# Web Services Security
# SAML Token Binding

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**Editors:**
Phillip Hallam-Baker, VeriSign
Chris Kaler, Microsoft
Ronald Monzillo, Sun
Anthony Nadalin, IBM

**Contributors:**

TBD – Revise this list to include WSS TC contributors

Phillip Hallam-Baker, VeriSign
Jeff Hodges, Sun Microsystems
Maryann Hondo, IBM
Chris Kaler, Microsoft
Eve Maler, Sun Microsystems
Hiroshi Maruyama, IBM
Chris McLaren, Netegrity
Prateek Mishra, Netegrity
Anthony Nadalin, IBM
Nataraj Nagaratnam, IBM
Hemma Prafullchandra, VeriSign
Irving Reid, Baltimore
Krishna Sankar, Cisco
John Shewchuk, Microsoft

**Abstract:**
This document describes how to use Security Assertion Markup Language (SAML) assertions with the WS-Security specification.

**Status:**
This is an interim draft. Please send comments to the editors.

Committee members should send comments on this specification to wss@lists.oasis-open.org list. Others should subscribe to and send comments to the wss-comment@lists.oasis-open.org list. To subscribe, visit http://lists.oasis-open.org/ob/adm.pl.

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# 1 Introduction

The WS-Security specification proposes a standard set of SOAP extensions that can be used when building secure Web services to implement message level integrity and confidentiality. This specification describes the use of Security Assertion Markup Language (SAML) assertions from the `<wsse:Security>` header block defined by the WS-Security specification.

## 1.1 Goals and Requirements

The goal of this specification is to define the use of SAML assertions in the context of WS-Security including for the purpose of securing SOAP message exchanges.

The requirements to be satisfied by this specification are listed below.

### 1.1.1 Requirements

TBS

### 1.1.2 Non-Goals

The following topics are outside the scope of this document:

TBS

# 2 Notations and Terminology

This section specifies the notations, namespaces, and terminology used in this specification.

## 2.1 Notational Conventions

The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC2119.

Namespace URIs (of the general form "some-URI") represent some application-dependent or context-dependent URI as defined in RFC2396.

This specification is designed to work with the general SOAP message structure and message processing model, and should be applicable to any version of SOAP. The current SOAP 1.2 namespace URI is used herein to provide detailed examples, but there is no intention to limit the applicability of this specification to a single version of SOAP.

Readers are presumed to be familiar with the terms in the Internet Security Glossary.

## 2.2 Namespaces

The XML namespace URIs that MUST be used by implementations of this specification are as follows (note that different elements in this specification are from different namespaces):

```
http://schemas.xmlsoap.org/ws/2002/xx/secext
http://schemas.xmlsoap.org/ws/2002/xx/utility
```

The following namespaces are used in this document:

| Prefix | Namespace |
|---|---|
| S | http://www.w3.org/2001/12/soap-envelope |
| ds | http://www.w3.org/2000/09/xmldsig# |
| xenc | http://www.w3.org/2001/04/xmlenc# |
| wsse | http://schemas.xmlsoap.org/ws/2002/xx/secext |
| wsu | http://schemas.xmlsoap.org/ws/2002/xx/utility |
| saml | urn: oasis:names:tc:SAML:1.0:assertion |

| | |
|---|---|
| samlp | urn: oasis:names:tc:SAML:1.0:protocol |

## 2.3 Terminology

This specification employs the terminology defined in the WS-Security Core Specification.

Defined below are the basic definitions for additional terminology used in this specification.

[TBS]

# 3 Usage

This section describes the specific mechanisms and procedures for the SAML binding of WS-Security.

**Identification:** urn:oasis:names:tc:WSS:1.0:bindings:WSS-SAML-binding

**Contact information:** TBD

**Description:** Given below.

**Updates:** None.

## 3.1 Processing Model

The SAML binding of WS-Security extends the token-independent processing model defined by the core WS-Security specification.

When a receiver processes a <wsse:Security> header containing or referencing SAML assertions, it MUST select, based on its policy, the signatures and assertions that it will process. It is assumed that a receiver's signature selection policy may rely on semantic labeling of <wsse:SecurityTokenReference> elements occurring in the <ds:KeyInfo> elements within the signatures. It is also assumed that the assertions selected for validation and processing will include those referenced from the <ds:KeyInfo> and <ds:SignedInfo> elements of the selected signatures.

As part of its validation and processing of the selected assertions, the receiver MUST make an explicit determination of the relationship between the subject of each assertion and the sender of the message. Two methods for establishing this correspondence, holder-of-key and sender-vouches are described below. Senders and receivers implementing the SAML binding of WS-Security MUST implement the processing necessary to support both of these subject confirmation methods.

## 3.2 Attaching Security Tokens

SAML assertions are attached to SOAP messages using WS-Security by placing assertion elements or references to assertions inside a <wsse:Security> header. The following example illustrates a SOAP message containing a SAML assertion in a <wsse:Security> header.

```
<S:Envelope xmlns:S="...">
    <S:Header>
        <wsse:Security xmlns:wsse="...">
            <saml:Assertion
                      MajorVersion="1"
                      MinorVersion="0"
                      AssertionID="SecurityToken-ef375268"
                      Issuer="elliotw1"
                      IssueInstant="2002-07-23T11:32:05.6228146-07:00"
                     xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion">
                ...
            </saml:Assertion>
            ...
```

```
        </wsse:Security>
    </S:Header>
    <S:Body>
        ...
    </S:Body>
</S:Envelope>
```

## 3.3 Identifying and Referencing Security Tokens

The WS-Security specification defines the `<wsse:SecurityTokenReference>` element for referencing security tokens. Three forms of token references are defined by this element and the element schema includes provision for defining additional reference forms should they be necessary. The three forms of token references defined by the <wsse:SecurityTokenReference> element are defined as follows:

- ~~An element reference – a security token specific XML element that contains an identifier and perhaps locator of a security token within the message or at some external location.~~

~~A URI reference – a generic element that conveys in its attributes, the security token URI and token type value (i.e. `ValueType`) that define the location and perhaps identifier of a security token occurring either within the message or at some external location. A URI containing only a fragment identifier is interpreted as identifying the corresponding security token within the message in which the fragment identifier occurs.~~

- A key identifier reference – a generic element (i.e. <wsse:KeyIdentifier>) that conveys a security token identifier and indicates in its attributes (as necessary) the type of the token being identified (i.e. the `ValueType`), the identifier encoding type (i.e. the EncodingType), and any other parameters necessary to reference the security token.

  When a key identifier is used to reference a SAML assertion the ValueType attribute must contain the value "saml:Assertion" and the <wsse:KeyIdentifier> element must contain as its element value the corresponding AssertionID.

  The SAML binding of WSS-Security prescribes the use of the following attributes within a key identifier reference when the referenced assertion must be acquired from the assertion authority.

  /wsse:SecurityTokenReference/KeyIdentifier/@saml:Location

  This optional attribute is used to carry a URI reference describing how to locate the SAML authority. As defined by SAMLCore, the syntax of the URI will depend on the protocol binding defined by the saml:Binding attribute of the <wsse:KeyIdentifier>. For example, a binding based on HTTP will be a web URL, while a binding based on SMTP might use the "mailto" scheme.

  */wsse:SecurityTokenReference/keyIdentifier/@saml:Binding*

  A URI reference identifying the SAML protocol binding to use in communicating with the SAML authority. SAML protocol bindings are assigned a URI reference in SAMLBind.

  { Note to TC: this mechanism should be extended to support artifact references"

- ~~a generic element that conveys in its attributes, the security token identifier (i.e. wsu:id) and token type value (i.e. ValueType) that identifies a security token with matching wsu:id and ValueType occurring within a <wsse:Security> header of the message. Identifier references may only be used to reference security tokens that carry matching attributes, which approximately restricts their use to Binary Security Tokens attributed as a result of their encapsulation in XML.~~ A key name reference – a <ds:KeyName> element contains a string value key identifier, and the referenced token or tokens are those that contain a *matching* identity value.

  The syntax of SAML assertion identifiers does not facilitate their differentiation from other identifier forms. For this reason, key name reference forms SHOULD not be used to reference SAML assertions.

- A Direct or URI reference – a generic element (i.e. <wsse:Reference>) that identifies a security token by URI. If only a fragment is specified, then the reference is to the security token within the document whose *wsu:Id* attribute value matches the fragment. Otherwise, the reference is to the (potentially external) security token identified by the URI.

  The SAML assertion schema does not include or provide for inclusion of the wsu:Id attribute. For this reason, a URI reference cannot be used to (directly) reference a SAML assertion.

~~A URI reference containing a URL may be combined with a token specific element reference to yield a location qualified reference.~~

In t~~T~~he SAML binding of WS-security, ~~a referenced~~ SAML assertions may be referenced in three contexts:

- A SAML assertion may be referenced from a <ds:KeyInfo> element of a <ds:Signature> element in a <wsse:Security> header. In this case, the assertion contains the key used in the signature calculation.
- A SAML assertion may be referenced from a <wsse:Security> header or from an element (other than a signature) in the header.
- A SAML assertion may be referenced from a <ds:Reference> element within the <ds:SignedInfo> element of a <ds:Signature> element in a <wsse:Security> header. In this case, the referenced assertion is being signed by the containing signature.

In each of these contexts, the referenced assertion may be:

- local – in which case, it is included in the <wsse:Security> header containing the reference.
- remote – in which case it is not included in the <wsse:Security> header containing the reference, but may occur in another part of the SOAP message or may be available at the location identified by the reference which may be an assertion authority.

In the SAML binding of WS-Security, the preferred method to reference SAML assertions is by key identifier reference.

A SAML assertion that exists in a <wsse:Security> header may be referenced from the <wsse:Security> header, a header element, or from the <ds:KeyInfo> element of a <ds:Signature> element in the header by using a key identifier reference.

Methods to reference SAML assertion from a <ds:Reference> element remain to be formalized.

~~is identified by a <saml:AssertionIDReference> occurring either as an element reference or as a String value fragment identifier in a URI reference.~~

### 3.3.1 SAML Assertion Referenced from Header or Element~~Reference Elements~~

A SAML assertion may be referenced from a <wsse:Security> header or from an element (other than a signature) in the header. The following examples demonstrate the use of a key identifier reference in a <wsse:Security> header to reference a local SAML assertion. ~~A <wsse:SecurityTokenReference> containing a <saml:AssertionIDReference> element containing a SAML assertion identifier may be used to reference a SAML assertion occurring within the <wsse:Security> header of the SOAP message in which the reference occurs. The following example illustrates the use of a <wsse:securityTokenReference> containing a <saml:AssertionIDReference> within the <keyInfo> of an XML Signature element to reference the SAML assertion (in the <wsse:Security> header) that contains the key used to compute the signature.~~

```
<S:Envelope xmlns:S="...">
    <S:Header>
        <wsse:Security xmlns:wsse="...">
            <saml:Assertion
                      MajorVersion="1"
                      MinorVersion="0"
                      AssertionID="SecurityToken-ef375268"
                      Issuer="elliotw1"
                      IssueInstant="2002-07-23T11:32:05.6228146-07:00"
                     xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion">
                ...
            </saml:Assertion>
            <wsse:SecurityTokenReference
                <wsse:KeyIdentifier wsu:id="…"
                      ValueType="saml:Assertion"
                      SecurityToken-ef375268
                </wsse:KeyIdentifier>
            </wsse:SecurityTokenReference>
            <ds:Signature xmlns:ds="...">
                ...
                <ds:KeyInfo>
                    <wsse:SecurityTokenReference>
                        <saml:AssertionIDReference>
                            SecurityToken-ef375268
                        </saml:AssertionIDReference>
                    </wsse:SecurityTokenReference>
                </ds:KeyInfo>
            </ds:Signature>
            ...
        </wsse:Security>
    </S:Header>
    <S:Body>
```

```
    </S:Body>
</S:Envelope>
```

A SAML assertion that exists outside of a <wsse:Security> header may be referenced from the <wsse:Security> header element by including (in the reference) saml:Location and saml:Binding attributes that define the address and protocol to use to acquire the identified assertion at a SAML assertion authority or responder.

```
<wsse:SecurityTokenReference
   <wsse:KeyIdentifier wsu:id="…"
      ValueType="saml:Assertion"
      saml:Location=http://www.fabrikam123.com/elliotw1
      saml:Binding="urn:oasis:names:tc:SAML:1.0:bindings:SOAP-binding"
      SecurityToken-ef375268
   </wsse:KeyIdentifier>
</wsse:SecurityTokenReference>
```

### 3.3.2 ~~URI References to~~ SAML assertion referenced from KeyInfo~~s~~

The following examples demonstrate the use of a key identifier reference from within a <ds:KeyInfo> element of a <ds:Signature> element in a <wsse:Security> header.

T~~As depicted in t~~he following example depicts the use of~~,~~ a key identifier reference containing a SAML AssertionID (as its value) to reference a local assertion identified by AssertionID. { It is presumed that the default encoding type is xsi:string} .

```
<ds:KeyInfo>
   <wsse:SecurityTokenReference>
      <wsse:KeyIdentifier wsu:id="…"
         ValueType="saml:Assertion"
         SecurityToken-ef375268
      </wsse:KeyIdentifier>
   </wsse:SecurityTokenReference>
</ds:KeyInfo>~~URI reference containing only a fragment identifier
consisting of a <saml:AssertionIDReference> may be used to reference
a SAML assertion occurring within the <wsseSecurity> header of the
SOAP message in which the reference occurs. A URI reference containing
an XML path expression can be used to reference a SAML assertion
occurring anywhere within the containing SOAP message.
<wsse:SecurityTokenReference>
   <wsse:Reference URI="#SecurityToken-ef375268"
                  ValueType="saml:IDReferenceType">
   </wsse:Reference>
</wsse:SecurityTokenReference>~~
```

The following example extends the previous example with the inclusion of saml:Location and saml:Binding attributes that define the address and protocol to use to acquire the identified assertion at a SAML assertion authority or responder.~~The following example demonstrates the use of a URI reference in conjunction with a <saml:AssertionIDReference> to define the location of the SAML responder at which the identified assertion may be obtained.~~

```
<ds:KeyInfo>
   <wsse:SecurityTokenReference>
      <wsse:KeyIdentifier wsu:id="…"
         ValueType="saml:Assertion"
```

```
            saml:Binding="urn:oasis:names:tc:SAML:1.0:bindings:SOAP-binding"
            saml:Location="http://www.fabrikam123.com/elliotw1"
            SecurityToken-ef375268
        </wsse:KeyIdentifier>
    </wsse:SecurityTokenReference>
</ds:KeyInfo>
```

~~<wsse:SecurityTokenReference>~~

```
    ~~<saml:AssertionIDReference>SecurityToken-ef375268~~
    ~~</saml:AssertionIDReference>~~
    ~~<wsse:Reference URI="http://www.fabrikam123.com/elliotw1"~~
    ~~</wsse:Reference>~~
~~</wsse:SecurityTokenReference>~~
```

### 3.3.3 SAML assertion referenced from SignedInfo~~Identifier References to SAML Assertions~~

Methods to reference SAML assertion from <ds:Reference> elements remain to be formalized. One issue that remains to be resolved is how to differentiate whether it is the reference or the referenced assertion that is to be digested.~~SAML assertions may not be referenced by identifier references because the~~ `<saml:Assertion>` ~~element schema does not include the~~ `wsu:id` ~~and~~ `ValueType` ~~attributes.~~

## 3.4 Proof-of-Possession of Security Tokens

The SAML binding of WS-Security requires that message senders and receivers support the holder-of-key and sender-vouches methods of subject confirmation. It is strongly RECOMMENDED that an XML signature be used to establish the relationship between the message sender and the attached assertions. This is especially RECOMMENDED whenever the SOAP message exchange is conducted over an unprotected transport.

Any processor of SAML assertions MUST conform to the required validation and processing rules defined in the SAML specification.

The following table enumerates the mandatory subject confirmation methods and summarizes their associated processing models:

| Mechanism | RECOMMENDED Processing Rules |
|---|---|
| `urn:oasis:names:tc:SAML:1.0:cm:holder-of-key` | The requestor includes an XML Signature that can be verified with the key information in the <saml:ConfimationMethod> of the SAML assertion referenced by the Signature. |
| `Urn:oasis:names:tc:SAML:1.0:cm:sender-vouches` | The requestor (the sender, different from the subject) vouches for the verification of the subject. The receiver MUST have an existing trust |

| | |
|---|---|
| | relationship with the requestor to accept this. It is RECOMMENDED that the requestor sign the token and the message or use a secure transport. |

Note that the high level processing model described in the following sections does not differentiate between message author and message sender as would be necessary to guard against replay attacks. The high-level processing model also does not take into account requirements for authentication of receiver by sender, or for message or assertion confidentiality. These concerns must be addressed by means other than those described in the high-level processing model.

### 3.4.1 Holder-of-key Subject Confirmation Method

The following sections describe the holder-of-key method of establishing the correspondence between a SOAP message sender and the subject of SAML assertions added to the SOAP message according to the SAML binding of WS-Security.

#### 3.4.1.1 Sender

A message sender uses the holder-of-key confirmation method to demonstrate that it is authorized to act as the subject of the assertions in the message. The assertions included in a message that the sender will confirm by the holder-of-key method MUST include the following `<saml:SubjectConfirmation>` element:

```
<saml:SubjectConfirmation>
  <saml:ConfirmationMethod>
    urn:oasis:names:tc:SAML:1.0:cm:holder-of-key
  </saml:ConfirmationMethod>
  <ds:KeyInfo>…</ds:KeyInfo>
</saml:SubjectConfirmation>
```

The `<saml:SubjectConfirmation>` element MUST include a `<ds:KeyInfo>` element that identifies the public or secret key to be used to confirm the identity of the subject.

To satisfy the associated confirmation method processing of the message receiver, the sender MUST demonstrate knowledge of the confirmation key. The sender MAY accomplish this by using the confirmation key to sign content within the message and by including the resulting `<ds:Signature>` element in the `<wsse:Security>` header.

`<ds:Signature>` elements produced for this purpose MUST conform to the `canonicalization` and token inclusion rules defined in the core WS-Security specification.

SAML assertions that contain a holder-of-key `<saml:SubjectConfirmation>` element SHOULD contain a `<ds:Signature>` element that protects the integrity of the confirmation `<ds:KeyInfo>` established by the assertion authority.

The canonicalization method used to produce the <ds:Signature> elements used to protect the integrity of SAML assertions MUST support the validation of these <ds:Signature> elements in contexts (such as <wsse:Security> header elements) other than those in which the signatures were calculated.

#### 3.4.1.2 Receiver

Of the SAML assertions it selects for processing, a message receiver MUST~~SHOULD~~ NOT accept assertions containing a holder-of-key <saml:ConfirmationMethod>, unless the receiver has validated the integrity of the assertions ~~the assertions are signed and validated as described above~~ and the message sender has demonstrated knowledge of the key identified by the <ds:keyInfo> element of the <saml:SubjectConfirmation> element. If the receiver determines that the sender has demonstrated knowledge of a subject confirmation key, then the SAML assertions containing the confirmation key MAY be attributed to the sender and any elements of the message whose integrity is protected by the subject confirmation key MAY be considered to have been authored by the subject.

#### 3.4.1.3 Example

The following example illustrates the use of the holder-of-key subject confirmation method to establish the correspondence between the SOAP message author and the subject of the SAML assertions in the <wsse:Security> header:

```
<?xml:version="1.0" encoding="UTF-8"?>
<S:Envelope xmlns:S="http://schemas.xmlsoap.org/soap/envelope/"
      xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
      xmlns:xsd="http://www.w3.org/2001/XMLSchema">

    <S:Header>
    <wsse:Security>

      <saml:Assertion
        xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion"
        MajorVersion="1" MinorVersion="0"
        AssertionID="2sxJu9g/vvLG9sAN9bKp/8q0NKU="
        Issuer="www.example.com"
        IssueInstant="2002-06-19T16:58:33.173Z">
        <saml:Conditions
          NotBefore="2002-06-19T16:53:33.173Z"
          NotOnOrAfter="2002-06-19T17:08:33.173Z"/>

        <saml:AuthenticationStatement
          AuthenticationMethod="urn:oasis:names:tc:SAML:1.0:am:password"
          AuthenticationInstant="2002-06-19T16:57:30.000Z">
          <saml:Subject>
            <saml:NameIdentifier
              NameQualifier="www.example.com"
              Format="">
                    uid=joe,ou=people,ou=saml-demo,o=example.com
            </saml:NameIdentifier>
            <saml:SubjectConfirmation>
              <saml:ConfirmationMethod>
                    urn:oasis:names:tc:SAML:1.0:cm:holder-of-key
```

```
          </saml:ConfirmationMethod>
          <ds:KeyInfo>
            <ds:KeyValue>…</ds:KeyValue>
          </ds:KeyInfo>
        </saml:SubjectConfirmation>
      </saml:Subject>
    </saml:AuthenticationStatement>

    <saml:AttributeStatement>
      <saml:Subject>
        <saml:NameIdentifier
          NameQualifier="www.example.com"
          Format="">
                uid=joe,ou=people,ou=saml-demo,o=baltimore.com
        </saml:NameIdentifier>
        <saml:SubjectConfirmation>
          <saml:ConfirmationMethod>
                urn:oasis:names:tc:SAML:1.0:cm:holder-of-key
          </saml:ConfirmationMethod>
          <ds:KeyInfo>
            <ds:KeyValue>…</ds:KeyValue>
          </ds:KeyInfo>
        </saml:SubjectConfirmation>
      </saml:Subject>

      <saml:Attribute
        AttributeName="MemberLevel"
        AttributeNamespace="http://www.oasis-
open.org/Catalyst2002/attributes">
          <saml:AttributeValue>gold</saml:AttributeValue>
      </saml:Attribute>
      <saml:Attribute
        AttributeName="E-mail"
        AttributeNamespace="http://www.oasis-
open.org/Catalyst2002/attributes">
        <saml:AttributeValue>joe@yahoo.com</saml:AttributeValue>
      </saml:Attribute>
    </saml:AttributeStatement>
    <ds:Signature>…</ds:Signature>
  </saml:Assertion>

  <ds:Signature>
    <ds:SignedInfo>
      <ds:CanonicalizationMethod Algorithm=
        "http://www.w3.org/2001/10/xml-exc-c14n#"/>
      <ds:SignatureMethod Algorithm=
        "http://www.w3.org/2000/09/xmldsig#hmac-sha1"/>
      </ds:Reference>
      <ds:Reference URI="#MsgBody">
        <ds:DigestMethod Algorithm=
          "http://www.w3.org/2000/09/xmldsig#sha1"/>
        <ds:DigestValue>GyGsF0Pi4xPU...</ds:DigestValue>
      </ds:Reference>
    …</ds:SignedInfo>
    <ds:SignatureValue>HJJWbvqW9E84vJVQk…</ds:SignatureValue>
    <ds:KeyInfo>
      <wsse:SecurityTokenReference>
        <saml:AssertionIDReference>"2sxJu9g/vvLG9sAN9bKp/8q0NKU="
        </saml:AssertionIDReference>
      </wsse:SecurityTokenReference>
    </ds:KeyInfo>
  </ds:Signature>
```

```
</wsse:Security>
</S:Header>

<S:Body>
  <ReportRequest>
    <TickerSymbol>SUNW</TickerSymbol>
  </ReportRequest>
</S:Body>
</S:Envelope>
```

### 3.4.2 Sender-vouches Subject Confirmation Method

The following sections describe the sender-vouches method of establishing the correspondence between a SOAP message sender and the SAML assertions added to the SOAP message according to the SAML binding of WS-Security.

#### 3.4.2.1 Sender

A message sender uses the sender-vouches confirmation method to assert that it is acting on behalf of the subjects of the assertions in the message. The assertions included in a message that the sender will confirm by the sender-vouches method MUST include the following `<saml:SubjectConfirmation>` element:

```
<saml:SubjectConfirmation>
  <saml:ConfirmationMethod>
         urn:oasis:names:tc:SAML:1.0:cm:sender-vouches
  </saml:ConfirmationMethod>
</saml:SubjectConfirmation>
```

To satisfy the associated confirmation method processing of the receiver, the sender MUST ~~use its key to~~ integrity protect the assertions and those elements of the SOAP message that it ~~the sender~~ is vouching for. The sender MAY accomplish this by including in the corresponding `<wsse:Security>` header a `<ds:Signature>` element that the sender prepares by using its key to sign the assertions and relevant message content. As defined by the XML Signature Specification, the sender MAY identify its key by including a `<ds:KeyInfo>` element within the `<ds:Signature>` element.

A `<ds:Signature>` element produced for this purpose MUST conform to the `canonicalization` and token inclusion rules defined in the core WS-Security specification.

#### 3.4.2.2 Receiver

Of the SAML assertions it selects for processing, a message receiver MUST~~SHOULD~~ NOT accept assertions containing a sender-vouches `<saml:ConfirmationMethod>` unless the assertions and SOAP message content being vouched for by the sender are integrity protected by a sender who is trusted by the receiver to act on behalf of the subject of the assertions.

### 3.4.2.3 Example

The following example illustrates a sender's use of the sender-vouches subject confirmation method with an associated <ds:Signature> element to establish its identity and to assert that it has sent message elements on behalf of the subjects of the contained assertions:

```
<?xml:version="1.0" encoding="UTF-8"?>
<S:Envelope xmlns:S="http://schemas.xmlsoap.org/soap/envelope/"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xmlns:xsd="http://www.w3.org/2001/XMLSchema">

<S:Header>
<wsse:Security>

  <saml:Assertion
    xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion"
    MajorVersion="1" MinorVersion="0"
    AssertionID="2sxJu9g/vvLG9sAN9bKp/8q0NKU="
    Issuer="www.example.com"
    IssueInstant="2002-06-19T16:58:33.173Z">
    <saml:Conditions
      NotBefore="2002-06-19T16:53:33.173Z"
      NotOnOrAfter="2002-06-19T17:08:33.173Z"/>

    <saml:AuthenticationStatement
      AuthenticationMethod="urn:oasis:names:tc:SAML:1.0:am:password"
      AuthenticationInstant="2002-06-19T16:57:30.000Z">
      <saml:Subject>
        <saml:NameIdentifier
          NameQualifier="www.example.com"
          Format="">
                uid=joe,ou=people,ou=saml-demo,o=example.com
        </saml:NameIdentifier>
        <saml:SubjectConfirmation>
          <saml:ConfirmationMethod>
                urn:oasis:names:tc:SAML:1.0:cm:sender-vouches
          </saml:ConfirmationMethod>
        </saml:SubjectConfirmation>
      </saml:Subject>
    </saml:AuthenticationStatement>

    <saml:AttributeStatement>
      <saml:Subject>
        <saml:NameIdentifier
          NameQualifier="www.example.com"
          Format="">
                uid=joe,ou=people,ou=saml-demo,o=baltimore.com
        </saml:NameIdentifier>
        <saml:SubjectConfirmation>
          <saml:ConfirmationMethod>
                urn:oasis:names:tc:SAML:1.0:cm:sender-vouches
          </saml:ConfirmationMethod>
        </saml:SubjectConfirmation>
      </saml:Subject>

      <saml:Attribute
        AttributeName="MemberLevel"
        AttributeNamespace="http://www.oasis-
open.org/Catalyst2002/attributes">
          <saml:AttributeValue>gold</saml:AttributeValue>
      </saml:Attribute>
```

```
      <saml:Attribute
        AttributeName="E-mail"
        AttributeNamespace="http://www.oasis-
open.org/Catalyst2002/attributes">
        <saml:AttributeValue>joe@yahoo.com</saml:AttributeValue>
      </saml:Attribute>
    </saml:AttributeStatement>
  </saml:Assertion>

  <ds:Signature>
    <ds:SignedInfo>
      <ds:CanonicalizationMethod Algorithm=
        "http://www.w3.org/2001/10/xml-exc-c14n#"/>
      <ds:SignatureMethod Algorithm=
        "http://www.w3.org/2000/09/xmldsig#hmac-sha1"/>
      <ds:Reference URI="#2sxJu9g/vvLG9sAN9bKp/8q0NKU="
                    Type="saml:IDReferenceType">
        <ds:DigestMethod Algorithm=
          "http://www.w3.org/2000/09/xmldsig#sha1"/>
        <ds:DigestValue>GyGsF0Pi4xPU...</ds:DigestValue>
      </ds:Reference>
      <ds:Reference URI="#MsgBody">
        <ds:DigestMethod Algorithm=
          "http://www.w3.org/2000/09/xmldsig#sha1"/>
        <ds:DigestValue>GyGsF0Pi4xPU...</ds:DigestValue>
      </ds:Reference>
    </ds:SignedInfo>
    <ds:SignatureValue>JWbvqW94vJVQkA…</ds:SignatureValue>
    <ds:KeyInfo>
      <X509Data>
        <X509SubjectName>portal@yahoo.com</X509SubjectName>
      </X509Data>
    </ds:KeyInfo>
  </ds:Signature>

</wsse:Security>
</S:Header>

<S:Body wsu:Id="MsgBody">
  <ReportRequest>
    <TickerSymbol>SUNW</TickerSymbol>
  </ReportRequest>
</S:Body>

</S:Envelope>
```

## 3.5 Error Codes

It is RECOMMENDED that systems that implement the SAML binding of WS-Security respond with the error codes defined in the core WS-Security specification. Implementations that chose to respond with custom errors, defined in private namespaces, SHOULD take care not to introduce any security vulnerabilities as a result of the information returned in their error responses.

A receiver that is unable to process the SAML assertions contained in or referenced from a `<wsse:Security>` header MUST~~SHOULD~~ use one of the fault codes listed in the core WS-Security specification to report the error. The RECOMMENDED correspondence between the common assertion processing failures and the error codes defined in the core WS-security specification are defined in the following table:

| Assertion Processing Error | RECOMMENDED Error |
|---|---|
| A referenced SAML assertion could not be retrieved. | `Wsse:SecurityTokenUnavailable` |
| An assertion contains a `<saml:Condition>` element that the receiver does not understand. | `Wsse:UnsupportedSecurityToken` |
| A signature within an assertion or referencing an assertion is invalid. | `Wsse:FailedCheck` |
| The issuer of an assertion is not acceptable to the receiver. | `Wsse:InvalidSecurityToken` |
| The receiver does not understand the extension schema used in an assertion. | `Wsse:UnsupportedSecurityToken` |

## 3.6 Threat Model and Countermeasures

This document defines the mechanisms and procedures for securely attaching SAML assertions to SOAP messages. SOAP messages are used in multiple contexts, specifically including cases where the message is transported without an active session, the message is persisted, or the message is routed through a number of intermediaries. Such a general context of use suggests that users of this binding must be concerned with a variety of threats. The following sections describe the vulnerability of the SAML token binding of WS-Security. In general, the use of SAML assertions with WS-Security introduces no new threats beyond those identified for SAML or by the core WS-Security specification.

The following sections provide an overview of the characteristics of the threat model, and the countermeasures that SHOULD be adopted for each perceived threat.

### 3.6.1 Eavesdropping

Eavesdropping is a threat to the SAML token binding of WS-Security in the same manner as it is a threat to any network protocol. The routing of SOAP messages through intermediaries increases the potential incidences of eavesdropping. Additional opportunities for eavesdropping exist when SOAP messages are persisted.

To provide maximum protection from eavesdropping, assertions, assertion references, and sensitive message content SHOULD be encrypted such that only the intended audiences can view their content. This removes threats of eavesdropping in transit, but MAY not remove risks associated with storage or poor handling by the receiver.

Transport-layer security MAY be used to protect the message and contained SAML assertions and/or references from eavesdropping while in transport, but message

content MUST be encrypted above the transport if it is to be protected from eavesdropping by intermediaries.

### 3.6.2 Replay

The reliance on authority protected (e.g. signed) assertions with a holder-of-key subject confirmation mechanism precludes all but a holder of the key from binding the assertions to a SOAP message. Although this mechanism affectively restricts message authorship to the holder of the confirmation key, it does not preclude the capture and resubmission of the message by other parties.

Assertions that contain a sender-vouches confirmation mechanism introduce another dimension to replay vulnerability because the assertions impose no restriction on the senders who may use or reuse the assertions. Any entity coming into contact with such assertions could use them in a message in which they use their identity to vouch for the subject of the assertions.

Replay attacks can be addressed by using message timestamps and caching, as well as by using other application-specific tracking mechanisms.

### 3.6.3 Message Insertion

The SAML token binding of WS-Security is not vulnerable to message insertion attacks.

### 3.6.4 Message Deletion

The SAML token binding of WS-Security is not vulnerable to message deletion attacks.

### 3.6.5 Message Modification

The SAML token binding of WS-Security is protected from message modification if the relevant message content is integrity protected ~~signed~~ by the holder of the key or by the vouching sender. Therefore, i~~t~~t is strongly RECOMMENDED that all relevant and immutable message content be signed by the holder of the key or by the vouching sender (as the case warrants). Receivers SHOULD only consider those portions of the document that are integrity protected by the appropriate entity ~~covered by the sender's signature~~ as being subject to the assertions in the message.

~~SAML assertions appearing in <wsse:Security> header elements SHOULD be signed by their issuing authority~~ To ensure ~~so~~ that message receivers can have confidence that received ~~the~~ assertions have not been forged or altered since their issuance, SAML assertions and assertion references appearing in <wsse:Security> header elements MUST be integrity protected (e.g. signed) by their issuing authority or the vouching sender (as the case warrants). It is strongly RECOMMENDED that a message sender sign~~sign~~ any <saml:Assertion> elements that it is confirming and that are not signed by their issuing authority.

742 Transport-layer security MAY be used to protect the message and contained SAML
743 assertions and/or assertion references from modification while in transport, but
744 signatures are required to extend such protection through intermediaries.

### 745 3.6.6 Man-in-the-Middle

746 Assertions with a holder-of-key subject confirmation method are not vulnerable to a
747 MITM attack. Assertions with a sender-vouches subject confirmation method are
748 vulnerable to MITM attacks to the degree that the receiver does not have a trusted
749 binding of key to the vouching sender's identity.

# 4 Acknowledgements

This specification was developed as a result of joint work of many individuals from the WSS TC including:

TBD

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796 # Appendix A: Revision History

| Rev | Date | What |
|---|---|---|
| 01 | 19-Sep-02 | Initial draft produced by extracting SAML related content from [XML token] |
| 02 | 23-Sep-02 | Merged in content from SS TC submission |
| 03 | 18-Nov-02 | Resolved issues raised by TC |
| 04 | 09-Dec-02 | Refined confirmation mechanisms, and added signing example |

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# Appendix B: Notices

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