection.

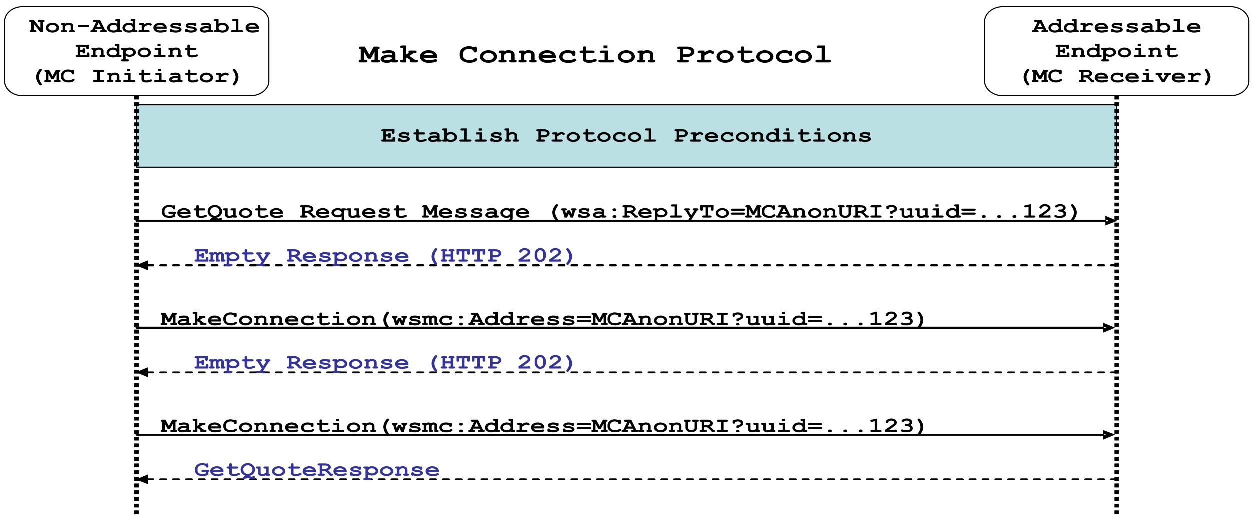
Non-Addressable Endpoint (MC Initiator)
Make Connection Protocol
Addressable Endpoint (MC Receiver)
Establish Protocol Preconditions
GetQuote Request Message (wsa:ReplyTo=MCAnonURI?uuid=...123)
Empty Response (HTTP 202)
MakeConnection(wsmc:Address=MCAnonURI?uuid=...123)
Empty Response (HTTP 202)
MakeConnection(wsmc:Address=MCAnonURI?uuid=...123)
GetQuoteResponse

Figure 2: Example WS-MakeConnection Message Exchange

1. The protocol preconditions are established. These include policy exchange, endpoint resolution, and establishing trust.
2. The client (MC Initiator) sends a GetQuote request message to the service (MC Receiver). The WS-Addressing `wsa:ReplyTo` EPR uses the MakeConnection Anonymous URI Template – indicating that if the GetQuoteResponse message is not sent back on this connection's back-channel, then the client will use MakeConnection to retrieve it.
3. The service receives the request message and decides to close the connection by sending back an empty response (in the HTTP case an HTTP 202 Accept is sent).
4. The client sends a MakeConnection message to the service. Within the MakeConnection element is the `wsmc:Address` element containing the same MakeConnection Anonymous URI used in step 2.
5. The service has not completed executing the GetQuote operation and decides to close the connection by sending back an empty response (in the HTTP case an HTTP 202 Accept) indicating that no messages destined for this MC Initiator are available at this time.
6. The client sends a second MakeConnection message to the service. Within the MakeConnection element is the `wsmc:Address` element containing the same MakeConnection Anonymous URI used in step 2.
7. The service uses this new connection to transmit the GetQuoteResponse message.

The service can assume that because the MakeConnection Anonymous URI Template was used in the `wsa:ReplyTo` EPR the client will act as an MC Initiator for the purposes of retrieving messages destined to that EPR (i.e. responses to the GetQuote). This allows the service the option of immediately releasing resources used by the original connection – knowing that the client will, at some later point in time, establish a new connection on which the GetQuoteResponse can be transmitted. Likewise, when the first MakeConnection is received by the service, it again has the option of leaving the connection open until the GetQuoteResponse is ready to be transmitted, or it can close the connection immediately knowing that the MC Initiator will retransmit the MakeConnection message at some later point in time. Since the nature and dynamic characteristics of the underlying transport and potential intermediaries are unknown in the general case, the timing of re-transmissions cannot be specified. Additionally, over-aggressive re-transmissions have been demonstrated to cause transport or intermediary flooding which are counterproductive. Consequently, implementers are encouraged to utilize adaptive mechanisms that dynamically adjust re-transmission time and the back-off intervals that are appropriate to the nature of the transports and intermediaries envisioned. For the case of TCP/IP transports, a mechanism similar to that described as RTTM in RFC 1323 [RTTM] SHOULD be considered.

Now that the basic model has been outlined, the details of this protocol are now provided in Section 3.

# 3 MakeConnection

The following sub-sections define the various MakeConnection features, and prescribe their usage by a conformant implementations.

## 3.1 MakeConnection Anonymous URI

When an Endpoint is not directly addressable (e.g. behind a firewall or not able to allow incoming connections), an anonymous URI in the EPR address property can indicate such an Endpoint. The WS-Addressing anonymous URI is one such anonymous URI. This specification defines a URI template (the WS-MC anonymous URI) which may be used to uniquely identify anonymous Endpoints.

```
http://docs.oasis-open.org/ws-rx/wsmc/200702/anonymous?id={unique-String}
```

The appearance of an instance of this URI template in the `wsa:Address` value of an EPR indicates a protocol-specific back-channel will be established through a mechanism such as `MakeConnection`, defined below. When using this URI template, "{unique-String}" MUST be replaced by a globally unique string (e.g a UUID value as defined by RFC4122[UUID]). This specification does not require the use of one particular string generation scheme. This string uniquely distinguishes the Endpoint. A sending Endpoint SHOULD Transmit messages at Endpoints identified with the URI template using a protocol-specific back-channel, including but not limited to those established with a `MakeConnection` message. Note, this URI template is semantically similar to the WS-Addressing anonymous URI if a protocol-specific back-channel is available.

## 3.2 MakeConnection Message

The `MakeConnection` element is sent in the body of a one-way message that establishes a contextualized back-channel for the transmission of messages according to matching criteria (defined below). In the non-faulting case, if no matching message is available then no SOAP envelope will be returned on the back-channel. A common usage will be a client sending `MakeConnection` to a server for the purpose of receiving asynchronous response messages.

The following exemplar defines the `MakeConnection` syntax:

```
<wsmc:MakeConnection ...>
    <wsmc:Address ...> xs:anyURI </wsmc:Address> ?
    <wsrm:Identifier ...> xs:anyURI </wsrm:Identifier> ?
    ...
</wsmc:MakeConnection>
```

The following describes the content model of the `MakeConnection` element.

/wsmc:MakeConnection

This element allows the sender to create a transport-specific back-channel that can be used to return a message that matches the selection criteria. Endpoints MUST NOT send this element as a header block. At least one selection criteria sub-element MUST be specified – if not a `MissingSelection` fault MUST be generated.

/wsmc:MakeConnection/wsmc:Address

This element specifies the URI (`wsa:Address`) of the initiating Endpoint. Endpoints MUST NOT return messages on the transport-specific back-channel unless they have been addressed to this URI. This `Address` property and a message's WS-Addressing destination property are considered identical when they are exactly the same character-for-character. Note that URIs which are not identical in this sense may in fact be functionally equivalent.  Examples include URI references which differ only in case, or which are in external entities which have different effective base URIs.

/wsmc:MakeConnection/wsmc:Address/@{any}

This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the element.

/wsmc:MakeConnection/wsrm:Identifier

This element specifies the WS-RM Sequence Identifier that establishes the context for the transport-specific back-channel. The Sequence Identifier should be compared with the Sequence Identifiers associated with the messages held by the sending Endpoint, and if there is a matching message it will be returned.

/wsmc:MakeConnection/wsrm:Identifier/@{any}

This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the element.

/wsmc:MakeConnection/{any}

This is an extensibility mechanism to allow different (extensible) types of information, based on a schema, to be passed. This allows fine-tuning of the messages to be returned, additional selection criteria included here are logically ANDed with the `Address` and/or `wsrm:Identifier`. If an extension is not supported by the Endpoint then it should generate an `UnsupportedSelection` fault.

/wsmc:MakeConnection/@{any}

This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the element.

If more than one selection criteria element is present, then the MC Recever processing the `MakeConnection` message MUST insure that any SOAP Envelope flowing on the back-channel satisfies all of those selection criteria.

The management of messages that are awaiting the establishment of a back-channel to their receiving Endpoint is an implementation detail that is outside the scope of this specification. Note, however, that these messages form a class of asynchronous messages that is not dissimilar from “ordinary” asynchronous messages that are waiting for the establishment of a connection to their destination Endpoints.

This specification places no constraint on the types of messages that can be returned on the transport-specific back-channel.  As in an asynchronous environment, it is up to the recipient of the `MakeConnection` message to decide which messages are appropriate for transmission to any particular Endpoint. However, the Endpoint processing the `MakeConnection` message MUST insure that the messages match the selection criteria as specified by the child elements of the `MakeConnection` element.

Since the message exchange pattern use by `MakeConnection` is untraditional, the following points need to be reiterated for clarification:

- The `MakeConnection` message is logically part of a one-way operation; there is no reply message to the `MakeConnection` itself, and any response flowing on the transport back-channel is a pending message.
- Since there is no reply message to `MakeConnection`, the WS-Addressing specific rules in section 3.4 "Formulating a Reply Message" are not used. Therefore, the value of any

wsa:ReplyTo element in the MakeConnection message has no effective impact since the WS-Addressing [reply endpoint] property that is set by the presence of wsa:ReplyTo is not used.

- In the absence of any pending message, there will be no message transmitted on the transport back-channel. E.g. in the HTTP case just an HTTP 202 Accepted will be returned without any SOAP envelope in the HTTP response message.
- When there is a message pending, it is sent on the transport back-channel, using the connection that has been initiated by the MakeConnection request.

## 3.3 MessagePending

When MakeConnection is used, and a message is returned on the transport-specific back-channel, the MessagePending header SHOULD be included on the returned message as an indicator whether there are additional messages waiting to be retrieved using the same selection criteria that was specified in the MakeConnection element.

The following exemplar defines the MessagePending syntax:

```
<wsmc:MessagePending pending="xs:boolean" ...>
    ...
</wsmc:MessagePending>
```

The following describes the content model of the MessagePending header block.

/wsmc:MessagePending

This element indicates whether additional messages are waiting to be retrieved.

/wsmc:MessagePending@pending

This attribute, when set to "true", indicates that there is at least one message waiting to be retrieved. When this attribute is set to "false" it indicates there are currently no messages waiting to be retrieved.

/wsmc:MessagePending/{any}

This is an extensibility mechanism to allow different (extensible) types of information, based on a schema, to be passed.

/wsmc:MessagePending/@{any}

This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the element.

The absence of the MessagePending header has no implication as to whether there are additional messages waiting to be retrieved.

## 3.4 MakeConnection Policy Assertion

The MakeConnection policy assertion indicates that the MakeConnection protocol (operation and the use of the MakeConnection URI template in EndpointReferences) is supported. This assertion has Endpoint Policy Subject [WS-PolicyAttachment].

The normative outline for the MakeConnection assertion is:

```
<wsmc:MCSupported ...> ... </wsmc:MCSupported>
```

The following describes the content model of the MCSupported element.

`/wsmc:MCSupported`

A policy assertion that specifies that the MakeConnection protocol is supported.

`/wsmc:MCSupported/{any}`

This is an extensibility mechanism to allow different (extensible) types of information, based on a schema, to be passed.

`/wsmc:MCSupported/@{any}`

This is an extensibility mechanism to allow additional attributes, based on schemas, to be added to the element.

Because this policy assertion expresses a capability of a receiver (rather than a requirement on the sender), care should be taken to ensure that it is decorated with the appropriate WS-Policy artifacts to indicate that use, support and understanding, of this assertion is optional to the sender.

# 4 Faults

Entities that generate WS-MakeConnection faults MUST include as the [action] property the default fault action IRI defined below. The value from the W3C Recommendation is below for informational purposes:

```
http://docs.oasis-open.org/ws-rx/wsmc/200702/fault
```

The faults defined in this section are generated if the condition stated in the preamble is met. Fault handling rules are defined in section 6 of WS-Addressing SOAP Binding.

The definitions of faults use the following properties:

[Code] The fault code.

[Subcode] The fault subcode.

[Reason] The English language reason element.

[Detail] The detail element(s). If absent, no detail element is defined for the fault. If more than one detail element is defined for a fault, implementations MUST include the elements in the order that they are specified.

Entities that generate WS-MakeConnection faults MUST set the [Code] property to either "Sender" or "Receiver". These properties are serialized into text XML as follows:

| SOAP Version | Sender | Receiver |
|---|---|---|
| SOAP 1.1 | S11:Client | S11:Server |
| SOAP 1.2 | S:Sender | S:Receiver |

The properties above bind to a SOAP 1.2 fault as follows:

```
<S:Envelope>
 <S:Header>
   <wsa:Action>
      http://docs.oasis-open.org/ws-rx/wsmc/200702/fault
   </wsa:Action>
   <!-- Headers elided for brevity.  -->
 </S:Header>
 <S:Body>
  <S:Fault>
   <S:Code>
     <S:Value> [Code] </S:Value>
     <S:Subcode>
      <S:Value> [Subcode] </S:Value>
     </S:Subcode>
   </S:Code>
   <S:Reason>
     <S:Text xml:lang="en"> [Reason] </S:Text>
   </S:Reason>
   <S:Detail>
     [Detail]
     ...
   </S:Detail>
  </S:Fault>
 </S:Body>
</S:Envelope>
```

The properties bind to a SOAP 1.1 fault as follows when the fault is generated as a result of processing a `MakeConnection` message:

```
<S11:Envelope>
 <S11:Body>
  <S11:Fault>
   <faultcode> [Subcode] </faultcode>
   <faultstring> [Reason] </faultstring>
  </S11:Fault>
 </S11:Body>
</S11:Envelope>
```

## 4.1 Unsupported Selection

The QName of the unsupported element(s) are included in the detail.

Properties:

[Code] Receiver

[Subcode] wsmc:UnsupportedSelection

[Reason] The extension element used in the message selection is not supported by the MakeConnection receiver

[Detail]

```
<wsmc:UnsupportedElement> xs:QName </wsmc:UnsupportedElement>+
```

| Generated by | Condition | Action Upon Generation | Action Upon Receipt |
|---|---|---|---|
| MakeConnection receiver | In response to a `MakeConnection` message containing a selection criteria in the extensibility section of the message that is not supported | Unspecified. | Unspecified. |

## 4.2 Missing Selection

The MakeConnection element did not contain any selection criteria.

Properties:

[Code] Receiver

[Subcode] wsmc:MissingSelection

[Reason] The MakeConnection element did not contain any selection criteria.

[Detail]

| Generated by | Condition | Action Upon Generation | Action Upon Receipt |
|---|---|---|---|
| MakeConnection receiver | In response to a `MakeConnection` message that does not contain any selection criteria | Unspecified. | Unspecified. |

# 5 Security Considerations

It is strongly RECOMMENDED that the communication between Web services be secured using the mechanisms described in WS-Security. In order to properly secure messages, the body and all relevant headers need to be included in the signature. Specifically, any standard messaging headers, such as those from WS-Addressing, need to be signed with the body in order to "bind" the two together.

Different security mechanisms may be desired depending on the frequency of messages. For example, for infrequent messages, public key technologies may be adequate for integrity and confidentiality. However, for high-frequency events, it may be more performant to establish a security context for the events using the mechanisms described in WS-Trust [Trust] and WS-SecureConversation [SecureConversation]. It should be noted that if a shared secret is used it is RECOMMENDED that derived keys be used to strengthen the secret as described in WS-SecureConversation.

Requests for messages which are not available to anonymous parties are strongly RECOMMENDED to require usage of WS-Security so that the requestor can be authenticated and authorized to access the indicated messages. Similarly, integrity and confidentiality SHOULD be used whenever messages have restricted access.

Recipients of messages are RECOMMENDED to validate the signature to authenticate and verify the integrity of the data. Specifically, recipients SHOULD verify that the sender has the right to "speak" for the message.

The following list summarizes common classes of attacks that apply to this protocol and identifies the mechanism to prevent/mitigate the attacks:

- Message alteration - Alteration is prevented by including signatures of the message information using WS-Security.
- Message disclosure - Confidentiality is preserved by encrypting sensitive data using WS-Security.
- Key integrity - Key integrity is maintained by using the strongest algorithms possible (by comparing secured policies - see WS-Policy and WS-SecurityPolicy [SecurityPolicy]).
- Authentication - Authentication is established using the mechanisms described in WS-Security and WS-Trust. Each message is authenticated using the mechanisms described in WS-Security.
- Accountability - Accountability is a function of the type of and strength of the key and algorithms being used. In many cases, a strong symmetric key provides sufficient accountability. However, in some environments, strong PKI signatures are required.
- Availability - All reliable messaging services are subject to a variety of availability attacks. Replay detection is a common attack and it is RECOMMENDED that this be addressed by the mechanisms described in WS-Security. Other attacks, such as network-level denial of service attacks are harder to avoid and are outside the scope of this specification. That said, care should be taken to ensure that minimal state is saved prior to any authenticating sequences.
- Replay - Messages may be replayed for a variety of reasons. To detect and eliminate this attack, mechanisms should be used to identify replayed messages such as the timestamp/nonce outlined in WS-Security. Alternatively, and optionally, other technologies, such as sequencing, can also be used to prevent replay of application messages.

Service endpoints SHOULD scope its searching of messages to those that were processed under the same security context as the requesting MakeConnection message.

# 6 References

## 6.1 Normative

**[KEYWORDS]**

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T. Berners-Lee, R. Fielding, L. Masinter, "Uniform Resource Identifiers (URI): Generic Syntax," RFC 3986, MIT/LCS, U.C. Irvine, Xerox Corporation, January 2005.

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# Appendix A. Schema

The normative schema that is defined for WS-MakeConnection using [XML-Schema Part1] and [XML-Schema Part2] is located at:

http://docs.oasis-open.org/ws-rx/wsmc/200702/wsmc-1.0-schema-200702.xsd

The following copy is provided for reference.

```
<?xml version="1.0" encoding="UTF-8"?>
<!-- Copyright(C) OASIS(R) 1993-2007. All Rights Reserved.
     OASIS trademark, IPR and other policies apply.  -->
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
xmlns:wsa="http://www.w3.org/2005/08/addressing"
xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200702"
targetNamespace="http://docs.oasis-open.org/ws-rx/wsmc/200702"
elementFormDefault="qualified" attributeFormDefault="unqualified">
  <xs:import namespace="http://www.w3.org/2005/08/addressing"
schemaLocation="http://www.w3.org/2006/03/addressing/ws-addr.xsd"/>
  <!-- Protocol Elements -->
  <xs:complexType name="MessagePendingType">
    <xs:sequence>
      <xs:any namespace="##other" processContents="lax" minOccurs="0"
maxOccurs="unbounded"/>
    </xs:sequence>
    <xs:attribute name="pending" type="xs:boolean"/>
    <xs:anyAttribute namespace="##other" processContents="lax"/>
  </xs:complexType>
  <xs:element name="MessagePending" type="wsmc:MessagePendingType"/>
  <xs:element name="Address">
    <xs:complexType>
      <xs:simpleContent>
        <xs:extension base="xs:anyURI">
          <xs:anyAttribute namespace="##other" processContents="lax"/>
        </xs:extension>
      </xs:simpleContent>
    </xs:complexType>
  </xs:element>
  <xs:complexType name="MakeConnectionType">
    <xs:sequence>
      <xs:element ref="wsmc:Address" minOccurs="0" maxOccurs="1"/>
      <xs:any namespace="##other" processContents="lax" minOccurs="0"
maxOccurs="unbounded"/>
    </xs:sequence>
    <xs:anyAttribute namespace="##other" processContents="lax"/>
  </xs:complexType>
  <xs:element name="MakeConnection" type="wsmc:MakeConnectionType"/>
  <xs:element name="UnsupportedElement">
    <xs:simpleType>
      <xs:restriction base="xs:QName"/>
    </xs:simpleType>
  </xs:element>
</xs:schema>
```

# Appendix B. WSDL

This WSDL describes the WS-MC protocol from the point of view of the endpoint that receives the MakeConnection message.

Also note that this WSDL is intended to describe the internal structure of the WS-MC protocol, and will not generally appear in a description of a WS-MC-capable Web service. See section 3.4 Policy for a higher-level mechanism to indicate that WS-MC is supported.

The normative WSDL 1.1 definition for WS-MakeConnection is located at:

http://docs.oasis-open.org/ws-rx/wsmc/200702/wsdl/wsmc-1.0-wsdl-200702.wsdl

The following non-normative copy is provided for reference.

```
<?xml version="1.0" encoding="utf-8"?>
<!-- Copyright(C) OASIS(R) 1993-2007. All Rights Reserved.
     OASIS trademark, IPR and other policies apply.  -->
<wsdl:definitions xmlns:wsdl="http://schemas.xmlsoap.org/wsdl/"
xmlns:xs="http://www.w3.org/2001/XMLSchema"
xmlns:wsa="http://www.w3.org/2005/08/addressing"
xmlns:wsam="http://www.w3.org/2007/02/addressing/metadata"
xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200702"
xmlns:tns="http://docs.oasis-open.org/ws-rx/wsmc/200702/wsdl"
targetNamespace="http://docs.oasis-open.org/ws-rx/wsmc/200702/wsdl">

  <wsdl:types>
    <xs:schema>
      <xs:import namespace="http://docs.oasis-open.org/ws-rx/wsmc/200702"
schemaLocation="http://docs.oasis-open.org/ws-rx/wsmc/200702/wsmc-1.1-schema-
200702.xsd"/>
    </xs:schema>
  </wsdl:types>

  <wsdl:message name="MakeConnection">
    <wsdl:part name="makeConnection" element="wsmc:MakeConnection"/>
  </wsdl:message>

  <wsdl:portType name="MCAbstractPortType">
    <wsdl:operation name="MakeConnection">
      <wsdl:input message="tns:MakeConnection" wsam:Action="http://docs.oasis-
open.org/ws-rx/wsmc/200702/MakeConnection"/>
      <!-- As described in the WS-MakeConnection specification, the
           MakeConnection operation establishes a connection. If a matching
           message is available then the back-channel of the connection will
           be used to carry the message. In SOAP terms the returned message
           is not a response, so there is no WSDL output message. -->
    </wsdl:operation>
  </wsdl:portType>

</wsdl:definitions>
```

# Appendix C. Message Examples

## Appendix C.1 Example use of MakeConnection

To illustrate how a `MakeConnection` message exchange can be used to deliver messages to an Endpoint that is not addressable, consider the case of a pub/sub scenario in which the Endpoint to which notifications are to be delivered (the "event consumer") is not addressable by the notification sending Endpoint (the "event producer"). In this scenario the event consumer must initiate the connections in order for the notifications to be delivered. One possible set of message exchanges (using HTTP) that demonstrate how this can be achieved using `MakeConnection` is shown below.

**Step 1** – During a "subscribe" operation, the event consumer's EPR specifies the MC anonymous URI and the WS-RM Policy Assertion to indicate whether or not RM is required:

```
<S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200702"
xmlns:wsrmp="http://docs.oasis-open.org/ws-rx/wsrmp/200702"
xmlns:wsa="http://www.w3.org/2005/08/addressing">
  <S:Header>
    <wsa:To> http://example.org/subscriptionService </wsa:To>
    <wsa:MessageID> http://client456.org/id-a6d8-a7c2eb546813</wsa:MessageID>
    <wsa:ReplyTo>
      <wsa:To> http://client456.org/response </wsa:To>
    </wsa:ReplyTo>
  </S:Header>
  <S:Body>
    <sub:Subscribe xmlns:sub="http://exaaple.org/subscriptionService">
      <!-- subscription service specific data -->
      <targetEPR>
        <wsa:Address>http://docs.oasis-open.org/ws-
rx/wsrm/200702/anonymous?id=550e8400-e29b-11d4-a716-446655440000</wsa:Address>
        <wsa:Metadata>
          <wsp:Policy wsu:Id="MyPolicy">
            <wsrmp:RMAssertion/>
          </wsp:Policy>
        </wsa:Metadata>
      </targetEPR>
    </sub:Subscribe>
  </S:Body>
</S:Envelope>
```

In this example the `subscribe` and `targetEPR` elements are simply examples of what a subscription request message might contain. Note: the `wsa:Address` element contains the MC anonymous URI indicating that the notification producer needs to queue the messages until they are requested using the `MakeConnection` message exchange. The EPR also contains the WS-RM Policy Assertion indicating the RM must be used when notifications related to this subscription are sent.

**Step 2** – Once the subscription is established, the event consumer checks for a pending message:

```
<S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200702"
xmlns:wsa="http://www.w3.org/2005/08/addressing">
  <S:Header>
    <wsa:Action>http://docs.oasis-open.org/ws-
rx/wsmc/200702/MakeConnection</wsa:Action>
```

```
    <wsa:To> http://example.org/subscriptionService </wsa:To>
  </S:Header>
  <S:Body>
    <wsmc:MakeConnection>
      <wsmc:Address>http://docs.oasis-open.org/ws-
rx/wsmc/200702/anonymous?id=550e8400-e29b-11d4-a716-
446655440000</wsmc:Address>
    </wsmc:MakeConnection>
  </S:Body>
</S:Envelope>
```

**Step 3** – If there are messages waiting to be delivered then a message will be returned back to the event consumer. However, because WS-RM is being used to deliver the messages, the first message returned is a `CreateSequence`:

```
<S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200702"
xmlns:wsrm="http://docs.oasis-open.org/ws-rx/wsrm/200702"
xmlns:wsa="http://www.w3.org/2005/08/addressing">
  <S:Header>
    <wsa:Action>http://docs.oasis-open-org/ws-
rx/wsrm/200702/CreateSequence</wsa:Action>
    <wsa:To>http://docs.oasis-open.org/ws-
rx/wsrm/200702/anonymous?id=550e8400-e29b-11d4-a716-446655440000</wsa:To>
    <wsa:ReplyTo> http://example.org/subscriptionService </wsa:ReplyTo>
    <wsa:MessageID> http://example.org/id-123-456 </wsa:MessagID>
    <wsmc:MessagePending pending="true"/>
  </S:Header>
  <S:Body>
    <wsrm:CreateSequence>
      <wsrm:AcksTo>
        <wsa:Address> http://example.org/subscriptionService </wsa:Address>
      </wsrm:AcksTo>
    </wsrm:CreateSequence>
  </S:Body>
</S:Envelope>
```

Notice from the perspective of how the RM Source on the event producer interacts with the RM Destination of those messages, nothing new is introduced by the use of the `MakeConnection`, the use of RM protocol is the same as the case where the event consumer is addressable. Note the message contains a `wsmc:MessagePending` header indicating that additional message are waiting to be delivered.

**Step 4** – The event consumer will respond with a `CreateSequenceResponse` message per normal WS-Addressing rules:

```
<S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
xmlns:wsrm="http://docs.oasis-open.org/ws-rx/wsrm/200702"
xmlns:wsa="http://www.w3.org/2005/08/addressing">
  <S:Header>
    <wsa:Action>http://docs.oasis-open-org/ws-
rx/wsrm/200702/CreateSequenceResponse</wsa:Action>
    <wsa:To> http://example.org/subscriptionService </wsa:To>
    <wsa:RelatesTo> http://example.org/id-123-456 </wsa:RelatesTo>
  </S:Header>
  <S:Body>
    <wsrm:CreateSequenceResponse>
      <wsrm:Identifier> http://example.org/rmid-456 </wsrm:Identifier>
    </wsrm:CreateSequenceResponse>
  </S:Body>
</S:Envelope>
```

Note, this message is carried on an HTTP request directed to the `wsa:ReplyTo EPR`, and the HTTP response will be an HTTP 202.

**Step 5** – The event consumer checks for another message pending:

```
<S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200702"
xmlns:wsa="http://www.w3.org/2005/08/addressing">
  <S:Header>
    <wsa:Action>http://docs.oasis-open.org/ws-
rx/wsmc/200702/MakeConnection</wsa:Action>
    <wsa:To> http://example.org/subscriptionService </wsa:To>
  </S:Header>
  <S:Body>
    <wsmc:MakeConnection>
      <wsmc:Address>http://docs.oasis-open.org/ws-
rx/wsmc/200702/anonymous?id=550e8400-e29b-11d4-a716-
446655440000</wsmc:Address>
    </wsmc:MakeConnection>
  </S:Body>
</S:Envelope>
```

Notice this is the same message as the one sent in step 2.

**Step 6** – Since there is a message pending for this destination then it is returned on the HTTP response:

```
<S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200702"
xmlns:wsrm="http://docs.oasis-open.org/ws-rx/wsrm/200702"
xmlns:wsa="http://www.w3.org/2005/08/addressing">
  <S:Header>
    <wsa:Action> http://example.org/eventType1 </wsa:Action>
    <wsa:To>http://docs.oasis-open.org/ws-
rx/wsrm/200702/anonymous?id=550e8400-e29b-11d4-a716-446655440000</wsa:To>
    <wsrm:Sequence>
      <wsrm:Identifier> http://example.org/rmid-456 </wsrm:Identifier>
    </wsrm:Sequence>
    <wsmc:MessagePending pending="true"/>
  </S:Header>
  <S:Body>
    <!-- event specific data -->
  </S:Body>
</S:Envelope>
```

As noted in step 3, the use of the RM protocol does not change when using `MakeConnection`. The format of the messages, the order of the messages sent and the timing of when to send it remains the same.

**Step 7** – At some later interval, or immediately due to the `MessagePending` header's "`pending`" attribute being set to "true", the event consumer will poll again:

```
<S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
xmlns:wsmc="http://docs.oasis-open.org/ws-rx/wsmc/200702"
xmlns:wsa="http://www.w3.org/2005/08/addressing">
```

```
  <S:Header>
    <wsa:Action>http://docs.oasis-open.org/ws-
rx/wsmc/200702/MakeConnection</wsa:Action>
    <wsa:To> http://example.org/subscriptionService </wsa:To>
  </S:Header>
  <S:Body>
    <wsmc:MakeConnection>
      <wsmc:Address>http://docs.oasis-open.org/ws-
rx/wsmc/200702/anonymous?id=550e8400-e29b-11d4-a716-
446655440000</wsmc:Address>
    </wsmc:MakeConnection>
  </S:Body>
</S:Envelope>
```

Notice this is the same message as the one sent in steps 2 and 5. As in steps 3 and 6, the response to the `MakeConnection` can be any message destined to the specified Endpoint. This allows the event producer to send not only application messages (events) but RM protocol messages (e.g. `CloseSequence`, `TerminateSequence` or even additional `CreateSequence`s) as needed.

**Step 8** – If at any point in time there are no messages pending, in response to a `MakeConnection` the event producer returns an HTTP 202 back to the event consumer. The process then repeats (back to step 7) until the subscription ends.

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## 889 Appendix E. Revision History

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|---|---|---|---|
| wd-01 | 2006-12-31 | Doug Davis | Initial version created based on section 10( MakeConnection) in the WS-RM spec |
| wd-02 | 2007-01-31 | Doug Davis | Lots of typos from MarcG<br>Updated WD number and date |
| wd-02 | 2007-02-01 | Doug Davis | PR015 and PR029 applied |